# **Distributed Deadlock Detection**

CS60002: Distributed Systems

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### **Preliminaries**

- The System Model
  - The system has only reusable resources
  - Processes are allowed only exclusive access to resources
  - There is only one copy of each resource
- Resource vs. Communication Deadlocks
- A Graph-Theoretic Model
  - Wait-For Graphs

## **Deadlock Handling Strategies**

- Deadlock Prevention
- Deadlock Avoidance
- Deadlock Detection

## **Issues in Deadlock Detection & Resolution**

- Detection
  - Progress: No undetected deadlocks
  - Safety: No false deadlocks
- Resolution

## **Control Organization for Deadlock Detection**

- Centralized Control
- Distributed Control
- Hierarchical Control

### **Centralized Deadlock-Detection Algorithms**

- The Completely Centralized Algorithm
- The Ho-Ramamoorthy Algorithms
  - The Two-Phase Algorithm
  - The One-phase Algorithm

## **Distributed Deadlock-Detection Algorithms**

- A Path-Pushing Algorithm
  - The site waits for deadlock-related information from other sites
  - The site combines the received information with its local TWF graph to build an updated TWF graph
  - For all cycles 'EX -> T1 -> T2 -> Ex' which contains the node 'Ex', the site transmits them in string form 'Ex, T1, T2, Ex' to all other sites where a subtransaction of T2 is waiting to receive a message from the sub-transaction of T2 at that site

## Chandy et al.'s Edge-Chasing Algorithm

To determine if a blocked process is deadlocked

```
if P<sub>i</sub> is locally dependent on itself
```

```
then declare a deadlock
```

```
else for all P_j and P_k such that

(a) P_i is locally dependent upon P_j, and

(b) P_j is waiting on P_k, and

(c) P_j and P_k are on different sites,

send probe (i, j, k) to the home site of P_k
```

## **Algorithm Contd..**

#### On the receipt of probe (i, j, k), the site takes the foll. actions:

- if (a)  $P_k$  is blocked, and
  - (b) dependent<sub>k</sub>(i) is false, and
  - (c)  $P_k$  has not replied to all requests of  $P_j$ ,

then begin

```
dependent_{k}(i) = true;
if k = i then declare that P<sub>i</sub> is deadlocked

else for all P<sub>m</sub> and P<sub>n</sub> such that

(i) P<sub>k</sub> is locally dependent upon P<sub>m</sub>, and

(ii) P<sub>m</sub> is waiting on P<sub>n</sub>, and

(iii) P<sub>m</sub> and P<sub>n</sub> are on different sites,

send probe (i, m, n) to the home site of P<sub>n</sub>

end.
```

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## **Other Edge - Chasing Algorithms**

- The Mitchell Merritt Algorithm
- Sinha Niranjan Algorithm

## Chandy et al.'s Diffusion Computation Based Algo

Initiate a diffusion computation for a blocked process P<sub>i</sub>:

send query (*i*, *i*, *j*) to each process P<sub>i</sub> in the

dependent set DS<sub>i</sub> of P<sub>i</sub>;

*num<sub>i</sub>(i) := |DS<sub>i</sub>|; wait<sub>i</sub>(i):= true* 

• When a blocked process P<sub>k</sub> receives a query (*i*, *j*, *k*):

if this is the engaging query for process P<sub>k</sub> then

send query (i, k, m) to all  $P_m$  in its dependent set  $DS_k$ ;

*num<sub>k</sub>(i) :=* |*DS<sub>k</sub>*|*; wait<sub>k</sub>(i) := true* 

else if  $wait_k(i)$  then send a reply (i, k, j) to  $P_i$ .

## Chandy et al.'s Algo. Contd.

When a process P<sub>k</sub> receives a reply (*i*, *j*, *k*):

```
if wait_k(i) then begin num_k(i) := num_k(i) - 1;
```

```
if num_k(i) = 0
```

```
then if i = k then declare a deadlock
```

```
else send reply (i, k, m) to the process P<sub>m</sub>which
```

```
sent the engaging query
```

## **A Global State Detection Algorithm**

wait<sub>i</sub>: boolean (:= false) /\* records the current status \*/

t; : integer (:= 0) /\* current time \*/

in (i) : set of nodes whose requests are outstanding at i

out (i) : set of nodes on which i is waiting

**p**<sub>i</sub> : integer (:= 0) /\* number of replies required for unblocking \*/

w<sub>i</sub>: real (:= 1.0) /\* weight to detect termination of deadlock detection algorithm \*/ INDIAN INSTITUTE OF TECHNOLOGY KHARAGPUR

## **A Global State Detection Algorithm**

REQUEST\_SEND (i):

/\*executed by node i when it blocks on a  $p_i\text{-}out$  of- $q_i$  request \*/

For every node j on which i is blocked do

out (i)  $\leftarrow$  out (i) U {j}; send REQUEST (i) to j;

set *p<sub>i</sub>* to the number of replies needed; wait<sub>i</sub> := true

#### REQEST\_RECEIVE (j):

/\* executed by node i when it receives a request made by j \*/ in (i) ← in (i) U {j};

REPLY\_SEND (j):

/\* executed by node i when it replies to a request by j \*/

*in (i)* ← *in (i)* - *{j};* **send** REPLY (i) to j;

## A Global State Detection Algorithm (Contd..)

REPLY\_RECEIVE (j):

/\*executed by node i when it receives a reply from j to its request

if valid reply for the current request then begin

$$\begin{array}{l} out(i) \leftarrow out(i) - \{j\}; \ p_i \leftarrow p_i - 1; \\ \text{if } p_i = 0 \rightarrow \\ \{ \textit{wait}_i \leftarrow \textit{false}; \\ \textit{For all } k \in out(i), \textit{ send CANCEL}(i) \textit{ to } k; \\ out(i) \leftarrow \Phi \end{array} \right\}$$

end

• CANCEL\_RECEIVE (j):

/\* executed by node i when it receives a cancel from j \*/

if  $j \in in$  (i) then in (i)  $\leftarrow in$  (i) - {j};

- FLOOD, ECHO and SHORT control messages use weights (for termination detection).
- Data structures:
  - LS: array [1..N] of record consisting of:
  - LS[init].out /\* nodes on which i is waiting in snapshot \*/
  - LS[init].in /\* nodes waiting on i in the snapshot \*/
  - LS[init].t /\* time when *init* initiated snapshot \*/
    - /\* local blocked state as seen by snapshot \*/
  - LS[init].p

\_\_\_\_

LS[init].s

/\* value of p<sub>i</sub> as seen in snapshot \*/

- The distributed WFG is recorded using FLOOD messages in the outward sweep and is examined for deadlocks using ECHO messages in the inward sweep
  - Blocked nodes propagate the FLOOD
  - Active nodes initiate reduction with ECHO messages
- A node is reduced if it receives ECHOs along p<sub>i</sub> out of its q<sub>i</sub> outgoing edges
- When an ECHO arriving at a node does not unblock the node, its weight is sent directly to the initiator using a SHORT message
- If initiator is not reduced but termination is detected, then we have a deadlock

### SNAPSHOT INITIATE

### /\* Executed by node *i* to detect whether it is deadlocked \*/

init  $\leftarrow$  i;  $w_i \leftarrow 0;$ LS[init].out  $\leftarrow$  out(i); LS[init].in  $\leftarrow$  0; LS[init].t  $\leftarrow t_i$ ; LS[init].s  $\leftarrow$  true; LS[init].p  $\leftarrow p_i$ ; send FLOOD(*i*, *i*,  $t_i$ , 1 / |out(*i*)|) to each *j* in out(*i*).

```
FLOOD_RECEIVE(j, init, t_init, w)
```

/\* Executed by node *i* on receiving a FLOOD message from *j* \*/

LS[init].t < t\_init  $\land$  j  $\in$  in(i)  $\rightarrow$  /\* valid FLOOD, new snapshot \*/

```
LS[init].out \leftarrow out(i); LS[init].in \leftarrow {j};
```

```
LS[init].t \leftarrow t_init; LS[init].s \leftarrow wait<sub>i</sub>;
```

```
wait<sub>i</sub> = true \rightarrow
```

```
LS[init].p \leftarrow p_i;
```

send FLOOD(*i*, *init*, *t\_init*, *w* / |*out*(*i*)|) to each *k* in *out*(*i*).

0

wait<sub>i</sub> = false  $\rightarrow$ 

LS[init].p  $\leftarrow 0$ ; send ECHO(*i*, *init*, *t\_init*, *w*) to *j*. LS[init].in  $\leftarrow$  LS[init].in – {*j*}

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```
FLOOD_RECEIVE(j, init, t_init, w) /* Contd. */
   LS[init].t < t_init \land j \not\in in(i) \rightarrow
                                                   /* invalid FLOOD, new snapshot */
          send ECHO(i, init, t_init, w) to j.
  LS[init].t = t_init \land j \not\in in(i) \rightarrow
                                                   /* invalid FLOOD, curr snapshot */
          send ECHO(i, init, t_init, w) to j.
                                                   /* valid FLOOD, current snapshot */
  LS[init].t = t_init \land j \in in(i) \rightarrow
          LS[init].s = false \rightarrow
                  send ECHO(i, init, t_init, w) to j;
          LS[init].s = true \rightarrow
                  LS[init].in \leftarrow LS[init].in U { j };
                  send SHORT(init, t_init, w) to init.
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```

```
ECHO_RECEIVE(j, init, t_init, w)
```

```
LS[init].t > t_init → discard the ECHO message
```

```
LS[init].t < t_init → cannot happen – echo for unseen snapshot
```

```
LS[init].t = t_init \rightarrow /* ECHO for current snapshot */

LS[init].out \leftarrow LS[init].out – { j } ;

LS[init].s = false \rightarrow send SHORT(i, init, t_init, w) to init ;

LS[init].s = true \rightarrow

LS[init].p \leftarrow LS[init].p – 1 ;

LS[init].p = 0 \rightarrow

LS[init].s \leftarrow false ;

init = i \rightarrow declare not deadlocked; exit;
```

```
send ECHO(i, init, t_{init}, w / |LS[init].in|) to k \in LS[init].in
LS[init].p \neq 0 \rightarrow send SHORT(i, init, t_{init}, w) to init;
```

- SHORT\_RECEIVE(init, t\_init, w)
- $t_{init} < t_{block_i} \rightarrow discard the message (outdated)$
- t\_init > t\_block<sub>i</sub> → not possible
- t\_init = t\_block<sub>i</sub> ∧ LS[init].s = false → discard
- $t_{init} = t_{block_i} \land LS[init].s = true \rightarrow$ 
  - $w_i \leftarrow w_i + w;$
  - $w_i = 1 \rightarrow$  declare deadlock and abort.