

# Transition Systems

## Lecture #2 of Model Checking

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Lehrstuhl 2: Software Modeling and Verification

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## Overview Lecture #2

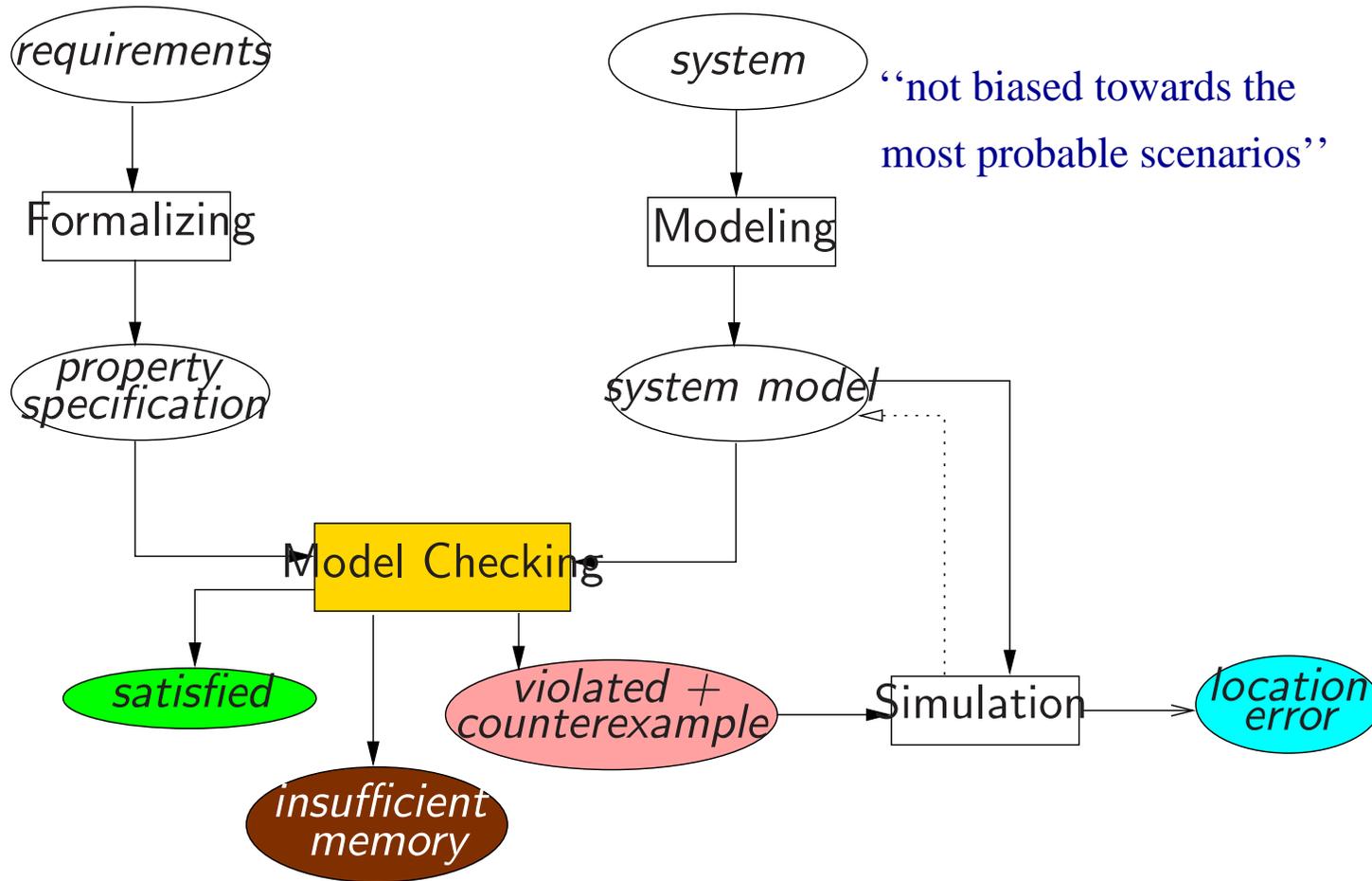
⇒ *Transition systems*

- Executions
- Modeling data-dependent systems

● *Parallelism and communication*

- Interleaving
- Shared variables

# Recall model checking



## Transition systems

- model to describe the behaviour of systems
- digraphs where nodes represent *states*, and edges model *transitions*
- **state**:
  - the current colour of a traffic light
  - the current values of all program variables + the program counter
  - the current value of the registers together with the values of the input bits
- **transition**: (“state change”)
  - a switch from one colour to another
  - the execution of a program statement
  - the change of the registers and output bits for a new input

## Transition system

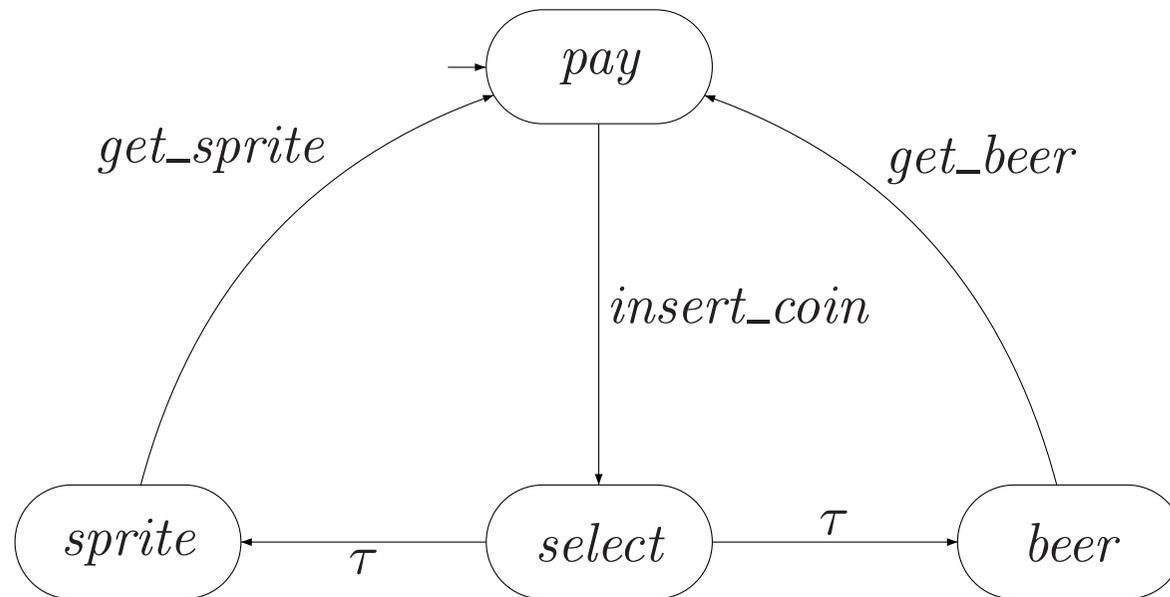
A *transition system*  $TS$  is a tuple  $(S, Act, \longrightarrow, I, AP, L)$  where

- $S$  is a set of **states**
- $Act$  is a set of **actions**
- $\longrightarrow \subseteq S \times Act \times S$  is a **transition relation**
- $I \subseteq S$  is a set of **initial states**
- $AP$  is a set of **atomic propositions**
- $L : S \rightarrow 2^{AP}$  is a **labeling function**

$S$  and  $Act$  are either finite or countably infinite

Notation:  $s \xrightarrow{\alpha} s'$  instead of  $(s, \alpha, s') \in \longrightarrow$

# A beverage vending machine



states? actions?, transitions?, initial states?

## Atomic propositions?

## Direct successors and predecessors

$$Post(s, \alpha) = \left\{ s' \in S \mid s \xrightarrow{\alpha} s' \right\}, \quad Post(s) = \bigcup_{\alpha \in Act} Post(s, \alpha)$$

$$Pre(s, \alpha) = \left\{ s' \in S \mid s' \xrightarrow{\alpha} s \right\}, \quad Pre(s) = \bigcup_{\alpha \in Act} Pre(s, \alpha).$$

$$Post(C, \alpha) = \bigcup_{s \in C} Post(s, \alpha), \quad Post(C) = \bigcup_{s \in C} Post(s) \text{ for } C \subseteq S.$$

$$Pre(C, \alpha) = \bigcup_{s \in C} Pre(s, \alpha), \quad Pre(C) = \bigcup_{s \in C} Pre(s) \text{ for } C \subseteq S.$$

State  $s$  is called *terminal* if and only if  $Post(s) = \emptyset$

## Action- and AP-determinism

Transition system  $TS = (S, Act, \rightarrow, I, AP, L)$  is *action-deterministic* iff:

$$|I| \leq 1 \quad \text{and} \quad |Post(s, \alpha)| \leq 1 \quad \text{for all } s, \alpha$$

Transition system  $TS = (S, Act, \rightarrow, I, AP, L)$  is *AP-deterministic* iff:

$$|I| \leq 1 \quad \text{and} \quad \underbrace{|Post(s) \cap \{s' \in S \mid L(s') = A\}|}_{\text{equally labeled successors of } s} \leq 1 \quad \text{for all } s, A \in 2^{AP}$$

## The role of nondeterminism

Here: nondeterminism is a feature!

- to model **concurrency by interleaving**
  - no assumption about the relative speed of processes
- to model **implementation freedom**
  - only describes what a system should do, not **how**
- to model **under-specified** systems, or **abstractions** of real systems
  - use incomplete information

*in automata theory, nondeterminism may be exponentially more succinct  
but that's not the issue here!*

## Executions

- A *finite execution fragment*  $\varrho$  of  $TS$  is an alternating sequence of states and actions ending with a state:

$$\varrho = s_0 \alpha_1 s_1 \alpha_2 \dots \alpha_n s_n \text{ such that } s_i \xrightarrow{\alpha_{i+1}} s_{i+1} \text{ for all } 0 \leq i < n.$$

- An *infinite execution fragment*  $\rho$  of  $TS$  is an infinite, alternating sequence of states and actions:

$$\rho = s_0 \alpha_1 s_1 \alpha_2 s_2 \alpha_3 \dots \text{ such that } s_i \xrightarrow{\alpha_{i+1}} s_{i+1} \text{ for all } 0 \leq i.$$

- An *execution* of  $TS$  is an initial, maximal execution fragment
  - a *maximal* execution fragment is either finite ending in a terminal state, or infinite
  - an execution fragment is *initial* if  $s_0 \in I$

## Example executions

$$\rho_1 = \text{pay} \xrightarrow{\text{coin}} \text{select} \xrightarrow{\tau} \text{sprite} \xrightarrow{\text{sget}} \text{pay} \xrightarrow{\text{coin}} \text{select} \xrightarrow{\tau} \text{sprite} \xrightarrow{\text{sget}} \dots$$

$$\rho_2 = \text{select} \xrightarrow{\tau} \text{sprite} \xrightarrow{\text{sget}} \text{pay} \xrightarrow{\text{coin}} \text{select} \xrightarrow{\tau} \text{beer} \xrightarrow{\text{bget}} \dots$$

$$\varrho = \text{pay} \xrightarrow{\text{coin}} \text{select} \xrightarrow{\tau} \text{sprite} \xrightarrow{\text{sget}} \text{pay} \xrightarrow{\text{coin}} \text{select} \xrightarrow{\tau} \text{sprite}$$

Execution fragments  $\rho_1$  and  $\varrho$  are **initial**, but  $\rho_2$  is not

$\varrho$  is not **maximal** as it does not end in a terminal state

Assuming that  $\rho_1$  and  $\rho_2$  are infinite, they are **maximal**

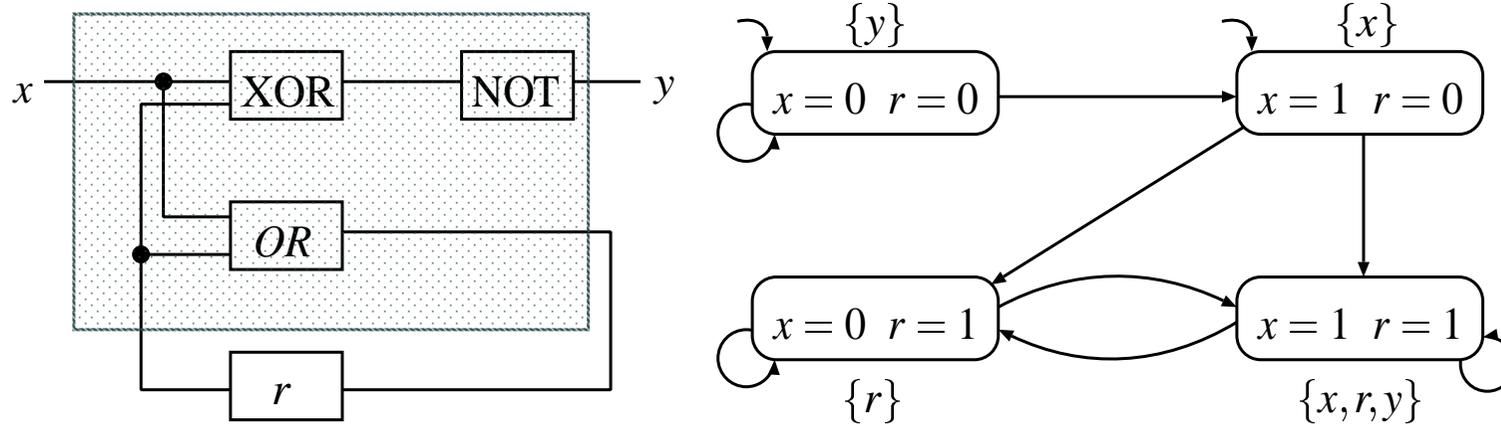
## Reachable states

State  $s \in S$  is called *reachable* in  $TS$  if there exists an initial, finite execution fragment

$$s_0 \xrightarrow{\alpha_1} s_1 \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_n} s_n = s .$$

$Reach(TS)$  denotes the set of all reachable states in  $TS$ .

# Modeling sequential circuits



Transition system representation of a simple hardware circuit

Input variable  $x$ , output variable  $y$ , and register  $r$

Output function  $\neg(x \oplus r)$  and register evaluation function  $x \vee r$

## Atomic propositions

Consider two possible state-labelings:

- Let  $AP = \{ x, y, r \}$ 
  - $L(\langle x = 0, r = 1 \rangle) = \{ r \}$  and  $L(\langle x = 1, r = 1 \rangle) = \{ x, r, y \}$
  - $L(\langle x = 0, r = 0 \rangle) = \{ y \}$  and  $L(\langle x = 1, r = 0 \rangle) = \{ x \}$
  - property e.g., “once the register is one, it remains one”
- Let  $AP' = \{ x, y \}$  – the register evaluations are now “invisible”
  - $L(\langle x = 0, r = 1 \rangle) = \emptyset$  and  $L(\langle x = 1, r = 1 \rangle) = \{ x, y \}$
  - $L(\langle x = 0, r = 0 \rangle) = \{ y \}$  and  $L(\langle x = 1, r = 0 \rangle) = \{ x \}$
  - property e.g., “the output bit  $y$  is set infinitely often”

## Beverage vending machine revisited

“Abstract” transitions:

$$\begin{array}{l}
 \text{start} \xrightarrow{\text{true:coin}} \text{select} \quad \text{and} \quad \text{start} \xrightarrow{\text{true:refill}} \text{start} \\
 \text{select} \xrightarrow{\text{nsprite} > 0 : \text{sget}} \text{start} \quad \text{and} \quad \text{select} \xrightarrow{\text{nbeer} > 0 : \text{bget}} \text{start} \\
 \text{select} \xrightarrow{\text{nsprite} = 0 \wedge \text{nbeer} = 0 : \text{ret\_coin}} \text{start}
 \end{array}$$

Action	Effect on variables
<i>coin</i>	
<i>ret_coin</i>	
<i>sget</i>	$\text{nsprite} := \text{nsprite} - 1$
<i>bget</i>	$\text{nbeer} := \text{nbeer} - 1$
<i>refill</i>	$\text{nsprite} := \text{max}; \text{nbeer} := \text{max}$

# Program graph representation

## Some preliminaries

- typed variables with a **valuation** that assigns values to variables
  - e.g.,  $\eta(x) = 17$  and  $\eta(y) = -2$
- the set of Boolean **conditions** over  $Var$ 
  - propositional logic formulas whose propositions are of the form “ $\bar{x} \in \bar{D}$ ”
  - $(-3 < x \leq 5) \wedge (y = green) \wedge (x \leq 2 \cdot x')$
- **effect** of the actions is formalized by means of a mapping:

$$Effect : Act \times Eval(Var) \rightarrow Eval(Var)$$

- e.g.,  $\alpha \equiv x := y + 5$  and evaluation  $\eta(x) = 17$  and  $\eta(y) = -2$
- $Effect(\alpha, \eta)(x) = \eta(y) + 5 = 3$ , and  $Effect(\alpha, \eta)(y) = \eta(y) = -2$

## Program graphs

A *program graph*  $PG$  over set  $Var$  of typed variables is a tuple

$$(Loc, Act, Effect, \longrightarrow, Loc_0, g_0) \quad \text{where}$$

- $Loc$  is a set of *locations* with initial locations  $Loc_0 \subseteq Loc$
- $Act$  is a set of actions
- $Effect : Act \times Eval(Var) \rightarrow Eval(Var)$  is the *effect* function
- $\longrightarrow \subseteq Loc \times (\underbrace{Cond(Var)}_{\text{Boolean conditions over } Var}) \times Act \times Loc$ , transition relation
- $g_0 \in Cond(Var)$  is the initial *condition*.

Notation:  $l \xrightarrow{g:\alpha} l'$  denotes  $(l, g, \alpha, l') \in \longrightarrow$

## Beverage vending machine

- $Loc = \{ start, select \}$  with  $Loc_0 = \{ start \}$
- $Act = \{ bget, sget, coin, ret\_coin, refill \}$
- $Var = \{ nsprite, nbeer \}$  with domain  $\{ 0, 1, \dots, max \}$

$$Effect(coin, \eta) = \eta$$

$$Effect(ret\_coin, \eta) = \eta$$

- $Effect(sget, \eta) = \eta[nsprite := nsprite - 1]$

$$Effect(bget, \eta) = \eta[nbeer := nbeer - 1]$$

$$Effect(refill, \eta) = [\eta[nsprite := max, nbeer := max]]$$

- $g_0 = (nsprite = max \wedge nbeer = max)$

## From program graphs to transition systems

- Basic strategy: *unfolding*
  - state = location (current control)  $\ell$  + data valuation  $\eta$
  - initial state = initial location satisfying the initial condition  $g_0$
- Propositions and labeling
  - propositions: “at  $\ell$ ” and “ $x \in D$ ” for  $D \subseteq \text{dom}(x)$
  - $\langle \ell, \eta \rangle$  is labeled with “at  $\ell$ ” and all conditions that hold in  $\eta$
- $\ell \xrightarrow{g:\alpha} \ell'$  and  $g$  holds in  $\eta$  then  $\langle \ell, \eta \rangle \xrightarrow{\alpha} \langle \ell', \text{Effect}(\alpha, \eta) \rangle$

## Structured operational semantics

- The notation  $\frac{\text{premise}}{\text{conclusion}}$  means:
- If the proposition above the “solid line” (i.e., the premise) holds, then the proposition under the fraction bar (i.e., the conclusion) holds
- Such “if ..., then ...” propositions are also called *inference rules*
- If the premise is a tautology, it may be omitted (as well as the “solid line”)
- In the latter case, the rule is also called an *axiom*

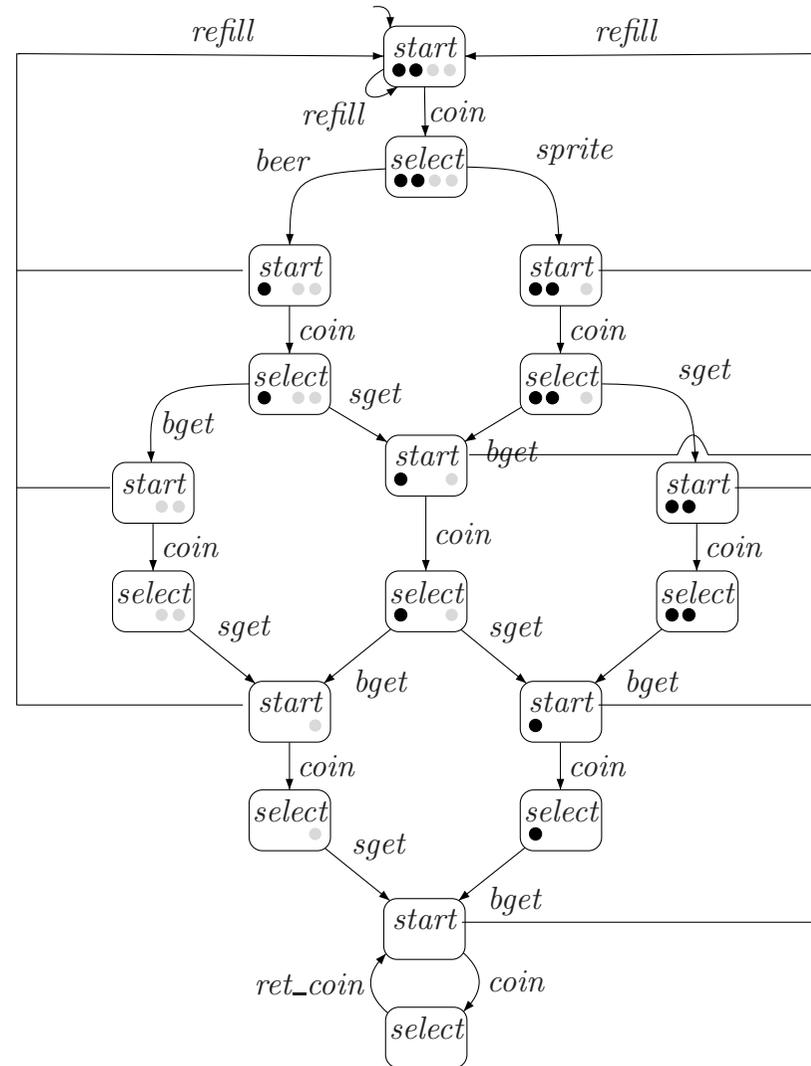
## Transition systems for program graphs

The transition system  $TS(PG)$  of program graph

$$PG = (Loc, Act, Effect, \longrightarrow, Loc_0, g_0)$$

over set  $Var$  of variables is the tuple  $(S, Act, \longrightarrow, I, AP, L)$  where

- $S = Loc \times Eval(Var)$
- $\longrightarrow \subseteq S \times Act \times S$  is defined by the rule: 
$$\frac{l \xrightarrow{g:\alpha} l' \quad \wedge \quad \eta \models g}{\langle l, \eta \rangle \xrightarrow{\alpha} \langle l', Effect(\alpha, \eta) \rangle}$$
- $I = \{ \langle l, \eta \rangle \mid l \in Loc_0, \eta \models g_0 \}$
- $AP = Loc \cup Cond(Var)$  and  $L(\langle l, \eta \rangle) = \{l\} \cup \{g \in Cond(Var) \mid \eta \models g\}$ .



## Transition systems $\neq$ finite automata

As opposed to finite automata, in a transition system:

- there are *no* accept states
- set of states and actions may be countably infinite
- may have infinite branching
- actions may be subject to synchronization (cf. next lecture)
- nondeterminism has a different role

*Transition systems are appropriate for reactive system behaviour*