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D & C.

Dynamic Programming (DP)

Greedy Algo

Optimal substructure

Optimal solⁿ for a larger instance

in terms of
→

optimal solⁿ for sub-problems

max/min/f.

Choose
one possibility

Proof may
be needed

-that's guaranteed to be in
at least one optimal solⁿ.

see if you can
identify one choice

(with some easily
computable property)

Coin Change Suppose you want to make a change of n Rupees using coins of denominations :- $1, 2, 5$
sufficient

Criterion: \Rightarrow You want to use the min # of coins.

change(i): min # of coins reqd to make a change for i Rs.

$$i \geq 2$$

$$5 \text{ Rs}$$

$$i-5$$

$$2 \text{ Rs}$$

$$i-2$$

$$1 \text{ Rs}$$

$$i-1$$

$$\begin{array}{r} 1 \text{ Rs} \\ 2 \text{ Rs} \\ 5 \text{ Rs} \end{array} \rightarrow$$

Greedy
 \uparrow

∇

$$\text{change}(i) = 1 + \min(\text{change}(i-5), \text{change}(i-2), \text{change}(i-1))$$

Greedy:
v.s.
DP

if $n \geq 5$
 \rightarrow select 5
else if $n \geq 2$
 \rightarrow select 2
else
 select 1

RecChange (n)

if (n > 5)

return 1 + RecChange (n-5)

Now, I claim that my greedy also works

what is the proof?

n The greedy also chooses exactly $k = \lfloor \frac{n}{5} \rfloor$ 5 coins,
Suppose an optimal solⁿ chooses $\underline{k'} \leq k$ 5 coins, $\underline{k'} > k$?

Assume $t' < t \Rightarrow$ At least $5(t-t')$ Rs. have been changed in the optimal solution
 using 1 Rs & 2 Rs coins

\rightarrow Optimal solⁿ uses 3 2 Rs coins

~~X~~

\rightarrow Replace this solⁿ with $5Rs + 1Rs$ } better solⁿ

Uses $2+2+1$ (at least 1 1Rs coin)

any combⁿ of 5 that uses 1

\rightarrow Replace with 5 } better solⁿ

~~X~~
 $1, 1, 1, 1, 1 \rightarrow 5Rs$
 coins

$\Rightarrow t' = t$

What remains \rightarrow sum of no more than 4 1's

Exhaustive search of all the cases

Greedy \Rightarrow how many 1's can I \rightarrow at most 1
2
has to be one of the optimal solutions

Greedy way of choosing may not always work. 4, 4 Optimal
2 \rightarrow 2 ways

Suppose n Rs coins 5, 4, 1. $n=8$

Will my greedy algo still work? 5, 1, 1, 1 \rightarrow 4 coins
Greedy ~~X~~

Once you identify a greedy property

1. You need to prove that this gives an optimal solⁿ.

or
2. You can give a counter example where this greedy property doesn't give you an optimal solⁿ.

Activity Selection \Rightarrow

Suppose you're a classroom MR 121

Suppose there are n requests

$1, \dots, n$

Each request has a start time $s(i)$ & a finish time $f(i)$

$$\boxed{s(i) < f(i)}$$

10:00 - 11:00

Algo

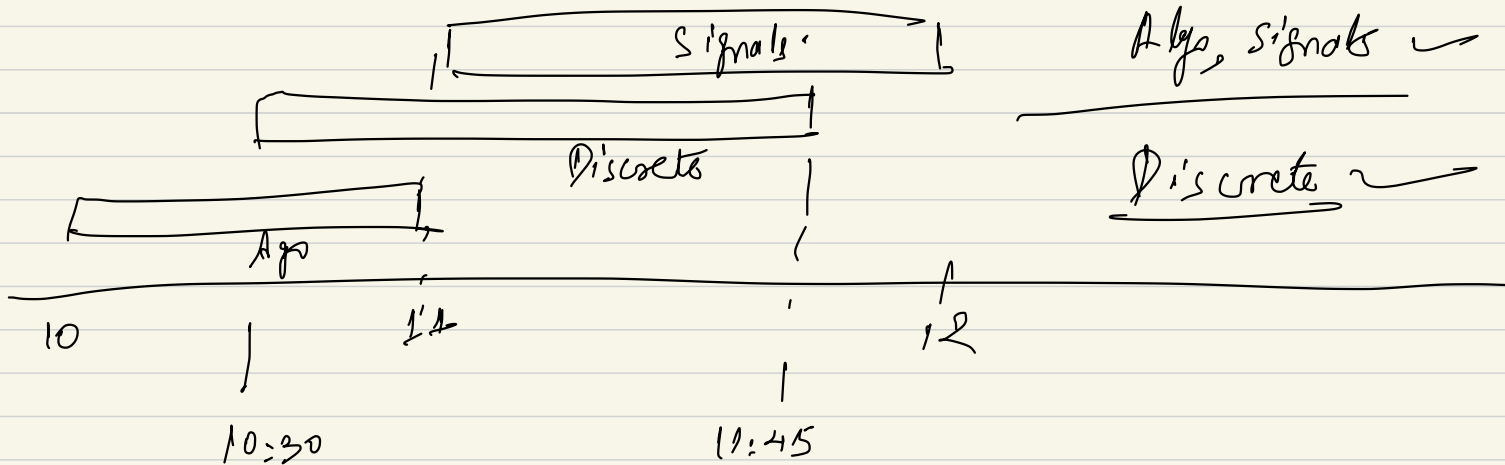
10:30 - 11:45

Discrete

12:00 - 12:00

Signals & Systems

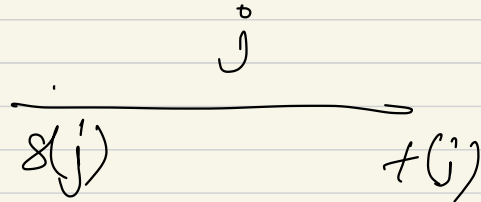
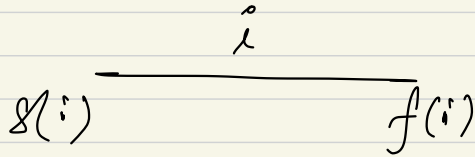
Compatible



Algo, Discrete ✗

Discrete, Signals ✗

Two requests i & j are said to be compatible if they don't overlap



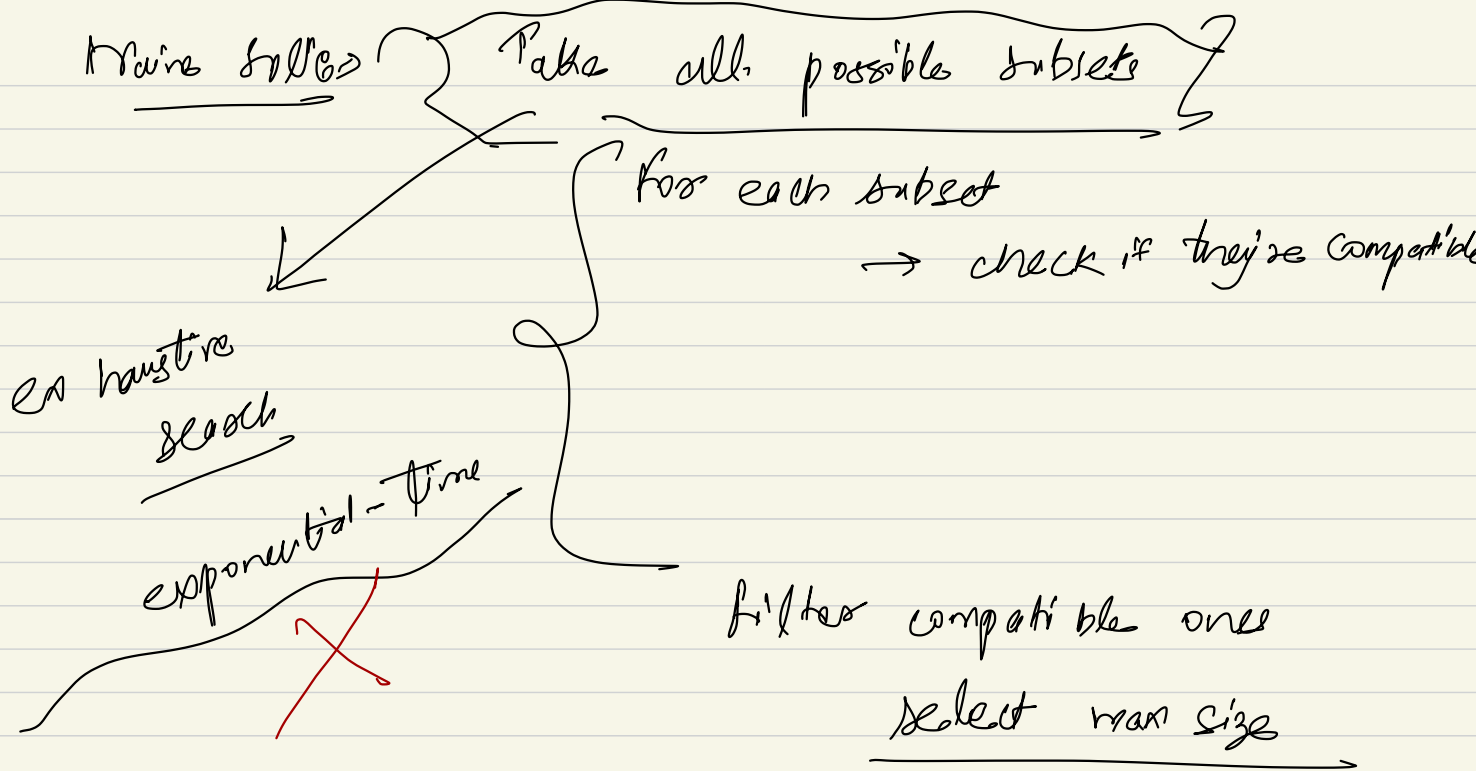
$$\boxed{f(i) \leq s(j)}$$

or

$$\boxed{f(j) \leq s(i)}$$

Optimization Problem → Goal is to select a compatible subset of requests that is of max. size.

& do this efficiently.



let's define formally
 n Request a_1, \dots, a_n [sorted in terms of finish time]
 $s(i)$ $s(i)$ $f(n)$
 $f(i)$ $f(i)$ $f(n)$

T_{ij} :- set of tasks that start after a_i finishes
& finish before a_j starts

S_{ij} :- Optimal solⁿ for T_{ij}
subset (compatible subset of max size)

$$C[i, j] = |S_{ij}|$$

Dummy tasks $\underline{a_0}$ $\underline{a_{n+1}}$
 $f_0 \leq \min a_i$ $s_{n+1} \geq f_n$

$C[0, n+1]$ is the final solⁿ.

Greedy??
DP

$$C[i, j] = \begin{cases} 0 & \text{if } i=j \\ \max_{i < k < j} C[i, k] + 1 + C[k, j] & \text{otherwise} \end{cases}$$

Greedy:- See if you can identify one choice guaranteed to be in atleast one optimal solⁿ.

a_0 a_k a_n a_{n+1}

Proof/
counter
example

1. Select an activity with the shortest time. ($H(i) - S(i)$)

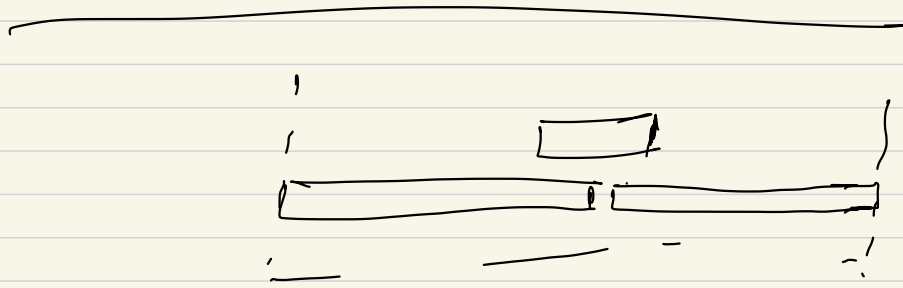
that'll leave resource available
for max amt of time.

2. Select an activity with the earliest finish time. $f(i)$

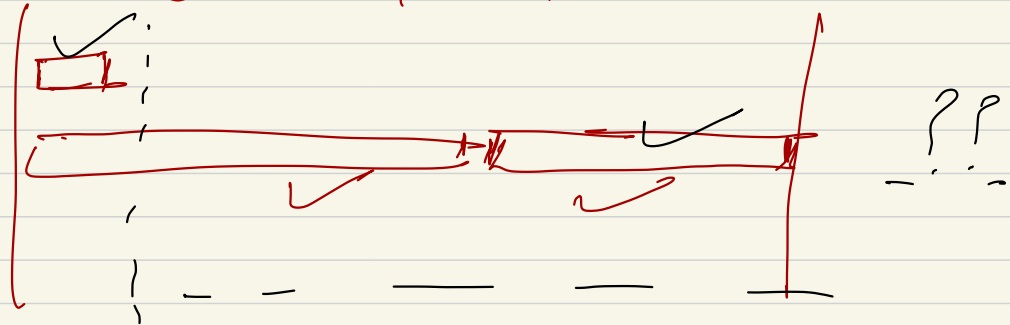
3. Choose an activity with min # of incompatibilities.

_____ would leave max no. of activities.

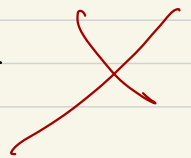
1. activity with the shortest time.

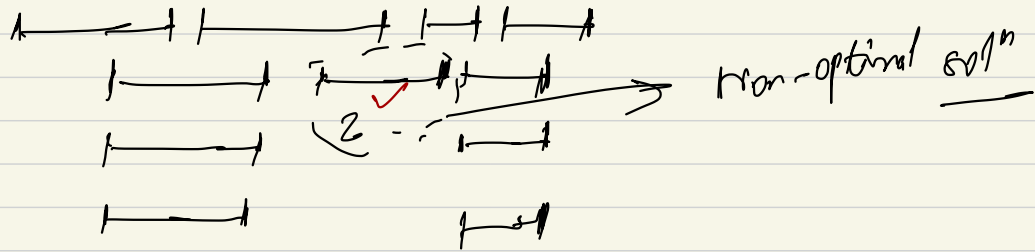


2. Activity with earliest finish time.



3. Activity with min. # of incompatible requests





Choose the activity with the earliest finish time

→ Remove incompatible act.

→ Continue until all requests
are processed.

Proof \Rightarrow T_{ij} :- set of tasks starting after
 a_i finishes & a_j finishing before
 a_j starts

Let a_m be an activity in T_{ij} with the earliest
finish time.

\Rightarrow a_m is included in some optimal solⁿ of T_j

Proof: \Rightarrow Let S_j be the optimal solⁿ

\rightarrow a max size subset of the mutually compatible activities in T_j

[Trick: show it is different than greedy you can convert it to greedy while remaining optimal]

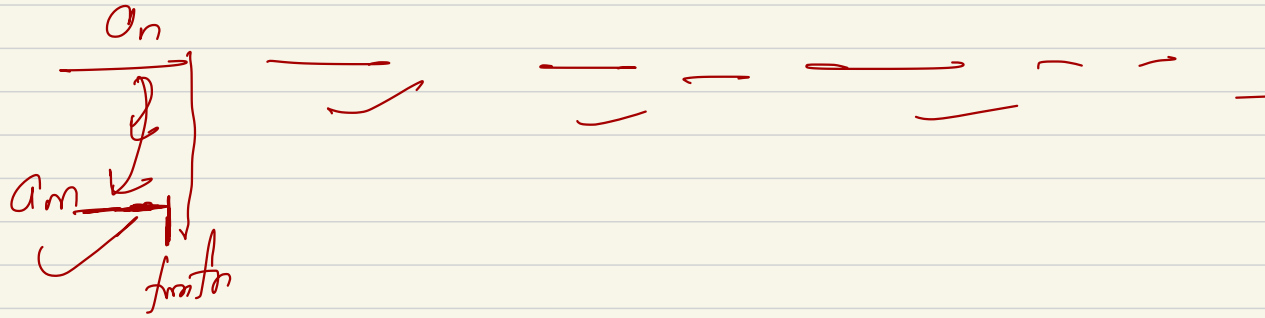
Let a_n be an activity with the earliest finish time in T_j

Pf $a_n = a_m$: we're done

Pf $a_n \neq a_m$: $\Rightarrow T_n \supseteq T_m$

Let's take $S_{ij}' = S_j - \{a_n\} \cup \{a_m\}$ - still compatible?
- size same? \checkmark

S_{ij}



$\Rightarrow S_{ij}$ activities are disjoint

Proof.

Pseudo Code: \Rightarrow let's assume that the activities are sorted
as per the finish time, let s & f be the two
start finish. arrays

Greedy-activity-selector (S, f)

$$n = S.length$$

$$A = \{a, j\}$$

$$\underline{k = 1} \quad // \text{ last activity selected}$$

linear time

$$O(n)$$

if sorted as per
finish times

$$O(n \log n)$$

for $m = 2$ to n

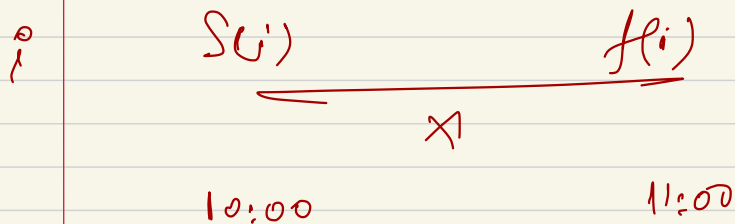
$$\text{if } S[m] \geq f[k]$$

$$A = A \cup \{a_m, j\}$$

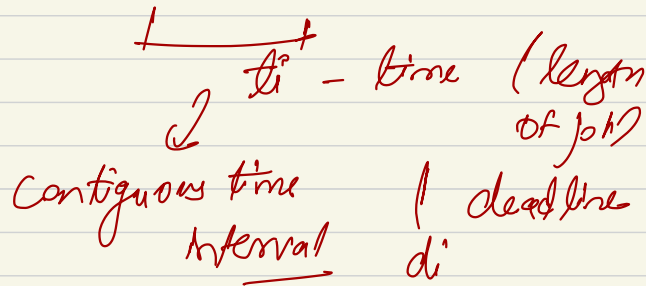
$$k = m$$

return A

Problem \Rightarrow Single resource & set of n requests to use the resource for an interval of time



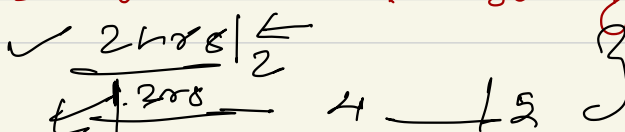
run my code on a server



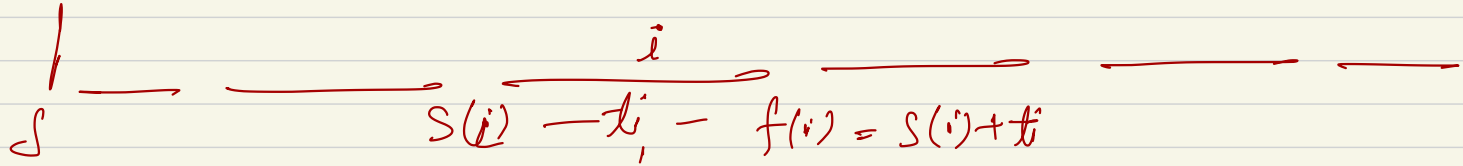
Resource is available from time S .

Different requests must be assigned non-overlapping intervals.

Goal \Rightarrow Suppose we want to satisfy each request. but we're allowed to



let certain requests run late.



schedule, all the requests

✓ to be determined by the algo

We say that a request i is late if it misses the deadline, $f(i) > d_i$.

The lateness $l_i = 0$ if $f(i) \leq d_i$
 $= f(i) - d_i$ otherwise

$\max l_i$

l_i

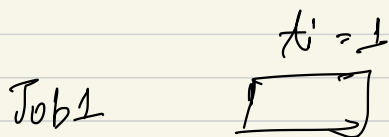
—

l_i

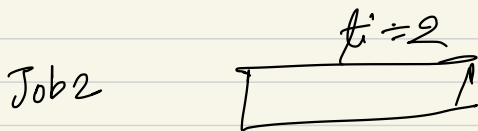
→ minimize

The goal of our optimization would be to schedule all requests, using non-overlapping intervals, so as to minimize the max. latencies

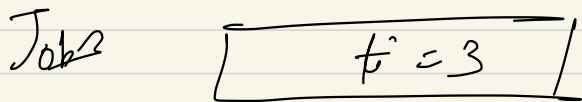
$$L = \max_i l_i$$



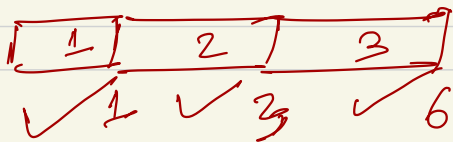
$$d_i = 2$$



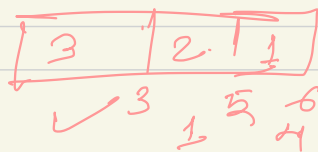
$$d_i = 4$$



$$d_i = 6$$



$$L = 0$$



$$L = 4$$



We need to look at (t_i, d_i) data

Approach 1 \Rightarrow Schedule the jobs in increasing order of their length: get the shortest jobs out of the way quickly

Counter example?

shorter job but deadline is far

vs

longer job but deadline is strict

$t_1 = 1$	$d_1 = 100$
$t_2 = 10$	$d_2 = 10$

Greedy: Job 1 ✓

Job 2 $l_2 = 1$
 $l = 1$

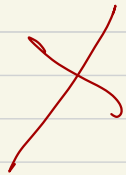
Optimal: Job 2 ✓

Job 1 ✓
 $l = 0$

Approach 2: \rightarrow We're concerned about jobs whose available slack time $d_i - t_i$ is very small,

\rightarrow Schedule in increasing order of slack time

Counter Example?



job with 0 slack deadline later
 job with higher slack deadline earlier

$t_1 = 10$ $d_1 = 10$ slack = 0

$t_2 = 1$ $d_2 = 2$ slack = 1

Greedy

Job 1 ✓
 Job 2 ✓
 $L = 2$

Optimal

Job 2 ✓
 Job 1 ✓
 $L = 2$

t_i, d_i

Smallest t_i

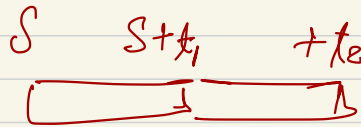
Smallest $d_i - t_i$

Smallest $d_i \rightarrow$

Approach 3:- Choose job with the earliest deadline

Sort the jobs in increasing order of deadlines

Schedule if this order



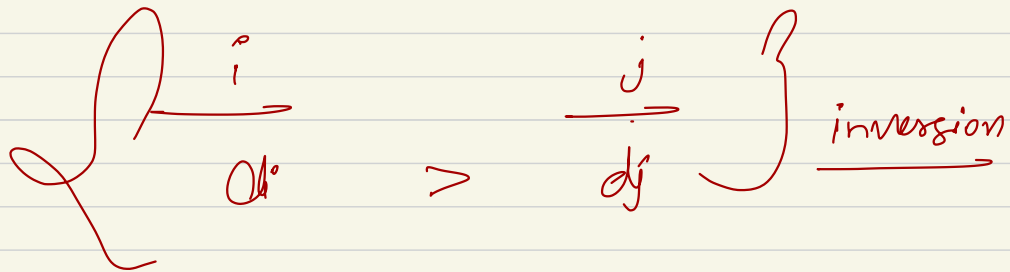
no idle time

Finish time

$$S + \sum_{i=1}^n t_i$$

Optimal solⁿ schedule

Schedule A' has an inversion if a job i with deadline d_i is scheduled before another job j with deadline $d_j < d_i$



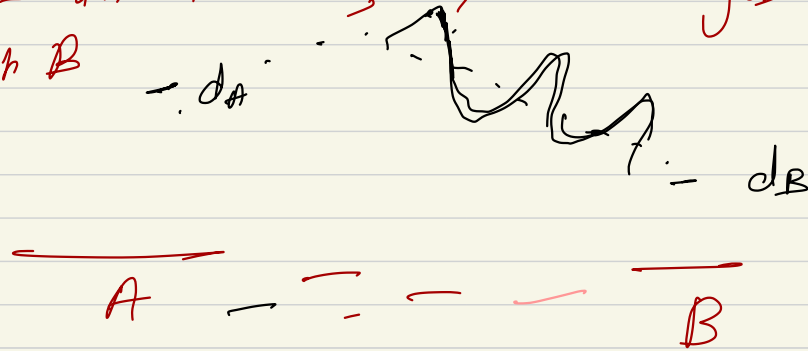
By defⁿ, greedy algo doesn't have any inversion.

∴ There is an optimal schedule with no inversion.

↓ change it to greedy
without comp. optimality,

Let O be an optimal schedule.

If O has an inversion, there is a job A scheduled before job B



$$d_A > d_B$$

If we advance in the scheduled order of jobs from A to B , there has to be a point at which the deadline decreases for the first time

\Rightarrow Consecutive pairs of jobs i j

(i, j) pair of jobs (inverted)
consecutive $d_i > d_j$
 i scheduled before

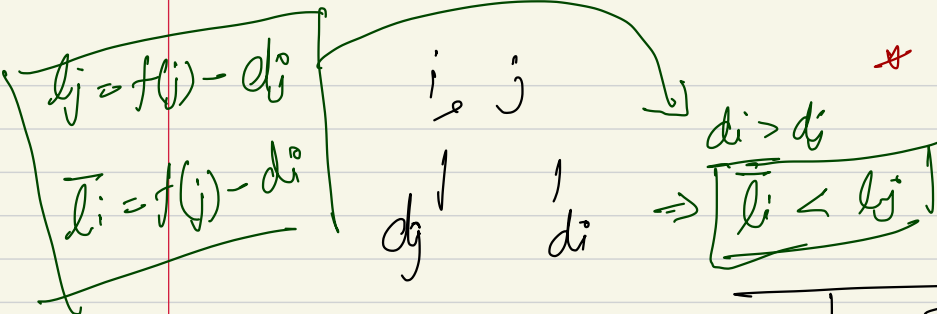
If we swap $(i, j) \Rightarrow$ eliminate this inversion,

\rightarrow The new schedule has max lateness no greater than that of O . (optimal schedule)

Proof: Schedule O Assume each request α is scheduled
 $[s(\alpha), f(\alpha)]$ lateness l_α

$$\underline{L} = \max_{\alpha} \underline{l}_{\alpha}$$

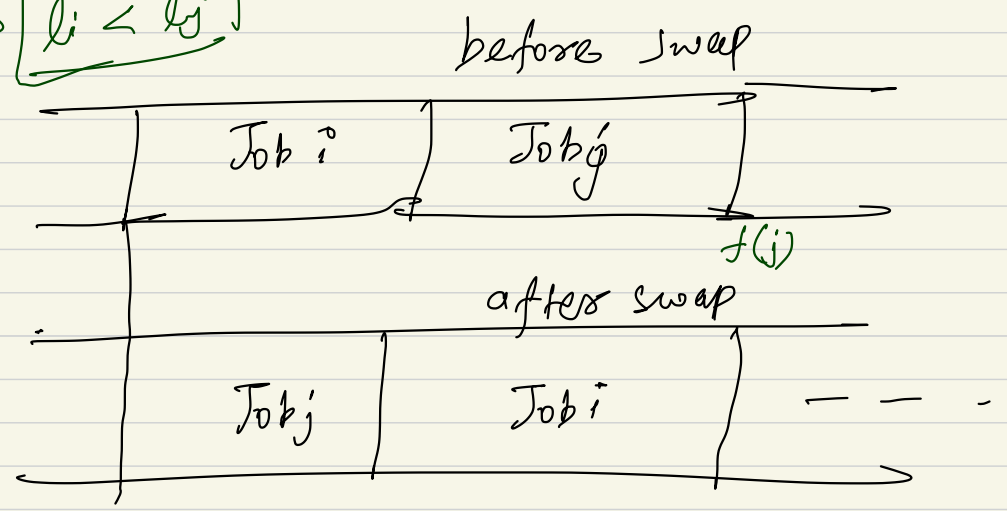
Let O' denote the schedule where \perp swap (i, j)
 $[s(\alpha), f(\alpha)]$ \bar{l}_{α} $\bar{L} = \max_{\alpha} \bar{l}_{\alpha}$



* Job j finishes earlier in the new schedule $\Rightarrow \boxed{\bar{l}_i < l_j}$

$L = \{ \underline{l}_1, \underline{l}_2, \dots, \underline{l}_n \}$

$\bar{L} = \max \{ \bar{l}_1, \dots, \bar{l}_i, \bar{l}_j, \dots, \bar{l}_n \}$
 $\bar{l}_j < l_j$
 $\bar{l}_i < l_j$



* All jobs other than i & j finish at the same time in both schedules

$\bar{l}_\sigma = l_\sigma \quad \text{if } \sigma \neq i, j$

$L = \max \{ l_1, \dots, l_i, l_j, \dots, l_n \}$
 $\bar{L} = \max \{ \bar{l}_1, \dots, \bar{l}_i, \bar{l}_j, \dots, \bar{l}_n \}$

\Rightarrow swap doesn't increase J

inversion \Rightarrow swap \Rightarrow the solⁿ is still optimal

0 _____ G

Schedule by with deadlines

Simple greedy algo

Sort by deadlines

Schedule in increasing order

$\frac{\begin{matrix} \underline{i} < \underline{j} \\ d_i > d_j \end{matrix}}{\text{inversion}}$

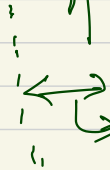
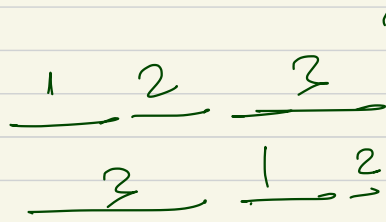
\exists Optimal schedule
with no inversions

multiple jobs with same deadline

↳ rel. with same deadlines

Put in any order
→

Will not change max. lateness
→



max. lateness will remain the same

Knapsack Problem \Rightarrow

Setting \Rightarrow A thief trying to rob a shop, has a knapsack

Various items $1, \dots, n$

i^{th} item — weight w_i value v_i
these are integers

Knapsack \Rightarrow can carry at most W weight

Optimization Problem \Rightarrow Carry as valuable a load as possible that he can fill in knapsack.

What items should he carry?

EA. 3 items

1

10 kg
50 \$/kg
<u>500 \$</u>

2

12 kg
45 \$/kg
<u>540 \$</u>

3

3 kg
30 \$/kg
<u>90 \$</u>

Knapsack Capacity

15 kg

Either take or leave an item

0-1 Knapsack \Rightarrow

12	3	630 \$
10	3	540 \$

Fractional Knapsack \Rightarrow The thief can take fractions of items

2: 12 kg \rightarrow 630 \$
 3: 3 kg

Is there a better alternative?
 1: 10 kg 2: 5 kg 725 \$

Fractional Knapsack \rightarrow Greedy Strategy

- Compute the value per kg U_i/W_i for each item
- Sort the items as per this value/pound ratio
- The thief begins by taking as much as possible of the item with the greatest value/pound.
- If the supply of this item is exhausted, & he can still carry more, he takes as much as possible of the item with the next greatest value/pound & so forth, until he reaches his weight limit W .

Proof Given a set of n items $\{1, \dots, n\}$

Assume that they're sorted as per value/weight ratio f

$$f_1 \geq f_2 \geq \dots \geq f_n$$

Let the greedy solⁿ be $G = \langle x_1, \dots, x_n \rangle$

where x_i indicates the fraction of item i .

$$x_i \in [0, 1] \quad i = 1, \dots, n, \quad w_i$$

Knapsack capacity = W

$$\sum_{i=1}^n x_i w_i = W$$

Assume an optimal solⁿ $O = \langle y_1, \dots, y_n \rangle$

$$\sum_{i=1}^n y_i w_i = W$$

Prove that G is also an optimal solⁿ,

$$G = \langle x_1, \dots, x_n \rangle$$

$$O = \langle y_1, \dots, y_n \rangle$$

Consider the first item i where the two solⁿs differ

$$\boxed{x_i > y_i} ?$$

By defⁿ G takes as large fraction of i as possible

$$\longleftarrow x_i \text{ --- }$$

$$\begin{array}{l} O \\ O' \end{array} \quad \begin{array}{l} \text{---} \\ \text{---} \end{array}$$

$$\begin{array}{l} y_i \\ \downarrow \\ x_i \end{array}$$

$$\text{Let } \Delta = (x_i - y_i)$$

Consider a new solⁿ O' from O st.

for $j < i$

$$y_j' = y_j$$

$$y_i' = x_i$$

\Rightarrow I've to now remove items of weight Δw_i from $\underline{l+1 \dots n}$ set of y_j 's

Now, argue that the total value in solⁿ $0'$ is \geq that of 0 ,

$\Rightarrow 0'$ is an optimal solⁿ
(contradiction)

weight adds, Δw_i
 i

removing Δw_i from the later items

diff value

$$\Delta v_i = \Delta w_i p_i$$

$$- \left[\sum_{k=i+1}^n t_k v_k \right]$$

st. $\sum t_k w_k = \Delta w_i$

$$\sum_{k=i+1}^n t_k w_k p_k \leq \sum_{k=i+1}^n t_k w_k p_{i+1} \leq \Delta w_i p_{i+1}$$

$$\leq \sum_{k=i+1}^n t_k v_{i+1}$$

$$\text{diff value} \geq \Delta w_i (p_i - p_{i+1})$$

$$\left. \begin{array}{l} \text{greedy} \\ p_i \\ i \end{array} \right\} \geq 0$$

Greedy is also an optimal solⁿ

0-1 Knapsack

Knapsack has a capacity w
as full as possible using a subset of
items $\{1, \dots, n\}$

Think in terms of DP

$$\{1, \dots, n\}$$

OPT(i): Solⁿ using a subset of requests from $\{1, \dots, i\}$

OPT(n)

$$\begin{cases} n \notin \mathcal{O} \\ n \in \mathcal{O} \end{cases}$$

$$\text{OPT}(n) = \text{OPT}(n-1) \quad \underline{w}$$

$$\text{OPT}(n) = v_n + \text{OPT}(n-1)$$

$$\underline{w - w_n}$$

Missing weight

Also take into consideration the available weight.

OPT(i, w) = Solⁿ using a subset of items from

$\{1, \dots, i\}$ subject to an available

weight w

maximum allowed

$$= \max_{S} \sum_{j \in S} v_j$$

$$S \subseteq \{1, \dots, i\}$$

subject to $\sum_{j \in S} w_j \leq W.$

$$\max_S \sum_{j \in S} v_j$$

Recurrence for $\text{OPT}(i, w)$

$$\begin{aligned} \text{If } w < \underline{w}_i & \quad \text{OPT}(i, w) = \text{OPT}(i-1, w) \\ \text{O.W.,} & \quad = \max \left\{ v_i + \text{OPT}(i-1, w - w_i) \right. \\ & \quad \left. \text{OPT}(i-1, w) \right\} \end{aligned}$$

The max. profit $\underbrace{P[n][W]}$

time complexity $O(\underline{nw}) \leftarrow \text{time}$

3 items

1

2

3

~~W_i~~

2 kg

2 kg

3 kg

U_i

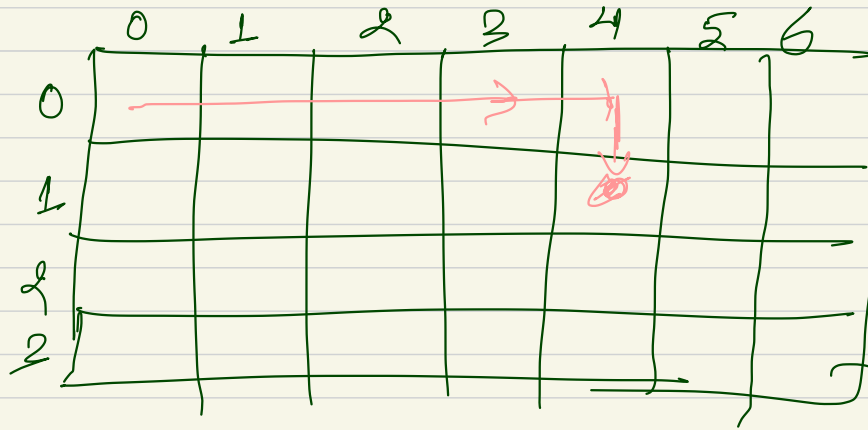
4

3

4

W = 6

(Knapsack capacity)



$OPT(i, w)$

$OPT(i-1, w)$

final answer

fill row wise

Coin change

Interval Scheduling — fix start & finish time }
— deadline }

Fractional Knapsack

↳ 0/1 Knapsack DP