**Third Test** 

- **1.** Consider the following three sets.
  - S = The set of all infinite bit sequences,
  - A = The set of all infinite bit sequences containing two consecutive 0's (at least once),
  - B = The set of all infinite bit sequences not containing two consecutive 0's.

In the class, we have seen that S is uncountable. This exercise deals with the countability/uncountability of A and B.

(a) Propose an *injective* map  $f: S \to A$ , and argue about the countability/uncountability of A. (5)

(5)

Solution Take an element (an infinite bit sequence)  $\alpha \in S$ . If  $\alpha$  does not contain 0, that is, if  $\alpha = 111...1...$ , take  $f(\alpha) = 00111...1...$  Otherwise, let  $\hat{\alpha}$  be the string obtained by duplicating the *first* 0 in  $\alpha$ , and define  $f(\alpha) = 1\hat{\alpha}$ . For example, f(010101...) = 10010101..., f(0111...1...) = 100111...1..., and f(000...0...) = 10000...0...

The injective map implies  $|S| \leq |A|$ . But *S* is already uncountable, so *A* is uncountable too.

- (b) Prove whether *B* is countable or uncountable.
- Solution *B* is uncountable. Let *T* be the set of all infinite sequences over the alphabet  $\{1,2\}$ . Define a map  $g: T \to B$  as follows. Take any  $\beta \in T$ , and replace every occurrence of 2 by 01 to get the string  $\hat{\beta}$ , and define  $g(\beta) = \hat{\beta}$ . Since we replace all occurrences of 2 by 01,  $\hat{\beta}$  does not contain any 2, so  $\hat{\beta} \in S$ . Moreover, the construction does not introduce two consecutive 0's in  $\hat{\beta}$ , so  $\hat{\beta} \in B$  too, that is, *g* is well-defined. Finally, it is easy to see that *g* is injective (indeed *g* is a bijection). It follows that  $|T| \leq |B|$ , and like *A*, the set *B* is uncountable too.
- **2.** Consider the sequence  $a_0, a_1, a_2, \ldots$  defined recursively as follows.

$$a_0 = 0,$$
  
 $a_1 = 1,$   
 $a_2 = 2,$   
 $a_n = 2a_{n-2} + a_{n-3} + 2$  for all  $n \ge 3$ .

(a) Derive a closed-form expression for the (ordinary) generating function  $A(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n + \dots$  of the sequence. (5)

Solution We have

$$\begin{aligned} A(x) &= a_0 + a_1 x + a_2 x^2 + \sum_{n \ge 3} a_n x^n \\ &= x + 2x^2 + \sum_{n \ge 3} (2a_{n-2} + a_{n-3} + 2)x^n \\ &= x + 2x^2 + 2x^2 \sum_{n \ge 3} a_{n-2} x^{n-2} + x^3 \sum_{n \ge 3} a_{n-3} x^{n-3} + 2x^3 \sum_{n \ge 3} x^{n-3} \\ &= x + 2x^2 + 2x^2 (A(x) - 0) + x^3 A(x) + \frac{2x^3}{1 - x} \\ &= (2x^2 + x^3)A(x) + \frac{x + x^2}{1 - x}. \end{aligned}$$
$$\begin{aligned} A(x) &= \frac{x + x^2}{(1 - x)(1 - 2x^2 - x^3)} = \frac{x(1 + x)}{(1 - x)(1 + x)(1 - x - x^2)} = \frac{x}{(1 - x)(1 - x - x^2)}. \end{aligned}$$

(b) From the closed-form expression of A(x) derived in Part (a), establish that  $a_n = F_{n+2} - 1$  for all  $n \ge 0$ , where  $F_0, F_1, F_2, \ldots$  is the Fibonacci sequence. Use no other method. (5)

$$\frac{x}{(1-x)(1-x-x^2)} = \frac{A}{1-x} + \frac{Bx+c}{1-x-x^2}.$$

Solving gives A = -1 and B = C = 1, that is,

$$A(x) = \frac{1+x}{1-x-x^2} - \frac{1}{1-x}.$$

The OGF of the Fibonacci sequence is  $\frac{x}{1-x-x^2}$ , that is,  $\frac{x}{1-x-x^2}$  generates  $F_0, F_1, F_2, \dots, F_n, \dots$  This implies that  $\frac{1}{1-x-x^2}$  generates  $F_1, F_2, F_3, \dots, F_{n+1}, \dots$  Finally,  $\frac{1}{1-x}$  generates  $1, 1, 1, \dots$  Therefore, we have  $a_n = F_n + F_{n+1} - 1 = F_{n+2} - 1$  for all  $n \ge 0$ .

**3.** Solve the following recurrence, and obtain the closed-form expression for  $a_n$ .

$$a_n = 8a_{n-2} - 16a_{n-4} + 2^n$$
 (for  $n \ge 4$ ) with  $a_0 = 1$ ,  $a_1 = \frac{17}{4}$ ,  $a_2 = 30$ ,  $a_3 = 41$ 

Note: Use of generating functions is not allowed in this exercise.

Solution The characteristics equation for the homogeneous part of the given recurrence is:

$$r^4 - 8r^2 + 16 = 0 \quad \Rightarrow \quad (r-2)^2(r+2)^2 = 0$$

which derives the four roots as, 2, 2, -2, and -2. Hence, the general form of the homogeneous solution is:

$$a_n^{(h)} = (A + Bn)2^n + (C + Dn)(-2)^n.$$

From the given recurrence, we also get the general form of the particular solution is:

$$a_n^{(p)} = En^2 2^n$$

Solving for the particular solution constant from the following:

$$En^{2}2^{n} = 8E(n-2)^{2}2^{n-2} - 16E(n-4)^{2}2^{n-4} + 2^{n}$$
, we get  $E = \frac{1}{8}$ .

Therefore, the final generic solution form is:  $\left(a_n = a_n^{(h)} + a_n^{(p)}\right)$ 

$$a_n = (A + Bn)2^n + (C + Dn)(-2)^n + \frac{1}{8}n^22^n.$$

Now, solving for the constants in the above equation, we find:

$$a_{0} = 1 = A + C$$

$$a_{1} = \frac{17}{4} = 2A + 2B - 2C - 2D + \frac{1}{4}$$

$$a_{2} = 30 = 4A + 8B + 4C + 8D + 2$$

$$a_{3} = 41 = 8A + 24B - 8C - 24D + 9C$$

which yields A = 1, B = 2, C = 0, D = 1. So, the final solution to the given recurrence is:

$$a_n = 2^n + 2n2^n + n(-2)^n + \frac{1}{8}n^2 2^n = \left[1 + 2n + \frac{1}{8}n^2\right]2^n + n(-2)^n, \quad n \ge 0.$$

**4.** (a) Let  $A = \mathbb{Z} \times \mathbb{Z}$ , and  $\lambda$  a fixed (constant) positive integer. Define two operations  $\oplus$  and  $\odot$  on A as

$$(a,b) \oplus (c,d) = (a+c,b+d), (a,b) \odot (c,d) = (ad+bc,bd+\lambda ac)$$

(10)

A is a commutative ring with identity under these two operations. You do not have to verify the ring axioms, but only mention what the additive and the multiplicative identities are in A (no need to prove their identity properties). Also, prove that A is an integral domain if and only if  $\lambda$  is **not** a perfect square. (2)

(2 + 4)

Solution Additive identity: (0,0). Multiplicative identity: (0,1).

Suppose that  $\lambda$  is not a perfect square, and  $(a,b) \odot (c,d) = (0,0)$ , that is, ad + bc = 0 and  $bd + \lambda ac = 0$ . But then,  $a(bd + \lambda ac) - b(ad + bc) = 0$ , that is,  $(\lambda a^2 - b^2)c = 0$ . Since  $\lambda$  is not a perfect square, we cannot have  $\lambda a^2 - b^2 = 0$  or  $\lambda = (b/a)^2$ . Therefore we must have c = 0. This in turn implies ad = 0 and bd = 0. If d = 0, we have c = d = 0, whereas if  $d \neq 0$ , we have a = b = 0. That is, A does not contain non-zero zero divisors.

Conversely, let  $\lambda = \alpha^2$ . As derived above, we see that  $\lambda a^2 - b^2 = 0$  is a necessary condition for the existence of non-zero zero divisors. We need to show that this condition is also sufficient. Taking a = 1 and  $b = \alpha$  satisfies the condition. We should also have ad + bc = 0, that is,  $\frac{a}{b} = -\frac{c}{d}$ , that is, we can take c = 1 and  $d = -\alpha$ . But then,  $bd + \lambda ac = -\alpha^2 + \lambda = 0$ . Since  $(1, \alpha)$  and  $(1, -\alpha)$  are non-zero elements of A, and  $(1, \alpha) \odot (1, -\alpha) = (0, 0)$ , A is not an integral domain.

(b) Let  $(G, \circ)$  be a group, and *c* a fixed element of *G*. Define a binary operation \* on *G* by  $a * b = a \circ c \circ b$  for all  $a, b \in G$ . Prove that (G, \*) is a group, clearly showing that all the properties of a group are satisfied. (4)

Solution (G,\*) is a group, because it satisfies the following properties of a group.

**Closure:** For any  $p,q \in G$ ,  $p * q = p \circ c \circ q \in G$ , since  $c \in G$  and *G* is closed under the operation  $\circ$ .

Associativity: For any  $p,q,r \in G$ , since G is associative under the operation  $\circ$ , we get:

 $(p*q)*r = (p \circ c \circ q) \circ c \circ r = p \circ c \circ (q \circ c \circ r) = p*(q*r)$ 

**Identity:**  $c^{-1}$  is the identity element. For any element  $p \in G$ , we get:

$$p * c^{-1} = p \circ c \circ c^{-1} = p \circ e_G = p$$
 and  $c^{-1} * p = c^{-1} \circ c \circ p = e_G \circ p = p$ 

where,  $e_G \in G$  is the identity element with respect to the group  $(G, \circ)$ .

**Inverse:** For any element  $p \in G$ , let  $p' \in G$  be its inverse with respect to \*. Now, by definition we should get  $p * p' = c^{-1} = p' * p$ .

 $\therefore p \circ c \circ p' = c^{-1}$  or  $p' \circ c \circ p = c^{-1} \Rightarrow p' = c^{-1} \circ p^{-1} \circ c^{-1}$ 

where,  $p^{-1}$  is the inverse of p with respect to the operation  $\circ$ .