

Contents

1 Binary trees



Section outline

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 - Terminology for binary trees
 - Types of binary trees
 - Few binary tree properties

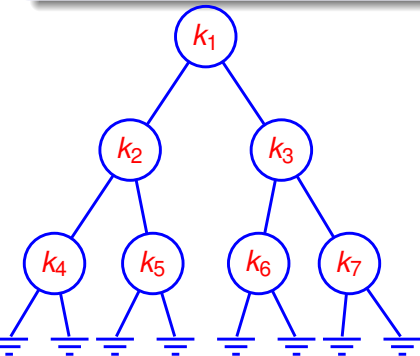
- An important binary tree property
- Binary tree traversals
- Illustration of binary tree traversals
- Binary tree reconstruction from traversals



Definition of a binary tree

Definition (Rooted binary tree)

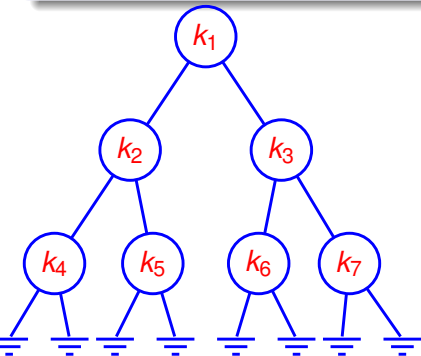
A binary tree is either *empty* or consists of a node called the *root* together with two binary trees called the *left subtree* and the *right subtree*



Definition of a binary tree

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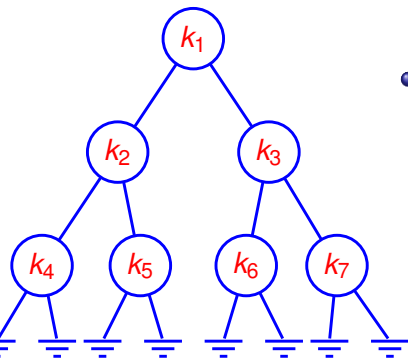


```
typedef int keyTyp;

typedef struct binTTag {
    keyTyp key;
    struct binTTag
        *lChild, *rChild;
} binTreeType, *binTreePtr;
```



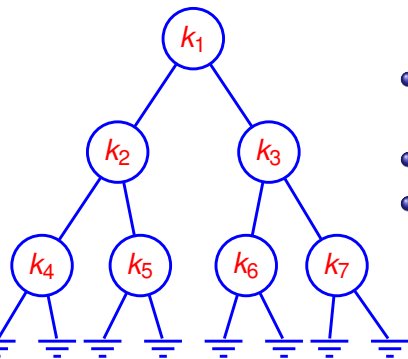
Terminology for binary trees



- If c is a left or right child of a node p in the binary tree, then p is said to be the parent of c
- The parent-child relationship may be indicated by a directed edge from p to c



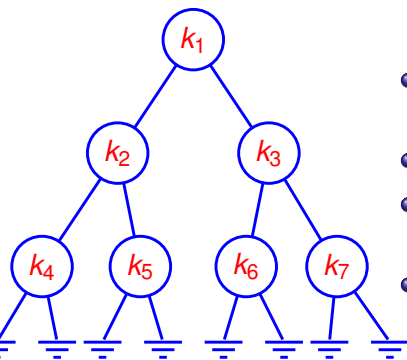
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- The root has no parent (in-degree is 0)
- All other (non-root) nodes have exactly one parent (in-degree is 1)
- A node which has no children (or only empty binary trees as both children) is termed a *leaf node*
- Any node that is not a leaf node is said to be an *internal node*



More binary tree terminology

- The *depth* of a node n is the length of the path (counting the edges) from the root to the node
The root node is at depth zero



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- The *height* of a tree is the length of the path from the root to the deepest node in the tree
A (rooted) tree with only one node (the root) has a height of zero
The null tree is defined to have a height of -1

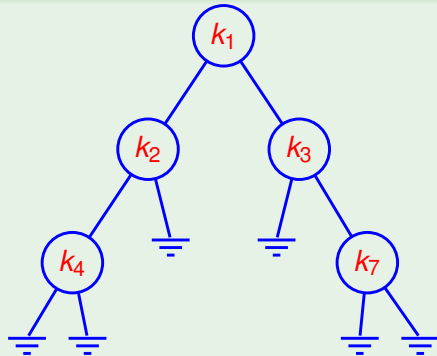
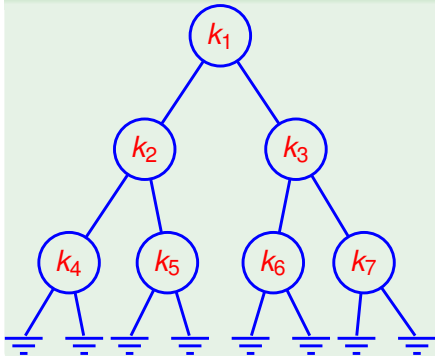


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- *Siblings* are nodes that share the same parent node
- A node p is an *ancestor* of a node q if it exists on the path from q to the root.
The node q is then termed a *descendant* of p
- The *size* of a node is the number of descendants it has including itself

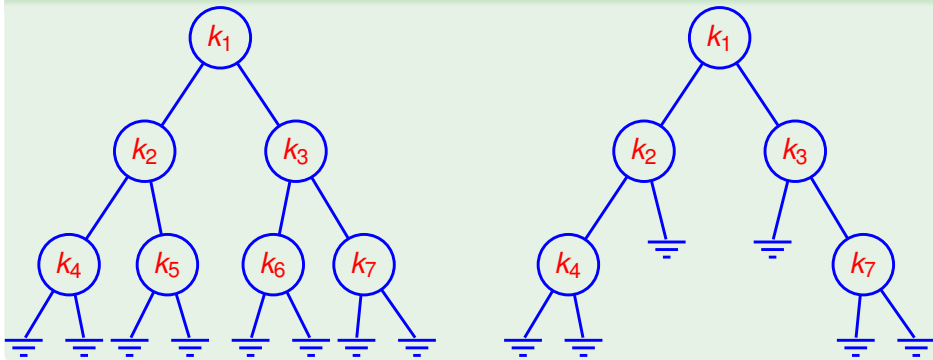
Another binary tree illustrated

Example (Binary trees with height of 2)



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Example (Binary trees with height of 2)

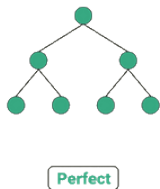
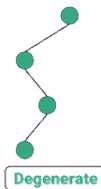
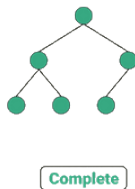
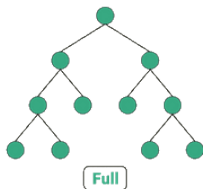


- Empty binary trees (as grounding) is usually not shown explicitly
- A binary tree with all possible nodes up to its height is said to be a *perfect binary tree*
- Maximum number of nodes in a binary tree of height h is $2^{h+1} - 1$



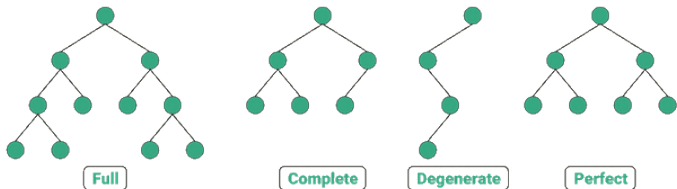
Types of binary trees

- A *full binary tree* (sometimes *proper binary tree*) is a tree in which every node other than the leaves has two children
- A *perfect binary tree* is a full binary tree in which all leaves are at the same depth or same level
- A *complete binary tree* is a binary tree in which every level, except possibly the last, is completely filled, and all nodes are as far left as possible
- A *degenerate tree* is a tree where for each parent node, there is only one associated child node



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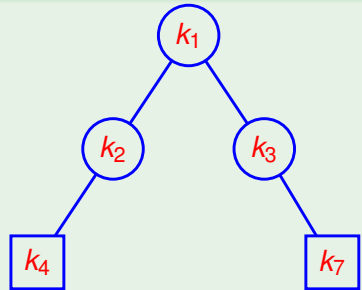
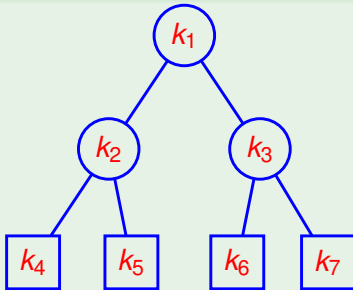


- A *heap* (to be studied later) is a complete binary tree



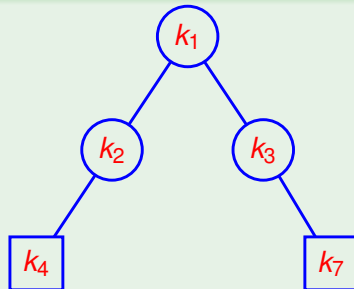
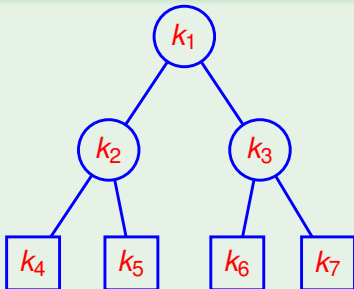
Binary trees showing leaf nodes

Example (Binary trees with height of 2)



Binary trees showing leaf nodes

Example (Binary trees with height of 2)



- Maximum number of leaf nodes in a binary tree of height h is 2^h



Few binary tree properties

- Let the height of the tree be h
- The number of nodes n in a perfect binary tree is $2^{h+1} - 1$
- The number of nodes in a complete binary tree is at least 2^h and at most $2^{h+1} - 1$
- The number of leaf nodes in a perfect binary tree is 2^h



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- The number of leaf nodes in a perfect binary tree is 2^h
- If L is the number of leaf nodes in a perfect binary tree, then the tree has $2L - 1$ nodes
- The number of null links (absent children of nodes) in any binary tree of n nodes is $n + 1$

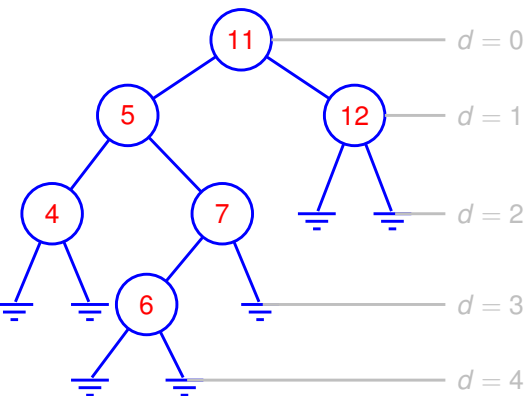


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- If L is the number of leaf nodes in a perfect binary tree, then the tree has $2L - 1$ nodes
- The number of null links (absent children of nodes) in any binary tree of n nodes is $n + 1$
- The number internal nodes (non-leaf nodes) in a complete binary tree of n nodes is $\lfloor \frac{n}{2} \rfloor$
- For any non-empty binary tree with n_0 leaf nodes and n_2 nodes of degree 2, $n_0 = n_2 + 1$



An important binary tree property

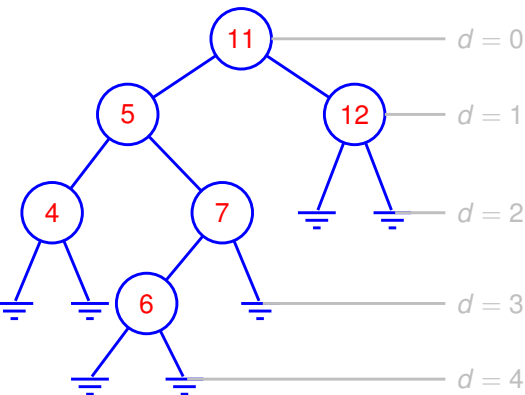


- $n = 6$, $|\text{NULLs}| = n + 1 = 7$,
 $I = 0 + 1 \times 2 + 2 \times 2 + 3 \times 1 = 9$,
 $E = 2 \times 2 + 3 \times 3 + 4 \times 2 = 21 = I + 2n$
- Claim: $E_n = I_n + 2n$

- Let T be *any* binary with n nodes
- $|\text{NULLs}| = 1 + |\text{BinTNodes}|$
- $I_n \equiv$ sum of levels of all BinTNodes
- $E_n \equiv$ sum of levels of all NULLs



An important binary tree property



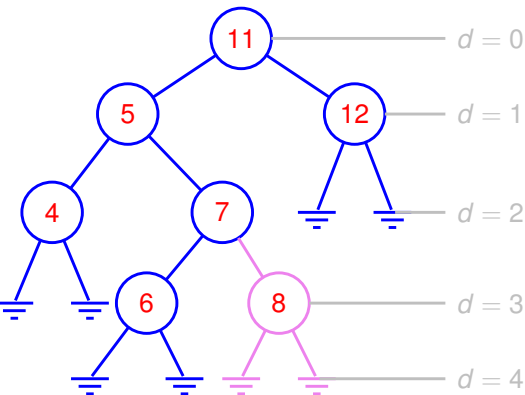
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 $I = 0 + 1 \times 2 + 2 \times 2 + 3 \times 1 = 9,$
 $E = 2 \times 2 + 3 \times 3 + 4 \times 2 =$
 $21 = I + 2n$

- Claim: $E_n = I_n + 2n$
- Base (binary tree of one node): $I_1 = 0, E_1 = 1 + 1 = 2,$
 so $E_1 = I_1 + 2 \times 1 \checkmark$
- Assume it holds for any binary tree of n nodes
- Inductive hypothesis:
 $E_n = I_n + 2n$ (5.1)



An important binary tree property (contd.)



- Replace any NULL with a binary tree node
- Let d be the level of that NULL, so that $E_n = E'_n + d$

- Following hold in the modified tree with $n + 1$ nodes

- $I_{n+1} = I_n + d$

- $E_{n+1} = \underbrace{(E_n + 1)}_{\text{I-NULL}} + \underbrace{(d + 1)}_{\text{r-NULL}}$

- $E_{n+1} = E_n + d + 2$

- $E_{n+1} = \underbrace{(I_n + 2n)}_{E_n} + d + 2$ [by inductive hypothesis]

- $E_{n+1} = (I_n + d) + 2n + 2$

- $E_{n+1} = I_{n+1} + 2(n + 1)$ ■



Binary tree traversals

Depth first traversal Nodes of one subtree are visited before visiting nodes of the other subtree

Breadth first traversal Nodes of one level are visited before visiting nodes of the next level

There are three types of depth first traversals:

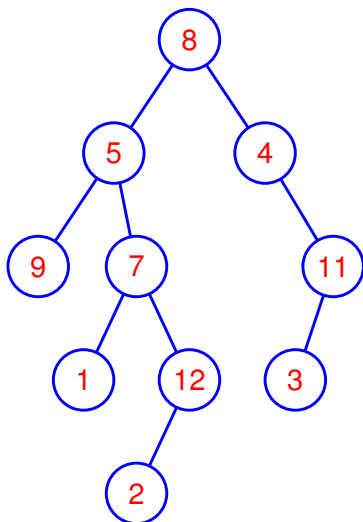
Preorder Visit root, visit left subtree in preorder, visit right subtree in preorder

Postorder Visit left subtree in postorder, right subtree in postorder, then the root

Inorder Visit left subtree in inorder, then the root, then the right subtree in inorder



Illustration of binary tree traversals



Breadth first: 8, 5, 4, 9, 7, 11, 1, 12, 3, 2

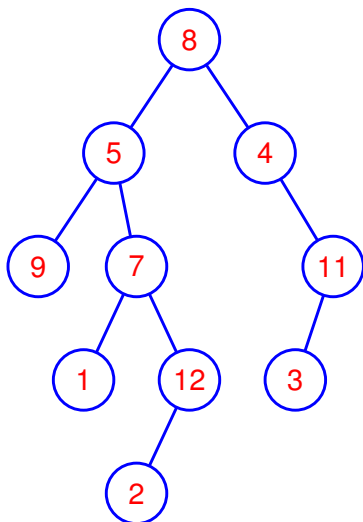
PreOrder: 8, 5, 9, 7, 1, 12, 2, 4, 11, 3

InOrder: 9, 5, 1, 7, 2, 12, 8, 4, 3, 11

PostOrder: 9, 1, 2, 12, 7, 5, 3, 11, 4, 8



Illustration of binary tree traversals



Breadth first: 8, 5, 4, 9, 7, 11, 1, 12, 3, 2

PreOrder: 8, 5, 9, 7, 1, 12, 2, 4, 11, 3

InOrder: 9, 5, 1, 7, 2, 12, 8, 4, 3, 11

PostOrder: 9, 1, 2, 12, 7, 5, 3, 11, 4, 8

It is possible to reconstruct the binary tree from the inorder and any one of the preorder or postorder traversals – by a recursive divide-and-conquer scheme.



Recursive definitions for binary tree traversal

`binTree_preOrder(binTreePtr tP)`

Base cases

CBa `tP==NULL` // tree is empty

ABa `return;` // nothing to do

Inductive/recursive case

Cla `tP != NULL`

Ala1 `visit(tP);` // such a print the key

Ala2 `binTree_preOrder(tP->lChild);`

Ala3 `binTree_preOrder(tP->rChild);`

Some problems that can be handled using traversals

- Finding the sum/maximum/minimum of keys
- Finding the height of the tree
- Printing the keys



Binary tree reconstruction from traversals

binTreePtr binTree_fromInPre(int n, int inorder[], int preorder[])

Base cases

CBa $n==0$

ABa return NULL;

CBb $n==1$

ABb return binTreeNode(inorder[0]);



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binTreePtr binTree_fromInPre(int n, int inorder[], int preorder[])

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CBa $n==0$

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Inductive/recursive case

Cl $n>1$

Ala1 rldx=locate(preorder[0], inorder)

Ala2 lSz=irlidx rSz=n-(rldx+1);

Ala3 lChild=binTree_fromInPre(lSz, inorder, preorder+1);

Ala4 rChild=binTree_fromInPre(rSz, inorder+rldx+1, preorder+lSz+1);

Ala5 return binTreeNode(inorder[0],lChild,rChild);

Example

PreOrder: 8, 5, 9, 7, 1, 12, 2, 4, 11, 3

InOrder: 9, 5, 1, 7, 2, 12, 8, 4, 3, 11

