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Section outline

- 1 **Chain matrix multiplication**
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 - Naive solution for chain

- matrix multiplication
- DP formulation for chain matrix multiplication
- Bottom-up chain matrix multiplication
- DP solution for chain matrix multiplication



Chain matrix multiplication

Example (Chain matrix multiplication)

- Let A_1 be a 10×100 matrix
- Let A_2 be a 100×5 matrix
- Let A_3 be a 5×50 matrix
- Need to compute $A_1 \times A_2 \times A_3$



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- Cost of $(A_1 \times A_2) \times A_3$: $(10 \times 100 \times 5) + (10 \times 5 \times 50) = 7,500$
- Cost of $A_1 \times (A_2 \times A_3)$: $(100 \times 5 \times 50) + (10 \times 100 \times 50) = 75,000$



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- Cost of $A_1 \times (A_2 \times A_3)$: $(100 \times 5 \times 50) + (10 \times 100 \times 50) = 75,000$

Definition

Chain matrix multiplication Given n matrices, $A_1, \dots, A_i, \dots, A_n$, where for $1 \leq i \leq n$, A_i is a $p_{i-1} \times p_i$ matrix, parenthesise the product $A_1 \times \dots \times A_i \times \dots \times A_n$ so as to minimize the total cost of multiplication, assuming that the cost of multiplying a $p_{i-1} \times p_i$ matrix by a $p_i \times p_{i+1}$ matrix using the naive algorithm is $p_{i-1} \times p_i \times p_{i+1}$

Formulation for chain matrix multiplication

- If there is just a single matrix, there is nothing to decide
- For n ($n \geq 2$), we need to divide the problem into two parts suitably, in one of the $n - 1$ possible ways
- The sub-problems are solved optimally to get the requisite solution



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- So, we need to consider all possible ways to divide the problem into two parts and retain the best choice
- The total number of possible solutions to be handled is huge:

$$N_n = \begin{cases} 1 & n = 1 \\ \sum_{k=1}^{n-1} N_k N_{n-k} & n > 1 \end{cases}$$



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$$N_n = \begin{cases} 1 & n = 1 \\ \sum_{k=1}^{n-1} N_k N_{n-k} & n > 1 \end{cases} \equiv C_{n-1} = \begin{cases} 1 & n = 0 \\ \sum_{k=0}^{n-2} C_k C_{n-k} & n \geq 1 \end{cases}$$

- $(n - 1)^{\text{th}}$ Catalan number, $C_{n-1} \in \Omega\left(\frac{4^n}{n^2}\right)$



Naive solution for chain matrix multiplication

```
chnMatMulSim(int p[x-1 .. y]) { // dimensions
1  int n=y-x+1;
2  if (n==1) return 0; // single matrix
3  for L = 2 to n { // lengths of subchains
4      for i = x to n-L+1 { // starts of subchains
5          j = i+L-1; // ends of subchains
6          c =  $\infty$ ; // init to find min cost
7          for k = i to j - 1 { // check all splits
8              q = chnMatMulSim(p[i-1,k] +
                             chnMatMulSim[k,j] + p[i-1] $\times$ p[k] $\times$ p[j];
9              if (q < c) c = q; // check for lower cost
10         }
11     }
12 }
13 return c;
}
```



Analysis of naive method

$$\begin{aligned}
 T(n) &= \begin{cases} 0 & n = 1 \\ \sum_{k=1}^{n-1} (T(k) + T(n-k) + c) & n > 1 \end{cases} \\
 &= 2 \sum_{k=1}^{n-1} T(k) + (n-1)c & n > 1 \\
 T(n+1) &= 2 \sum_{k=1}^n T(k) + nc & n > 1 \\
 &= 2 \underbrace{\sum_{k=1}^{n-1} T(k) + (n-1)c}_{T(n)} + 2T(n) + c & n > 1 \\
 &= 3T(n) + c & n > 1 \\
 T(n) &= 3T(n-1) + c & n > 1 \\
 &= \frac{1}{2} (3^{n-1} - 1) c
 \end{aligned}$$

Thus, the naive method works in exponential time.



DP formulation for chain matrix multiplication

- Key observation:



DP formulation for chain matrix multiplication

- Key observation: Occurrence of common sub-problems
- To solve for $\prod_{i=1}^5 A_i$, need to consider $A_1 \times A_2$ while solving for $\prod_{i=1}^4 A_i$
and also $\prod_{i=1}^3 A_i$
- May other sub-problems are also repeated
- Considerable savings possible by reusing solutions to earlier identified sub-problems (memoization), thereby avoiding solving those again and again



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- May other sub-problems are also repeated
- Considerable savings possible by reusing solutions to earlier identified sub-problems (memoization), thereby avoiding solving those again and again
- Problems can be solved bottom-up to obtain required solution



Bottom-up chain matrix multiplication

Example (Efficient computation of $A_1 \times A_2 \times \dots \times A_7$)

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 A_1 A_2 A_3 A_4 A_5 A_6 A_7

Bottom-up chain matrix multiplication

Example (Efficient computation of $A_1 \times A_2 \times \dots \times A_7$)

$$(A_1 \times A_2) (A_2 \times A_3) (A_3 \times A_4) (A_4 \times A_5) (A_5 \times A_6) (A_6 \times A_7)$$

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Bottom-up chain matrix multiplication

Example (Efficient computation of $A_1 \times A_2 \times \dots \times A_7$)

$$\left(\prod_{i=1}^3 A_i\right) \left(\prod_{i=2}^4 A_i\right) \left(\prod_{i=3}^5 A_i\right) \left(\prod_{i=4}^6 A_i\right) \left(\prod_{i=5}^7 A_i\right)$$

$$(A_1 \times A_2) (A_2 \times A_3) (A_3 \times A_4) (A_4 \times A_5) (A_5 \times A_6) (A_6 \times A_7)$$

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 \left(\prod_{i=1}^3 A_i \right) & \left(\prod_{i=2}^4 A_i \right) & \left(\prod_{i=3}^5 A_i \right) & \left(\prod_{i=4}^6 A_i \right) & \left(\prod_{i=5}^7 A_i \right) & \\
 (A_1 \times A_2) & (A_2 \times A_3) & (A_3 \times A_4) & (A_4 \times A_5) & (A_5 \times A_6) & (A_6 \times A_7)
 \end{array}$$

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Bottom-up chain matrix multiplication

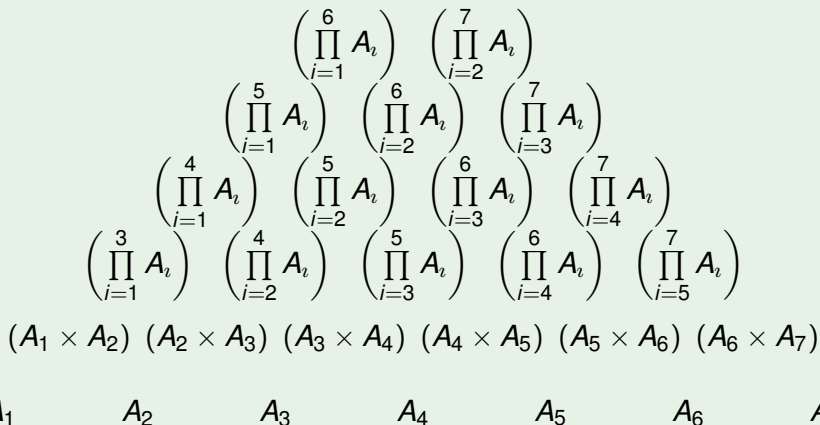
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 & & \left(\prod_{i=1}^5 A_i \right) & \left(\prod_{i=2}^6 A_i \right) & \left(\prod_{i=3}^7 A_i \right) & \\
 & \left(\prod_{i=1}^4 A_i \right) & \left(\prod_{i=2}^5 A_i \right) & \left(\prod_{i=3}^6 A_i \right) & \left(\prod_{i=4}^7 A_i \right) & \\
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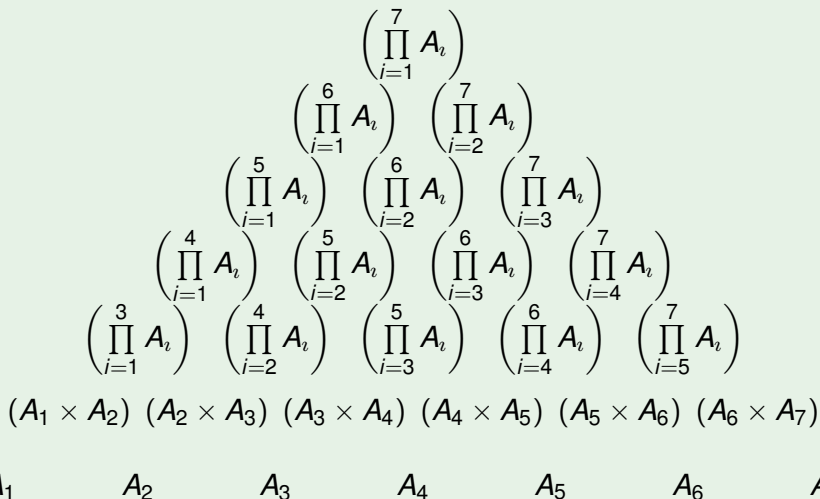
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Bottom-up chain matrix multiplication (contd.)

Example (Upper triangular DP matrix for $A_1 \times A_2 \times \dots \times A_7$)

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Example (Upper triangular DP matrix for $A_1 \times A_2 \times \dots \times A_7$)

$$A_1 \quad (A_1 \times A_2)$$

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$$A_4 \quad (A_4 \times A_5)$$

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Bottom-up chain matrix multiplication (contd.)

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$$A_1 \quad (A_1 \times A_2) \quad \left(\prod_{i=1}^3 A_i \right)$$

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$$A_3 \quad (A_3 \times A_4) \quad \left(\prod_{i=3}^5 A_i \right)$$

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Bottom-up chain matrix multiplication (contd.)

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 A_1 & (A_1 \times A_2) & \left(\prod_{i=1}^3 A_i \right) & \left(\prod_{i=1}^4 A_i \right) & & & \\
 & A_2 & (A_2 \times A_3) & \left(\prod_{i=2}^4 A_i \right) & \left(\prod_{i=2}^5 A_i \right) & & \\
 & & A_3 & (A_3 \times A_4) & \left(\prod_{i=3}^5 A_i \right) & \left(\prod_{i=3}^6 A_i \right) & \\
 & & & A_4 & (A_4 \times A_5) & \left(\prod_{i=4}^6 A_i \right) & \left(\prod_{i=4}^7 A_i \right) \\
 & & & & A_5 & (A_5 \times A_6) & \left(\prod_{i=5}^7 A_i \right) \\
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 \end{array}$$

DP solution for chain matrix multiplication

```
chainMatMul(int p[0 .. n], int n) { // dimensions
1  int s[1 .. n - 1, 2 .. n]; // split positions
2  for i = 1 to n m[i, i] = 0; // single matrix
3  for L = 2 to n { // lengths of subchains
4      for i = 1 to n-L+1 { // starts of subchains
5          j = i+L-1; // ends of subchains
6          m[i, j] =  $\infty$ ; // init to find min cost
7          for k = i to j - 1 { // check all splits
8              q = m[i, k] + m[k+1, j] + p[i-1] × p[k] × p[j];
9              if (q < m[i, j]) { // check for lower cost
10                 m[i, j] = q; // found, so update
11                 s[i, j] = k; // record split for min cost
12             }
13         }
14     }
15 }
16 return m[1, n] and s;
}
```



Optimal chain matrix multiplication

```
matMulOpt1(i, j) {  
  1 if (i == j) return A[i]; // base case  
  2 else {  
    3 k = s[i, j]; // split position for  $A_i \times \dots \times A_j$   
    4 X = matMulOpt(i, k); //  $X = A_i \times \dots \times A_k$   
    5 Y = matMulOpt(k + 1, j); //  $Y = A_{k+1} \times \dots \times A_j$   
    6 return X×Y;  
    7 }  
}
```



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    6 return X×Y;  
    7 }  
}
```

- Space requirement with DP: $O(n^2)$
- Time requirement with DP: $O(n^3)$ – DP solution scheme encounters $\frac{n}{2}$ sub-problems each of size $\frac{n}{2}$ and requiring evaluation of $\frac{n}{2} - 1$ parenthesisations
- Time requirement with naive (non DP) approach: $\Theta(3^{n-1})$
- Better algorithms are also available



Section outline

2 Dynamic Programming

- Algorithm design paradigms

- DP examples
- Fibonacci number computation



Algorithm design paradigms

Divide-and-conquer (DC) Break up a problem into two or more sub-problems, solve each sub-problem **independently**, and combine solution to sub-problems to form a solution to original problem

Dynamic programming (DP) Break up a problem into a series of sub-problems and use the solutions to build solutions to larger and larger sub-problems



Algorithm design paradigms

Divide-and-conquer (DC) Break up a problem into two or more sub-problems, solve each sub-problem **independently**, and combine solution to sub-problems to form a solution to original problem

Dynamic programming (DP) Break up a problem into a series of sub-problems and use the solutions to build solutions to larger and larger sub-problems

- Difference between DC and DP can be confusing
- DP makes repeated use of the solutions of sub-problems
- Sub-problems identified for DP are usually overlapping, leading to identification of common smaller sub-problems later
- DP often exhibits *optimal substructure* where an optimal solution is constructed from the optimal solution of its sub-problems



DP examples

- Fibonacci number computation
- Chain Matrix Multiplication
- Coin changing
- Minimum edit distance
- Longest common subsequence
- All shortest paths (Floyd-Warshall)
- Box stacking
- Bridge building



Fibonacci number computation

- $F_n = \begin{cases} 0 & n = 0 \\ 1 & n = 1 \\ F_{n-2} + F_{n-1} & n > 1 \end{cases}$
- Direct coding of this recurrence requires exponential execution time
- But, $F_{n-1} = F_{n-3} + F_{n-2}$
- If solutions of sub-problems computed earlier are reused, then the computation takes $O(n)$ additions
- Otherwise, sub-problems are solved repeatedly, wasting time
- Naive algorithm takes exponential time
- Further optimisations yield $O(\lg n)$ algorithm

$$\begin{aligned} F_{2k} &= F_k [2F_{k+1} - F_k] \\ F_{2k+1} &= F_{k+1}^2 + F_k^2 \end{aligned}$$



Section outline

3 Optimal coin changing

- Coin changing problem

- Formulation for coin changing
- DP solution for coin change



Coin changing problem

Definition

Coin change problem

- Coin denominations can be modeled by a set of n distinct positive integer values, arranged in increasing order as $w_1 = 1$ through w_n
- Given a positive integral amount W , find non-negative integers $\{x_1, x_2, \dots, x_n\}$ such that
 - $\sum_{i=1}^n x_i w_i = W$
 - $\sum_{i=1}^n x_i$ is minimised
- Each x_j represents the number of coins of denomination w_j used



Coin change problem

- Sometimes the greedy method of picking coins of largest denomination works
- Coin systems for which the greedy method works are called *canonical coin systems*
- The greedy algorithm does not work arbitrary coin systems



Coin change problem

- Sometimes the greedy method of picking coins of largest denomination works
- Coin systems for which the greedy method works are called *canonical coin systems*
- The greedy algorithm does not work arbitrary coin systems
- Consider forming coin change of 6 units in the coin system: $\{1, 3, 4\}$
- The greedy method would yield $\{4, 1, 1\}$, however, the optimal change for this system is $\{3, 3\}$



Formulation for coin changing

- Consider a denomination $w_j \leq W$
- If we know how make the change optimally for amount $W - w_j$, then we can make change for W by including a coin of denomination w_j



Formulation for coin changing

- Consider a denomination $w_j \leq W$
- If we know how make the change optimally for amount $W - w_j$, then we can make change for W by including a coin of denomination w_j
- Let $C(W, \vec{w})$ be the function that returns the change count, given the vector of denominations \vec{w}
- $C(0, _) = 0$



Formulation for coin changing

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- Let $C(W, \vec{w})$ be the function that returns the change count, given the vector of denominations \vec{w}
- $C(0, _) = 0$
- To get optimal change for amount W , we consider all possibilities
- $C(W, \vec{w}) = \min_{w_j \leq W} C(W - w_j, \vec{w}) + 1$



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- $C(W, \vec{w}) = \min_{w_j \leq W} C(W - w_j, \vec{w}) + 1$
- This function may not be defined for certain amounts
- If $\vec{w} = \{2, 3\}$, $C(1, \vec{w})$ is not defined
- We will not have this problem if $1 \in \vec{w}$



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- If $\vec{w} = \{2, 3\}$, $C(1, \vec{w})$ is not defined
- We will not have this problem if $1 \in \vec{w}$
- A direct coding of this recursive formulation will lead to an exponential time solution
- But, we can efficiently form the solution bottom-up in $O(|w|W)$ time



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
              // decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0													
C[p]:	0													
D[p]:	0													



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
// decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1												
C[p]:	0	1												
D[p]:	0	1												



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
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7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2											
C[p]:	0	1	1											
D[p]:	0	1	2											



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
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8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3										
C[p]:	0	1	1	2										
D[p]:	0	1	2	1										



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
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7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S

```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4									
C[p]:	0	1	1	2	2									
D[p]:	0	1	2	1	2									



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
              // decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5								
C[p]:	0	1	1	2	2	1								
D[p]:	0	1	2	1	2	5								



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
              // decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6							
C[p]:	0	1	1	2	2	1	2							
D[p]:	0	1	2	1	2	5	5							



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
              // decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S

```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7						
C[p]:	0	1	1	2	2	1	2	2						
D[p]:	0	1	2	1	2	5	5	2						



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
              // decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S

```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7	8						
C[p]:	0	1	1	2	2	1	2	2	3						
D[p]:	0	1	2	1	2	5	5	2	1						



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
4      for i ← 0 to k-1
5          if w[i] ≤ p then // don't overpay
              // decide whether w[i] is a good choice
6              if 1 + C[p - w[i]] < min then
7                  min ← 1 + C[p - w[i]]
8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S

```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7	8	9					
C[p]:	0	1	1	2	2	1	2	2	3	3					
D[p]:	0	1	2	1	2	5	5	2	1	2					



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
3      min ← ∞
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5          if w[i] ≤ p then // don't overpay
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8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7	8	9	10				
C[p]:	0	1	1	2	2	1	2	2	3	3	2				
D[p]:	0	1	2	1	2	5	5	2	1	2	5				



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
2  for p ← 1 to W // do coin changes bottom-up
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8                  coin ← w[i]
9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7	8	9	10	11			
C[p]:	0	1	1	2	2	1	2	2	3	3	2	3			
D[p]:	0	1	2	1	2	5	5	2	1	2	5	1			



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
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9  D[p] ← coin // best coin to pick for W
10 C[p] ← min
11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7	8	9	10	11	12		
C[p]:	0	1	1	2	2	1	2	2	3	3	2	3	3		
D[p]:	0	1	2	1	2	5	5	2	1	2	5	1	2		



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
1  C[0] ← 0 // initialisation for p=0
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11 return C and S
  
```

Working of change({1,2,5}, 3, 14)

p:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	
C[p]:	0	1	1	2	2	1	2	2	3	3	2	3	3	4	
D[p]:	0	1	2	1	2	5	5	2	1	2	5	1	2	1	



DP solution for coin change

```

change(w[], k, W) // coins of k denominations in w
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10 C[p] ← min
11 return C and S

```

Time complexity of DP solution: Linear in W , exponential in number of bits of W

Working of change($\{1, 2, 5\}$, 3, 14)

p:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
C[p]:	0	1	1	2	2	1	2	2	3	3	2	3	3	4	4
D[p]:	0	1	2	1	2	5	5	2	1	2	5	1	2	1	2