

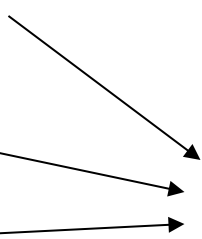


# Sorting

# Sorting Data Items

- Consider a set of data items
  - Each item may have more than one field
    - Example: a student record with name, roll no, CGPA,...
- Sort the set in ascending/descending order of some **key** value (some value of the data)
  - Sort a set of integers (the key value is the value of the integer)
  - Sort a set of student records according to roll no (the key value is roll no, though a student record has other values too)

# Different Sorting Techniques

- Selection sort (already seen)
  - Bubble sort (read from text)
  - Insertion sort
  - Mergesort
  - Quicksort
  - Heapsort
  - Bucket sort
  - ....
- We will discuss these**
- 

**Question: which one should you use?**  
(will look at this later)

# Assumptions

- For all sorting techniques, we will take the input as an array of integers
- The sorting technique will reposition the elements in the array such that they are sorted in **ascending** order
- Same technique can be used to sort any other data type or sort in descending order



# Insertion Sort

# Insertion Sort

- Suppose we know how to insert a new element  $x$  in its proper place in an already sorted array  $A$  of size  $k$ , to get a new sorted array of size  $k+1$
- Use this to sort the given array  $A$  of size  $n$  as follows:
  - Insert  $A[1]$  in the sorted array  $A[0]$ . So now  $A[0], A[1]$  are sorted
  - Insert  $A[2]$  in the sorted array  $A[0], A[1]$ . So now  $A[0], A[1], A[2]$  are sorted
  - Insert  $A[3]$  in the sorted array  $A[0], A[1], A[2]$ . So now  $A[0], A[1], A[2], A[3]$  are sorted
  - .....
  - Insert  $A[i]$  in the sorted array  $A[0], A[1], \dots, A[i-1]$ . So now  $A[0], A[1], \dots, A[i]$  are sorted
  - Continue until  $i = n-1$  (outer loop)

# How to do the first step

- Compare  $x$  with  $A[k-1]$  (the last element)
  - If  $x \geq A[k-1]$ , we can make  $A[k] = x$  (as  $x$  is the max of all the elements)
  - If  $x < A[k-1]$ , put  $A[k] = A[k-1]$  to create a hole in the  $k$ -th position, put  $x$  there
- Now repeat by comparing  $x$  with  $A[k-2]$  (inserting  $x$  in its proper place in the sorted subarray  $A[0], A[1], \dots, A[k-1]$  of  $k-2$  elements)
- The value  $x$  bubbles to the left until it finds an element  $A[i]$  such that  $x \geq A[i]$
- No need to compare any more as all elements  $A[0], A[1], A[i]$  are less than  $x$

# Example of first step

A 

5	7	11	13	20	22	
---	---	----	----	----	----	--

 Insert  $x = 15$



# Example of first step

A 

5	7	11	13	20	22	
---	---	----	----	----	----	--

 Insert  $x = 15$

Compare with 22.  $x < 22$ , so move 22 right

5	7	11	13	20	15	22
---	---	----	----	----	----	----

# Example of first step

**A**

5	7	11	13	20	22	
---	---	----	----	----	----	--

      Insert  $x = 15$

Compare with 22.  $x < 22$ , so move 22 right

5	7	11	13	20	15	22
---	---	----	----	----	----	----

Compare with 20.  $x < 20$ , so move 20 right

5	7	11	13	15	20	22
---	---	----	----	----	----	----

# Example of first step

**A**

5	7	11	13	20	22	
---	---	----	----	----	----	--

 Insert  $x = 15$

Compare with 22.  $x < 22$ , so move 22 right

5	7	11	13	20	15	22
---	---	----	----	----	----	----

Compare with 20.  $x < 20$ , so move 20 right

5	7	11	13	15	20	22
---	---	----	----	----	----	----

Compare with 13.  $x > 13$ , so stop

**A**

5	7	11	13	15	20	22
---	---	----	----	----	----	----

# Sort using the insertion

A 

7	5	13	11	22	20
---	---	----	----	----	----

Insert 5 in 7

5	7	13	11	22	20
---	---	----	----	----	----

Insert 13 in 5, 7

5	7	13	11	22	20
---	---	----	----	----	----

Insert 11 in 5, 7, 13

5	7	11	13	22	20
---	---	----	----	----	----

Insert 22 in 5, 7, 11, 13

5	7	11	13	22	20
---	---	----	----	----	----

Insert 20 in 5, 7, 11, 13, 22

5	7	11	13	20	22
---	---	----	----	----	----

# Insertion Sort Code

```
void InsertionSort (int A[ ], int size)
{
    int i, j, item;
    for (i=1; i<size; i++)
    { /* Insert the element in A[i] */
        item = A[i] ;
        for (j = i-1; j >= 0; j--)
            if (item > A[j])
            { /* push elements down*/
                A[j+1] = A[j];
                A[j] = item ; /* can do this once finally also */
            }
            else break; /*inserted, exit loop */
        }
    }
}
```

# Look at the sorting!

```
void InsertionSort (int A[ ], int size) {  
    int i,j, item;  
    for (i=1; i<size; i++) {  
        printf("i = %d:: ",i);  
        for (j=0;j<size;j++) printf("%d, ",A[j]);  
        printf("\n"); item = A[i] ;  
        for (j=i-1; j>=0; j--)  
            if (item > A[j])  
                { A[j+1] = A[j]; A[j] = item ; }  
        else break;  
    }  
}
```

```
int main() {  
    int X[100], i, size;  
    scanf("%d",&size);  
    for (i=0;i<size;i++) scanf("%d",&X[i]);  
    InsertionSort(X,size);  
    printf("Result = ");  
    for (i=0;i<size;i++) printf("%d, ",X[i]);  
    printf("\n"); return 0;  
}
```

```
8  
2  
9  
4  
7  
6  
2  
1  
5  
i = 1:: 2, 9, 4, 7, 6, 2, 1, 5,  
i = 2:: 9, 2, 4, 7, 6, 2, 1, 5,  
i = 3:: 9, 4, 2, 7, 6, 2, 1, 5,  
i = 4:: 9, 7, 4, 2, 6, 2, 1, 5,  
i = 5:: 9, 7, 6, 4, 2, 2, 1, 5,  
i = 6:: 9, 7, 6, 4, 2, 2, 1, 5,  
i = 7:: 9, 7, 6, 4, 2, 2, 1, 5,  
Result = 9, 7, 6, 5, 4, 2, 2, 1,
```



# Mergesort

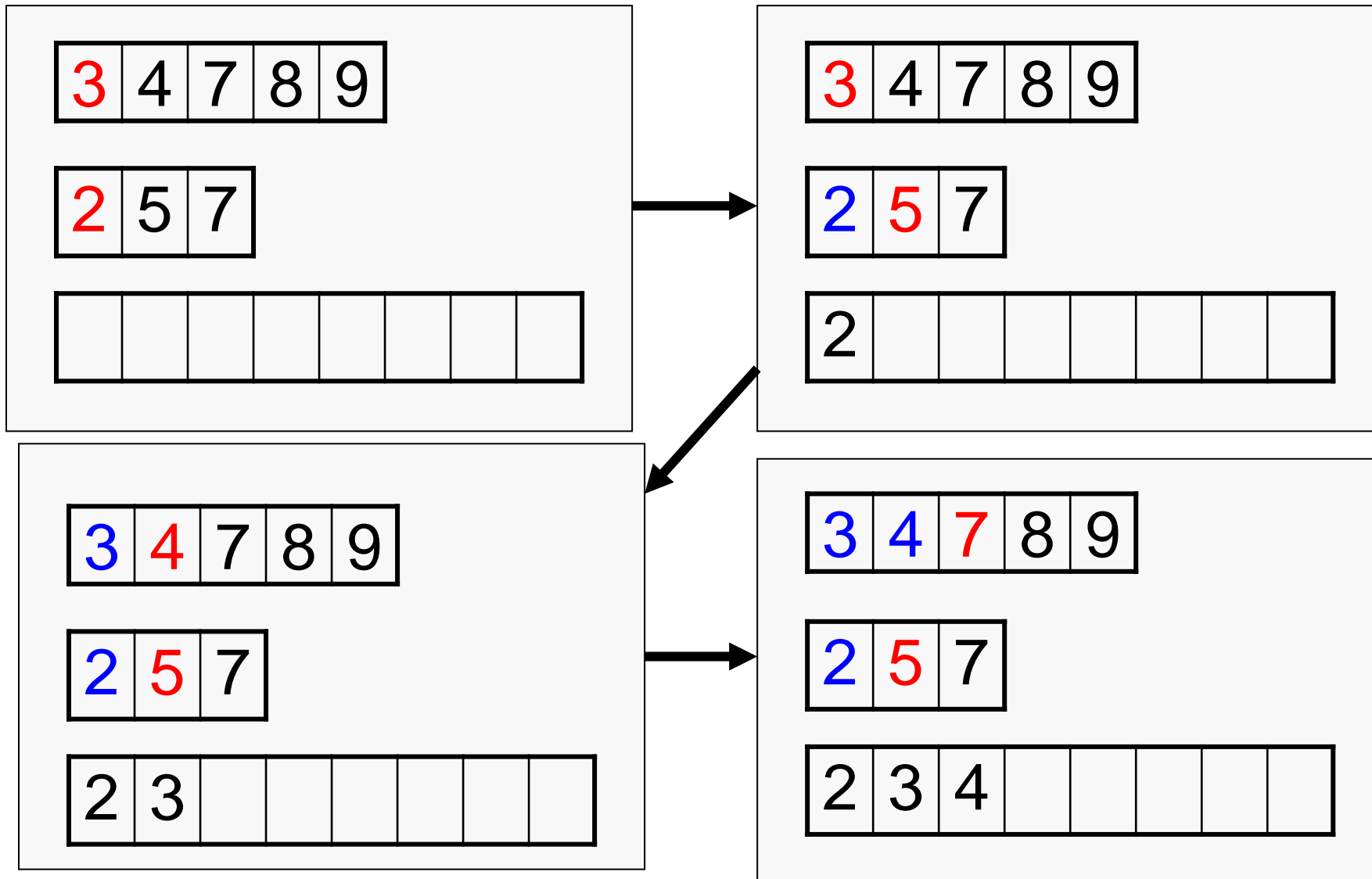
# Basic Idea

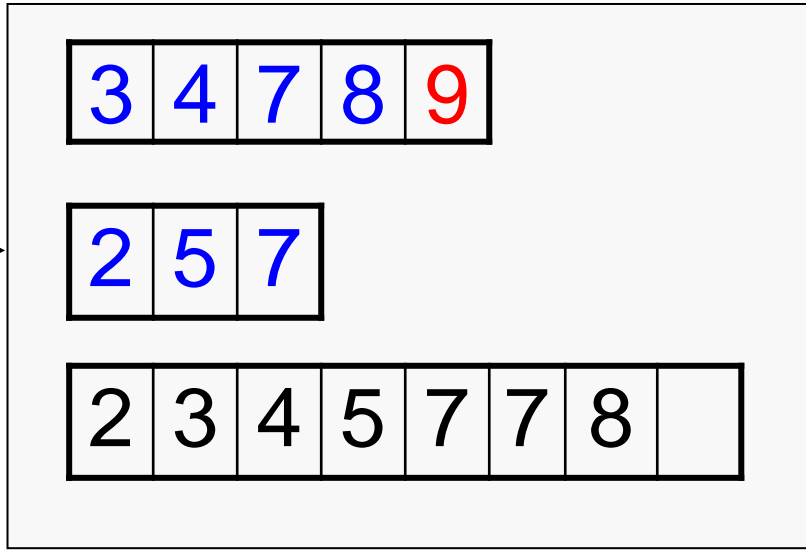
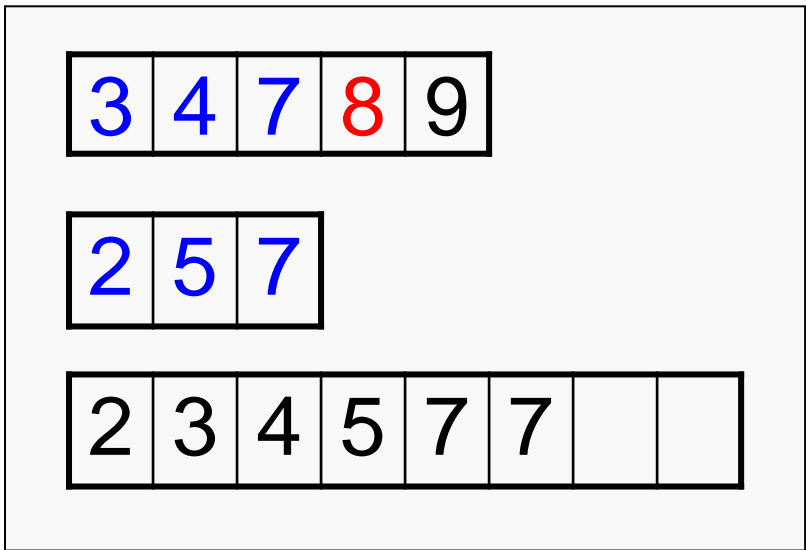
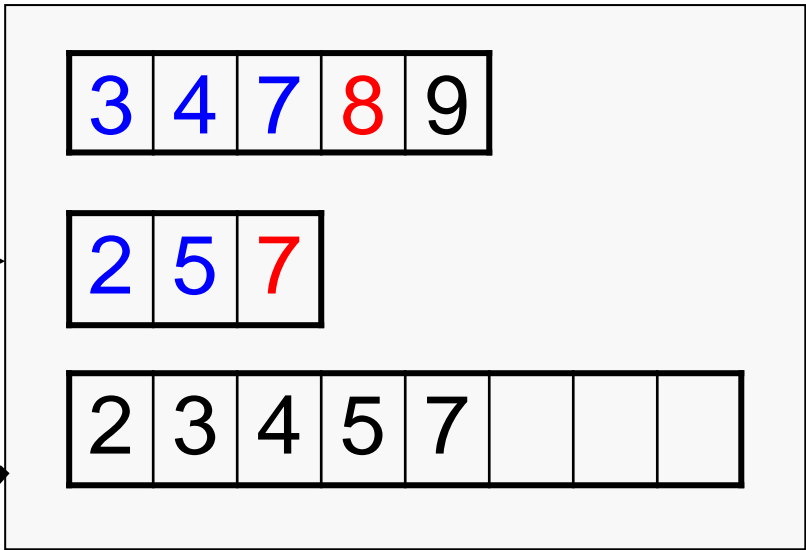
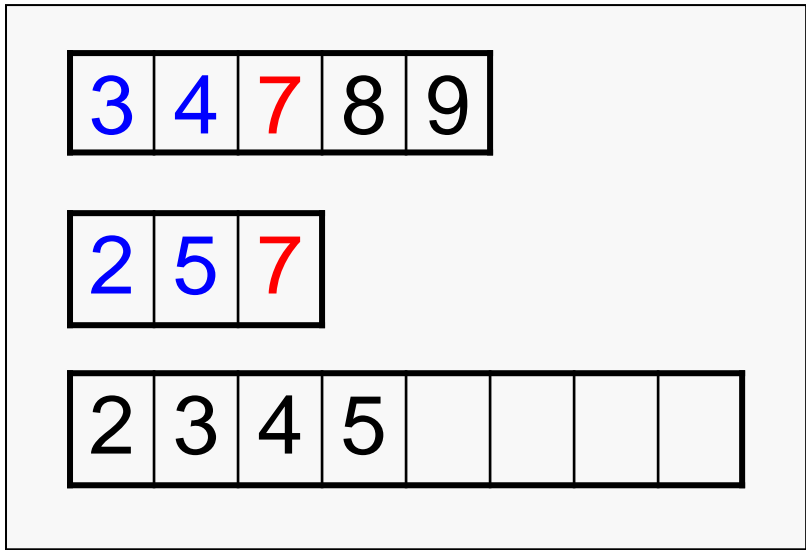
- Divide the array into two halves
- Sort the two sub-arrays
- Merge the two sorted sub-arrays into a single sorted array
- Step 2 (sorting the sub-arrays) is done recursively (divide in two, sort, merge) until the array has a single element (base condition of recursion)



# Merging Two Sorted Arrays

**Problem:** Two sorted arrays A and B are given. We are required to produce a final sorted array C which contains all elements of A and B.





# Merge Code

3	4	7	8	9
---	---	---	---	---

2	5	7
---	---	---

2	3	4	5	7	7	8	9
---	---	---	---	---	---	---	---

```
void
merge (int *A, int *B, int *C, int m,int n)
{
    int i=0,j=0,k=0;
    while (i<m && j<n)
    {
        if (A[i] < B[j]) C[k++] = A[i++];
        else C[k++] = B[j++];
    }
    while (i<m) C[k++] = A[i++];
    while (j<n) C[k++] = B[j++];
}
```

# Merge Sort: Sorting an array recursively

```
void mergesort (int *A, int n)
{
    int i, j, *B;
    if (n <= 1) return;
    B = (int *)malloc(n*sizeof(int));
    i = n/2;
    mergesort(A, i);
    mergesort(A+i, n-i);
    merge(A, A+i, B, i, n-i);
    for (j=0; j<n; j++) A[j] = B[j];
    free(B);
}
```



# Quicksort

# Basic Idea

- Choose any element  $x$  in the array as pivot
- Place  $x$  in  $A$  such that
  - All elements to the left of  $x$  are  $\leq x$
  - All elements to the right of  $x$  are  $> x$
  - So  $x$  is now in its proper position in the final sorted array
- Recursively sort the left and right sides of  $x$

# Easy to do with additional temporary arrays

- Let  $S = [a_1, a_2, a_3, \dots, a_n]$ ;
- if  $n == 1$  return  $S$ ;
- chose a pivot element (say  $a_1$ ) from  $S$ ;
- $L$  = an array containing all elements  $\leq$  pivot
- $M$  = an array containing all elements  $>$  pivot
- Sort  $L$  and  $M$  separately using the same method

# Partition and Sort

Instead of using two additional arrays L and M, shift the elements of S in such a way that the pivot element moves to its actual position, those  $<$  than pivot go to its left and those  $\geq$  to its right. Then recursively call the sorting on the two parts of the same array.



# Partition and Sort

Instead of using two additional arrays L and M, shift the elements of S in such a way that the pivot element moves to its actual position, those  $<$  than pivot go to its left and those  $\geq$  to its right. Then recursively call the sorting on the two parts of the same array.

```
void quicksort(int *A, int p, int r)
{
    int index;
    if(p >= r) return;
    index = partition(A, p, r);
    quicksort(A, p, index-1);
    quicksort(A, index+1, r);
}
```

The subarray  
between **A[p]** and  
**A[r]** is to be sorted

**index** = position  
where pivot is  
placed

# Partition: Working example

5	3	2	6	8	1	3	7
---	---	---	---	---	---	---	---

5	3	2	6	8	1	3	7
---	---	---	---	---	---	---	---

5	3	2	3	8	1	6	7
---	---	---	---	---	---	---	---

5	3	2	3	8	1	6	7
---	---	---	---	---	---	---	---

5	3	2	3	1	8	6	7
---	---	---	---	---	---	---	---

5	3	2	3	1	8	6	7
---	---	---	---	---	---	---	---

1	3	2	3	5	8	6	7
---	---	---	---	---	---	---	---

↑  
Partitioned here

Partitioning method:

1. Choose first element as **pivot (green)**
2. Move **left index i, (red)** forward to reach an element  $>$  pivot
3. Move **right index j, (blue)** backward to reach an element  $\leq$  pivot
4. If  $i < j$  then exchange  $A[i]$  and  $A[j]$ ;  $j--$ ;
5. Go back to 2 as long as  $i < j$
6. Exchange the pivot element with element in index  $j$
7. Return  $j$ ;

# The partition function

```
int partition(int *A, int p, int r)
{
    int pivot, i, j, k, temp;
    pivot = A[p];
    i = p;  j = r;
    while(i < j){
        while(A[i] <= pivot && i<=r) i++;
        while(A[j] > pivot) j--;
        if (i<j){
            temp = A[i]; A[i] = A[j]; A[j] = temp;
            j--;
        }
    }
    temp = A[j]; A[j] = A[p]; A[p] = temp;
    return j;
}
```

# Partition in action

```
int partition(int *A, int p, int r)
{
    int pivot, i, j, k, temp;
    pivot = A[p];
    i = p; j = r;
    while(i<j){
        while(A[i] <= pivot && i<=r) i++;
        while(A[j] > pivot) j--;
        if (i<j){
            temp = A[i]; A[i] = A[j];
            A[j] = temp;
            printf("In partition:
                i = %d, j = %d\n", i,j);
            for (k=p; k<=r; k++)
                printf("%d, ", A[k]);
            printf("\n");
            j--;
        }
    }
    temp = A[j]; A[j] = A[p];
    A[p] = temp;
    return j;
}
```

```
int main()
{ int A[10], n, i, j;
  scanf("%d", &n);
  for (i=0; i<n; i++) scanf("%d", &A[i]);
  for (i=0; i<n; i++) printf("%d, ", A[i]);
  printf("\n");
  printf("Partitioned at %d\n", partition(A,0,n-1));
  for (i=0; i<n; i++) printf("%d, ", A[i]);
  printf("\n");
  return 0;
}
```

```
8
5 3 2 6 4 1 3 7
5, 3, 2, 6, 4, 1, 3, 7,
In partition: i = 3, j = 6
5, 3, 2, 3, 4, 1, 6, 7,
Partitioned at 5
1, 3, 2, 3, 4, 5, 6, 7,
```

# quicksort and partition functions

```
int partition(int *A, int p, int r)
{
    int pivot, i,j,temp;
    pivot = A[p];
    i = p; j = r;
    while(i < j){
        while(A[i] <= pivot && i<=r) i++;
        while(A[j] > pivot) j--;
        if (i < j){
            temp = A[i]; A[i] = A[j];
            A[j] = temp;
            j--;
        }
    }
    temp = A[j]; A[j] = A[p]; A[p] = temp;
    return j;
}
```

```
void quicksort(int *A, int p, int r)
{
    int index;
    if(p >= r) return;
    index = partition(A,p,r);
    quicksort(A,p,index-1);
    quicksort(A,index+1,r);
}
```