

# INTRODUCTION TO RECURSIVE FORMULATIONS FOR ALGORITHM DESIGN: II



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# Overview

- ❑ Algorithms and Programs
- ❑ Pseudo-Code
- ❑ Algorithms + Data Structures = Programs
- ❑ Initial Solutions + Analysis + Solution Refinement + Data Structures = Final Algorithm
- ❑ Use of Recursive Definitions as Initial Solutions
- ❑ Recurrence Equations for Proofs and Analysis
- ❑ Solution Refinement through Recursion Transformation and Traversal
- ❑ Data Structures for saving past computation for future use

## Sample Problems:

1. Finding the Largest ✓ *Sequential recursion*
2. Largest and Smallest ✓ *Generalized recursive formulation*
3. Largest and Second Largest *formulation*
4. Fibonacci Numbers
5. Searching for an element in an ordered / unordered List
6. Sorting
7. Pattern Matching
8. Permutations and Combinations
9. Layout and Routing
10. Shortest Paths

# 3<sup>rd</sup> Problem: Largest and Second Largest

## Sequential Comparison

max1 max2(L)

{ Let  $L = \{x_1, x_2, \dots, x_n\}$

if  $|L| = 1$  return  $\langle x_1, \text{NULL} \rangle$

$L' = L - \{x_1\}$

$\langle y_1, y_2 \rangle = \text{max1 max2}(L')$

if  $(x_1 \geq y_1)$  {  $m_1 = x_1$   
 $m_2 = y_1$  }

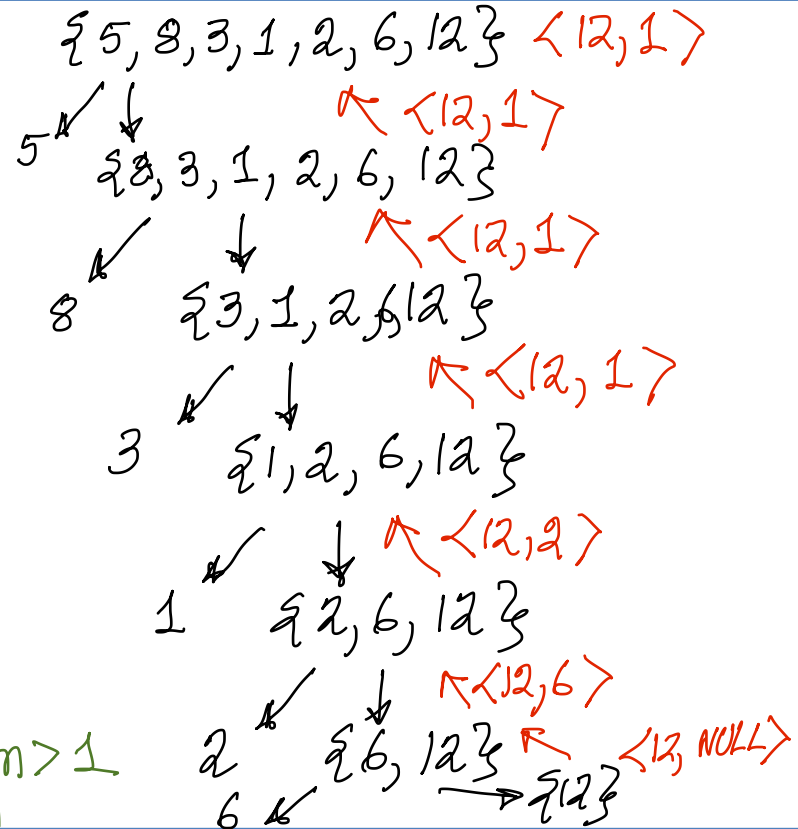
else {  $m_1 = y_1$   
 if  $(x_1 \geq y_2)$   $m_2 = x_1$   
 else  $m_2 = y_2$  }

} return  $\langle m_1, m_2 \rangle$

$$T(n) = T(n-1) + 2, n > 1$$

$$= 0, n = 1$$

$$T(n) = 2(n-1) = 2n-2$$



# Largest and 2<sup>nd</sup> Largest: Recursive Formulation

$\text{max1max2B}(L)$

if Let  $L = \{x_1, x_2, \dots, x_n\}$

if  $|L| = 1$  return  $(x_1, \text{NULL})$

if  $|L| = 2$  { if  $(x_1 \geq x_2)$  {  $m_1 = x_1$ ;  
 $m_2 = x_2$  }  
else {  $m_1 = x_2, m_2 = x_1$  } }

~~return~~

return  $(m_1, m_2)$

}

if  $|L| > 2$

split  $L$  into 2 non-empty sets  $L_1, L_2$

$\langle y_1, y_2 \rangle = \text{max1max2B}(L_1)$

$\langle z_1, z_2 \rangle = \text{max1max2B}(L_2)$

$$T(n) = \left\lfloor \frac{3n-2}{2} \right\rfloor$$

if  $(y_1 \geq z_1)$  {  $m_1 = y_1$   
if  $(z_1 \geq y_2)$   $m_2 = z_1$   
else  $m_2 = y_2$   
}

else {  $m_1 = z_1$

if  $(y_1 \geq z_2)$  {  $m_2 = y_1$  }

else {  $m_2 = z_2$  }

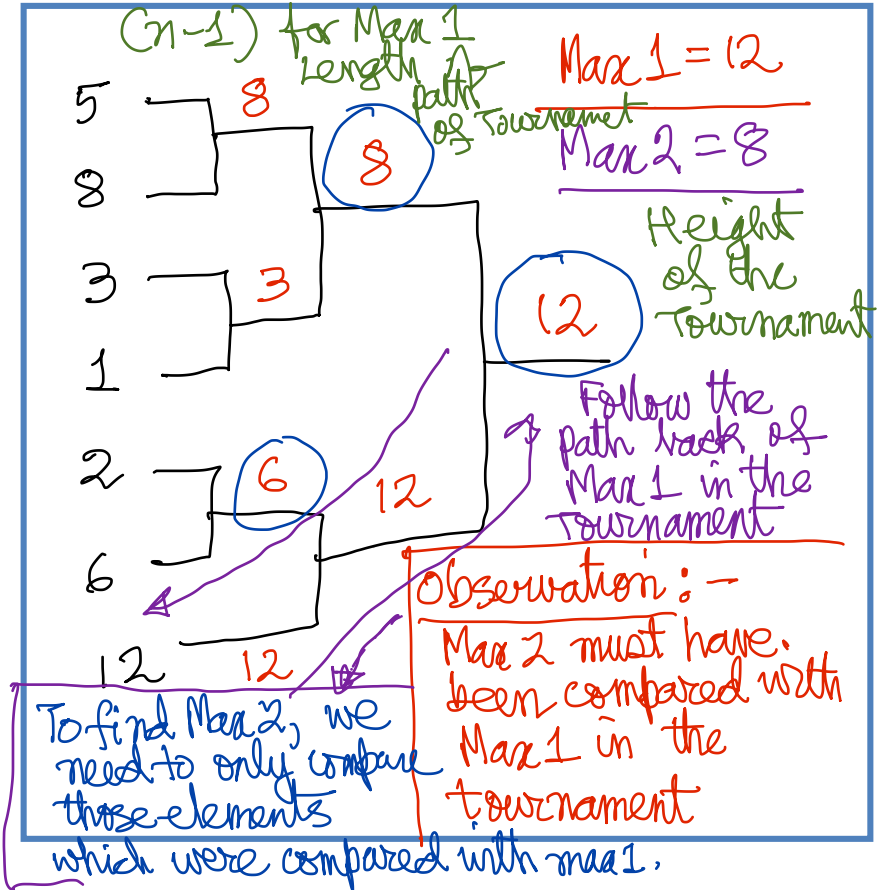
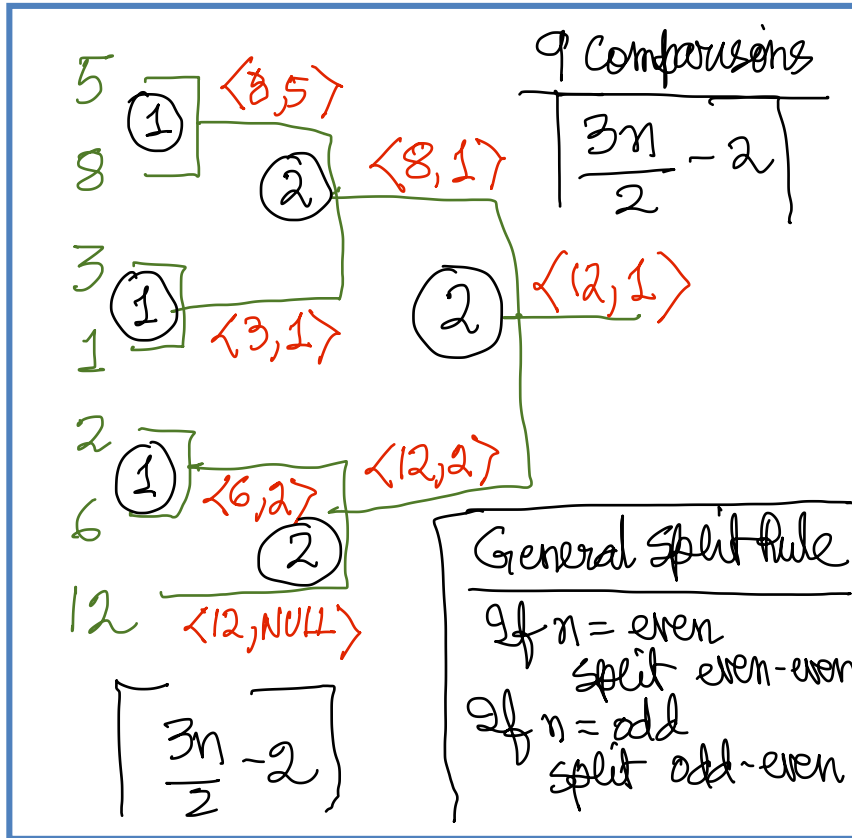
}

return  $(m_1, m_2)$

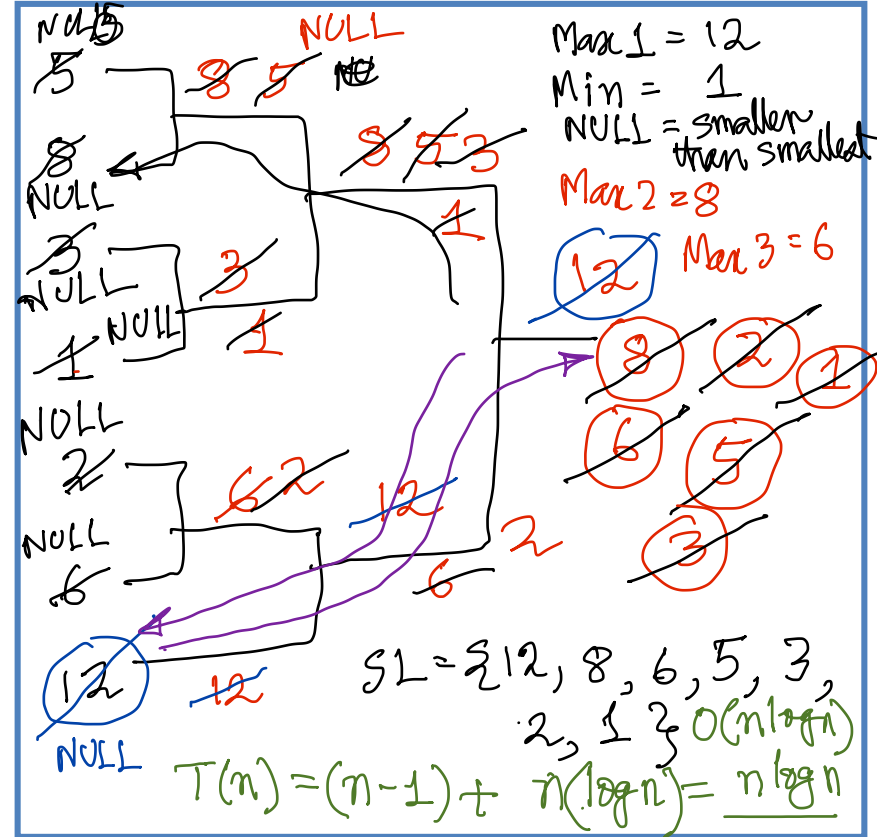
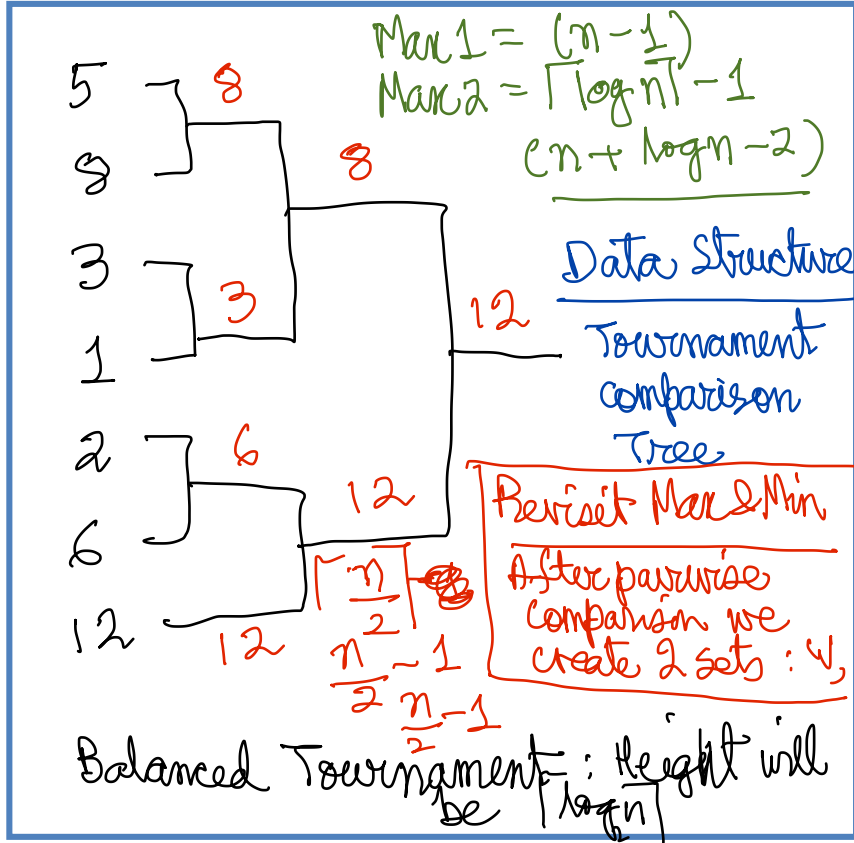
} {  $T(n) = T(k) + T(n-k) + 2, n > 2$   
 $= 1, n = 2$   
 $= 0, n = 1$

Optimal split will occur for  $k=2$

# Largest and Next: Tournament



# Tournaments & Sorting



# Final Algorithms & Data Structuring

max, max min, max1 max2

Recursive Definition

→ Max: → Any split is fine  
choose  $\underline{1, n-1}$

$(n-1)$

→ Max Min →  $(2, n-2)$  split

$\frac{3}{2}n-2$

→ Max1 Max2 →  $(2, n-2)$  split

$\frac{3}{2}n-2$

→ there are many others

Refinement of the Recursion Structure

Max: → No further refinement

Max Min: → Tournament structure  
for all the splits of optimal manner yields  
the same complexity

New Idea

↓ Pairwise compare and form 2 lists of Winners & Losers  
 $\frac{3}{2}n-2$

Max1 Max2: Balanced Tournament split which  
minimizes the height of the Tournament  
allows a more efficient algorithm  
 $(n-1) + \log n$  SORTING

# Algorithms + Data Structures = Programs

Max: Use a temporary variable  
"max"

Is there a better algorithm possible?

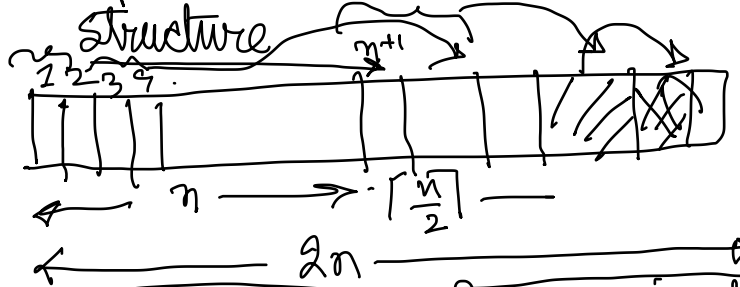
PROVE: Finding the largest by comparison using only a comparison operation cannot be done in less than  $(n-1)$  comparisons for  $n$  numbers

Max Min: max, min

HEAP  
Data Structure

Max1 Max2

Implement the Tournament Data Structure



NPTEL Lectures: - Programming and Data Structures - by P. P. Chhabra

↳ 3 Lectures on Introduction to Data Structures 1, 2, 3  
3 Lectures on Recursive Definitions



# Algorithm Design by Recursion Transformation

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1. Initial Solution
  - a. Recursive Definition – A set of Solutions ✓
  - b. Inductive Proof of Correctness ✓
  - c. Analysis Using Recurrence Relations ✓
2. Exploration of Possibilities
  - a. Decomposition or Unfolding of the Recursion Tree ✓
  - b. Examination of Structures formed ✓
  - c. Re-composition Properties ✓ ←
3. Choice of Solution & Complexity Analysis
  - a. Balancing the Split, Choosing Paths ✓
  - b. Identical Sub-problems ←
4. Data Structures & Complexity Analysis
  - a. Remembering Past Computation for Future ←
  - b. Space Complexity ←
5. Final Algorithm & Complexity Analysis
  - a. Traversal of the Recursion Tree
  - b. Pruning
6. Implementation
  - a. Available Memory, Time, Quality of Solution, etc

# Overview of Algorithm Design

## 1. Initial Solution

- a. Recursive Definition – A set of Solutions
- b. Inductive Proof of Correctness
- c. Analysis Using Recurrence Relations

## 2. Exploration of Possibilities

- a. Decomposition or Unfolding of the Recursion Tree
- b. Examination of Structures formed
- c. Re-composition Properties

## 3. Choice of Solution & Complexity Analysis

- a. Balancing the Split, Choosing Paths
- b. Identical Sub-problems

## 4. Data Structures & Complexity Analysis

- a. Remembering Past Computation for Future
- b. Space Complexity


## 5. Final Algorithm & Complexity Analysis

- a. Traversal of the Recursion Tree
- b. Pruning


## 6. Implementation

- a. Available Memory, Time, Quality of Solution, etc

## 1. Core Methods

- a. Divide and Conquer
  - b. Greedy Algorithms
  - c. Dynamic Programming
  - d. Branch-and-Bound
  - e. Analysis using Recurrences
  - f. Advanced Data Structuring
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## 2. Important Problems to be addressed

- a. Sorting and Searching
  - b. Strings and Patterns
  - c. Trees and Graphs
  - d. Combinatorial Optimization
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## 3. Complexity & Advanced Topics

- a. Time and Space Complexity
- b. Lower Bounds
- c. Polynomial Time, NP-Hard
- d. Parallelizability, Randomization

**Thank you**

**Any Questions?**