Calculus of Lambda Terms

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Church's Definition of Computable Functions

- Alonzo Church proposed  $\lambda$ -calculus in 1930s as a part of his work in foundations of mathematics and mathematical logic.
- Church and his students, Stephen C. Kleene and J. B. Rossers studied the calculus and its problems in 1940s.
- Peter Landin in 1960s observed that  $\lambda$ -calculus may be viewed as the core language of many programming languages.

- The semantics of  $\lambda$ -calculus was studied in 1960s and 1970s by Dana Scott and others.
- The study of Lambda and other calculi inspired by  $it^a$ , is still active areas of research in programming language theory.

 $<sup>^</sup>a\pi$ -calculus of Rabin Milner and others for concurrent message passing languages; Martin Abadi and Luca Cardelli's calculus for object oriented languages.

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- What does it mean when we write  $5x^2y + 9$ ?
- It may be viewed as a value of a function for some unspecified argument.
- The other view is, that it gives the **dynamics of computation** of the function, provided we know how to **add**, **mutiply** and evaluate the **exponent**.

# A Bit of History

• In a mordern programming language we write,

$$5*x*x*y + 9$$

for an expression and

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this expression as a function is written as,
 int calc(int x, int y) {return 5\*x\*x\*y + 9;}
 This is called function abstraction.

- There was no programing language in 1930s and
- Alonzo Church had to invent his own notation for function abstraction and function application to its argument.

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#### Denumerable Set of Variable Names

A denumerable set of variable names can be defined inductively as follows. These variables are called object variables. The set of alphabet is  $\{x, 0\}$ .

• Basis: x is a variable.

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- Induction: If v is a variable, then so is v0.
- Smallest Set: Nothing else is a variable.

The set is  $\mathbf{V} = \{\mathbf{x}, \mathbf{x0}, \mathbf{x000}, \mathbf{x000}, \cdots\}$ . For brevity we shall call them as  $\mathbf{V} = \{\mathbf{x_0}, \mathbf{x_1}, \mathbf{x_2}, \cdots\}$ .

We shall also use  $\mathbf{a}, \mathbf{b}, \mathbf{c}, \dots, \mathbf{u}, \mathbf{v}, \mathbf{u_i}, \mathbf{v^j}$  as meta-variables, variables ranging over the variable names.

#### Inductive Definition of Pure $\lambda$ -terms

Let V be a denumerable set of variables.

• Each  $\mathbf{v} \in \mathbf{V}$  is a  $\lambda$ -term.

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- If u and v are  $\lambda$ -terms, and x is a variable, then
  - (uv), function application, and
  - $-(\lambda x.u)$ , function abstraction are  $\lambda$ -terms.
- Nothing else is a  $\lambda$ -term.

Let us call the collection of pure  $\lambda$ -terms as  $\Lambda$ . Impure  $\lambda$ -terms may have some predefined constants.

# Definition of $\Lambda$ by Inference Rules

- Axiom:  $\frac{1}{x \in \Lambda}$ , for all  $x \in V$ , the set of variables.
- Rule<sub>1</sub>:  $\frac{\mathbf{u} \in \Lambda \quad \mathbf{v} \in \Lambda}{(\mathbf{u}\mathbf{v}) \in \Lambda}$

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• Rule<sub>2</sub>:  $\frac{\mathbf{u} \in \Lambda \quad \mathbf{x} \in \mathbf{V}}{(\lambda \mathbf{x}.\mathbf{v}) \in \Lambda}$ 

#### An Alternate Definition of $\Lambda$

For each  $i \in \mathbb{N}$  we define

 $\Lambda_0 = V$ , the set of variables,

$$\begin{split} \boldsymbol{\Lambda_i} &= \mathbf{V} \cup \{(\mathbf{u}, \mathbf{v}) : \mathbf{u}, \mathbf{v} \in \boldsymbol{\Lambda_{i-1}}\} \cup \\ &\{(\lambda \mathbf{x}. \mathbf{u} : \mathbf{u} \in \boldsymbol{\Lambda_{i-1}}, \mathbf{x} \in \mathbf{V}\}, \ i > \mathbf{0}. \end{split}$$

The collection of terms  $\Lambda = \bigcup_{i \in \mathbb{N}} \Lambda_i$ .

There are other ways to define  $\Lambda$ .

# Examples of $\lambda$ -terms

Actual Term	We Write	Name
$(\lambda x.x)$	$\lambda x.x$	I
$(\lambda x.(\lambda y.x))$	$\lambda xy.x$	K
$((\lambda x.(xx))(\lambda x.(xx)))$	$(\lambda x.xx)(\lambda x.xx)$	$\Omega$
$(\lambda x.(\lambda y.y))$	$\lambda xy.y$	$\mathbf{K}_*$
$(\lambda x.(\lambda y.(\lambda z.((xz)(yz)))))$	$\lambda xyz.(xz)(yz)$	$\mathbf{S}$

#### Avoid Parenthesis

- There are too many parenthesis which can be avoided by introducing a convention.
- $(\cdots((\mathbf{u_0u_1})\mathbf{u_2})\cdots\mathbf{u_k})$  is written as  $\mathbf{u_0u_1}\cdots\mathbf{u_k}$  function application is *left associative*.
- The term  $\lambda \mathbf{x_1}.(\lambda \mathbf{x_2}.(\cdots.(\lambda \mathbf{n.u})\cdots))$  will be writen as  $\lambda \mathbf{x_1} \mathbf{x_2} \cdots \mathbf{x_n}.\mathbf{u}$  the scope of function abstraction goes as far as possible to right.

# Arithmetic Expression and Expression Tree

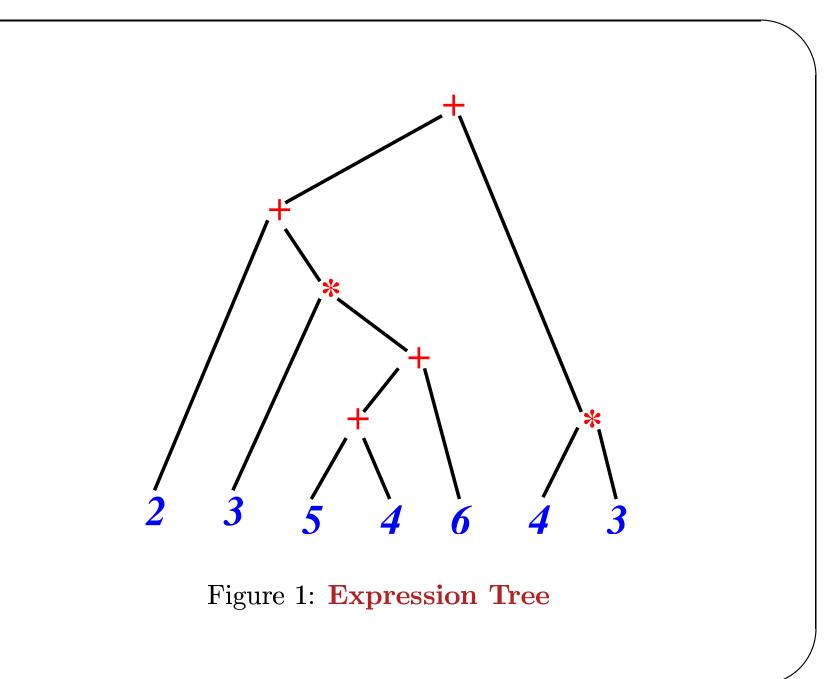
Consider the following arithmetic expression

$$2 + 3 * (5 + 4 + 6) + 4 * 3$$

The order of evaluation is as follows,

$$\frac{1}{2+3*\overline{5+4^1+6}^2} + 4*3^5$$

The order of evaluation can be shown more clearly in an expression tree.



## Expression Tree of a $\lambda$ -term

Consider the following  $\lambda$ -expression.

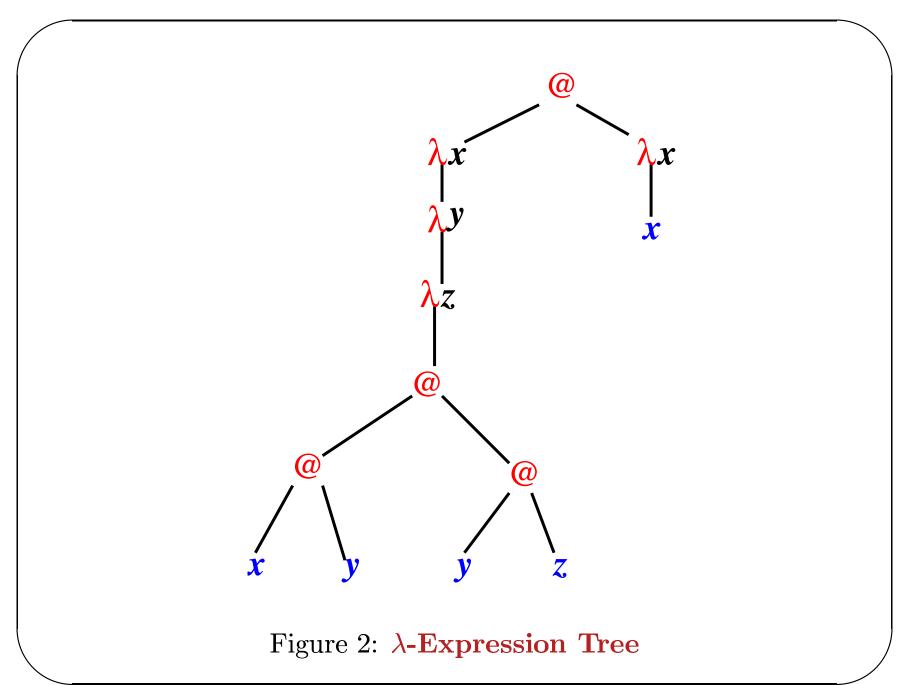
$$(\lambda \mathbf{x}.\lambda \mathbf{y}.\lambda \mathbf{z}.(\mathbf{x}\mathbf{z})(\mathbf{y}\mathbf{z}))(\lambda \mathbf{x}.\mathbf{x})$$

We shall use '@' for application.

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#### Free and Bound Variables

- We know  $\int_0^1 yx^2 dx = \frac{y}{3} = \int_0^1 yz^2 dz$  here x and z are bound variables. But y is a free variable.
- Bound variables can be **renamed** without changing the **value** of the expression. [But then a bound variable **cannot be renamed** to a free variable.]
- A bound variable is similar to the formal parameter of a function.
- In a  $\lambda$ -term, the ' $\lambda$ x' binds 'x'.

#### Free and Bound Variables in a $\lambda$ -term

Let  $\mathbf{FV}(\mathbf{u})$  and BV(u) be the set of **free** and bound variables of a  $\lambda$ -term u. The inductive definitions of  $\mathbf{FV}(\mathbf{u})$  and BV(u) are as follows.

- $\mathbf{FV}(\mathbf{x}) = \{\mathbf{x}\}$  and  $BV(x) = \emptyset$ , if x is a variable.
- $\mathbf{FV}(\mathbf{uv}) = \mathbf{FV}(\mathbf{u}) \cup \mathbf{FV}(\mathbf{v}),$  $BV(uv) = BV(u) \cup BV(v),$
- $\mathbf{FV}(\lambda \mathbf{x}.\mathbf{u}) = \mathbf{FV}(\mathbf{u}) \setminus \{\mathbf{x}\},\$   $BV(\lambda x.u) = \begin{cases} BV(u) \cup \{x\} & \text{if } x \in FV(u),\\ BV(u) & \text{if } x \notin FV(u). \end{cases}$

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## Examples of Free and Bound Variables

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Consider the  $\lambda$ -term :  $\lambda xy.x(y\lambda y.xy)(\lambda x.yx(\lambda y.yx)y)$ 

Term	FV	BV
$\lambda y.yx$	$\{x\}$	{ <i>y</i> }
$\lambda x.yx(\lambda y.yx)y)$	<i>{y}</i>	$\{x,y\}$
$\lambda xy.x(y\lambda y.xy)(\lambda x.yx(\lambda y.yx)y)$	{}	$\{x,y\}$

#### Substitution in a $\lambda$ -term

Let  $\mathbf{u}$  and  $\mathbf{v}$  be  $\lambda$ -terms and x be a variable. We use the notation  $\mathbf{u}[\mathbf{x} = \mathbf{v}]$  for simultaneous substitution of all free occurrences of x in  $\mathbf{u}$  by  $\mathbf{v}$ .

- Basis:  $\mathbf{x}[\mathbf{x} = \mathbf{v}]$  is  $\mathbf{v}$  and  $\mathbf{y}[\mathbf{x} = \mathbf{v}]$  is  $\mathbf{y}$ , where  $\mathbf{x}, \mathbf{y} \in \mathbf{V}$ .
- Induction<sub>1</sub>:  $(\lambda x.u)[x = v]$  is  $\lambda x.u$  as x is not free in u.
- Induction<sub>2</sub>:  $(\lambda y.u)[x = v]$  is  $\lambda y.u[x = v]$ , provided y is not free in v.

#### Substitution in a $\lambda$ -term

- Induction<sub>3</sub>: If  $\mathbf{y}$  is free in  $\mathbf{v}$  and  $\mathbf{z}$  is not free in both  $\mathbf{u}$  as well as  $\mathbf{v}$ , then  $(\lambda \mathbf{y}.\mathbf{u})[\mathbf{x} = \mathbf{v}]$  is  $\lambda \mathbf{z}.(\mathbf{u}[\mathbf{y} = \mathbf{z}])[\mathbf{x} = \mathbf{v}].$
- Induction<sub>4</sub>: (uv)[x = w] is ((u[x = w])(v[x = w])).

### Equality of Terms

Consider the collection of all expressions over  $\mathbb{N}$  with operator symbols  $+, \times$ . Let us call them  $\mathcal{E}$ .

$$\mathcal{E} = \left\{ \begin{array}{l} 0, 1, 2, 3, 4, 5, \cdots \\ 0 + 1, 1 + 2, 2 + 3, \cdots \\ \cdots 2 + 4 \times 5, 3 \times 7 + 9, 8 \times 2 + 9, \cdots \\ \cdots \end{array} \right\}$$

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# **Equality of Terms**

Some of these terms have the same value i.e. they are equivalent.

$$\{0, 0+0, 0+0 \times 0, \cdots\}, \{1, 0+1, 1+0, 1+5 * 0, \cdots\}, \cdots \{5, 1+4, 1+2 \times 2, 2+3 \times 1, \cdots\}, \cdots$$

## Equivalence Relation

A binary relation R over a set A, is called an equivalence relation if it satisfies the following three conditions.

- Reflexivity:  $(\mathbf{a}, \mathbf{a}) \in \mathbf{R}$ , for all  $\mathbf{a} \in \mathbf{A}$ .
- Symmetry: If  $(a, b) \in \mathbb{R}$ , then  $(b, a) \in \mathbb{R}$ , for all  $a, b \in A$ .
- Transitivity: If  $(\mathbf{a}, \mathbf{b}), (\mathbf{b}, \mathbf{c}) \in \mathbf{R}$ , then  $(\mathbf{a}, \mathbf{c}) \in \mathbf{R}$ , for all  $\mathbf{a}, \mathbf{b}, \mathbf{c} \in \mathbf{A}$ .

The equality relation is an equivalence relation.

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### Inference Rules of Equivalence Relation

Following are a few **inference rules** of **terms** in  $\mathcal{E}$ . We have  $s, t, r, \dots \in \mathcal{E}$ .

• Usual rules of equivalence :

$$\frac{\mathbf{s} = \mathbf{t}}{\mathbf{s} = \mathbf{s}}; \quad \frac{\mathbf{s} = \mathbf{t} \quad \mathbf{t} = \mathbf{r}}{\mathbf{t} = \mathbf{s}}; \quad \frac{\mathbf{s} = \mathbf{t} \quad \mathbf{t} = \mathbf{r}}{\mathbf{s} = \mathbf{r}};$$

for all  $s, t, r \in \mathcal{E}$ .

## Inference Rules of + and $\times$

Some more inference rules of terms in  $\mathcal{E}$ . We have  $s, t, r \cdots \in \mathcal{E}$ .

$$\overline{\mathbf{r} + \mathbf{s} = \mathbf{s} + \mathbf{r}}$$
;  $\overline{\mathbf{r} \times (\mathbf{s} + \mathbf{t}) = (\mathbf{r} \times \mathbf{s}) + (\mathbf{r} \times \mathbf{t})}$ ;

$$\frac{\mathbf{s} = \mathbf{t}}{\mathbf{r} + \mathbf{s} = \mathbf{r} + \mathbf{t}}; \quad \frac{\mathbf{s} = \mathbf{t}}{\mathbf{r} \times \mathbf{s} = \mathbf{r} \times \mathbf{t}}$$

## Equality over $\Lambda$

• Usual rules of equivalence:

$$\frac{\mathbf{u} = \mathbf{v}}{\mathbf{u} = \mathbf{u}}; \quad \frac{\mathbf{u} = \mathbf{v} \quad \mathbf{v} = \mathbf{w}}{\mathbf{v} = \mathbf{u}}; \quad \frac{\mathbf{u} = \mathbf{v} \quad \mathbf{v} = \mathbf{w}}{\mathbf{u} = \mathbf{w}};$$

$$\frac{\mathbf{u} = \mathbf{v}}{(\mathbf{u}\mathbf{w}) = (\mathbf{v}\mathbf{w})}; \quad \frac{\mathbf{u} = \mathbf{v}}{(\mathbf{w}\mathbf{u}) = (\mathbf{w}\mathbf{u})}; \quad \frac{\mathbf{u} = \mathbf{v}}{\lambda \mathbf{x}.\mathbf{u} = \lambda \mathbf{x}.\mathbf{v}}(\xi - \mathbf{r}\mathbf{u}\mathbf{l}\mathbf{e}).$$

# Equality over $\Lambda$

- $\alpha$ -equivalence: Two  $\lambda$ -terms u and v are equal if one is obtained from the other by renaming the bound variables.
- $\beta$ -equivalence: The  $\lambda$ -term  $(\lambda \mathbf{x}.\mathbf{u})\mathbf{v}$  is equivalent to  $\mathbf{u}[\mathbf{x} = \mathbf{v}].$

# Examples of Equality

- $\lambda \mathbf{x}.\mathbf{x} = \lambda \mathbf{a}.\mathbf{a} \alpha$ -equivalence.
- $(\lambda \mathbf{x}.\mathbf{x})\mathbf{u} = \mathbf{x}[\mathbf{x} = \mathbf{u}] = \mathbf{u}$ , for all  $u \in \Lambda$  i.e.  $\lambda x.x$  and its equivalent terms behave like **identity function**.

### Examples of Equality

$$Kuv = (\lambda xy.x)uv,$$

$$= ((\lambda x.(\lambda y.x))u)v$$

$$= ((\lambda y.x)[x = u])$$

$$= (\lambda y.x[x = u])v,$$

$$= (\lambda y.u)v, y \notin FV(u),$$

$$= u$$

The combinator K selects the 1st of the two arguments. Similarly the combinator  $\mathbf{k}_* \equiv \lambda \mathbf{xy}.\mathbf{y}$  selects the second argument.