

Classical Cryptosystems

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Objectives

- **Definitions**
- **Kerckhoffs Principle**
- **Monoalphabetic Ciphers: Shift Cipher**
- **Polyalphabetic Ciphers: Vigenere Cipher**
- **Affine Ciphers and the Euler Totient Function**
- **Permutation Cipher**

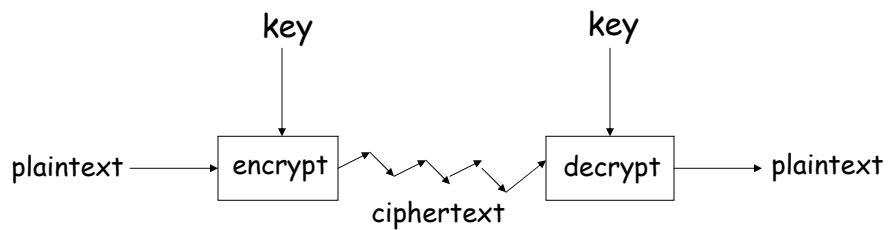
Definitions

- A *cipher* or *cryptosystem* is used to *encrypt* the *plaintext*
- The result of encryption is *ciphertext*
- We *decrypt* ciphertext to recover plaintext
- A *key* is used to configure a cryptosystem
- A *symmetric key* cryptosystem uses the same key to encrypt as to decrypt
- A *public key* cryptosystem uses a *public key* to encrypt and a *private key* to decrypt.

Kerckhoffs Principle

- **Basis assumption**
 - The system is completely known to the attacker
 - Only the key is secret
- **Also known as Kerckhoffs Principle**
 - Crypto algorithms are not secret
- **Why do we make this assumption?**
 - Experience has shown that secret algorithms are weak when exposed
 - Secret algorithms never remain secret
 - Better to find weaknesses beforehand

Cryptographic Communication



A generic use of crypto

Cryptosystem

A cryptosystem is a five-tuple $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$, where the following are satisfied:

1. \mathcal{P} is a finite set of possible plaintexts
2. \mathcal{C} is a finite set of possible ciphertexts
3. \mathcal{K} , the keyspace, is a finite set of possible keys
4. $\forall K \in \mathcal{K}, \exists e_K \in \mathcal{E}$ (encryption rule), $\exists d_K \in \mathcal{D}$ (decryption rule).

Each $e_K: \mathcal{P} \rightarrow \mathcal{C}$ and $d_K: \mathcal{C} \rightarrow \mathcal{P}$ are functions such that $\forall x \in \mathcal{P}, d_K(e_K(x)) = x$.

Encryption Function is Injective

- $y=e_K(x)$: Denotes the encryption transformation.
- if $y=e_K(x_1) = e_K(x_2)$, then Bob does not know whether y has come from x_1 or x_2 .
- If the Plaintext set and ciphertext set are same, then the encryption function is just a permutation.

Classical Cryptography

- **Monoalphabetic Ciphers**
Once a key is chosen, each alphabetic character of a plaintext is mapped onto a *unique* alphabetic character of a ciphertext.
 - The Shift Cipher (Caesar Cipher)
 - The Substitution Cipher
 - The Affine Cipher

Classical Cryptography

- **Polyalphabetic Ciphers**
Each alphabetic character of a plaintext can be mapped onto m alphabetic characters of a ciphertext. Usually m is related to the encryption key.
 - The Vigenère Cipher
 - The Hill Cipher
 - The Permutation Cipher

Shift cipher

- **Consider,**
 - $P=C=K=Z_{26}$.
 - For $0 \leq K \leq 25$, define
 - » $e_K(x) = x + K \pmod{26}$
 - » $d_K(x) = x - K \pmod{26}$
 - $(x, y \in Z_{26})$
- It is easy to see that, $x = d_K(e_K(x))$.

Simple Substitution

- **Plaintext:**
fourscoreandsevenyearsago

Plaintext	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C

- **Ciphertext:**
IRXUVFRUHDAGVHYHABHDUVDIR
- **Shift by 3 is “Caesar’s cipher”**

Note that the use of smaller letter for plaintext and capital letters for ciphertext is only to improve readability

Cesar’s Cipher Decryption

- **Suppose we know a Caesar’s cipher is being used**
- **Ciphertext:**
VSRQJHEREVTXDUHSDQWU

Plaintext	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C

- **Plaintext: spongebobsquarepants**

Not-so-Simple Substitution

- **Shift by n for some $n \in \{0,1,2,\dots,25\}$**
- **Then key is n**
- **Example: key = 7**

Plaintext	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G

Properties required of the encryption

- **Each of encryption and decryption function should be easily computable.**
- **An opponent, on seeing a ciphertext string y , should be unable to determine the key K , that was used, or the plaintext string x .**
- **“Cryptanalysis” is the process of attempting to know the key from given information.**

Cryptanalysis: Try all possibilities

- **Ciphertext:**
JBCRCLQRWCRVNBJENBWRWN
- **Try all the 26 possible keys (Exhaustive or brute force search)**
- **jbcrcqlqrwcrvnbjenbwrwn**
iabqbkpqvbqumaidmavqvm
hzapajopuaptlzhclzupul
...
astitchintimessavesnine: key = 9

Substitution Cipher

- **Key is some permutation of letters**
- **Need not be a shift**
- **For example**

Plaintext	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
Ciphertext	J	I	C	A	X	S	E	Y	V	D	K	W	B	Q	T	Z	R	H	F	M	P	N	U	L	G	O

- **Then $26! \approx 4 \times 10^{26} > 2^{88}$ possible keys!**

But still the cipher can be attacked quite easily.

The Affine Cipher

Let $\mathcal{P} = \mathcal{C} = Z_{26}$, let

$$\mathcal{K} = \{(a, b) \in Z_{26} \times Z_{26} \mid \gcd(a, 26) = 1\}.$$

$\forall x \in \mathcal{P}, \forall y \in \mathcal{C}, \forall K \in \mathcal{K}$, define

$$e_K(x) = ax + b \pmod{26}$$

and

$$d_K(y) = a^{-1}(y - b) \pmod{26}.$$

The encryption is injective if and only if $\gcd(a, 26) = 1$

Multiplicative Inverse of an Element

- **Suppose a is an element from Z_m . Then the multiplicative inverse of an element is an element b also in Z_m , such that $ab = 1 \pmod{m}$.**
 - Then, $\gcd(a, m) = 1$
- **Note that if $m = \text{prime number}$, p then every element has an inverse. Then Z_p is called a field.**

Inverse of Affine Cipher

- **Affine Cipher is invertible if a has a multiplicative inverse.**
 - That is $\gcd(a,m)=1$
 - $\{1,3,5,7,9,11,15,17,19,21,23,25\}$ have elements which are co-prime to m
 - Thus, $1^{-1}=1$, $3^{-1}=9$, $5^{-1}=21$, $7^{-1}=15$, $11^{-1}=19$, $15^{-1}=7$, $17^{-1}=23$, $25^{-1}=25$
 - Thus, the inverse of an element belongs to the above set. Why?

Key Size of Affine Cipher

- **The possible values of a such that $\gcd(a,26)=1$ are:**
 $\{1,3,5,7,9,11,15,17,19,21,23,25\}$
Thus, there are 12 possible a's
The coefficient b can be any 26 value:
Total key size is $12 \times 26 = 312$
Key size is thus too small...can we generalize the affine cipher?

Generalized Affine Cipher

- **Euler's Totient function : Suppose $a \geq 1$ and $m \geq 2$ are integers. If $\gcd(a,m)=1$, then we say that a and m are relatively prime.**
- **The number of integers in Z_m ($m > 1$), that are relatively prime to m and does not exceed m is denoted by $\Phi(m)$, called Euler's Totient function or phi function.**

Example

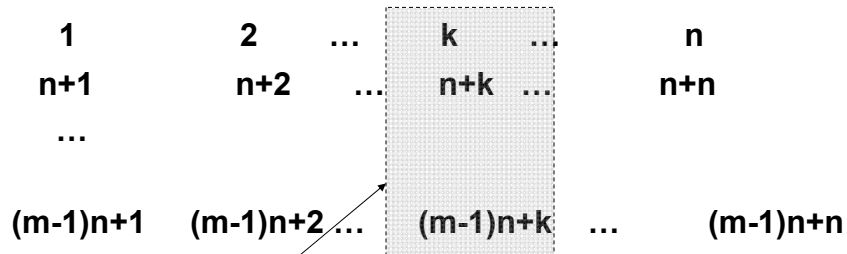
- **$m=26 \Rightarrow \Phi(26)=12$**
- **If p is prime, $\Phi(p)=p-1$**
- **If $n=1,2,\dots,24$ the values of $\Phi(n)$ are:**
 - **1,1,2,2,4,2,6,4,6,4,10,4,12,6,8,8,16,6,18,8,12,10,22,8**
 - **Thus we see that the function is very irregular.**

Properties of Φ

- If m and n are relatively prime numbers,
 - $\Phi(mn) = \Phi(m) \Phi(n)$
- $\Phi(77) = \Phi(7 \times 11) = 6 \times 10 = 60$
- $\Phi(1896) = \Phi(3 \times 8 \times 79) = 2 \times 4 \times 78 = 624$
- This result can be extended to more than two arguments comprising of pairwise coprime integers.

An Important Result

- If m and n are relatively prime,
 - $\Phi(mn) = \Phi(m)\Phi(n)$



there are $\Phi(m)$ elements which are co-prime to m

there are $\Phi(n)$ columns in which all the elements are co-prime to n .

contd.

- Thus, there are $\Phi(n)$ columns with $\Phi(m)$ elements in each which are co-prime to both m and n .
- Thus there are $\Phi(m) \Phi(n)$ elements which are co-prime to mn .
 - This proves the result...

Further Result

- $\Phi(p^a) = p^a - p^{a-1}$
 - Evident for $a=1$
 - For $a>1$, out of the elements $1, 2, \dots, p^a$ the elements $p, p^2, p^{a-1}p$ are not co-prime to p^a .
- Rest are co-prime.
Thus $\Phi(p^a) = p^a - p^{a-1}$
 $= p^a(1 - 1/p)$

contd.

- $n = p_1^{a_1} p_2^{a_2} \dots p_k^{a_k}$
- Thus, $\Phi(n) = \Phi(p_1^{a_1}) \Phi(p_2^{a_2}) \dots \Phi(p_k^{a_k})$
 $= n(1-1/p_1)(1-1/p_2)\dots(1-1/p_k)$

Thus, if $m=60=4 \times 3 \times 5$

$$\Phi(60) = 60(1-1/2)(1-1/3)(1-1/5) = 16$$

Hence, no of Affine keys = $16 \times 60 = 960$.

Monoalphabetic Ciphers

- Once a key is chosen, each alphabetic character is mapped to a unique alphabetic character in the ciphertext.
 - Example: Shift and Substitution Cipher

Polyalphabetic Ciphers

- In such ciphers, a plaintext can be mapped into more than one possible characters in ciphertexts.
- They are harder to cryptanalyze.
- Example: Vigenere, Hill Cipher

Vigenere Cipher

- Vigenere cipher is a kind of polyalphabetic cipher:
 - Each key consists of m characters, called *keyword*.
 - Encrypt m characters at a time
 - Devised by Blaise de Vigenere in the sixteen century.

Example

- thiscryptosystemisnotsecure
- Let $m=6$ and $\text{key}=(2,8,15,7,4,17)$
- Convert the plaintext into residues modulo 26.
- Write them in groups of 6, and then add the keyword

Example

19	7	8	18	2	17	24	15	19	14	18	24
2	8	15	7	4	17	2	8	15	7	4	17
21	15	23	25	6	8	0	23	8	21	22	15

So, this part of the ciphertext is : VPXZGIAXIVWP

Note that character 't' is mapped to 'V' and 'I'. Thus, polyalphabetic.

Vigenere cipher—key size

What is the key space? Suppose the keyword length is m .

There are total 26^m possible keys.

Suppose $m=5$, then $26^5 = 1.1 \times 10^7$, which is large enough to preclude *exhaustive key search* by hand.

However, we will see that there will be a systemic method to break Vigenere cipher.

We see that one character could be mapped into m different characters when the character is in m different positions.

Hill cipher -- introduction

- **Another polyalphabetic cipher.**
- **Invented in 1929 by Lester S. Hill.**
- **Let m be an positive integer, and let $\mathcal{P} = \mathcal{C} = (\mathbb{Z}_{26})^m$**
- **First divide the characters in plaintext into blocks of m characters, take m linear combinations of the m characters, thus producing the m characters in ciphertext.**

Hill cipher -- example

Suppose $m=2$, a plaintext element is written as $x=(x_1, x_2)$ and a ciphertext element as $y=(y_1, y_2)$. Here y_1 would be a linear combination of x_1 and x_2 , as would y_2 .

Suppose we take:

$$y_1 = (11x_1 + 3x_2) \bmod 26$$

$$y_2 = (8x_1 + 7x_2) \bmod 26$$

then y_1 and y_2 can be computed from x_1 and x_2

We can write the above computations in matrix notation:

$$(y_1, y_2) = (x_1, x_2) \begin{pmatrix} 11 & 8 \\ 3 & 7 \end{pmatrix}$$

or $y = xK$ where $y=(y_1, y_2)$, $x=(x_1, x_2)$, and $K = \begin{pmatrix} 11 & 8 \\ 3 & 7 \end{pmatrix}$

Assume all operations are performed by modulo 26.

Hill cipher – theoretical foundation

- **Given plaintext x , we get ciphertext $y = xK$**
- **If given ciphertext y , we should get plaintext x by yK^{-1}**

Thus, for Hill cipher to work, the matrix K must have an *inverse* K^{-1} .

From linear algebra, suppose I_m is an identity matrix, K is $m \times m$ matrix, Then $KK^{-1} = I_m$. So, $yK^{-1} = xKK^{-1} = xI_m = x$.

Hill cipher – example

Suppose key is:

$$K = \begin{pmatrix} 11 & 8 \\ 3 & 7 \end{pmatrix} \quad \text{then} \quad K^{-1} = \begin{pmatrix} 7 & 18 \\ 23 & 11 \end{pmatrix}$$

Check that K and K^{-1} are indeed inverses.

Hill cipher – algebra foundation

1. Determinant of a matrix A , denoted by $\det A$:

-- if $A(a_{ij})$ is 2×2 , then $\det A = a_{11}a_{22} - a_{12}a_{21}$

-- if $A(a_{ij})$ is 3×3 , then $\det A = a_{11}a_{22}a_{33} + a_{12}a_{23}a_{31} + a_{13}a_{21}a_{32} - a_{13}a_{22}a_{31} - a_{12}a_{21}a_{33} - a_{11}a_{23}a_{32}$

2. Theorem: suppose $K = \begin{pmatrix} k_{11} & k_{12} \\ k_{21} & k_{22} \end{pmatrix}$ with $k_{ij} \in \mathbb{Z}_{26}$

Then K has an inverse if and only if $\det K$ is invertible in \mathbb{Z}_{26}

if and only if $\gcd(\det K, 26) = 1$

Moreover,

$$K^{-1} = (\det K)^{-1} \begin{pmatrix} k_{22} & -k_{12} \\ -k_{21} & k_{11} \end{pmatrix} \quad \text{Where } \det K = k_{11}k_{22} - k_{12}k_{21}$$

Hill cipher – formal definition

- Let $m \geq 2$, be a positive integer. Let $\mathcal{P} = \mathcal{C} = (\mathbb{Z}_{26})^m$ and let

$$\mathcal{K} = \{m \times m \text{ invertible matrices over } \mathbb{Z}_{26}\}$$

For each key K , define:

$$e_K(x) = xK \text{ and } d_K(y) = yK^{-1}$$

where all operations are performed in \mathbb{Z}_{26} .

Permutation cipher--introduction

- **All previous ciphers include substitutions:** plaintext characters are replaced by different ciphertext characters.
- **The permutation cipher** will keep the plaintext characters unchanged, but alter their position by rearranging them using a permutation.
- **Suppose X is a finite set,**
a permutation over X is a *bijective function* $\pi: X \rightarrow X$. thus the inverse permutation $\pi^{-1}: X \rightarrow X$ is defined by the rule:
$$\pi^{-1}(x) = x' \text{ if and only if } \pi(x') = x$$

Permutation cipher—formal definition

- Let m be a positive integer, Let $\mathcal{P} = \mathcal{C} = (\mathbb{Z}_{26})^m$ and let \mathcal{K} consists of all permutations of $\{1, 2, \dots, m\}$. For a key (i.e., a permutation) π

Define

$$e_{\pi}(x_1, \dots, x_m) = (x_{\pi(1)}, \dots, x_{\pi(m)})$$

and

$$d_{\pi}(y_1, \dots, y_m) = (y_{\pi^{-1}(1)}, \dots, y_{\pi^{-1}(m)})$$

where π^{-1} is the inverse permutation of π .

Permutation cipher—example

- Suppose $m=6$.

$$\begin{array}{c} x \parallel 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \\ \hline \pi(x) \parallel 3 \mid 5 \mid 1 \mid 6 \mid 4 \mid 2 \end{array}$$

Then

$$\begin{array}{c} x \parallel 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \\ \hline \pi^{-1}(x) \parallel 3 \mid 6 \mid 1 \mid 5 \mid 2 \mid 4 \end{array}$$

Given plaintext: shesellsseashellsbytheseashore

first split by $m=6$: shesel lsseas hellsb ythese ashore

Get ciphertext by π : ELSEHS...

Comments: *the permutation cipher is a special case of Hill cipher.*

Points to Ponder

- **Comment on whether the Euler Totient Function for $n > 1$ is even or odd?**
- **Express permutation cipher as a Hill cipher.**

References

- **B. A. Forouzan, "*Cryptography and Network Security*", TMH**

Next Days Topic

- **Cryptanalysis of Classical Ciphers**