

## An asymptotic PTAS

Theorem 1:  $\forall \epsilon \in (0, \frac{1}{2}]$ , there is an algorithm  $A$  that runs in time polynomial in  $n$ , and finds a packing using at most  $(1 + 2\epsilon) \text{OPT} + 1$  bins.

Proof strategy:  $\Rightarrow \forall \epsilon \in (0, 1], \exists A \dots (1 + \epsilon) \text{OPT} + 1$  bins.

① Design a polytime exact algorithm for instances where all sizes are at least  $\epsilon$ , and number of distinct sizes is at most some fixed constant  $K$ .

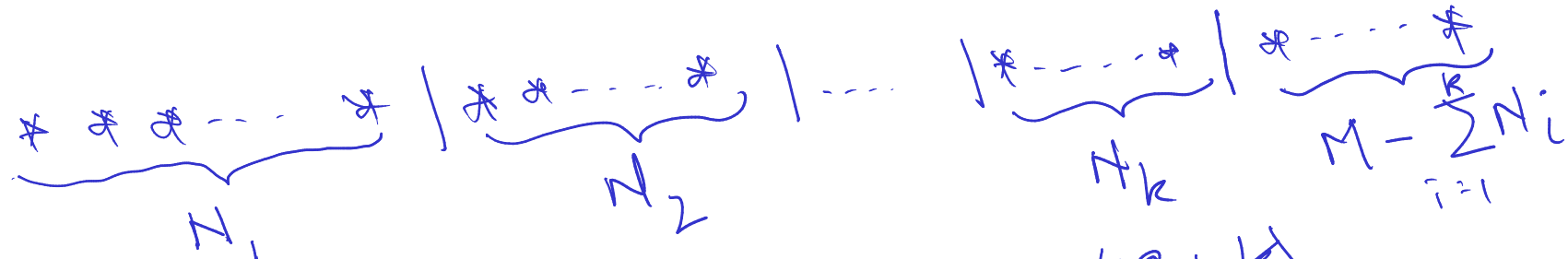
② Design a polytime  $(1 + \epsilon)$ -approximate solution for instances where all sizes are at least  $\epsilon$ .

③ Prove Theorem 1.

Step 1: All sizes  $\geq \epsilon$ , No. of distinct sizes  $\leq K$ .  
Each bin can hold at most  $\lfloor \frac{1}{\epsilon} \rfloor$  items.

Number of possible bin configurations.

$\{s_1, \dots, s_k\}$ : set of all possible sizes. Let a bin contain  $N_i$  items of size  $s_i$ ,  $i=1, \dots, k$ .  $\sum_{i=1}^k N_i \leq \lfloor \frac{1}{\epsilon} \rfloor := M$



Number of such patterns is  $\binom{M+k}{k}$ .

$\Rightarrow$  Number of bin configurations  $\leq \binom{M+k}{k} := R$

Number of bins used is at most  $n$ .

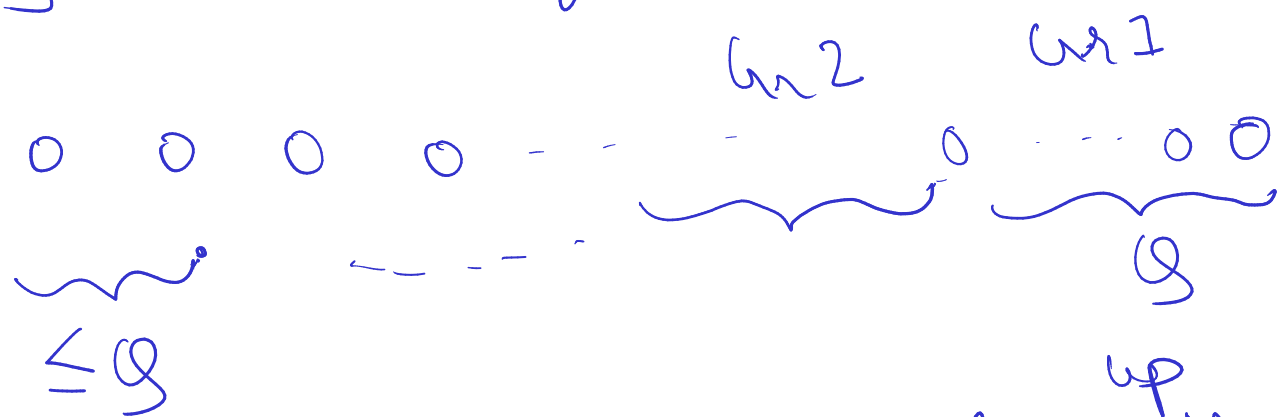
Number of joint configurations of  $\leq n$  bins is at most  $\binom{n+R}{R} = O(n^R)$ .

Brute force over all possible joint configurations.

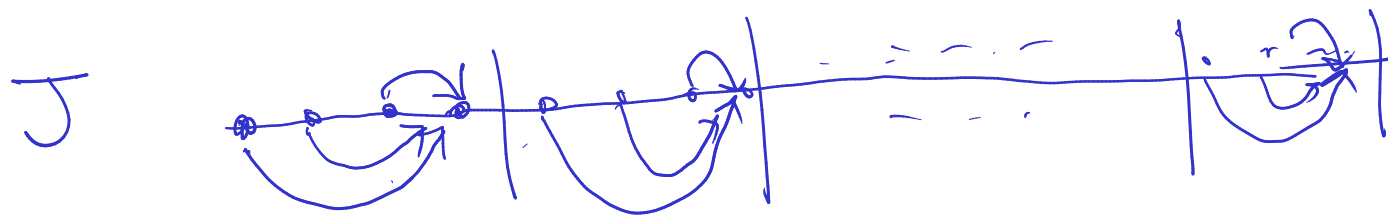
Let  $I$  be the given instance.

Step 2: All sizes  $\geq \epsilon \cdot n$ . Sort the items in non-descending order of sizes. Partition them into  $K = \lceil \frac{1}{\epsilon^2} \rceil$

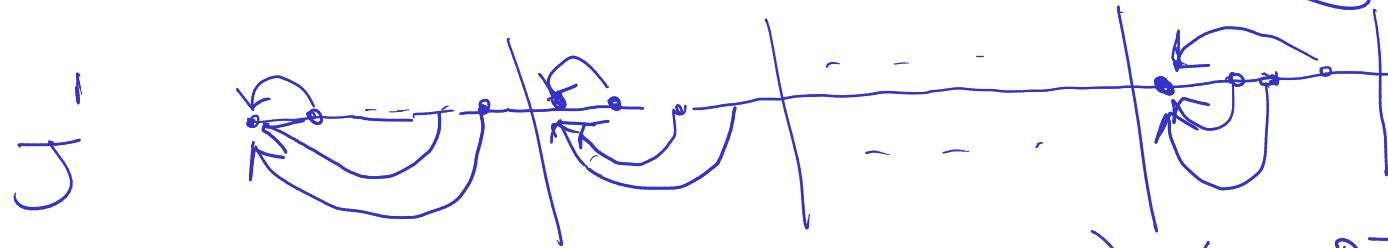
groups, each having equal number ( $q$ ) of items, except possibly one (first) group.



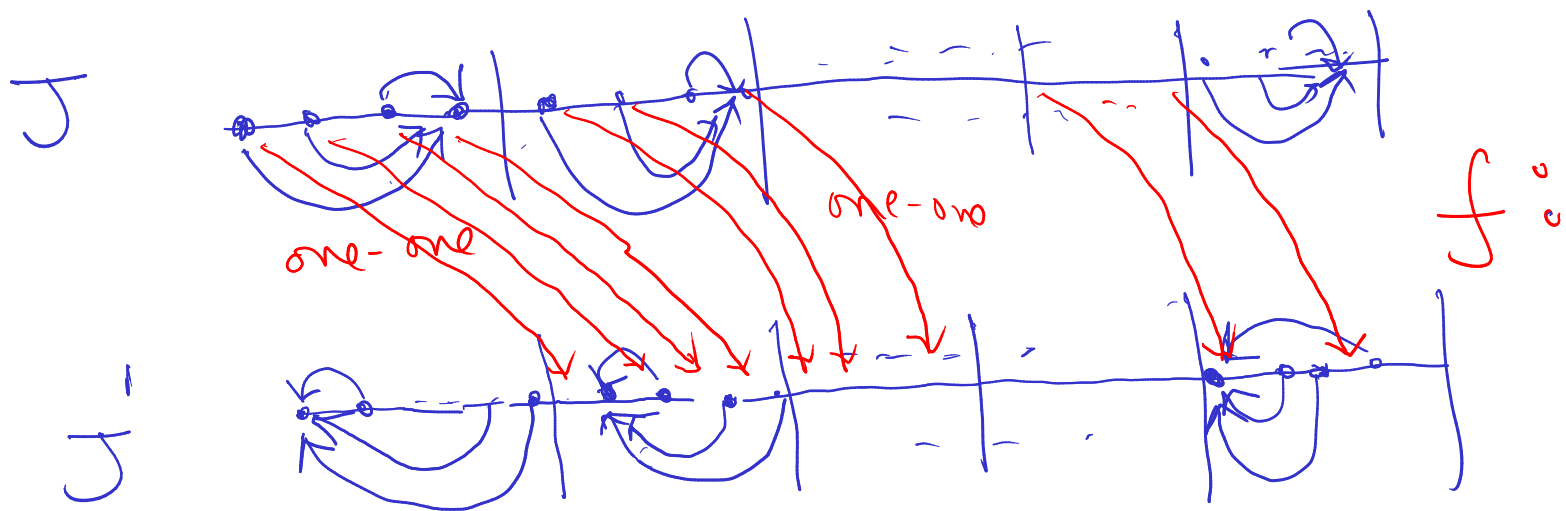
Construct instance  $J$  by rounding <sup>up</sup> the size of each item to the size of a largest item in its group.



Use the algorithm for step 1 to get a packing. Since " $I$  is dominated by  $J$  size wise", the packing found is also a feasible packing for  $I$ .



$$\text{OPT}(J') \leq \text{OPT}(I) \leq \text{OPT}(J)$$



obs.  $\text{size}_J(i) \leq \text{size}_{J'}(f(i))$ .

A feasible packing for  $J'$  is also a feasible packing for  $J$  for all but the last  $Q$  items.

$$Q \leq \frac{n}{\lceil \frac{1}{\epsilon^2} \rceil} \leq \lfloor \epsilon^2 n \rfloor.$$

A packing for  $J$ : Use an optimal packing of  $J'$  to pack all but the last  $Q$  elements. Then put each of the last  $Q$  elements in a separate bin.

$$\text{OPT}(J) \leq \text{OPT}(J') + Q \leq \text{OPT}(I) + \epsilon^2 n \quad \text{--- (1)}$$

$$\text{OPT}(I) \geq \sum \text{size}_I(i) \geq \epsilon n \quad \text{--- (2)}$$

From ①,  $OPT(S) \leq OPT(I)(1+\epsilon)$ . (From ②)

Step 3:

- Pack all items of size  $\geq \epsilon$  using step 2, using at most  $(1+\epsilon) \cdot OPT$  many bins.
- Use first-fit to pack the items with size  $< \epsilon$ . Open new bins if you cannot pack an item in any existing bin.

Analysis: Case 1: No additional bin is used. Then the no. of bins is at most  $(1+\epsilon) \cdot OPT$ .

Case 2: Additional bins are used. Let  $m$  bins be used in total. This implies that at least  $m-1$  bins are full upto the capacity of  $1-\epsilon$ .

$OPT \geq \text{sum of sizes}$

$$\Rightarrow m \leq \frac{OPT}{1-\epsilon} + 1 \leq (1+2\epsilon) \cdot OPT + 1 \quad \left[ \frac{1}{1-\epsilon} \leq 1+2\epsilon \text{ if } \epsilon \leq \frac{1}{2} \right]$$

⑩  $\exists$  LP-rounding based algo that returns a sol<sup>n</sup> with cost  $OPT + O(\epsilon^2 OPT)$ .