

CS21004 Formal Languages and Automata Theory (FLAT)

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3-1-0

3 hours of recording

1 hour of tutorial +

doubt clearance

F4

Friday (11:00 am - 12:00 noon)
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3-4 short tests

3-4 long tests

1-2 programming assignments

Dexter Kozen, Automata and Computability
— Good Exercise Sets

Why this course?

- To understand ourselves as Computer Scientists
- What is computation?
- What is computable? What is not?
- Is everything computable? (NO)

Historically

David Hilbert, 1900, a set of open mathematical problems

- Hilbert's tenth problem Entscheidungsproblem

whether there exists an
"effective computation" procedure

"Decision" problem

Decidability problem

→ what is understood
by this?

Several paradigms

- λ -calculus
- μ -recursion
- Post problems
- Unrestricted Grammars
- "Turing machines" ←
- ...
- C programs / Assembly-language problem

effective
computability

All encompass the same notion of computability.

Church-Turing Thesis : Define whatever is computable.

Formal Treatment of Computability

$U \rightarrow$ universal set

(Every element of U has a finite representation)

$$\underline{A} \subseteq U$$

\hookrightarrow some subset

$$x \in U$$

To decide: whether $x \in A$.

Important: A must also be finitely specified.

- Not exactly a loss of generality

$$f: A \rightarrow B$$

$$\downarrow$$
$$\{0, 1\}, \{F, T\},$$

$\{N, Y\}$
- stood the test of time

How to specify A finitely?

- English-language description
- Mathematical description
- Using a set of rules (usually recursive)
- Machines

If A is finite, A can be exhaustively enumerated.

If A is infinite, we need some formalism to fully characterize the members of A .

$U \rightarrow$ universal set

$A \subseteq U$ A is called a language

English Language

— Grammar (a set of rules)

+ a vocabulary.

Languages of numbers (integers)

$$\mathbb{N} = \{1, 2, 3, \dots\}$$

$$\mathbb{N}_0 = \{0, 1, 2, 3, \dots\}$$

$$\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$$

A language of numbers is a set of integers (±ve / non-ve)

$\mathbb{N}, \mathbb{N}_0, \mathbb{Z} \rightarrow$ countable

The set of all languages of numbers

is $\mathcal{P}(\mathbb{N}) \rightarrow$ not countable

by the power-set theorem

Not all languages can have a finite representation.

Some do have

└ interested in these

some will introduce the notion
of uncomputability.

Examples:

$$U = \mathbb{N}, \mathbb{N}_0, \mathbb{Z}$$

Each integer has a finite representation
— decimal, binary, ...

1. $E =$ the set of even natural numbers \rightarrow English language description

$= \{2, 4, 6, 8, 10, 12, \dots\}$

$=$ $\{2n \mid n \in \mathbb{N}\}$ mathematical description

not a finite representation \swarrow

$x \in \mathbb{N}$ decide whether $x \in E$

binary rep \rightarrow x ends with 0
decimal rep \rightarrow x ends with 0, 2, 4, 6, 8.

$$2. \mathbb{P} = \{2, 3, 5, 7, 11, 13, \dots\} \leftarrow \text{not a finite description}$$
$$= \boxed{\text{the set of all primes}}$$

To check $x \in \mathbb{P}$

\rightarrow primality-testing problem

$$3. PS = \{n^2 \mid n \in \mathbb{N}\}$$

$$x \stackrel{?}{\in} PS$$

compute $a = \lfloor \sqrt{x} \rfloor$. Check whether $x = a^2$.

$$4. PT = \{2^n \mid n \in \mathbb{N}_0\}$$

5. Fibonacci numbers

$$F = \{0, 1, 2, 3, 5, 8, 13, \dots\}$$

$$= \{F_n \mid n \geq 0\}$$

Rules to generate F_n

$$F_0 = 0$$

$$F_1 = 1$$

$$F_n = F_{n-1} + F_{n-2} \text{ for } n \geq 2$$

finite description
to generate/define
all fibonacci numbers

$x \in \mathbb{N}$, to check whether $x \in F$, that is, $x = F_n$ for some n .
 $F_0, F_1, F_2, \dots, F_n$ no longer as $F_n \leq x$. Check whether $F_n = x$.

6. Happy numbers

$$\textcircled{21} \rightarrow 2^2 + 1^2 = 5 \rightarrow 5^2 = 25 \rightarrow 2^2 + 5^2 = 29$$

$$\rightarrow 2^2 + 9^2 = 4 + 81 = 85 \rightarrow 8^2 + 5^2 = \textcircled{89} \rightarrow$$

$$8^2 + 9^2 = 145 \rightarrow 1^2 + 4^2 + 5^2 = 42 \rightarrow 16 + 4 = 20$$

$$\rightarrow 4 \rightarrow 16 \rightarrow 37 \rightarrow 3^2 + 7^2 = 58 \rightarrow 5^2 + 8^2 = \textcircled{89}$$

$$4 \rightarrow 16 \rightarrow 37 \rightarrow 58 \rightarrow 89 \rightarrow 145 \rightarrow 42 \rightarrow 20$$

unhappy
sad number

$$\textcircled{23} \rightarrow 2^2 + 3^2 = 13 \rightarrow 1^2 + 3^2 = 10 \rightarrow 1^2 + 0^2 = 1$$

→ happy number.

A procedure describes / specifies the happy numbers.

Recursive procedure

Algorithm

ishappy(n)

~~$n = 1$~~ or $ishappy(sos(n))$

Termination

2020, 2021 \rightarrow not happy

looping ???

happy

\leftarrow 2003, 2008, 2019, 2026, 2030, ...

— Keep a list of numbers generated so far

Exercise: Every unhappy number falls into the

loop involving 4. (Prove it.)

Termination: $(n = 1)$ or $(n = 4)$ \rightarrow unhappy

happy

7. Sphenic numbers

$$SPH = \{ pqr \mid p, q, r \text{ are distinct primes} \}$$

$$2 \times 3 \times 5 = 30, \quad 1001 = 7 \times 11 \times 13,$$

$$2022 = 2 \times 3 \times 337$$

are sphenic

$$2^2 \times 3 \times 5 = 60, \quad 210 = 2 \times 3 \times 5 \times 7 \text{ are not sphenic.}$$

$$\underline{x \in SPH}$$

Factor $x \rightarrow$ doable in finite time

8. Iterated logarithm function

$$\log \equiv \log_2$$

n $\log(n)$ $\log(\log(n))$ \dots until we get a value ≤ 1

$$\log 2 = 1$$

$$\log 3 = 1 \dots \log(\log 3) < 1$$

$$\log 4 = 2, \log(2) = 1$$

$$\log^* 2 = 1 \leftarrow$$

$$\log^* 3 = 2$$

$$\log^* 4 = 2 \leftarrow$$

$$\log^* 5 = 3$$

$$\log^* 8 = 3 \leftarrow$$

Largest
~~Smallest~~

$$\log^* n = 4$$

$$16 \rightarrow 8 \rightarrow 4 \rightarrow 2 \rightarrow 1$$

$$\log^* n = 5$$

$$2^{16} \rightarrow 16 \rightarrow 8 \rightarrow 4 \rightarrow 2 \rightarrow 1$$

$$\log^* n = 6$$

$$2^{2^{16}} \rightarrow 2^{16} \rightarrow \dots \rightarrow 1$$

$$\log^* 65536 = 2$$

> the no. of electrons in the known universe

$$IL = \left\{ x \mid \log^* x = n \in \mathbb{N} \text{ and } x \text{ is } \overset{\text{largest}}{\text{smallest}} \text{ among those } y \text{ s.t. } \log^* y = n \right\}$$

$$IL_1 = 1$$

$$IL_2 = 2$$

$$IL_3 = 8$$

$$IL_4 = 16$$

$$IL_5 = 2^{16} = 65536$$

$$IL_6 = 2^{65536}$$

$$IL_7 = 2^{IL_6} = 2^{2^{65536}}$$

IL_{n-1}

$$IL_n = 2$$

recursively defined

fast-growing
function of n .

9. Busy-beaver numbers

$BB(n)$ → can be defined using Turing machines
 $BB(n)$ grow so rapidly with n that computers cannot keep track of them
→ uncomputable numbers:

If $f(n)$ is any computable functions,
then $BB(n) > f(n)$.

Languages of

- numbers
- sets (finite)
- polynomials
- graphs
- sequences
- ...

"Generic model"
of a computational
problem

Languages of things

$U \rightarrow$ set of things

Each thing must
have a finite representation

$$A \subseteq U$$

\hookrightarrow finite specification

$x \in U$, decide whether $x \in A$.

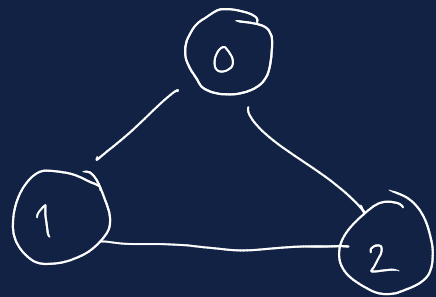
Almost every thing that has a finite representation has a finite encoding as a string

\mathbb{N} binary representation (sequence of 0's and 1's)

$$G = (V, E) \quad |V| = n$$

$11 \dots 10$ n^2 bits
~~~~~  
n times

→ Adjacency matrix



1110011101110

finite encoding

\* Use strings to define languages.