

LR Parsing

LR(0) Parsing

An *LR(0)* parser can take *shift-reduce* decisions entirely on the basis of the states of *LR(0) automaton*^a of the grammar. Consider the following grammar and its augmented form.

^aThe parsing table can be filled from the automaton.

Example

The production rules are,

$$S \rightarrow aSa \mid bSb \mid c$$

The production rules of the augmented grammar are,

$$S' \rightarrow S$$

$$S \rightarrow aSa \mid bSb \mid c$$

The states of the $LR(0)$ automaton are the following:

States

$q_0 :$	$S' \rightarrow \bullet S\$$ $S \rightarrow \bullet aSa$ $S \rightarrow \bullet bSb$ $S \rightarrow \bullet c$
$q_1 :$	$S' \rightarrow S \bullet \$$
$q_2 :$	$S \rightarrow a \bullet Sa$ $S \rightarrow \bullet aSa$ $S \rightarrow \bullet bSb$ $S \rightarrow \bullet c$
$q_3 :$	$S \rightarrow b \bullet Sb$ $S \rightarrow \bullet aSa$ $S \rightarrow \bullet bSb$ $S \rightarrow \bullet c$
$q_4 :$	$S \rightarrow c \bullet$

States

$q_5 :$	$S \rightarrow aS \bullet a$
$q_6 :$	$S \rightarrow bS \bullet b$
$q_7 :$	$S \rightarrow aSa \bullet$
$q_8 :$	$S \rightarrow bSb \bullet$

Complete and Incomplete Items

An $LR(0)$ item is called *complete* if the ‘●’ is at the right end of the production, $A \rightarrow \alpha\bullet$. This indicates that the DFA has already ‘seen’ a *handle* and it is on the *top of the stack*.

Note

The interesting property of this $LR(0)$ DFA is that, no state is formed with both *complete* and *incomplete* items. Also, no state has two complete items.

A state with a **unique complete item** $A \rightarrow \alpha \bullet$, indicates a **reduce** action using the rule $A \rightarrow \alpha$ by the parser.

A state with incomplete items indicates a **shift** action by the parser. The parsing table for the given grammar is as follows.

Parsing table

<i>State</i>	<i>Action</i>				<i>Goto</i>
	<i>a</i>	<i>b</i>	<i>c</i>	<i>\$</i>	<i>S</i>
0	s_2	s_3	s_4		1
1				<i>accept</i>	
2	s_2	s_3	s_4		5
3	s_2	s_3	s_4		6
4	r_3	r_3	r_3	r_3	
5	s_7				
6		s_8			
7	r_1	r_1	r_1	r_1	
8	r_2	r_2	r_2	r_2	

Note

The parser does not *look-ahead* for any *shift* operation. It gets the current state from the *top-of-stack* and the *token* from the scanner. Using the parsing table it gets the next state and pushes it in the stack^a. The token is consumed.

^aIt may push the token and its attributes in the value stack for semantic action.

Note

In case of $LR(0)$ parser it does not *look-ahead* even for any *reduce* operation^a. It gets the current state from the *top-of-stack* and the production rule number from the parsing table (for all correct input they are same), and reduces the *right sentential form* by the rule^b.

^aIt may read the input to detect error. Note the column corresponding to 'c' for the states 4, 7, 8 with unique complete items.

^bThe *Goto* portion of the table is used to push a new state on the stack after a reduction.

Parsing Example

Stack	Input	Handle	Action
$\$q_0$	aabcbaa\$	<i>nil</i>	s_2
$\$q_0q_2$	abcbaa\$	<i>nil</i>	s_2
$\$q_0q_2q_2$	bcbaa\$	<i>nil</i>	s_3
$\$q_0q_2q_2q_3$	cbaa\$	<i>nil</i>	s_4
$\$q_0q_2q_2q_3q_4$	baa\$	$S \rightarrow c$	r_3

^a

^aThe length of $|c| = 1$, so q_4 is popped out and $\text{Goto}(q_3, S) = q_6$ is pushed in the stack.

Parsing Example

Stack	Input	Handle	Action
$\$q_0q_2q_2q_3q_4$	baa\$	$S \rightarrow c$	r_3
$\$q_0q_2q_2q_3q_6$	baa\$	nil	s_8
$\$q_0q_2q_2q_3q_6q_8$	aa\$	$S \rightarrow bSb$	r_2
$\$q_0q_2q_2q_5$	aa\$	nil	s_7
$\$q_0q_2q_2q_5q_7$	a\$	$S \rightarrow aSa$	r_1

^a

^aThe length of $|bSb| = 3$, so $q_3q_6q_8$ are popped out and $\text{Goto}(q_2, S) = q_5$ is pushed in the stack.

Parsing Example

Stack	Input	Handle	Action
$\$q_0q_2q_2q_5q_7$	a\$	$S \rightarrow aSa$	r_1
$\$q_0q_2q_5$	a\$	nil	s_7
$\$q_0q_2q_5q_7$	\$	$S \rightarrow aSa$	r_1
$\$q_0q_1$	\$	$S' \rightarrow S$	$accept$

a

^aThe length of $|aSa| = 3$, so $q_2q_5q_7$ are popped out and $Goto(q_2, S) = q_5$ is pushed in the stack. Similarly, $Goto(q_0, S) = q_1$ is pushed in the stack.

SLR(1) Parsing

We consider our old grammar (augmented with S').

$$0 : S' \rightarrow P$$

$$1 : P \rightarrow m L s e$$

$$2 : L \rightarrow D L$$

$$3 : L \rightarrow D$$

$$4 : D \rightarrow T V ;$$

$$5 : V \rightarrow d V$$

$$6 : V \rightarrow d$$

$$7 : T \rightarrow i$$

$$8 : T \rightarrow f$$

States

$q_0 :$	$S' \rightarrow \bullet P$	$P \rightarrow \bullet m L s e$	
$q_1 :$	$S' \rightarrow P \bullet$		
$q_2 :$	$P \rightarrow m \bullet L s e$	$L \rightarrow \bullet D L$	$L \rightarrow \bullet D$
	$D \rightarrow \bullet T V ;$	$T \rightarrow \bullet i$	$T \rightarrow \bullet f$
$q_3 :$	$P \rightarrow m L \bullet s e$		
$q_4 :$	$L \rightarrow D \bullet L$	$L \rightarrow D \bullet$	$L \rightarrow \bullet D L$
	$L \rightarrow \bullet D$	$D \rightarrow \bullet T V ;$	$T \rightarrow \bullet i$
	$T \rightarrow \bullet f$		

States

$q_5 :$	$D \rightarrow T \bullet V ; \quad V \rightarrow \bullet d V \quad V \rightarrow \bullet d$
$q_6 :$	$T \rightarrow i \bullet$
$q_7 :$	$T \rightarrow f \bullet$
$q_8 :$	$P \rightarrow m L s \bullet e$
$q_9 :$	$L \rightarrow D L \bullet$
$q_{10} :$	$D \rightarrow T V \bullet ;$
$q_{11} :$	$V \rightarrow d \bullet V \quad V \rightarrow d \bullet \quad V \rightarrow \bullet d V$ $V \rightarrow \bullet d$

States

$q_{12} :$	$P \rightarrow m L s e \bullet$
$q_{13} :$	$D \rightarrow T V ; \bullet$
$q_{14} :$	$V \rightarrow d V \bullet$

Note

In the $LR(0)$ automaton of the grammar two states, q_4 and q_{11} have both *complete* and *incomplete* items. So the grammar is not of type $LR(0)$.

Note

Consider the state q_4 .

The *complete item* is $L \rightarrow D\bullet$ and the *incomplete items* are $T \rightarrow \bullet i$ and $T \rightarrow \bullet f$.

The $\text{Follow}(L) = \{s\}$ is different from i, f . So we can put $\text{Action}(4, i) = s_6$, $\text{Action}(4, f) = s_7$ and $\text{Action}(4, s) = r_3$ (reduce by the production rule number 3) in the parsing table.

SLR Parsing Table: *Action*

- If $A \rightarrow \alpha \bullet a\beta \in q_i$ ($a \in \Sigma$) and $Goto(q_i, a) = q_j$, then $Action(i, a) = s_j$.
- If $A \rightarrow \alpha \bullet \in q_i$ ($A \neq S'$) and $b \in Follow(A)$, then $Action(i, b) = r_k$, where k is the rule number of $A \rightarrow \alpha$.
- If $S' \rightarrow S \bullet \in q_i$, then $Action(i, \$) = accept$.

Note

If the process does not lead to a table with multiple entries, the grammar is SLR (simple LR).

SLR Parsing Table: *Goto*

If $A \rightarrow \alpha \bullet B\beta \in q_i$ ($B \in N$) and
 $\text{Goto}(q_i, B) = q_j$, then in the table
 $\text{Goto}(i, B) = j$.

All other entries of the table are errors.

FOLLOW() Sets

Non-terminal	Follow
P	\$
L	s
D	i, f, s
T	d
V	;

SLR Parsing Table

<i>S</i>	<i>Action</i>							<i>Goto</i>					
	<i>m</i>	<i>s</i>	<i>e</i>	<i>;</i>	<i>d</i>	<i>i</i>	<i>f</i>	<i>\$</i>	<i>P</i>	<i>L</i>	<i>D</i>	<i>V</i>	<i>T</i>
0	s_2								1				
1							<i>A</i>						
2						s_6	s_7		3	4			5
3		s_8											
4		r_3				s_6	s_7		9	4			5
5								s_{11}				10	

Example

<i>S</i>	<i>Action</i>	<i>Goto</i>
	<i>m s e ; d i f \$</i>	<i>P L D V T</i>
6	r_7	
7	r_8	
8	s_{12}	
9	r_2	
10	s_{13}	
11	r_6 s_{11}	14

Example

<i>S</i>	<i>Action</i>	<i>Goto</i>
	<i>m s e ; d i f \$</i>	<i>P L D V T</i>
12		
13	<i>r₄</i>	<i>r₄ r₄</i>
14	<i>r₅</i>	

Non-SLR Grammar

Consider the following grammar G_{rr}
(augmented by the S').

$$0 : S' \rightarrow S$$

$$1 : S \rightarrow E + T$$

$$2 : S \rightarrow T$$

$$3 : T \rightarrow i * E$$

$$4 : T \rightarrow i$$

$$5 : E \rightarrow T$$

States

The states of the $LR(0)$ automaton are as follows:

$q_0 :$	$S' \rightarrow \bullet S$	$S \rightarrow \bullet E + T$	$S \rightarrow \bullet T$
	$E \rightarrow \bullet T$	$T \rightarrow \bullet i * E$	$T \rightarrow \bullet i$
$q_1 :$	$S' \rightarrow S \bullet$		
$q_2 :$	$S \rightarrow E \bullet + T$		
$q_3 :$	$S \rightarrow T \bullet$	$E \rightarrow T \bullet$	
$q_4 :$	$T \rightarrow i \bullet * E$	$T \rightarrow i \bullet$	
$q_5 :$	$S \rightarrow E + \bullet T$	$T \rightarrow \bullet i * E$	$T \rightarrow \bullet i$

States

$q_6 :$	$T \rightarrow i * \bullet E \quad E \rightarrow \bullet T \quad T \rightarrow \bullet i * E$ $T \rightarrow \bullet i$
$q_7 :$	$S \rightarrow E + T \bullet$
$q_8 :$	$T \rightarrow i * E \bullet$
$q_9 :$	$E \rightarrow T \bullet$

Note

The state q_3 has two complete items $S \rightarrow T\bullet$ and $E \rightarrow T\bullet$. When the automaton is in this state, there are two possible handles on the stack. The question is how to decide about the reduction rule.

If $\text{Follow}(S) \cap \text{Follow}(E) = \emptyset$, then the choice can be made on the basis of the look-ahead symbol.

But in this case $\text{Follow}(S) = \{\$\}$ and $\text{Follow}(E) = \{\$, +\}$.

The *Action* part of the SLR table corresponding to the *row- q_3* and the *column- $\$$* will have two entries - the grammar is non-SLR.

Note

In this example $\text{Action}[q_3][\$] = \{r_2, r_5\}$, the *frontier* can be reduced by *rule 2* or by *rule 5*. This is known as *reduce/reduce conflict* of SLR table. We shall see how a more powerful parsing technique can be used to resolve this conflict. The information in the *Follow()* set is not sufficient to resolve this conflict.

Consider the grammar G_{sr} (augmented by the S').

$$0 : S' \rightarrow A$$

$$1 : A \rightarrow B a$$

$$2 : A \rightarrow C b$$

$$3 : A \rightarrow a C a$$

$$4 : C \rightarrow B$$

$$5 : B \rightarrow c A$$

$$6 : B \rightarrow b$$

States

Some of the states of the $LR(0)$ automaton are as follows:

$q_0 :$	$S' \rightarrow \bullet A \quad A \rightarrow \bullet B a \quad A \rightarrow \bullet C b$ $A \rightarrow \bullet a C a \quad B \rightarrow \bullet c A \quad B \rightarrow \bullet b$ $C \rightarrow \bullet B$
$q_1 :$	$S' \rightarrow A \bullet$
$q_2 :$	$A \rightarrow B \bullet a \quad C \rightarrow B \bullet$
$q_3 :$	$A \rightarrow C \bullet b$
$q_4 :$	$A \rightarrow a \bullet C a \quad C \rightarrow \bullet B$

States

$q_5 :$	$B \rightarrow c \bullet A \quad A \rightarrow \bullet B a \quad A \rightarrow \bullet C b$ $A \rightarrow \bullet a C a \quad B \rightarrow \bullet c A \quad B \rightarrow \bullet b$ $C \rightarrow \bullet B$
$q_6 :$	$B \rightarrow b \bullet$
$q_7 :$	$A \rightarrow B a \bullet$
$q_8 :$	
$q_9 :$	

Note

The state q_2 has one **complete item**, $C \rightarrow B \bullet$ and one **incomplete item**, $A \rightarrow B \bullet a$. When the automaton is in this state, the parser is to decide whether to *shift* the input or to *reduce*. Unfortunately, the SLR parsing table will have two entries for $Action[q_2][a] = \{s_7, r_4\}$, as $a \in Follow(C)$.

The grammar is non-SLR and the problem is known as *shift-reduce* conflict of SLR parsing table. We shall see how a powerful technique can be used to build a parsing table.

Note

If the state of an $LR(0)$ automaton contains a **complete item** $A \rightarrow \alpha \bullet$ and the next input $a \in \text{FOLLOW}(A)$, the action in the SLR table will be *reduction of handle* by the rule $A \rightarrow \alpha$.

But the set $\text{FOLLOW}(A)$ is the super set of what can follow a particular **viable prefix**. In the grammar G_{rr} , the *viable prefix* E cannot be followed by a $\$$ and similarly the *viable prefix* S cannot be followed by a $+$.

So the *reduce/reduce* conflict of q_3 can be resolved by explicitly carrying the **look-ahead** information.

Canonical $LR(1)$ Item

An object of the form $(A \rightarrow \alpha \bullet \beta, a)$, where $A \rightarrow \alpha\beta$ is a production rule and $a \in \Sigma \cup \{\$\}$, is called an $LR(1)$ item. The second component^a is known as the **look-ahead** symbol.

^aThe '1' in $LR(1)$ refers to the second component of the item.

Reduction

The *look-ahead* symbol of an $LR(1)$ item $(A \rightarrow \alpha \bullet \beta, a)$ is important when the item is complete i.e. $\beta = \epsilon$.

The reduction by the rule $A \rightarrow \alpha$ can take place if the *look-ahead* symbol is 'a'.

The *look-ahead* symbol 'a' is an element of $FOLLOW(A)$, but we carry it explicitly with the item.

Valid Item

An $LR(1)$ item $(A \rightarrow \alpha \bullet \beta, a)$ is *valid* for a viable prefix ' $u\alpha$ ', if there is a *rightmost derivation*: $S \rightarrow uAx \rightarrow u\alpha\beta x$, so that $a \in \text{FIRST}(x)$ or if $x = \varepsilon$, then $a = \$$.

Closure()

If i is an $LR(1)$ item, then $\text{Closure}(i)$ is defined as follows:

- $i \in \text{Closure}(i)$ - basis,
- If $(A \rightarrow \alpha \bullet B\beta, a) \in \text{Closure}(i)$ and $B \rightarrow \gamma$ is a production rule, then $(B \rightarrow \bullet\gamma, b) \in \text{Closure}(i)$, where $b \in \text{FIRST}(\beta a)$.

Closure()

The closure of I , a set of $LR(1)$ items, is defined as $\text{Closure}(I) = \bigcup_{i \in I} \text{Closure}(i)$.

Goto(I, X)

Let I be a set of $LR(1)$ items and $X \in \Sigma \cup N$.

The set of $LR(1)$ items **Goto(I, X)** is

Closure ($\{(A \rightarrow \alpha X \bullet \beta, a) : (A \rightarrow \alpha \bullet X \beta, a) \in I\}$).

LR(1) Automaton

The start state of the *LR(1)* automaton is *Closure*($S' \rightarrow \bullet S, \$$). Other reachable and final states can be constructed by computing *GOTO*() of already existing states. This is a *fixed-point computation*.

Consider the grammar G_{rr} (augmented by the S').

$$0 : S' \rightarrow S$$

$$1 : S \rightarrow E + T$$

$$2 : S \rightarrow T$$

$$3 : T \rightarrow i * E$$

$$4 : T \rightarrow i$$

$$5 : E \rightarrow T$$

States

The states of the $LR(1)$ automaton are as follows:

$q_0 :$	$S' \rightarrow \bullet S, \$$	$S \rightarrow \bullet E + T, \$$	$S \rightarrow \bullet T, \$$
	$E \rightarrow \bullet T, +$	$T \rightarrow \bullet i * E, +/\$$	$T \rightarrow \bullet i, +/\$$
$q_1 :$	$S' \rightarrow S \bullet, \$$		
$q_2 :$	$S \rightarrow E \bullet + T, \$$		
$q_3 :$	$S \rightarrow T \bullet, \$$	$E \rightarrow T \bullet, +$	
$q_4 :$	$T \rightarrow i \bullet * E, +/\$$ $T \rightarrow i \bullet, +/\$$		
$q_5 :$	$S \rightarrow E + \bullet T, \$$	$T \rightarrow \bullet i * E, \$$	$T \rightarrow \bullet i, \$$

States

$q_6 :$	$T \rightarrow i * \bullet E, +/\$$ $E \rightarrow \bullet T, +/\$$ $T \rightarrow \bullet i * E, +/\$$ $T \rightarrow \bullet i, +/\$$
$q_7 :$	$S \rightarrow E + T \bullet, \$$
$q_8 :$	$T \rightarrow i \bullet * E, \$$ $T \rightarrow i \bullet, \$$
$q_9 :$	$T \rightarrow i * E \bullet, +/\$$
$q_{10} :$	$E \rightarrow T \bullet, +/\$$
$q_{11} :$	$T \rightarrow i * \bullet E, \$$ $E \rightarrow \bullet T, \$$ $T \rightarrow \bullet i * E, \$$ $T \rightarrow \bullet i, \$$

States

$q_{12} :$	$T \rightarrow i * E \bullet, \$$
$q_{13} :$	$E \rightarrow T \bullet, \$$

Note

Number of states of the $LR(1)$ automaton are more than that of $LR(0)$ automaton.

Several states have the same core $LR(0)$ items, but different look-ahead symbols - (q_4, q_8) , (q_6, q_{11}) , (q_9, q_{12}) .

LR(1) Parsing Table: *Action*

- If $(A \rightarrow \alpha \bullet a\beta, b) \in q_i$ ($a \in \Sigma$) and $Goto(q_i, a) = q_j$, then $Action(i, a) = s_j$.
- If $(A \rightarrow \alpha \bullet, a) \in q_i$ ($A \neq S'$), then $Action(i, a) = r_k$, where k is the rule number of $A \rightarrow \alpha$.
- If $(S' \rightarrow S \bullet, \$) \in q_i$, then $Action(i, \$) = accept$.

LR(1) Parsing Table: *Goto*

If $A \rightarrow \alpha \bullet B\beta \in q_i$ ($B \in N$) and
 $\text{Goto}(q_i, B) = q_j$, then in the table
 $\text{Goto}(i, B) = j$.

All other entries of the table are errors.

Note

If the process does not lead to a table with multiple entries, the grammar is $LR(1)$.

LR(1) Parsing Table

<i>S</i>	<i>Action</i>				<i>Goto</i>		
	+	*	<i>i</i>	\$	<i>S</i>	<i>E</i>	<i>T</i>
0			<i>s</i> ₄		1	2	3
1				<i>A</i>			
2	<i>s</i> ₅						
3	<i>r</i> ₅			<i>r</i> ₂			
4	<i>r</i> ₄	<i>s</i> ₆		<i>r</i> ₄			
5			<i>s</i> ₈				7
6			<i>s</i> ₄		9		10

LR(1) Parsing Table

<i>S</i>	<i>Action</i>				<i>Goto</i>		
	+	*	<i>i</i>	\$	<i>S</i>	<i>E</i>	<i>T</i>
7				<i>r</i> ₁			
8		<i>s</i> ₁₁		<i>r</i> ₄			
9	<i>r</i> ₃			<i>r</i> ₃			
10	<i>r</i> ₅			<i>r</i> ₅			
11			<i>s</i> ₈		12	13	
12				<i>r</i> ₃			
13				<i>r</i> ₅			

Non- $LR(1)$ Grammar

$$0 : S \rightarrow A$$

$$1 : A \rightarrow a A a$$

$$2 : A \rightarrow a A a a b$$

$$3 : A \rightarrow a b$$

States of $LR(1)$ Automaton

q_0 :	$S \rightarrow \bullet A, \$$	$A \rightarrow \bullet aAa, \$$	$A \rightarrow \bullet aAaab, \$$	$A \rightarrow \bullet ab, \$$
q_1 :	$S \rightarrow A\bullet, \$$			
q_2 :	$A \rightarrow a \bullet Aa, \$$	$A \rightarrow a \bullet Aaab, \$$	$A \rightarrow a \bullet b, \$$	
	$A \rightarrow \bullet aAa, a$	$A \rightarrow \bullet aAaab, a$	$A \rightarrow \bullet ab, a$	
q_3 :	$A \rightarrow aA \bullet a, \$$	$A \rightarrow aA \bullet aab, \$$		
q_4 :	$A \rightarrow ab\bullet, \$$			
q_5 :	$A \rightarrow a \bullet Aa, a$	$A \rightarrow a \bullet Aaab, a$	$A \rightarrow a \bullet b, a$	
	$A \rightarrow \bullet aAa, a$	$A \rightarrow \bullet aAaab, a$	$A \rightarrow \bullet ab, a$	

States of $LR(1)$ Automaton

$q_6 :$	$A \rightarrow aAa\bullet, \$$	$A \rightarrow aAa \bullet ab, \$$
$q_7 :$	$A \rightarrow aA \bullet a, a$	$A \rightarrow aA \bullet aab, a$
$q_8 :$	$A \rightarrow ab\bullet, a$	
q_9	$A \rightarrow aAaa \bullet b, \$$	
$q_{10} :$	$A \rightarrow aAa\bullet, a$	$aAa \bullet ab, a$
q_{11}	$A \rightarrow aAaab\bullet, \$$	

Note

In state q_{10} , the *shift/reduce* conflict cannot be resolved and there will be multiple entries in $\text{Action}(10, a) = \{s_i, r_1\}$, where $\text{Goto}(q_{10}, a) = q_i$. This can be resolved with **2-look-aheads**

States of $LR(2)$ Automaton

$q_0 :$	$S \rightarrow \bullet A, \$$	$A \rightarrow \bullet aAa, \$$	$A \rightarrow \bullet aAaab, \$$
	$A \rightarrow \bullet ab, \$$		
$q_1 :$	$S \rightarrow A\bullet, \$$		
$q_2 :$	$A \rightarrow a \bullet Aa, \$$	$A \rightarrow a \bullet Aaab, \$$	$A \rightarrow a \bullet b, \$$
	$A \rightarrow \bullet aAa, aa/a\$$	$A \rightarrow \bullet aAaab, aa/a\$$	$A \rightarrow \bullet ab, aa/a\$$
$q_3 :$	$A \rightarrow aA \bullet a, \$$	$A \rightarrow aA \bullet aab, \$$	
$q_4 :$	$A \rightarrow ab\bullet, \$$		
$q_5 :$	$A \rightarrow a \bullet Aa, aa/a\$$	$A \rightarrow a \bullet Aaab, aa/a\$$	$A \rightarrow a \bullet b, aa/a\$$
	$A \rightarrow \bullet aAa, aa$	$A \rightarrow \bullet aAaab, aa$	$A \rightarrow \bullet ab, aa$

States of $LR(2)$ Automaton

$q_6 :$	$A \rightarrow aAa\bullet, \$$	$A \rightarrow aAa \bullet ab, \$$
$q_7 :$	$A \rightarrow aA \bullet a, aa/a\$$	$A \rightarrow aA \bullet aab, aa/a\$$
$q_8 :$	$A \rightarrow ab\bullet, aa/a\$$	
q_9	$A \rightarrow aAaa \bullet b, \$$	
$q_{10} :$	$A \rightarrow aAa\bullet, aa/a\$$	$aAa \bullet ab, aa/a\$$
q_{11}	$A \rightarrow aAaab\bullet, \$$	

Note

In state q_{10} , the action is r_1 if the next two symbols are either 'aa' or 'a\$'. The action is shift if they are 'ab'. But we shall not use $LR(2)$ parsing.

LALR Parser

We observed in connection to the $LR(1)$ states of the grammar G_{rr} , that there are pairs of states with the **same core $LR(0)$ items**, but with different *look-ahead* symbols - (q_4, q_8) , (q_6, q_{11}) , (q_9, q_{12}) .

If we can *merge* states with same core of $LR(0)$ items, we get a parsing table of lesser number of states or rows. For some $LR(1)$ grammar this merging will not lead to **multiple entries** in the parsing table and the corresponding grammar is known as **LALR (lookahead LR)** grammar.

Note

Merging of two $LR(1)$ states with the same the core cannot give rise to **shift/reduce** conflict, if it was not present in the original automaton. If there is a pair of items of the form $\{A \rightarrow \alpha \bullet a\beta, \dots B \rightarrow \gamma \bullet, a\}$ in the merged state, it originally was there in one of the $LR(1)$ states. So the $LR(1)$ automaton was not conflict free.

Note

Two states of an LALR parser cannot have the same set of $LR(0)$ items. So, for a given grammar, the number of states of an SLR parser is same as the number of states of an LALR parser.

An LALR parser uses a **better heuristic** about symbols that can **follow** a **viable prefix**, than the global **FOLLOW()** sets of **non-terminals**.

LALR States

The states of the $LR(1)$ automaton are as follows:

$q_0 :$	$S' \rightarrow \bullet S, \$$	$S \rightarrow \bullet E + T, \$$	$S \rightarrow \bullet T, \$$
	$E \rightarrow \bullet T, +$	$T \rightarrow \bullet i * E, +/\$$	$T \rightarrow \bullet i, +/\$$
$q_1 :$	$S' \rightarrow S \bullet, \$$		
$q_2 :$	$S \rightarrow E \bullet + T, \$$		
$q_3 :$	$S \rightarrow T \bullet, \$$	$E \rightarrow T \bullet, +$	
$q_{4.8} :$	$T \rightarrow i \bullet * E, +/\$$ $T \rightarrow i \bullet, +/\$$		
$q_5 :$	$S \rightarrow E + \bullet T, \$$	$T \rightarrow \bullet i * E, \$$	$T \rightarrow \bullet i, \$$

States

$q_{6.11} :$	$T \rightarrow i * \bullet E, +/\$ \quad E \rightarrow \bullet T, +/\$ \quad T \rightarrow \bullet i * E, +/\$$ $T \rightarrow \bullet i, +/\$$
$q_7 :$	$S \rightarrow E + T \bullet, \$$
$q_{9.12} :$	$T \rightarrow i * E \bullet, +/\$$
$q_{10} :$	$E \rightarrow T \bullet, +/\$$
$q_{13} :$	$E \rightarrow T \bullet, \$$

LALR Parsing Table

<i>S</i>	<i>Action</i>				<i>Goto</i>		
	<i>+</i>	<i>*</i>	<i>i</i>	<i>\$</i>	<i>S</i>	<i>E</i>	<i>T</i>
0			$s_{4.8}$		1	2	3
1				<i>A</i>			
2	s_5						
3	r_5			r_2			
4 · 8	r_4	$s_{6.11}$		r_4			
5			$s_{4.8}$			7	
6 · 11			$s_{4.8}$		9 · 12		10

LALR Parsing Table

<i>S</i>	<i>Action</i>				<i>Goto</i>		
	<i>+</i>	<i>*</i>	<i>i</i>	<i>\$</i>	<i>S</i>	<i>E</i>	<i>T</i>
7				<i>r</i> ₁			
9 · 12	<i>r</i> ₃			<i>r</i> ₃			
10	<i>r</i> ₅			<i>r</i> ₅			
13				<i>r</i> ₅			

LR(1) but not LALR

Consider the grammar

$$0 : S \rightarrow A$$

$$1 : A \rightarrow a B a$$

$$2 : A \rightarrow b B b$$

$$3 : A \rightarrow a D b$$

$$4 : A \rightarrow b D a$$

$$5 : B \rightarrow c$$

$$6 : D \rightarrow c$$

States of $LR(1)$ Automaton

q_0 :	$S \rightarrow \bullet A, \$$ $A \rightarrow \bullet aBa, \$$ $A \rightarrow \bullet bBb, \$$ $A \rightarrow \bullet aDb, \$$ $A \rightarrow \bullet bDa, \$$
q_1 :	$A \rightarrow a \bullet Ba, \$$ $A \rightarrow a \bullet Db, \$$ $B \rightarrow \bullet c, a$ $D \rightarrow \bullet c, b$
q_2 :	$A \rightarrow b \bullet Bb, \$$ $A \rightarrow b \bullet Da, \$$ $B \rightarrow \bullet c, b$ $D \rightarrow \bullet c, a$
q_3 :	$A \rightarrow aB \bullet a, \$$
q_4 :	$A \rightarrow aD \bullet b, \$$

States of $LR(1)$ Automaton

$q_5 :$	$B \rightarrow c\bullet, a$	$D \rightarrow c\bullet, b$
$q_6 :$	$A \rightarrow bB \bullet b, \$$	
$q_7 :$	$A \rightarrow bD \bullet a, \$$	
$q_8 :$	$B \rightarrow c\bullet, b$	$D \rightarrow c\bullet, a$

The states q_5 and q_8 have the same $LR(0)$ core, but they cannot be merged to form a LALR state as that will lead to *reduce/reduce* conflicts. So the grammar is $LR(1)$ but **not LALR**.

Ambiguous Grammar & LR Parsing

An *ambiguous* grammar cannot be LR. But for some ambiguous grammars^a it is possible to use LR parsing techniques efficiently with the help of some *extra grammatical information* such as *operator associativity, precedence* etc.

^aAs an example for operator-precedence grammars: CFG with no ϵ production and no production rule with two non-terminals coming side by side.

Example

Consider the expression grammar G_a

$$0 : S \rightarrow E$$

$$1 : E \rightarrow E - E$$

$$2 : E \rightarrow E * E$$

$$3 : E \rightarrow (E)$$

$$4 : E \rightarrow -E$$

$$5 : E \rightarrow i$$

Note that the terminal '-' is used both as binary as well as unary operator.

States of $LR(0)$ Automaton

$q_0 :$	$S \rightarrow \bullet E$	$E \rightarrow \bullet E - E$	$E \rightarrow \bullet E * E$
	$E \rightarrow \bullet (E)$	$E \rightarrow \bullet - E$	$E \rightarrow \bullet i$
$q_1 :$	$S \rightarrow E \bullet$	$E \rightarrow E \bullet - E$	$E \rightarrow E \bullet * E$
$q_2 :$	$E \rightarrow (\bullet E)$	$E \rightarrow \bullet E - E$	$E \rightarrow \bullet E * E$
	$E \rightarrow \bullet (E)$	$E \rightarrow \bullet - E$	$E \rightarrow \bullet i$
$q_3 :$	$E \rightarrow - \bullet E$	$E \rightarrow \bullet E - E$	$E \rightarrow \bullet E * E$
	$E \rightarrow \bullet (E)$	$E \rightarrow \bullet - E$	$E \rightarrow \bullet i$
$q_4 :$	$E \rightarrow i \bullet$		

States of $LR(0)$ Automaton

$q_5 :$	$E \rightarrow E - \bullet E$	$E \rightarrow \bullet E - E$	$E \rightarrow \bullet E * E$
	$E \rightarrow \bullet (E)$	$E \rightarrow \bullet - E$	$E \rightarrow \bullet i$
$q_6 :$	$E \rightarrow E * \bullet E$	$E \rightarrow \bullet E - E$	$E \rightarrow \bullet E * E$
	$E \rightarrow \bullet (E)$	$E \rightarrow \bullet - E$	$E \rightarrow \bullet i$
$q_7 :$	$E \rightarrow (E \bullet)$	$E \rightarrow E \bullet - E$	$E \rightarrow E \bullet * E$
$q_8 :$	$E \rightarrow - E \bullet$	$E \rightarrow E \bullet - E$	$E \rightarrow E \bullet * E$
$q_9 :$	$E \rightarrow E - E \bullet$	$E \rightarrow E \bullet - E$	$E \rightarrow E \bullet * E$
$q_{10} :$	$E \rightarrow E * E \bullet$	$E \rightarrow E \bullet - E$	$E \rightarrow E \bullet * E$

There are a few more states.

Note

The states q_8 , q_9 and q_{10} have *complete* and *incomplete* items. $\text{FOLLOW}(E) = \{\$, -, *,)\}$ cannot resolve the conflict. In fact no amount of *look-ahead* can help - the $LR(1)$ initial state is

$q_0 :$	$S \rightarrow \bullet E, \$$	$E \rightarrow \bullet E - E, \$/ - /*$	$E \rightarrow \bullet E * E, \$/ - /*$
	$E \rightarrow \bullet (E), \$/ - /*$	$E \rightarrow \bullet - E, \$/ - /*$	$E \rightarrow \bullet i, \$/ - /*$

$$q_8 : E \rightarrow -E\bullet, E \rightarrow E\bullet -E, E \rightarrow E\bullet *E$$

The *higher precedence* of unary ‘−’ over the binary ‘−’ and binary ‘*’ will help to resolve the conflict. The parser *reduces* the handle i.e. $\text{Action}(8, -) = \text{Action}(8, *) = \text{Action}(8,)) = \text{Action}(8, \$) = r_4$.

$$q_9 : E \rightarrow E - E\bullet, E \rightarrow E \bullet - E, E \rightarrow E \bullet * E$$

In this case if the *look-ahead* symbol is a ‘−’ (it must be binary), the parser *reduces* due to the *left associativity* of binary ‘−’. But if the *look-ahead* symbol is a ‘*’, the parser *shifts* i.e. $\text{Action}(9, -) = \text{Action}(9,) = \text{Action}(9, \$) = r_4$ but $\text{Action}(9, *) = s_6$.

$$q_{10} : E \rightarrow E * E \bullet, E \rightarrow E \bullet - E, E \rightarrow E \bullet * E$$

Actions are always *reduce*.