## Sets, Relations, and Functions

**1.** Let  $A, B, C \in \mathcal{U}$  are three arbitrary sets such that,  $A \cup B = A \cup C$  and  $A \cap B = A \cap C$ . Prove that, B = C.

 $\begin{array}{l} \textit{Solution } B = B \cap (A \cup B) = B \cap (A \cup C) = (B \cap A) \cup (B \cap C) = (A \cap C) \cup (B \cap C) = (A \cup B) \cap C = (A \cup C) \cap C = C. \\ B = B \cup (A \cap B) = B \cup (A \cap C) = (B \cup A) \cap (B \cup C) = (A \cup C) \cap (B \cup C) = (A \cap B) \cup C = (A \cap C) \cup C = C. \end{array}$ 

- 2. Define a relation  $\rho$  on  $\mathbb{N}$  as  $a \rho b$  if and only if a has the same set of prime divisors as b. For example, 5 is related to  $25 = 5^2$ ,  $12 = 2^2 \times 3$  is related to  $54 = 2 \times 3^3$ , but 12 is not related to  $16 = 2^4$ , nor to  $180 = 2^2 \times 3^2 \times 5$ .
  - (a) Prove that  $\rho$  is a equivalence relation on  $\mathbb{N}$ .
- Solution [Reflexive] a has the same set of prime divisors as itself, that is, ρ is reflexive.
  [Symmetric] If a has the same set of prime divisors as b, then b too has the same set of prime divisors as a, that is, ρ is symmetric.
  [Transitive] If a and b have the same set of prime divisors, and b and c have the same set of prime divisors, then a and c too have the same set of prime divisors, that is, ρ is transitive.
  - (b) Find the equivalence classes  $\mathbb{N}/\rho$ .

Solution For all prime numbers,  $p_1, p_2, \dots, p_k, \dots$ , we have,  $[p_k] = \{p_k^i \mid i \ge 1\}$ 

For all composite numbers,  $q = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$ , we have,  $[p_1 p_2 \cdots p_k] = \{q \mid p_i > 1, e_i > 0 \text{ where } 1 \leq i \leq k\}$ 

(c) A non-zero integer is called *square-free* if it is not divisible by the square of a prime number. Prove that, each equivalence class in  $\mathbb{N}/\rho$  contains a unique square-free integer, and that these unique square-free integers are different in distinct equivalence classes.

- Solution Let  $a \in \mathbb{N}$  have the prime factorization  $a = p_1^{e_1} \cdots p_t^{e_t}$  with  $t \ge 0$ , pairwise distinct primes  $p_1, \cdots, p_t$  and each  $e_i > 0$ . But, then *a* is related to the square-free integer  $p_1 \cdots p_t$ . No other square-free integer can have the same prime divisors as  $p_1 \cdots p_t$ . Thus, [a] contains a unique square-free integer. Also if  $[a] \ne [b]$ , we have  $[a] \cap [b] = \phi$  ( $\rho$  is an equivalence relation and so the equivalence classes partition  $\mathbb{N}$ ), that is, the square-free integers in [a] and [b] are distinct.
- **3.** Define two relations  $\rho$  and  $\sigma$  on  $\mathbb{R}$  as follows.
  - (a)  $a \rho b$  if and only if  $a b \in \mathbb{Q}$
  - **(b)**  $a \sigma b$  if and only if  $a b \in \mathbb{Z}$

Prove that,  $\rho$  and  $\sigma$  are equivalence relations on  $\mathbb{R}$ . Also, find the equivalence classes (with representatives).

- Solution [Reflexive]  $0 = \frac{0}{1}$  is a rational (or itself an integer). [Symmetric] If a - b is rational (or integer), then b - a = -(a - b) is rational (or integer) too. [Transitive] If a - b and b - c are rational (or integer), then a - c = (a - b) + (b - c) is again rational (or integer).
  - (a) Equivalence classes [x] of  $\mathbb{R}/\rho$  is of the form,  $[x] = \{x + r \mid r \in \mathbb{Q}\}$
  - (b) Equivalence classes [y] of  $\mathbb{R}/\sigma$  is of the form,  $[y] = \{y+s \mid s \in \mathbb{Z}\}$
- 4. [*Genesis of rational numbers*] Define a relation ρ on A = Z × (Z \ {0}) as (a,b) ρ (c,d) if and only if ad = bc. Prove that ρ is an equivalence relation. Argue that A/ρ is essentially the set Q of rational numbers. In abstract algebra, we say that Q is the field of fractions of the integral domain Z. The equivalence class [(a,b)] is conventionally denoted by <sup>a</sup>/<sub>b</sub>.

Solution [Reflexive]  $(a,b) \rho (a,b)$ , since ab = ba. [Symmetric]  $(a,b) \rho (c,d)$  implies  $(c,d) \rho (a,b)$ , since  $ad = bc \Rightarrow cb = da$ . [Transitive] If  $(a,b) \rho (c,d)$  iff ad = bc, and  $(c,d) \rho (e,f)$  iff cf = de, then we get,  $(a,b) \rho (e,f)$ , since  $ad = bc \Rightarrow adf = bcf \Rightarrow adf = bde \Rightarrow af = be (as d \neq 0)$ .

Equivalence classes of  $A/\rho$  are of the form,  $[(a,b)] = \begin{bmatrix} a \\ b \end{bmatrix} = \{ \frac{na}{nb} \mid n \in \mathbb{N} \}$  and denotes the set  $\mathbb{Q}$  of rationals.

- **5.** For a function  $f : A \to B$ , define a function  $\mathscr{F} : \mathscr{P}(A) \to \mathscr{P}(B)$  as  $\mathscr{F}(S) = f(S)$  for all  $S \subseteq A$ , where  $\mathscr{P}(A)$  and  $\mathscr{P}(B)$  denote the power sets of A and B, respectively. Prove the following.
  - (a)  $\mathscr{F}$  is injective if and only if f is injective.

Solution Note that  $f(S) = \bigcup_{s \in S} f(s) \subseteq B$ , where  $S \subseteq A$ .

[ $\Leftarrow$ ] If *f* is injective, then we know that  $f(a_1) = f(a_2) \Rightarrow a_1 = a_2$ ,  $(a_1, a_2 \in A)$ . So, for  $S_1, S_2 \subseteq A$ , since we have  $f(S_1) = \bigcup_{a_1 \in S_1} f(a_1)$  and  $f(S_2) = \bigcup_{a_2 \in S_2} f(a_2)$ , we have,  $f(S_1) = f(S_2) \Rightarrow S_1 = S_2$ . For  $S_1, S_2 \in \mathscr{P}(S)$  and  $S_1, S_2 \subseteq A$ , we can say,  $\mathscr{F}(S_1) = \mathscr{F}(S_2) \Rightarrow f(S_1) = f(S_2) \Rightarrow S_1 = S_2$ . This concludes that  $\mathscr{F}$  is injective.

 $[\Rightarrow]$  If  $\mathscr{F}$  is injective, then for  $S_1, S_2 \in \mathscr{P}(S)$  and  $S_1, S_2 \subseteq A$ , we can say,  $\mathscr{F}(S_1) = \mathscr{F}(S_2) \Rightarrow S_1 = S_2$ . Since  $\mathscr{F}(S) = f(S)$  for all  $S \subseteq A$ , we can say,  $f(S_1) = f(S_2) \Rightarrow S_1 = S_2$ . Therefore, for  $s_1 \in S_1$  and  $s_2 \in S_2$ , we have  $f(s_1) = f(s_2) \Rightarrow s_1 = s_2$ . This concludes that f is injective.

- (b)  $\mathscr{F}$  is surjective if and only if f is surjective.
- Solution  $[\Leftarrow]$  If f is surjective, then we know that for all  $b \in B$ , there exists  $a \in A$ , such that f(a) = b. Therefore, for all  $S_2 \subseteq B$ , there exists  $S_1 \subseteq A$ , such that  $f(S_1) = S_2$ . It follows that for all  $S_2 \in \mathscr{P}(B)$ , there exists  $S_1 \in \mathscr{P}(A)$ , such that  $\mathscr{F}(S_1) = S_2$ . This concludes that  $\mathscr{F}$  is surjective.

 $[\Rightarrow]$  If  $\mathscr{F}$  is surjective, then we know that for all  $S_2 \in \mathscr{P}(B)$ , there exists  $S_1 \in \mathscr{P}(A)$ , such that  $\mathscr{F}(S_1) = S_2$ . As  $\mathscr{F}(S) = f(S)$  for all  $S \subseteq A$ , it implies that for all  $S_2 \subseteq B$ , there exists  $S_1 \subseteq A$ , such that  $f(S_1) = S_2$ . It follows that for all  $b \in S_2$ , there exists  $a \in S_1$ , such that f(a) = b. This concludes that f is surjective.

(c)  $\mathscr{F}$  is bijective if and only if f is bijective.

Solution The above parts (a) and (b) together prove this.

- 6. Let  $f : A \to B$  be a function and  $\sigma$  an equivalence relation on *B*. Define a relation  $\rho$  on *A* as:  $a \rho a'$  if and only if  $f(a) \sigma f(a')$ .
  - (a) Prove that  $\rho$  is an equivalence relation on A.
- Solution Let  $a, a', a'' \in A$ . [ $\rho$  is reflexive] Clearly,  $f(a) \sigma f(a)$  (since  $\sigma$  is reflexive), that is,  $a \rho a$ . [ $\rho$  is symmetric] Also,  $a \rho a'$  implies  $f(a) \sigma f(a')$ , that is,  $f(a') \sigma f(a)$  (since  $\sigma$  is symmetric), that is,  $a' \rho a$ . [ $\rho$  is transitive] Finally,  $a \rho a'$  and  $a' \rho a''$  imply  $f(a) \sigma f(a')$  and  $f(a') \sigma f(a'')$ , that is,  $f(a) \sigma f(a'')$  (since  $\sigma$  is transitive), that is,  $a \rho a''$ .
  - (b) Define a map  $\overline{f}: A/\rho \to B/\sigma$  as  $[a]_{\rho} \mapsto [f(a)]_{\sigma}$ . Prove that  $\overline{f}$  is well-defined.

Solution Suppose  $[a]_{\rho} = [a']_{\rho}$ , that is,  $a \rho a'$ , that is,  $f(a) \sigma f(a')$ , that is,  $[f(a)]_{\sigma} = [f(a')]_{\sigma}$ .

[The question of well-defined-ness arises here, because the value of the function is defined in terms of a representative of a class. Thus, we needed to show that irrespective of the choice of the representative, we get the same value for the function. The assignment  $g : \mathbb{Z}_5 \to \mathbb{Z}_6$  taking  $[a]_5 \mapsto [a]_6$  is not well-defined. For example,  $[0]_5 = [5]_5$ , but  $[0]_6 \neq [5]_6$ , that is, we get different values when we use different representatives of the same class in the argument.]

- (c) Prove that  $\overline{f}$  is injective.
- Solution Suppose  $\bar{f}([a]_{\rho}) = \bar{f}([a']_{\rho})$ , that is,  $[f(a)]_{\sigma} = [f(a')]_{\sigma}$ , that is,  $f(a) \sigma f(a')$ , that is,  $a \rho a'$ , that is,  $[a]_{\rho} = [a']_{\rho}$ . So  $\bar{f}$  is injective.
  - (d) Prove or disprove: If f is a bijection, then so also is  $\overline{f}$ .
- Solution This is true. By Part (c),  $\bar{f}$  is injective. On the other hand, take any  $[b]_{\sigma} \in B/\sigma$ . Since f is surjective, we have b = f(a) for some  $a \in A$ . But then  $\bar{f}([a]_{\rho}) = [f(a)]_{\sigma} = [b]_{\sigma}$ , that is,  $\bar{f}$  is surjective too.

[Note that, we never used the fact that f is injective. Indeed,  $\overline{f}$  is bijective, whenever f is surjective.]

(e) Prove or disprove: If  $\overline{f}$  is a bijection, then so also is f.

- Solution This is false. Take  $A = \{a, b, c\}$ ,  $B = \{1, 2\}$  and  $\sigma = \{(1, 1), (2, 2)\}$ . Also define f as f(a) = f(b) = 1 and f(c) = 2. Then  $\rho = \{(a, a), (b, b), (a, b), (b, a), (c, c)\}$ , that is,  $A/\rho = \{\{a, b\}, \{c\}\}, B/\sigma = \{\{1\}, \{2\}\}$ , and  $\bar{f}(\{a, b\}) = \{1\}$  and  $\bar{f}(\{c\}) = \{2\}$ . Therefore,  $\bar{f}$  is a bijection, whereas f is not.
- 7. In this exercise, we plan to construct a well-ordering of  $A = \mathbb{N} \times \mathbb{N}$ .

(a) First define a relation  $\rho$  on A as  $(a,b) \rho(c,d)$  if and only if  $a \leq c$  or  $b \leq d$ . Prove or disprove:  $\rho$  is a well-ordering of A.

Solution No. Indeed,  $\rho$  is not at all a partial order, since it is not antisymmetric: we have both (1,2)  $\rho$  (2,1) and (2,1)  $\rho$  (1,2), but (1,2)  $\neq$  (2,1).

(b) Next, define a relation  $\sigma$  on *A* as  $(a,b) \sigma(c,d)$  if and only if  $a \le c$  and  $b \le d$ . Prove or disprove:  $\sigma$  is a well-ordering of *A*.

Solution No. One can easily check that  $\sigma$  is a partial order on *A*. However, it is not a total order (and hence cannot be a well-ordering of *A*): the pairs (1,2) and (2,1) are, for example, not comparable.

(c) Finally, define a relation  $\leq_L$  on *A* as  $(a,b) \leq_L (c,d)$  if either (i) a < c or (ii) a = c and  $b \leq d$ . Prove that,  $\leq_L$  is a partial order on *A*.

- Solution By Condition (ii),  $(a,b) \leq_L (a,b)$ . Now suppose that  $(a,b) \leq_L (c,d)$  and  $(c,d) \leq_L (a,b)$ . If a < c, we cannot have  $(c,d) \leq_L (a,b)$ . Similarly, if c < a, we cannot have  $(a,b) \leq_L (c,d)$ . So a = c. But then  $b \leq d$  and  $d \leq b$ , that is, b = d. Finally, suppose that  $(a,b) \leq_L (c,d)$  and  $(c,d) \leq_L (e,f)$ . Then  $a \leq c$  and  $c \leq e$ . If a < c or c < e, then a < e. On the other hand, if a = c = e, we must have  $b \leq d$  and  $d \leq f$ . But then  $b \leq f$ .
  - (d) Prove that  $\leq_L$  is a total order on *A*.
- Solution Take any (a,b) and (c,d) in A. If a < c, then  $(a,b) \leq_L (c,d)$ . If a > c, then  $(c,d) \leq_L (a,b)$ . Finally, suppose that a = c. Since either  $b \leq d$  or  $d \leq b$ , we have either  $(a,b) \leq_L (c,d)$  or  $(c,d) \leq_L (a,b)$ .
  - (e) Is A well-ordered under  $\leq_L$ ?
- Solution Yes. Let *S* be a non-empty subset of *A*. Take  $X = \{a \in \mathbb{N} \mid (a,b) \in A \text{ for some } b \in \mathbb{N}\}$ . Since *S* is non-empty, *X* is non-empty too and contains a minimum element; call it *x*. For this *x*, let  $Y = \{b \in \mathbb{N} \mid (x,b) \in S\}$ . Since *Y* is a non-empty subset of  $\mathbb{N}$ , it contains a minimum element; call it *y*. It is now an easy check that (x, y) is a minimum element of *S*.
  - (f) Prove or disprove: An infinite subset of A may contain a maximum element.

Solution True. The infinite subset  $\{(1,b) \mid b \in N\} \cup \{(2,1)\}$  of A contains the maximum element (2,1).

Note: The ordering  $\leq_L$  on  $\mathbb{N} \times \mathbb{N}$  described in this exercise is called the lexicographic ordering, since this is how you sort two-letter words in a dictionary. One can readily generalize this ordering to  $\mathbb{N}^n$  for any n > 3.

- 8. Give an example of a poset A and a non-empty subset S of A such that S has lower bounds in A, but glb(S) does not exist.
- Solution Take  $A = \mathbb{Q}$  under the standard  $\leq$  on rational numbers. Also take  $S = \{x \in \mathbb{Q} \mid x^2 > 2\}$ . Every rational number  $< \sqrt{2}$  is a lower bound on S. Since  $\sqrt{2}$  is irrational, glb(S) does not exist.

Another example: Take *A* to be the set of all irrational numbers between 1 and 5, and *S* to be the set of all irrational numbers between 2 and 3.

A simpler (but synthetic) example: Take  $A = \{a, b, c, d\}$  and the relation on A as,

 $\rho = \{(a,a), (a,c), (a,d), (b,b), (b,c), (b,d)(c,c), (d,d)\}$ 

The subset  $S = \{c, d\}$  of A has two lower bounds a and b, but these bounds are not comparable to one another.

**9.** Let  $\mathbb{C}$  denote the set of complex numbers and  $\mathbb{Z}[i]$  the subset  $\{a + ib \mid a, b \in \mathbb{Z}\}$  of  $\mathbb{C}$ . Elements of  $\mathbb{Z}[i]$  are called *Gaussian integers*. For  $z = x + iy \in \mathbb{C}$ , we denote by |z| the magnitude of z and by arg z the argument of z. Thus,  $z = \sqrt{x^2 + y^2}$  and arg  $z = \tan^{-1} \frac{y}{x}$ . We take arg z in the interval  $[0, 2\pi)$ .

Define a relation  $\rho$  on  $\mathbb{C}$  as follows. Take  $z_1, z_2 \in \mathbb{C}$ . We say that  $z_1 \rho z_2$  if and only if

either (i)  $|z_1| < |z_2|$ ,

or (ii)  $|z_1| = |z_2|$  and arg  $z_1 \leq \arg z_2$ .

Also define a relation  $\sigma$  on  $\mathbb{C}$  as  $z_1 \sigma z_2$  if and only if  $|z_1| = |z_2|$ .

(a) Prove that  $\rho$  is a partial order on  $\mathbb{C}$ .

Solution Let  $z, z_1, z_2, z_3 \in \mathbb{C}$ . We have |z| = |z| and arg  $z \leq \arg z$ , that is,  $z \rho z$ , that is,  $\rho$  is reflexive.

Then suppose  $z_1 \rho z_2$  and  $z_2 \rho z_1$ . If  $|z_1| < |z_2|$ , we cannot have  $z_2 \rho z_1$ . Analogously, if  $|z_2| < |z_1|$ , we cannot have  $z_1 \rho z_2$ . Therefore,  $|z_1| = |z_2|$ . In that case, arg  $z_1 \leq \arg z_2$  and arg  $z_2 \leq \arg z_1$ , that is, arg  $z_1 = \arg z_2$ . It follows that  $z_1 = z_2$ , that is,  $\rho$  is antisymmetric.

Finally, let  $z_1 \rho z_2$  and  $z_2 \rho z_3$ . This means  $|z_1| \leq |z_2| \leq |z_3|$ . If  $|z_1| < |z_2|$  or  $|z_2| < |z_3|$ , then  $|z_1| < |z_3|$ , that is,  $z_1 \rho z_3$ . If  $|z_1| = |z_2| = |z_3|$ , we have arg  $z_1 \leq \arg z_2 \leq \arg z_3$ , that is, again  $z_1 \rho z_3$ . Thus,  $\rho$  is transitive.

- (b) Prove that  $\rho$  is a well-ordering of  $\mathbb{Z}[i]$ .
- Solution Let S be a non-empty subset of  $\mathbb{Z}[i]$ . Consider the set  $X = \{|z|^2 \mid z \in S\}$ . X, being a non-empty subset of  $\mathbb{N}$ , contains a minimum element; call it n. Let  $Y = \{z \in S \mid |z|^2 = n\}$ . Since the equation  $x^2 + y^2 = n$  can have only finitely many solutions in integer values of x and y, the set Y is finite. It is also non-empty. Thus, Y contains a minimum element; call it z. It is clear that this z is the minimum element of S with respect to  $\rho$ .
  - (c) Prove that  $\sigma$  is an equivalence relation on  $\mathbb{C}$ .

Solution Let  $z, z_1, z_2, z_3 \in \mathbb{C}$ . Since |z| = |z|, we have  $z \sigma z$ , that is,  $\sigma$  is reflexive.

Also  $z_1 \sigma z_2$  implies  $|z_1| = |z_2|$ , that is,  $|z_2| = |z_1|$ , that is,  $z_2 \sigma z_1$ , that is,  $\sigma$  is symmetric.

Finally,  $z_1 \sigma z_2$  and  $z_2 \sigma z_3$  imply  $|z_1| = |z_2| = |z_3|$ , that is,  $z_1 \sigma z_3$ , that is,  $\sigma$  is transitive too.

(d) What are the equivalence classes of  $\sigma$ ? (Provide a geometric description.)

Solution Let  $z = x + iy \in \mathbb{C}$  with  $r = \sqrt{x^2 + y^2}$ . Then  $[z]_{\sigma}$  consists precisely of all complex numbers whose absolute values equal *r*, that is,  $[z]_{\sigma}$  is the circle of radius *r* centered at the origin.

**10.** Let  $k \in \mathbb{N}$ ,  $S = \{1, 2, ..., k\}$ , and  $A = \mathscr{P}(S) \setminus \{\phi\}$ , where  $\mathscr{P}(S)$  denotes the power set of *S*, and  $\phi$  denotes the empty set. In other words, the set *A* comprises all non-empty subsets of  $\{1, 2, ..., k\}$ . For each  $a \in A$  denote by min(a) the smallest element of *a* (notice that here *a* is a set).

(a) Define a relation  $\rho$  on A as follows:  $a \rho b$  if and only if min(a) = min(b). Prove that  $\rho$  is an equivalence relation on A.

- Solution [Reflexive]For any  $a \in A$  we have min(a) = min(a).[Symmetric]For any  $a, b \in A$ , if min(a) = min(b), then min(b) = min(a).[Transitive]For any  $a, b, c \in A$ , if min(a) = min(b) and min(b) = min(c), then min(a) = min(c).
  - (b) What is the size of the quotient set  $A/\rho$ ?
- Solution Any two non-empty subsets of S having the same minimum element are related. On the other hand, two subsets of S having different minimum elements are not related. Therefore, each equivalence class of  $\rho$  has a one-to-one correspondence with an element of S (the minimum element of every member in the class). Since S contains k elements, there are exactly k equivalence classes, that is, the size of  $A/\rho$  is k.

(c) Define a relation  $\sigma$  on A as follows:  $a \sigma b$  if and only if either a = b or min(a) < min(b). Prove that,  $\sigma$  is a partial order on A.

Solution [Reflexive] By definition, every element is related to itself.

[Antisymmetric] Take two elements  $a, b \in A$ . Suppose that  $a \sigma b$  and  $b \sigma a$ . If  $a \neq b$ , then by definition, min(a) < min(b) and min(b) < min(a), which is impossible. So we must have a = b. [Transitive] Suppose  $a \sigma b$  and  $b \sigma c$  for some  $a, b, c \in A$ . If a = b or b = c, then clearly  $a \sigma c$ . So suppose

that  $a \neq b$  and  $b \neq c$ . But then min(a) < min(b) and min(b) < min(c). This implies that min(a) < min(c), that is,  $a \sigma c$ .

- (d) Is  $\sigma$  also a total order on A?
- Solution No! Take k > 2. The sets {1} and {1,2} are distinct, but have the same minimum element, and are, therefore, not comparable.
- 11. Let A be a lattice with respect to a relation  $\leq$ . Prove that every non-empty finite subset of S has a least upper bound and a greatest lower bound. In particular, every finite lattice is complete.
  - Solution Let S be a non-empty finite subset of A with |S| = n. We prove by induction on n that lub(S) exists. A proof for the existence of glb(S) proceeds analogously.

Since  $S \neq \emptyset$ , we have  $n \ge 1$ . For n = 1, 2, the assertion about the existence of lub(S) is obvious. So take  $n \ge 3$ , and assume that every (n-1)- and (n-2)-element subset of A has a least upper bound. Take  $S = \{a_1, a_2, \ldots, a_n\} \subseteq A$ . Since A is a lattice,  $b = lub(a_{n-1}, a_n)$  exists. Let  $T = \{a_1, a_2, \ldots, a_{n-2}, b\}$ . By the induction hypothesis, T has a least upper bound (T has size n-1 or n-2).

Let  $U_S$  (resp.  $U_T$ ) be the set of all upper bounds of S (resp. T). We first claim that  $U_s = U_T$ . For the proof, first take  $u \in U_S$ . Then  $a_i \leq u$  for all i = 1, 2, ..., n. In particular,  $a_{n-1} \leq u$  and  $a_n \leq u$ . Since  $b = lub(a_{n-1}, a_n)$ , we have  $b \leq u$ , that is,  $u \in U_T$ . Conversely, if  $u \in U_T$ , then  $a_i \leq u$  for all i = 1, 2, ..., n-2, and  $b \leq u$ . Since b is an upper bound of both  $a_{n-1}$  and  $a_n$ , we also have  $a_{n-1} \leq b$  and  $a_n \leq b$ . By transitivity,  $a_{n-1} \leq u$  and  $a_n \leq u$ . Thus  $u \in U_S$ .

Since *T* has a least upper bound,  $U_T$  is non-empty and contains the unique minimum element lub(T). Since  $U_S = U_T$ , the same conclusions apply to *S* as well. It therefore follows that lub(S) = lub(T).

(**Remark:** The above result applies only to finite subsets of *A*. Infinite subsets may have no least upper bounds and/or no greatest lower bounds. For example, consider the divisibility lattice on  $\mathbb{N}$ . The lcm of any finite (and non-zero) number of elements exists, but the lcm of an infinite number of (distinct) elements does not exist.)

## **Additional Exercises**

**12.** Let  $f : A \rightarrow B$  be a function. Prove the following assertions.

- (a)  $S \subseteq f^{-1}(f(S))$  for every  $S \subseteq A$ . Give an example where the inclusion is proper.
- (b) f is injective if and only if  $S = f^{-1}(f(S))$  for every  $S \subseteq A$ .
- (c)  $f(f^{-1}(T)) \subseteq T$  for every  $T \subseteq B$ . Give an example where the inclusion is proper.
- (d) f is surjective if and only if  $f(f^{-1}(T)) = T$  for every  $T \subseteq B$ .
- (e)  $f(f^{-1}(f(S))) = f(S)$  for all  $S \subseteq A$ .
- (f)  $f^{-1}(f(f^{-1}(T))) = f^{-1}(T)$  for all  $T \subseteq B$ .

**13.** Let  $f : A \to B$  and  $g : B \to C$  be functions.

- (a) Prove that if the function  $g \circ f : A \to C$  is injective, then f is injective.
- (b) Give an example in which  $g \circ f$  is injective, but g is not injective.
- (c) Prove that if  $g \circ f$  is surjective, then g is surjective.
- (d) Give an example in which  $g \circ f$  is surjective, but f is not surjective.
- **14.** A function  $f : \mathbb{Z} \to \mathbb{Z}$  is called *nilpotent* if for some  $n \in \mathbb{N}$  we have  $f^n(a) = 0$  for all  $a \in \mathbb{Z}$ .
  - (a) Give an example of a non-constant nilpotent function.
  - (b) Prove or disprove: The function f(a) = ||a|/2| is nilpotent.
- **15.** A function  $f : \mathbb{R} \to \mathbb{R}$  is called *monotonic increasing* if  $f(a) \leq f(b)$  whenever  $a \leq b$ . It is called *strictly monotonic increasing* if f(a) < f(b) whenever a < b. One can define *monotonic decreasing* and *strictly monotonic decreasing* functions in analogous ways.
  - (a) Prove that a strictly monotonic increasing function is injective.
  - (b) Demonstrate that an injective function  $\mathbb{R} \to \mathbb{R}$  need not be strictly increasing or strictly decreasing.
  - (c) Prove that a continuous injective function  $\mathbb{R} \to \mathbb{R}$  is either strictly increasing or strictly decreasing.
- **16.** Let *A* be the set of all functions  $\mathbb{R} \to \mathbb{R}$ . Define relations  $\rho, \sigma, \tau$  on *A* as follows: (i)  $f \rho g$  if and only if  $f(a) \leq g(a)$  for all  $a \in \mathbb{R}$ ; (ii)  $f \sigma g$  if and only if  $f(0) \leq g(0)$ ; (iii)  $f \tau g$  if and only if f(0) = g(0). Argue which of the relations  $\rho, \sigma, \tau$  is/are equivalence relation(s). Argue which is/are partial order(s).

- **17.** Let  $\rho$  be a relation on a set *A*. Define  $\rho^{-1} = \{(b,a) \mid (a,b) \in \rho\}$ . Also for two relations  $\rho, \sigma$  on *A*, define the composite relation  $\rho \circ \sigma$  as  $(a,c) \in \rho \circ \sigma$  if and only if there exists  $b \in A$  such that  $(a,b) \in \rho$  and  $(b,c) \in \sigma$ . Prove the following assertions.
  - (a)  $\rho$  is both symmetric and antisymmetric if and only if  $\rho \subseteq \{(a,a) \mid a \in A\}$ .
  - (b)  $\rho$  is transitive if and only if  $\rho \circ \rho = \rho$ .
  - (c) If  $\rho$  is non-empty, then  $\rho$  is an equivalence relation if and only if  $\rho^{-1} \circ \rho = \rho$ .
  - (d)  $\rho$  is a partial order if and only if  $\rho^{-1}$  is a partial order.
- **18.** Let *A* be the set of all non-empty finite subsets of  $\mathbb{Z}$ . Define a relation  $\rho$  on *A* as:  $U \rho V$  if and only if  $\min(U) = \min(V)$ . Also define the relation  $\sigma$  on *A* as:  $U \sigma V$  if and only if  $\min(U) \leq \min(V)$ . Finally, define a relation  $\tau$  on *A* as:  $U \tau V$  if and only if either U = V or  $\min(U) < \min(V)$ .
  - (a) Prove that  $\rho$  is an equivalence relation on A.
  - (b) Identify good representatives from the equivalence classes of  $\rho$ .
  - (c) Define a bijection between the quotient set  $A/\rho$  and  $\mathbb{Z}$ .
  - (d) Prove or disprove:  $\sigma$  is a partial order on A.
  - (e) Prove or disprove:  $\tau$  is a partial order on A.
- **19.** Let  $f: A \to B$  be a function,  $\rho$  an equivalence relation on A, and  $\sigma$  an equivalence relation on B. Suppose further that if  $f(a) \sigma f(a')$ , then  $a \rho a'$ . Show by an explicit example that the association  $\overline{f}: A/\rho \to B/\sigma$  given by  $\overline{f}([a]_{\rho}) = [f(a)]_{\sigma}$  is not necessarily a function.
- **20.** Let m, n be positive integers. Prove that the assignment  $f : \mathbb{Z}_m \to \mathbb{Z}_n$  taking  $[a]_m \mapsto [a]_n$  is well-defined if and only if *m* is an integral multiple of *n*.
- \* 21. [*Genesis of real numbers*] An infinite sequence  $a_1, a_2, a_3, ...$  of rational numbers is called a *Cauchy sequence* if given any real  $\varepsilon > 0$  there exists an  $N \in \mathbb{N}$  such that  $|a_m a_n| < \varepsilon$  for all  $m, n \ge N$ . Let C denote the set of all Cauchy sequences of rational numbers.
  - (a) Prove that any Cauchy sequence converges, that is, has a limit.
  - (b) Establish that the limit of a Cauchy sequence may be irrational.
  - (c) Define a relation  $\rho$  on C as  $S \rho T$  if and only if  $\lim S = \lim T$ . Prove that  $\rho$  is an equivalence relation.
  - (d) Convince yourself that  $C/\rho$  is essentially the set  $\mathbb{R}$  of real numbers. This process of the generation of  $\mathbb{R}$  from  $\mathbb{Q}$  is called *completion*. Another method of defining  $\mathbb{R}$  uses *Dedekind cuts*.
  - **22.** Let  $\rho$  be a total order on *A*. We call  $\rho$  a *well-ordering* of *A* if every non-empty subset of *A* contains a least element. Which of the following sets is/are well-ordered under the standard  $\leq$  relation:  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}^+, \mathbb{R}$ ?
  - **23.** A *string* is a finite ordered sequence of symbols from a finite alphabet. We start with a predetermined total ordering of the alphabet, and then define the usual dictionary order on strings. Prove that this dictionary order (called *lexicographic ordering*) is a total ordering. Is it also a well-ordering?
  - **24.** Define a relation  $\leq_{DL}$  on  $A = \mathbb{N} \times \mathbb{N}$  as follows. Take  $(a,b), (c,d) \in A$  and call  $(a,b) \leq_{DL} (c,d)$  if either (i) a+b < c+d, or (ii) a+b = c+d and  $a \leq c$ .
    - (a) Prove that  $\leq_{DL}$  is a partial order on *A*.
    - (b) Prove that  $\leq_{DL}$  is a total order on *A*.
    - (c) Is A well-ordered by  $\leq_{DL}$ ?
    - (d) Prove or disprove: An infinite subset of A may contain a maximum element.

**Note:** The ordering  $\leq_{DL}$  on *A* is called the *degree-lexicographic ordering*. Identify  $(a,b) \in A$  with the monomial  $X^a Y^b$ . First, order monomials with respect to their degrees. For two monomials of the same degree, apply lexicographic ordering. For example,  $XY^3 \leq_{DL} Y^5$  and  $XY^3 \leq_{DL} X^2 Y^2$ .

- **25.** Generalize the degree-lexicographic ordering on  $\mathbb{N}^n$  for any fixed  $n \ge 3$ .
- **26.** Consider the following relation  $\rho$  on the set  $\mathbb{Q}^+$  of all positive rational numbers. Take  $a/b, c/d \in \mathbb{Q}^+$  with gcd(a,b) = gcd(c,d) = 1. Call  $(a/b)\rho(c/d)$  if and only if either (i) a+b < c+d or (ii) a+b = c+d and  $a \leq c$ . Prove that  $\rho$  is a total order. Prove that  $\mathbb{Q}^+$  is well-ordered by  $\rho$ .
- **27.** Construct a well-ordering of  $\mathbb{Q}$ .

- **28.** Let *A* be the set of all functions  $\mathbb{N} \to \mathbb{N}$ . For  $f, g \in A$ , define  $f \leq g$  if and only if  $f(n) \leq g(n)$  for all  $n \in \mathbb{N}$ . prove that  $\leq$  is a partial order on *A*. Is  $\leq$  also a total order?
- **29.** Let *A* be the set of all functions  $\mathbb{N}_0 \to \mathbb{R}^+$ .
  - (a) Define a relation  $\Theta$  on A as  $f \Theta g$  if and only if  $f = \Theta(g)$ . Prove that  $\Theta$  is an equivalence relation.
  - (b) Define a relation O on A as f O g if and only if f = O(g). Argue that O is not a partial order.

Define a relation O on  $A/\Theta$  as [f] O [g] if and only if f = O(g).

- (c) Establish that the relation O is well-defined.
- (d) Prove that O is a partial order on  $A/\Theta$ .
- (e) Prove or disprove: O is a total order on  $A/\Theta$ .
- (f) Prove or disprove:  $A/\Theta$  is a lattice under O.
- **30.** Let *k* be a fixed positive integer. Define a relation  $\leq$  on  $A = \mathbb{Z}^k$  as:  $(a_1, a_2, \dots, a_k) \leq (b_1, b_2, \dots, b_k)$  if and only if  $a_i \leq b_i$  for all  $i = 1, 2, \dots, k$ . Prove that *A* is a lattice under this relation.
- **31.** Let *A* be a poset under the relation  $\rho$ . Prove or disprove:
  - (a) If  $\rho$  is a total order, then A is a lattice.
  - (b) If A is a lattice, then  $\rho$  is a total order.
- **32.** Let *A* be a poset. We call *A* a *meet-semilattice* (resp. *join-semilattice*) if glb(a,b) (resp. lub(a,b)) exists for all  $a, b \in A$ . *A* is a lattice if and only if it is both a meet-semilattice and a join-semilattice. Give examples of:
  - (a) A meet-semilattice which is not a lattice.
  - (b) A join-semilattice which is not a lattice.