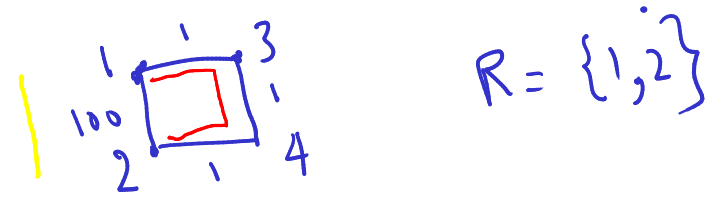


Steiner Tree problem:

Input: An undirected weighted graph $G=(V, E)$. Each edge e has a non-negative cost $c(e)$. A bipartition of V into a set of "required vertices" R , and a set of "Steiner vertices" S . $R \cap S$ is empty, and $R \cup S = V$. Find a minimum cost tree that contains all the vertices in R , and a subset (possibly empty) of vertices from S .



Travelling Salesman Problem:

Input: is a completed undirected graph G . Each edge has a non-negative cost $c(e)$. Find a cycle that visits each vertex exactly once (i.e. a Hamiltonian cycle) of minimum total weight.

Metric version: Complete graph. Edge costs satisfy triangle inequality. For every u, v, w in V , $c(u,v) + c(v,w) \geq c(u,w)$.

Steiner tree reduces to Metric Steiner tree problem

approximation
factor preserving
reduction.

Given: Complete undirected
graph G , and cost function
satisfies Δ -inequality.

Claim 1: If there exists a polytime α -approximation algorithm for the Metric Steiner Tree problem, then that can be used to construct a polytime α -approximation algo for the general Steiner tree problem.

Claim 2: Let α be a polytime computable (*) function, and $P \neq NP$. Then there does not exist a $\alpha(n)$ -factor polytime appo-

Approximation algo for TSP.

[⊗] There is an algo that, given n , computes $\alpha(n)$ in $\text{poly}(n)$ time.

For metric TSP, there exists a $\frac{3}{2}$ -approximation algorithm.

Proof of claim 1: Let A be a polytime α -approximation algorithm for the metric Steiner ^{tree} problem. Let $(G = (V, E), c)$ be an instance for the (general) Steiner tree problem.

Step 1: Let G' be a complete graph with vertex set V . Define $c'(u, v) := \underbrace{\text{sum of cost of edges}}_{\text{Length of a shortest path from } u \text{ to } v \text{ in } G \text{ (w.r.t. } c)}.$ (G', c') is called the metric closure of G .

There is a path from u to w in G of total cost $c'(u, v) + c'(v, w)$.

$$c'(u, w) \leq c'(u, v) + c'(v, w).$$

claim: $\text{OPT}_{G'} \leq \text{OPT}_G$.

Consider an optimal Steiner tree T of G . T is also a Steiner tree of G' . For $(u,v) \in E$,

$$c'(u,v) \leq c(u,v). \quad \text{--- (1)}$$

$$\Rightarrow \text{OPT}_{G'} \leq c'(T) \stackrel{(1)}{\leq} c(T) = \text{OPT}_G.$$

Step 2: Run A on (G', c') . Let T be the output.

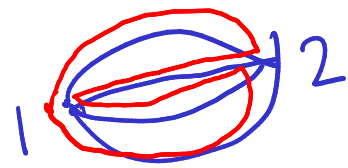
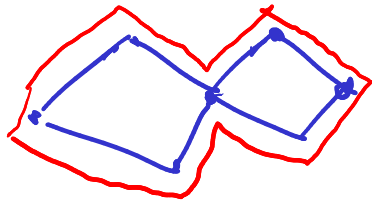
Replace each edge (u,v) in T with a shortest path from u to v in G . Call the resultant graph T' .

[If (G, c) has a Steiner tree, then T does not have any edge with infinite cost. So, u & v are connected in T]

T' contains all vertices in R . By removing edges if necessary, turn T' into a tree T'' .

$$c(T'') \leq c(T') = c'(T) \leq 2 \cdot \text{OPT}_{G'} \leq 2 \cdot \text{OPT}_G.$$

An Euler circuit of a ^(multi)graph is a closed path that traverses each edge exactly once.



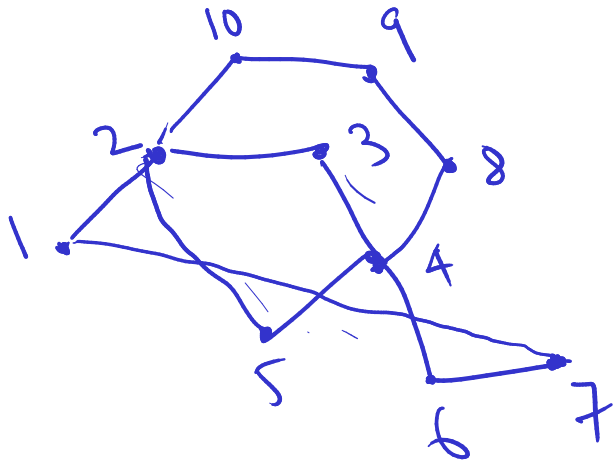
$\deg(1) = 4 = \deg(2)$.

a graph has an Euler circuit \Leftrightarrow Degree of each vertex is even.

exercise

(given a multigraph

in which the degree of each vertex is even, an Euler circuit can be constructed in polynomial time).



$$C_1: \begin{matrix} 1 & 2 & 3 & 4 & 5 & 2 & 10 & 9 \\ 8 & 4 & 3 & 2 & 5 & 4 & 6 & 7 & 1 \end{matrix}$$



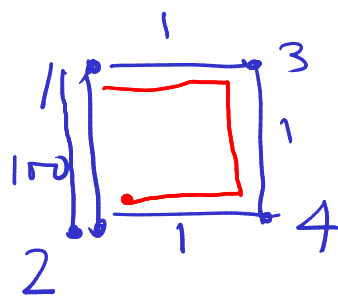
$$C_2: \begin{matrix} 1 & 2 & 3 & 4 & 5 & \times & 10 & 9 & 8 & \times \\ \times & \times & \times & \times & 6 & 7 & \times & \times & \times & \times \end{matrix}$$

claim : $c(C_2) \leq c(C_1)$.

Metric Steiner Tree

Input: Complete graph $G = (V, E)$, $c: E \rightarrow \mathbb{R}^{\geq 0}$ satisfies 4-inequality, $R \subseteq V$ ("required set"). $S := V \setminus R$ (Steiner vertices).

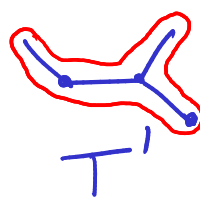
Algorithm: - Return an MST T of the subgraph of G induced by R .



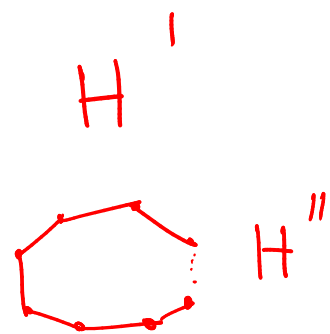
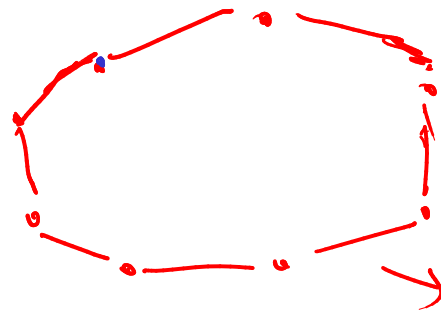
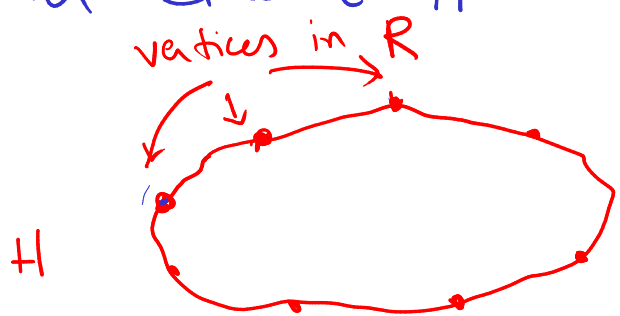
$R = \{1, 2\}$.

Claim: $c(T) \leq 2 \cdot \text{OPT}$

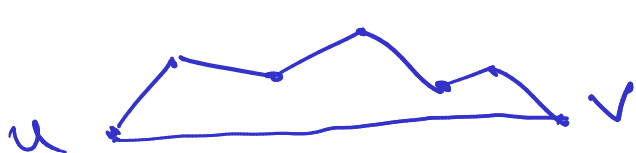
Proof: Let T' be an optimal Steiner tree. Double the edges of T' call the resultant multigraph T'' .



The degree of each vertex of T'' is even. T'' has an Euler circuit H . $c(H) = 2 \cdot c(T')$.



$$c(H') \leq c(H)$$



$$c(T) \leq c(H'') \leq c(H') \leq c(H) = 2 \cdot c(T') = 2 \cdot \text{OPT}$$



$$\Rightarrow c(T) \leq 2 \cdot \text{OPT}$$

Proof of claim 2: Assume that $P \neq NP$.

Def. A Hamiltonian cycle of a graph G is a cycle that contains every vertex of G exactly once.
(Hamiltonian Cycle Problem). Given an undirected graph, does there exist a Hamiltonian cycle?

Fact: Hamiltonian Cycle Problem (HC) is NP-complete. Since $P \neq NP$,

(S1) There does not exist a polynomial time algorithm for HC.

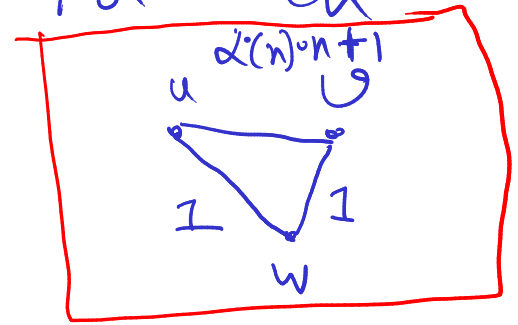
Towards a contradiction, assume that there exists a polytime $\alpha(n)$ -approximation algorithm^A for TSP.

We now describe a polynomial time algo B for HC:

Input to B: An undirected graph $G = (V, E)$.

- Let G' be the complete graph on V . For each pair of vertices $\{u, v\}$,

$$c(\{u, v\}) = \begin{cases} 1 & \text{if } \{u, v\} \in E. \\ n \cdot d(n) + 1 & \text{otherwise.} \end{cases}$$



[If G has a Hamiltonian cycle H , then G' has a TSP tour of cost n .

If G does not have a Hamiltonian cycle, then each TSP tour of G' has cost at least $n \cdot d(n) + 1$.

- B runs A on (G', c) to obtain a TSP tour of cost K .

- If $K \leq d(n) \cdot n$, return "Yes".

otherwise return "No".

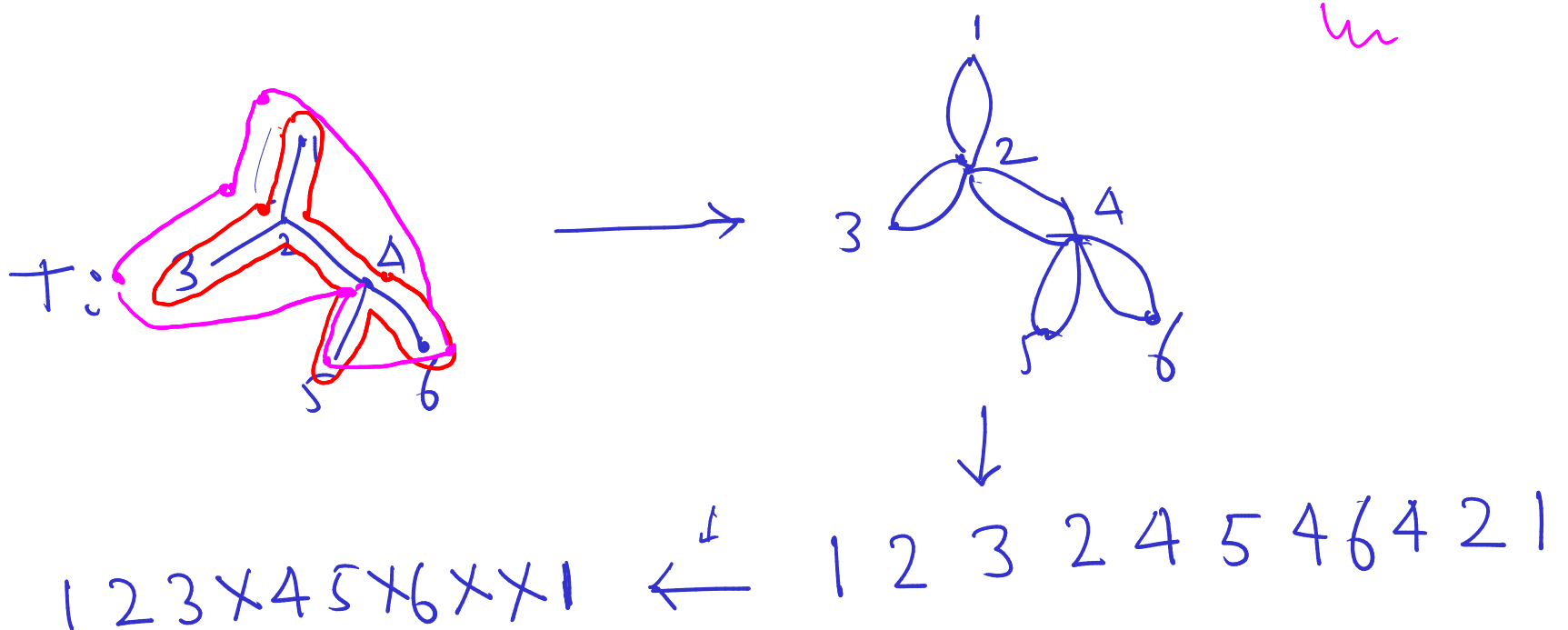
B solves the HC problem in polynomial time, which is a contradiction to (S1). ▣

Metric TSP

Input: Complete undirected graph $G=(V,E)$, $C:E \rightarrow \mathbb{R}^{\geq 0}$ satisfying triangle inequality.

Algorithm:

- Compute an MST T of G .
- Double the edges of T . Let H be an Euler circuit of the resultant multigraph.
- Retain the first occurrences of each vertex. Let the resultant circuit be H' .
- Return H' .



$$c(H') \leq c(H) \quad (\text{By } \Delta\text{-inequality})$$

$$= 2 \cdot c(T). \quad \text{--- (1)}$$

Claim 4: $c(T) \leq \text{OPT}$.

Proof: Let H be an optimum TSP tour.

Remove any edge e from H . $H \setminus \{e\}$ is a path containing each vertex exactly once. In particular, $H \setminus \{e\}$ is a spanning tree.

$$\overset{\text{OPT}}{c(H)} \geq c(H \setminus \{e\}) \geq c(T). \quad \square$$

By claim (4) and Eqⁿ (1) we have that

$$c(H') \leq 2 \cdot \text{OPT}. \quad \square$$

Christofides algorithm

Fact: "Given undirected weighted G , find a minimum cost perfect matching": this problem has a polynomial algorithm. \rightarrow matches all vertices.

Let $G = (V, E)$ be a complete undirected weighted graph. Edge weights satisfy triangle inequality. OPT: cost of an optimal TSP tour. Let $A \subseteq V$. $|A|$ is even. Let M be the cost of a minimum weight perfect matching of the subgraph of G induced by A .

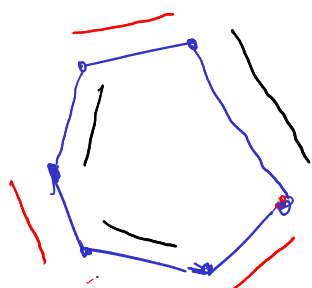
Claim 1: $M \leq \frac{1}{2} \cdot \text{OPT}$.

Proof: Let C be an optimal TSP tour of G .

$C = v_1 v_2 \dots v_n v_1$. Let C' be the cycle obtained by dropping the vertices outside of A from C . C' is a TSP tour of the subgraph induced by A .

$$C' = u_1 u_2 \dots u_k$$

$$w(C') \leq w(C). \quad (\text{Follows from the metric property } w)$$



M_1

M_2

$$2M \leq w(M_1) + w(M_2) = w(C') \leq w(C) = \text{OPT}$$

$$\Rightarrow M \leq \frac{1}{2} \cdot \text{OPT}. \quad \square$$

$$\text{OPT} \geq \begin{cases} 2 \cdot \text{Cost of a min cost. perfect matching of} \\ \text{an induced subgraph} \\ \text{cost of an MST.} \end{cases}$$

Algorithm:

1. Compute an MST T of G .

2. Let $A \subseteq V$ be the set of vertices whose degree in T is odd.

$$\Rightarrow |A| \text{ is even. } \left[\sum_{v \in V} \deg_T(v) = 2|E| \right]$$

$$\Rightarrow \sum_{v \in \bar{A}} \deg_T(v) + \sum_{v \in A} \deg_T(v) = 2|E|$$

$$\Rightarrow \sum_{v \in A} \deg_T(v) = 2|E| - \underbrace{\sum_{v \in \bar{A}} \deg_T(v)}_{\text{even}}$$

$$\Rightarrow |A| = \text{even}.$$

3. Compute a min-cost perfect matching M_1 of the subgraph of G induced by A .

4. Consider the multi-graph $H := M_1 \cup T$ (retain multiple copies of edges in $M_1 \cap T$). Each vertex has even degree in H . Compute an Euler circuit H' of H . Bypass repeated occurrences of vertices to obtain a valid TSP tour H'' .

5. Return H'' .

$$w(H'') \leq w(H) = w(M_1) + w(T)$$

$$\leq \underbrace{\frac{1}{2} \cdot \text{OPT}}_{\text{from Claim}} + \text{OPT} = \frac{3}{2} \cdot \text{OPT}.$$

from Claim: □

Linear Programming:

$$\begin{aligned} & \max 3x_1 + 7x_2. \quad \leftarrow \text{objective fn.} \\ L: & \text{ s.t.} \end{aligned}$$

$$\left. \begin{aligned} 4x_1 + 6x_2 &\leq 7. \\ 2x_1 + 7x_2 &\leq 8. \end{aligned} \right\} \begin{array}{l} \text{Linear} \\ \text{constraints} \end{array}$$

$\text{val}(L)$

\hookrightarrow DLP₁: Given an \underbrace{LP}_L and a rational number r , $\overset{\text{max.}}{\text{is } \text{val}(L) \geq r}$?

DLP₂: Given a set of linear constraints, can they be simultaneously satisfied.

Not satisfiable \leftarrow

$$\boxed{\begin{array}{l} x \leq 7 \\ -x \leq -8 \end{array}}$$

Exercise: Assume that DLP₂ is in NP. Can you prove that DLP₁ is in NP?