

## 1 Finite Fields

### 1.1 Introduction

An *integral domain* is a *commutative ring with identity* where  $1 \neq 0$  and  $a \times b = 0$  implies that either  $a = 0$  or  $b = 0$ . The set of integers,  $\mathbb{Z}$ , is an *integral domain*. A *field* is a *commutative ring with identity* where every non-zero element is invertible. A *finite field* has finite number of elements e.g.  $\mathbb{F}_q$  is a field with  $q$  elements<sup>1</sup>. It is often called a *Galois field* and  $GF(q)$  is also used as a notation. It is known that  $(\mathbb{Z}_p, +_p, \times_p, 0, 1)$  is a field if  $p$  is prime<sup>2</sup>. This is a *Galois field*  $\mathbb{F}_p$  of order  $p$ .

Example 1. The set  $\mathbb{F}_2 = \{0, 1\}$  under modulo 2 addition and multiplication is a field.

In fact for every positive integer  $n$  and every prime  $p$ , there is a field with  $p^n$  elements. We start with a few definitions.

Let  $R$  be a ring with identity. There is a map  $\mathbb{Z} \rightarrow R$  such that  $0 \mapsto 0_R$ , the additive identity of  $R$ ,  $1 \mapsto 1_R$ , the multiplicative identity of  $R$ . If  $n > 1$ ,  $n \mapsto n_R = \overbrace{1_R + \dots + 1_R}^n$ , and if  $n < 0$ , then  $n \mapsto n_R = -(-n)_R$ , where the inner minus is on integer  $n$  and the outer minus is for the additive inverse in  $R$ . If there is no ambiguity, we shall use  $n$  for  $n_R$ .

Definition 1: In a ring  $R$ , the smallest positive integer  $n$ , if it exists, is called the characteristic of  $R$ ,  $char(R)$ , if  $\underbrace{1_R + \dots + 1_R}_n = n \times 1_R = 0$ . If there is no

such  $n$ , then  $char(R) = 0$ .

Example 2.  $Char(\mathbb{Z}_n) = n$ , for a positive integer  $n > 1$  and  $Char(\mathbb{Z}) = 0$

**Proposition 1.** If  $D$  is an *integral domain* where  $Char(D) > 0$ , then  $Char(D)$  is a prime number. A finite integral domain(field)  $D$  has a prime characteristic. QED.

**Proof:** Let  $Char(D) = n > 0$  be a composite number, so  $n = pq$ , where  $1 < p, q < n$ . But then we have

$$0 = n \times 1 = (pq) \times 1 = \underbrace{1 + \dots + 1}_{pq} = \underbrace{1 + \dots + 1}_p + \dots + \underbrace{1 + \dots + 1}_p = \underbrace{p_F + \dots + p_F}_q$$

Now  $p_D \cdot \overbrace{(1 + \dots + 1)}^q = p_D \cdot q_D$ . But an integral domain does not have a zero divisor<sup>3</sup>, so either  $p_D = 0$  or  $q_D = 0$ . It contradicts our assumption that  $1 < p, q < n$ .

In a finite integral domain  $1_D, 1_D + 1_D = 2_D, 1_D + 1_D + 1_D = 3_D, \dots$  cannot be all distinct. So we have  $p_D = q_D$ , where  $p < q$ . By cancelling  $p$  elements we get  $(q - p)_D = 0$ . So the characteristic is positive and we already have proved that it is a prime. QED.

A *subfield*  $F'$  of a field  $F$  is defined in the usual way:  $F' \subseteq F$  and  $F'$  is a field under the operations of  $F$  restricted to  $F'$ . It is not difficult to prove that intersection of subfields will form a subfield.

<sup>1</sup>We shall prove that all fields with  $q$  elements are isomorphic.

<sup>2</sup> $(\mathbb{Z}_p, +_p, \times_p, 0, 1)$  is a *commutative ring with identity*. And for all  $a \in \mathbb{Z}_p \setminus \{0\}$ ,  $ax = 1$  has a solution in  $\mathbb{Z}_p$  as  $ax \equiv 1 \pmod{p}$  has a solution.

<sup>3</sup>Let  $a, b \in F$  and  $a \neq 0 \neq b$ , but  $ab = 0$ . Multiplying both sides by  $a^{-1}$  we get  $b = 0$ , a contradiction.

Let  $F$  be a field, it has the *smallest subfield*, the intersection of all subfields of  $F$ . It is known as *prime subfield* of  $F$ .

If  $F$  and  $F'$  are two fields, then a map  $\phi : F \rightarrow F'$  is called a *field homomorphism* if  $\phi(a +_F b) = \phi(a) +_{F'} \phi(b)$  and  $\phi(a \times_F b) = \phi(a) \times_{F'} \phi(b)$ .

**Example 3.** Let  $F$  and  $F'$  be two fields and the map  $\phi : F \rightarrow F'$  be a homomorphism. Following facts can be verified.

1.  $\phi(0_F) = 0_{F'}$ :  $\phi(0_F) = \phi(0_F + 0_F) = \phi(0_F) +_{F'} \phi(0_F)$ . So  $\phi(0_F) = 0_{F'}$ .
2.  $\phi(1_F) = 1_{F'}$ : the justification is similar.
3.  $-\phi(a) = \phi(-a)$ :  $0_{F'} = \phi(0_F) = \phi(a +_F (-a)) = \phi(a) +_{F'} \phi(-a)$ . So  $-\phi(a) = \phi(-a)$ .
4.  $\phi(a)^{-1} = \phi(a^{-1})$ : the justification is similar.
5.  $Im(\phi)$  or  $\phi(F)$  is a subfield of  $F'$ : let  $\phi(a), \phi(b) \in F'$  for some  $a, b \in F$ . We know that  $\phi(a) +_{F'} \phi(b) = \phi(a +_F b) \in \phi(F)$ . Similarly it is possible to show that  $\phi(a) \times_{F'} \phi(b) \in \phi(F)$ . So  $\phi(F)$  is closed under both the operations. We have already seen that the identity elements of both the operations are in  $\phi(F)$ , and if  $\phi(a) \in \phi(F)$ , then its inverse is also there. Associative and distributive laws are satisfied.
6. Let  $F'' \subseteq F'$  be a subfield of  $F'$ .  $\phi^{-1}(F'') = \{a \in F : \exists b \in F'', \phi(a) = b\}$  is a subfield of  $F$ : as  $0_{F'} = \phi(0_F)$  and  $1_{F'} = \phi(1_F)$  are in  $F''$ ,  $0_F, 1_F \in \phi^{-1}(F'')$ .  
If  $a \in \phi^{-1}(F'')$ , then  $\phi(a) \in F''$ . So,  $\phi(a)^{-1} \in F''$ . But we know that  $\phi(a^{-1}) = \phi(a)^{-1}$ , so  $a^{-1} \in \phi^{-1}(F'')$ .  
Finally if  $a, b \in \phi^{-1}(F'')$ ,  $\phi(a), \phi(b) \in F''$  and both  $\phi(a) +_{F'} \phi(b) = \phi(a +_F b)$  and  $\phi(a) \times_{F'} \phi(b) = \phi(a \times_F b)$  are in  $\phi^{-1}(F'')$ . So  $a +_F b$  and  $a \times_F b$  are in  $\phi^{-1}(F'')$ .

**Proposition 2.** If  $F$  is a finite field so that  $char(F) = p$ , then the *prime subfield* of  $F$  is *isomorphic* to  $\mathbb{F}_p$ . QED.

**Proof:** We define a map  $\phi : \mathbb{F}_p \rightarrow F$  so that  $n \mapsto \overbrace{1 + \dots + 1}^n$ . We observe that

$$\phi(m+n) = \overbrace{1 + \dots + 1}^{m+n} = \overbrace{1 + \dots + 1}^m + \overbrace{1 + \dots + 1}^n = \phi(m) + \phi(n),$$

and also

$$\begin{aligned} & \phi(mn) \\ &= \overbrace{1 + \dots + 1}^{mn} \\ &= \overbrace{\overbrace{1 + \dots + 1}^n + \dots + \overbrace{1 + \dots + 1}^n}^m \\ &= \overbrace{\phi(n) + \dots + \phi(n)}^m \\ &= \phi(n) \overbrace{(1 + \dots + 1)}^m \\ &= \phi(n) \cdot \phi(m). \end{aligned}$$

So the map  $\phi$  is a homomorphism. We further show that  $\phi$  is an injection i.e. a monomorphism.

Assume that  $\phi(a) = \phi(b)$ , where  $0 \leq a < b < p$ . Then we have  $c = b - a > 0$ .

$$\begin{aligned} \phi(1) &= \phi(c \cdot c^{-1}) = \phi(c) \cdot \phi(c^{-1}) \\ &= \phi(b - a) \cdot \phi(c^{-1}) \\ &= (\phi(b) + (-\phi(a))) \cdot \phi(c^{-1}) \\ &= 0_F \cdot \phi(c^{-1}) = 0_F. \end{aligned}$$

But  $\phi(1) = 1_F \neq 0_F$  - a contradiction.  $\phi$  is an injection and the image of  $\mathbb{F}_p$  in  $F$ ,  $\phi(\mathbb{F}_p)$  is a subfield of  $F$ .

It is known that  $\mathbb{F}_p$  does not have any proper subfield and is its own *prime*. So  $\phi(\mathbb{F}_p)$ , is the smallest or *prime* subfield of  $F$  which can be identified with  $\mathbb{F}_p$ . QED.

## 1.2 Vector Space

We have not seen so far any finite field other than  $\mathbb{F}_p$ . We introduce the concept of *vector space* and claim that a field  $F$  is a vector space over its subfield.

The concept of vector space is a generalisation of the collection of Euclidean vectors over  $\mathbb{R}^n$ . Following is the formal definition.

**Definition 2:** A *vector space* over a field  $F$  is a set  $V$  equipped with the binary operation "addition" defined on its elements and multiplication by the elements of  $F$  (known as scalar), satisfying the following set of axioms:

1.  $V$  is a *commutative* group under vector addition.
2. The scalar multiplication is distributed over vector addition, i.e. for all  $u, v \in V$  and for all  $a \in F$ ,  $a(u + v) = au + av$ .
3. The vector multiplication is distributed over scalar addition, i.e. for all  $u \in V$  and for all  $a, b \in F$ ,  $(a + b)u = au + bu$ .
4. For all  $u \in V$  and for all  $a, b \in F$ ,  $(ab)u = a(bu)$ .
5. For all  $u \in V$ ,  $1u = u$ .

Elements of a vector space are called *vectors*. The identity element of vector addition is known as the *null vector* 0.

**Example 4.** Following are a few examples of vector spaces.

1. 2, 3, or  $n$  dimensional Euclidean vector spaces over  $\mathbb{R}$ . The addition of two vectors and multiplication by scalar are as usual.
2. Given any field  $F$  and a positive integer  $n$ , the collection of  $n$ -tuples of elements of  $F$ ,  $F^n$ , is a vector space over  $F$  under the following definition of addition and scalar multiplication:

Let  $(a_1, \dots, a_n), (b_1, \dots, b_n) \in F^n$  and  $c \in F$ .

- $(a_1, \dots, a_n) + (b_1, \dots, b_n) = (a_1 + b_1, \dots, a_n + b_n)$ , and
- $c(a_1, \dots, a_n) = (ca_1, \dots, ca_n)$ .

By this definition  $\mathbb{C}$  is a vector space over  $\mathbb{R}$ .

3. The collection of all functions from a non-empty set  $A$  to  $F$ , where  $F$  is a field, is a vector space over  $F$  where addition and scalar multiplication are defined as follows:

Let  $f, g \in F^A$  and  $c \in F$ .

- $(f + g)(a) = f(a) + g(a)$ , for all  $a \in A$ , and
- $(cf)(a) = c(f(a))$ , for all  $a \in A$ .

4. All  $2 \times 2$  matrices over  $\mathbb{R}$ ,  $\mathcal{M}_2(\mathbb{R})$ , is a vector space.
5. If  $L$  be a subfield of  $F$ , then  $F$  may be viewed as a vector space over  $L$  in a natural way under the addition and multiplication of the field  $F$ .

**Definition 3:** Let  $V$  be a *vector space* over the field  $F$  and  $U \subseteq V$ . The subset  $U$  is called a *subspace* of  $V$  if it satisfies the following conditions:

1.  $U$  is a subgroup of  $V$ ,
2. if  $u \in U$ , then for all  $a \in F$ ,  $au \in U$ .

Example 5. In  $\mathbb{R}^3$ , vectors lying on a plane passing through the origin forms a subspace of  $\mathbb{R}^3$ . Similarly vectors along a line passing through origin also forms a subspace of  $\mathbb{R}^3$ . Finally the *null vector*  $(0, 0, 0)^T$  (origin) alone forms a subspace.

In connection to the Euclidean space  $\mathbb{R}^3$ , we know that there are three *unit vectors*  $u = (1, 0, 0)^T, v = (0, 1, 0)^T, w = (0, 0, 1)^T$ . Any vector  $a \in \mathbb{R}^3$  can be expressed as a linear combination of the unit vectors,  $a = (x, y, z)^T = xu + yv + zw$ , where  $x, y, z \in \mathbb{R}$ , are known as the coordinates of  $a$ . The set  $\{u, v, w\}$  is called a *basis* of the vector space  $\mathbb{R}^3$ .

We also know that the *basis* set is not unique and any set of three non-coplanar vectors can form a *basis* for  $\mathbb{R}^3$ . In general we have the following definitions.

Definition 4: Let  $V$  be a vector space over a field  $F$  and let  $u_1, \dots, u_n \in V$ . A *linear combination* of these  $n$  vectors is  $a_1u_1 + \dots + a_nu_n$ , where  $a_1, \dots, a_n \in F$ . The linear combination is called *trivial* if  $a_1 = \dots = a_n = 0 \in F$ ; otherwise it is called *nontrivial*.

Let  $U = \{u_1, \dots, u_n\} \subseteq V$ .  $U$  is called *linearly dependent* if there is a *nontrivial linear combination* that gives the *null vector*  $(0)$ . Otherwise the set is called *linearly independent*.

Example 6. In  $\mathbb{R}^3$ ,  $u_1 = (-1, -3, -1)^T, u_2 = (2, -2, -2)^T, u_3 = (-4, 0, 2)^T$  are *linearly dependent* as

$$2(-1, -3, -1)^T + (-3)(2, -2, -2)^T + (-2)(-4, 0, 2)^T = (0, 0, 0).$$

But,  $(1, 2, -1)^T, (1, -1, 2)^T$  and  $(-1, 3, -1)$  are *linearly independent*. We can show this by proving that  $x(1, 2, -1)^T + y(1, -1, 2)^T + z(-1, 3, -1) = (0, 0, 0)^T$  does not have any solution other than  $(0, 0, 0)$ .

**Proposition 3.** Let  $V$  be a vector space over the field  $F$ . The vectors  $u_1, \dots, u_n \in V$  are *linearly dependent* if and only if one of them is a non-trivial linear combination of the other. QED.

**Proof:** Without any loss of generality we assume that  $u_1 = a_2u_2 + \dots + a_nu_n$ . So we have  $u_1 - a_2u_2 - \dots - a_nu_n = 0$ , a *non-trivial* linear combination  $\{u_1, \dots, u_n\}$  gives the *null vector*.

In the other direction we assume that  $a_1u_1 + \dots + a_nu_n = 0$ . One of  $a_i \neq 0$ . So we have  $u_i = \frac{-a_1}{a_i}u_1 + \dots + \frac{-a_{i-1}}{a_i}u_{i-1} + \frac{-a_{i+1}}{a_i}u_{i+1} + \dots + \frac{-a_n}{a_i}u_n$ . QED.

A set  $U$  of vectors is *linearly dependent* does not mean that every element of  $U$  can be expressed as a linear combination of the other.

Example 7. Consider  $u_1 = (1, 2, 3)^T, u_2 = (2, 4, 6)^T$  and  $u_3 = (1, 1, 1)$ . They are *linearly dependent* as  $2u_1 - u_2 = 0$ . But  $u_3$  cannot be expressed as linear combination of  $u_1$  and  $u_2$  as they are along the same line, but the line of support of  $u_3$  is different.

Definition 5: Let  $V$  be a vector space over the field  $F$  and  $S \subseteq V$ . The *linear span* of  $S$ , denoted by  $\langle S \rangle$ , is defined as follows:

$$\langle S \rangle = \left\{ \sum_{i=1}^n a_i u_i : n \in \mathbb{N}, a_i \in F, u_i \in S \right\}.$$

Definition 6: A vector space  $V$  over a field  $F$  is said to be *finite dimensional* if there is a finite subset  $S$  of  $V$  so that  $\langle S \rangle = V$ . Otherwise it is infinite dimensional.

Example 8. We consider  $\mathbb{R}[X]$ , the polynomial functions of single variable on the real field. It is not difficult to check that  $\mathbb{R}[X]$  is a vector space over  $\mathbb{R}$ . But this space cannot be spanned by any finite set. One set that spans this space is  $S = \{x^n : n = 0, 1, 2, \dots\}$ . The set  $S$  may be viewed as a collection of  $e_i$ 's,  $i \in \mathbb{N}$ , where  $e_i = (a_j)_{j=1}^{\infty}$  such that  $a_j = 1$  if  $i = j$  but  $a_j = 0$  if  $i \neq j$ . Any polynomial can be written as a linear combination of finite number of elements of  $S$ . So it is an infinite dimensional vector space.

Definition 7: Let  $V$  be a finite dimensional vector space over the field  $F$ . A set  $B = \{e_1, \dots, e_n\} \subset V$  is called a *basis* of  $V$  if the vectors of  $B$  are *linearly independent* and  $\langle B \rangle = V$ .

It can be proved that every vector space finite or infinite dimensional has a *basis*. The proof requires *Zorn's lemma*<sup>4</sup>, a variation of *Axiom of Choice*<sup>5</sup>. The basis may be uncountably infinite.

**Example 9.** Consider the vector space  $\mathbb{R}^\infty = \{(a_i)_{i=1}^\infty : a_i \in \mathbb{R}\}$ . It cannot have a *countable basis*(why?).

**Proposition 4.** If a vector space  $V$  over the field  $F$  is spanned by  $m$  vectors, then any set of  $n$  vectors of  $V$ , where  $n > m$ , are *linearly dependent*. QED.

**Proof:** Let  $V = \langle \{u_1, \dots, u_m\} \rangle$  and  $v_1, \dots, v_n \in V$ . So we have

$$\begin{aligned} v_1 &= a_{11}u_1 + \dots + a_{1m}u_m \\ &\vdots \\ v_n &= a_{n1}u_1 + \dots + a_{nm}u_m \end{aligned}$$

We consider the following system of  $m$  homogeneous equations:

$$\begin{aligned} a_{11}x_1 + a_{21}x_2 + \dots + a_{n1}x_n &= 0 \\ &\vdots \\ a_{1m}x_1 + a_{2m}x_2 + \dots + a_{nm}x_n &= 0 \end{aligned}$$

The number of unknowns  $n$  in these equations is greater than the number of equations  $m$ . It is known that there is a *non-null* solution of this system. Let the solution be  $(b_1, \dots, b_n)$ .

So we have

$$\begin{aligned} b_1v_1 + \dots + b_nv_n &= b_1(a_{11}u_1 + \dots + a_{1m}u_m) + \dots + b_n(a_{n1}u_1 + \dots + a_{nm}u_m) \\ &= u_1(a_{11}b_1 + \dots + a_{n1}b_n) + \dots + u_m(a_{1m}b_1 + \dots + a_{nm}b_n) \\ &= 0. \end{aligned}$$

So  $v_1, \dots, v_n$  are linearly dependent. QED.

**Proposition 5.** All bases of a finite-dimensional vector space  $V$  over a field  $F$  contains same number of vectors. QED.

**Proof:** Let  $B_1$  and  $B_2$  be two bases of  $V$  with  $n_1$  and  $n_2$  vectors so that  $n_2 > n_1$ . But according to the previous proposition  $n_2$  vectors of  $B_2$  are linearly dependent. This is contradictory. QED.

The size of a *basis* of a finite-dimensional vector space is known as *dimension* of the vector space,  $\dim V$ .

We use the concept of vector space to characterise the size of a finite field. We know that a field  $F$  may be viewed as a vector space of its subfield  $K$ . Let  $\text{char}(F) = p$ , a prime, and  $|F| > p$ . We know that  $\mathbb{F}_p$  is isomorphic to the *prime subfield* of  $F$ . So  $F$  may be viewed as a vector space over  $\mathbb{F}_p$ . Let the dimension of  $F$  over  $\mathbb{F}_p$  or the *degree* or  $[F : \mathbb{F}_p]$  be  $n$ . We have the following proposition.

**Proposition 6.** If  $F$  is a finite field with  $\text{char}(F) = p$ , then there is a positive integer  $n$  such that  $|F| = p^n$ . QED.

**Proof:** We know that  $[F : \mathbb{F}_p] = n$ . So a basis of  $F$  is an  $n$  element subset  $B = \{u_1, \dots, u_n\}$  of  $F$ . Every element of  $v \in F$  can be expressed as a linear combination  $a_1u_1 + \dots + a_nu_n = v$ , where  $a_i \in \mathbb{F}_p$ . There are  $p$  elements in  $\mathbb{F}_p$ , so we have  $p^n$  linear combinations<sup>6</sup>. So there are  $p^n$  elements in  $F$ . QED.

<sup>4</sup>Zorn's lemma is also known as Kuratowski-Zorn lemma. Let  $P$  be a collection of subsets of some set  $A$ .  $P$  has the property that whenever there is a chain of subsets  $A_1 \subset A_2 \subset \dots$  in  $P$ , then their union  $\cup A_i$  is in  $P$ . According to *Zorn's lemma*,  $P$  has a *maximal element*  $A_m$  i.e. there is no  $B \in P$  such that  $A_m \subset B$ .

<sup>5</sup>There are different versions of this axiom of set theory. It assumes that for every indexed family of non-empty sets  $\{A_i\}_{i \in I}$ , there is an indexed family of elements  $\{a_i\}_{i \in I}$  such that  $a_i \in A_i$  for all  $i \in I$ .

<sup>6</sup>Two linear combinations cannot give the same element of  $F$ . If  $a_1u_1 + \dots + a_nu_n = b_1u_1 + \dots + b_nu_n$ , then  $(a_1 - b_1)u_1 + \dots + (a_n - b_n)u_n = 0$ . But that is impossible as  $u_1, \dots, u_n$  are linearly independent.

So the number of elements of any finite field can be a power of some prime.

Example 10. We consider the case of  $\mathbb{F}_{2^2}$ . We know that  $\mathbb{F}_2$  is embedded in  $\mathbb{F}_{2^2}$ . So we take 0 and 1 with their usual meaning. Let  $a$  be another element, so  $a + 1$  is the fourth element to make the set closed under addition. We know that  $\text{char}(\mathbb{F}_{2^2}) = 2$  i.e.  $1 + 1 = 0$ , then for all  $x \in \mathbb{F}_{2^2}$ ,  $x + x = 0$ . We also cannot have  $a \cdot a = a$  or  $a(a + 1) = a + 1$ , as that implies  $a = 1$ .  $a(a + 1) = a$  implies that  $a = 0$ . So we have the following addition and multiplication tables.

+	0	1	$a$	$a + 1$	×	0	1	$a$	$a + 1$
0	0	1	$a$	$a + 1$	0	0	0	0	0
1	1	0	$a + 1$	$a$	1	0	1	$a$	$a + 1$
$a$	$a$	$a + 1$	0	1	$a$	0	$a$	$a + 1$	1
$a + 1$	$a + 1$	$a$	1	0	$a + 1$	0	$a + 1$	1	$a$

What can be a basis for  $\mathbb{F}_{2^2}$ ? Clearly 0 cannot be there in the basis as  $\{0, u\}$  is always linearly dependent:  $c \cdot 0 + 0 \cdot u = 0$ , where  $c \neq 0$ . We may have  $\{1, a\}$ .

For a prime  $p$ ,  $GF(p)$ ,  $\mathbb{Z}_p$  and  $\mathbb{F}_p$  means the same field. But if  $n = p^k$ , where  $p$  is a prime and  $k > 1$ , then  $\mathbb{Z}_n$  is algebraically different from  $GF(n)$  or  $\mathbb{F}_n$ , where  $\mathbb{Z}_n$  is not a field, has  $p^k - (\phi(p^k) + 1) = p^k - p^k + p^{k-1} - 1 = p^{k-1} - 1$  zero divisors.

Example 11. In  $\mathbb{Z}_4$  we have  $2 \times_4 2 = 0$ . But the multiplication table of  $\mathbb{F}_4$  does not have any such element. have any such

### 1.3 Polynomials

A *polynomial* over a *ring*  $R$  is a function  $f : R \rightarrow R$  of the form  $f(x) = \sum_{i=0}^n a_i x^i = a_0 + a_1 x + \dots + a_n x^n$ , where  $a_i \in R$ .

If all  $a_i$ 's are 0, then it is called a *zero polynomial*, and is denoted by 0. If the *leading coefficient*  $a_n \neq 0$ , then  $n$  is called the *degree* of  $f$ , denoted by  $\text{deg}(f)$ . By convention the degree of the *zero polynomial* is  $-\infty$ . A polynomial  $f$  is called a *constant polynomial* if  $\text{deg}(f)$  is 0 or  $-\infty$ . If the ring  $R$  has the multiplicative *identity element* 1, and  $a_n = 1$ , then  $f(x)$  is called a *monic polynomial*.

Two polynomials  $f(x) = \sum_{i=0}^n a_i x^i$  and  $g(x) = \sum_{i=0}^n b_i x^i$  are said to be equal if and only if  $a_i = b_i$  for all  $i = 0, \dots, n$ .

Polynomial  $f$  and  $g$  can be added to get  $h(x) = \sum_{i=0}^n c_i x^i$ , where  $c_i = a_i + b_i$  for all  $i = 0, \dots, n$ . We can pad a polynomial with zero coefficients for the purpose of comparison and addition. But normally *terms* with zero coefficients are not written explicitly.

Example 12. Let  $f(x) = 2x^2 - 5$  and  $g(x) = 7x^3 + 5x + 6$ . The sum  $h(x) = f(x) + g(x) = (0 + 7)x^3 + (2 + 0)x^2 + (0 + 5)x + (-5 + 6) = 7x^3 + 2x^2 + 5x + 1$ .

Product of two polynomials,  $f(x) = \sum_{i=0}^n a_i x^i$  and  $g(x) = \sum_{i=0}^m b_i x^i$  is

$$h(x) = f(x)g(x) = \sum_{k=0}^{m+n} c_k x^k, \text{ where } c_k = \sum_{0 \leq i \leq n \wedge 0 \leq j \leq m} a_i b_j.$$

Example 13. Let  $f(x) = 2x^2 - 5x$  and  $g(x) = 7x^3 + 2x^2 + 6$ . The product  $h(x) = (2 \times 7)x^5 + (2 \times 2 + 7 \times (-5))x^4 + (-5 \times 2)x^3 + (2 \times 6)x^2 + (-5 \times 6)x = 14x^5 - 31x^4 - 10x^3 + 12x^2 - 30x$ .

Given the above definitions, it is clear that collection of all polynomials over  $R$ , denoted by  $R[x]$ , forms a ring.

Example 14. Let  $R = \mathbb{Z}_6$  and  $f(x) = 2x^2 + 1$  and  $g(x) = 4x^2 + 3x$ . So  $f(x) + g(x) = (2 + 4)x^2 + 3x + 1 = 3x + 1$ .

If we take  $h(x) = 3x^2$ , then  $f(x)h(x) = 3x^2$ . Finally if we take  $k(x) = 2x^2$ , then  $h(x)k(x) = 0$ , the product of two non-zero polynomial is a zero polynomial. So it is not an *integral domain*.

We have  $\text{deg}(f + g) \leq \max(\text{deg}(f), \text{deg}(g))$ , and  $\text{deg}(fg) \leq \text{deg}(f) + \text{deg}(g)$ .

$R[x]$ , the ring of polynomials over  $R$ , is commutative, if  $R$  is commutative.  $R[x]$  has the identity element 1 if  $R$  is a ring with identity. We are interested

about polynomials over a *field*, where every non-zero element is invertible and there is no *zero-divisor*.

Let  $F$  be a *field*. Polynomial division over  $F[x]$  is similar to integer division. The division algorithm is as follows:

If  $g, f \in F[x]$  are such that  $g \neq 0$ , then there exists  $q, r \in F[x]$ , such that  $f(x) = g(x)q(x) + r(x)$ , where  $\deg(r) < \deg(g)$ . The polynomial  $g$  divides the polynomial  $f$  if  $r = 0$  i.e.  $f = gq$ .

Example 15. Let the field be  $\mathbb{F}_7$  and  $g(x) = 3x^2 + 2$ ,  $f(x) = 2x^5 + 4x^3 + 3x + 1$  be polynomials over  $\mathbb{F}_7$ . We do the usual long division.

The first term of the quotient is  $3x^3$  as  $3x^2 \times 3x^3 = 2x^5$ . The first partial remainder is  $r_1(x)$ ,

$$\begin{aligned} r_1(x) &= f(x) - 3x^3(3x^2 + 2) \\ &= 2x^5 + 4x^3 + 3x + 1 - (2x^5 + 6x^3) \\ &= 2x^5 + 4x^3 + 3x + 1 + (-2)x^5 + (-6)x^3 \\ &= 5x^3 + 3x + 1. \end{aligned}$$

The second term of the quotient is  $4x$  as  $4x \times 3x^2 = 5x^3$ . The final remainder is  $r(x)$ ,

$$\begin{aligned} r(x) &= r_1(x) - 4x(3x^2 + 2) \\ &= 5x^3 + 3x + 1 - (5x^3 + x) \\ &= 5x^3 + 3x + 1 + (-5)x^3 + (-1)x \\ &= 2x + 1. \end{aligned}$$

So we have

$$2x^5 + 4x^3 + 3x + 1 = (3x^2 + 2)(3x^3 + 4x) + (2x + 1).$$

Given a ring  $R$  and  $S \subseteq R$ ,  $S$  is a subring of  $R$ , if  $S$  is an additive subgroup and is closed under multiplication. If the ring has an identity element  $1$ , the conditions for  $S$  to be a subring are (i)  $-1 \in S$ , (ii)  $S$  is closed under addition, and (iii)  $S$  is closed under multiplication<sup>7</sup>. A subring  $I$  of  $R$  is an ideal, if for all  $a \in I$  and all  $r \in R$ ,  $ar$  and  $ra \in I$ . We also know that for a commutative ring  $R$  with identity, the smallest ideal that contains  $a \in R$  is  $(a) = \{ar : r \in R\}$ . This is called the principal ideal generated by  $a$ .

Example 16. Consider  $\mathbb{Z}$  the ring of integers. Take  $105 \in \mathbb{Z}$ . The ideals that contain  $105$  are  $\{\dots, -6, -3, 0, 3, 6, \dots\}$ ,  $\{\dots, -10, -5, 0, 5, 10, \dots\}$  and  $\{\dots, -14, -7, 0, 7, 14, \dots\}$ ,  $\mathbb{Z}$ .

But the smallest one is  $(105) = \{\dots, -210, -105, 0, 105, 210, \dots\}$ , the principal ideal generated by  $105$ .

Definition 8: An integral domain  $D$  where every ideal is a principle ideal is called a principle ideal domain (PID).

Example 17.  $\mathbb{Z}$  is a PID where every ideal is of the form  $n\mathbb{Z}$ ,  $n \in \mathbb{Z}$ .

An ideal  $I$  over the ring  $R$  defines a partition as it is a subgroup (normal) under addition. The equivalence classes are called residue classes modulo  $I$  and are cosets of  $I$ . For each element  $a \in R$ , an equivalence class  $[a] = a + I$ . Two elements  $b, c \in R$  are equivalent modulo  $I$  if they belong to the same equivalence class say  $[a]$  i.e.  $b = a + p$  and  $c = a + q$ , where  $p, q \in I$ . This implies that  $b - c = p - q \in I$ <sup>8</sup>. It is denoted as  $b \equiv c \pmod{I}$ . The equivalence classes modulo  $n$  over  $\mathbb{Z}$  are essentially equivalences classes modulo the ideal  $n\mathbb{Z}$ .

We can naturally define addition and multiplication operations on the quotient set:  $(a + I) + (b + I) = (a + b) + I$  and  $(a + I)(b + I) = ab + I$ . So the quotient set is also a ring called quotient ring  $R/I$ .

<sup>7</sup>Let  $a \in R$ , then  $(-1)a = -a \in R$  and  $a + (-1)a = a - a = 0 \in R$ . For a finite ring, closure under addition and multiplication is enough to form a subring.

<sup>8</sup>In multiplicative notation  $bc^{-1} \in I$ .

On  $\mathbb{Z}$  the quotient ring (commutative with identity) modulo  $n$  is  $\mathbb{Z}/n\mathbb{Z}$  but we also use the old notation  $\mathbb{Z}_n$

**Proposition 7.** If  $F$  is a field, then  $F[x]$  is a principal ideal domain. For every ideal  $I$ , either  $I = (0)$  or there is a unique monic polynomial  $f \in F[x]$  such that  $I$  is generated by  $f$ . QED.

**Proof:** We have  $(0)$ , the zero-polynomial.

If  $I \neq \{0\}$ , then there is a non-zero polynomial  $h(x)$  of minimal degree in  $I$ . If  $h(x)$  is monic, then we take  $f(x) = h(x)$ , otherwise we take  $f(x) = a^{-1}h(x)$ , where  $a$  is the leading coefficient of  $h(x)$ . So  $f$  is monic and is in  $I$ .

Let  $g \in I$ , by division algorithm we have  $q, r \in F[x]$  such that  $g = fq + r$ , where  $\deg(r) < \deg(f)$ . But then  $r = g - fq$ , implies that  $r \in I$ , which is a contradiction. So  $r = 0$  and  $f|g$  i.e.  $(f) = I$ .

To prove the uniqueness we assume that  $I = (f')$  where  $f' \in I$  and is monic. So we have  $f = pf' = p(p'f) = (pp')f$  i.e.  $pp' = 1$ . So both  $p$  and  $p'$  are constant polynomials. But both  $f$  and  $f'$  are monic, so  $p = 1 = p'$ , implies that  $f = f'$ . QED.

We define GCD of two polynomials over a field  $F$ . Let  $f, g \in F[x]$  such that both are not equal to 0. There is a unique monic polynomial  $d$  such that (i)  $d$  divides both  $f$  and  $g$ , (ii) any polynomial  $c \in F[x]$ , dividing both  $f$  and  $g$ , divides  $d$ . We also have the Bezout's identity,  $d = uf + vg$ , where  $u, v \in F[x]$ .

Example 18. Consider  $f(x) = 4x^7 + 2x^6 + 3x^4 + 4x^2 + 2x + 2$  and  $g(x) = x^6 + 3x^5 + x^4 + x^3 + 2x^2 + 3$  over  $\mathbb{F}_5$ . We want to compute  $\gcd(f, g) = d$  (say). We rewrite  $f(x) = 4f'(x)$ , where  $f'(x) = x^7 + 3x^6 + 2x^4 + x^2 + 3x + 3$ . As  $d$  is a monic polynomial, the  $\gcd(f, g) = \gcd(f', g)$ . We divide  $f'$  by  $g$  and get

$$\begin{aligned} f'(x) &= g(x)(x) + r_1(x), \text{ where} \\ r_1(x) &= 4x^5 + x^4 + 3x^3 + x^2 + 3 = 4r'_1(x), \text{ where} \\ r'_1(x) &= x^5 + 4x^4 + 2x^3 + 4x^2 + 2. \end{aligned}$$

In the second stage,

$$\begin{aligned} g(x) &= r'_1(x)(x+4) + r_2(x), \text{ where} \\ r_2(x) &= 3x^4 + 4x^3 + x^2 + 3x = 3r'_2(x), \text{ where} \\ r'_2(x) &= x^4 + 3x^3 + 2x^2 + x. \end{aligned}$$

In the third stage

$$\begin{aligned} r'_1(x) &= r'_2(x)(x+1) + r_3(x), \text{ where} \\ r_3(x) &= 2x^3 + x^2 + 4x + 2 = 2r'_3(x), \text{ where} \\ r'_3(x) &= x^3 + 3x^2 + 2x + 1. \end{aligned}$$

Finally,  $r'_3(x)|r'_2(x)$ , so the  $\gcd(f, g) = x^3 + 3x^2 + 2x + 1$ , and we have

$$\begin{aligned} \gcd(f, g) &= x^3 + 3x^2 + 2x + 1 \\ &= r'_3(x) \\ &= 3r_3(x), \text{ as } (2)^{-1} = 3 \in \mathbb{F}_5, \\ &= 3(r'_1(x) - r'_2(x)(x+1)) \\ &= 3(r'_1(x) - 2(g(x) - r'_1(x)(x+4))(x+1)), \text{ as } r'_2(x) = 2r_2 \\ &= 4(x+1)g(x) + r'_1(x)(3 + (x+1)(x+4)). \\ &= 4(x+1)g(x) + 4(f'(x) - xg(x))(3 + (x+1)(x+4)), \text{ as } r'_1(x) = 4r_1(x) \\ &= (x^2 + 2)f(x) + (x^3 + x + 4)g(x), \text{ as } f'(x) = 4f(x). \end{aligned}$$

So the Bezout's coefficients are,  $x^2 + 2$  and  $x^3 + x + 4$ .

Definition 9: A polynomial  $p$  of positive degree in  $F[x]$ , where  $F$  is a field, is called irreducible in  $F$ , if whenever  $p = qr$  and  $q, r \in F[x]$ , then either  $q$  or  $r$  is a constant polynomial.

An irreducible polynomial  $p$  is also known as prime in  $F[x]$ .

It is important to mention the field while calling a polynomial irreducible.



Example 19. The polynomial  $x^2 + 1$  is irreducible in  $\mathbb{R}[x]$ , but can be factorised as  $(x + i)(x - i)$  in  $\mathbb{C}[x]$ . Similarly  $x^2 - 2$  is irreducible in  $\mathbb{Q}[x]$  but not in  $\mathbb{R}[x]$ . The polynomial  $x^3 + x + 1$  is irreducible in  $\mathbb{F}_2$ .

We accept the following facts about polynomials over  $F[x]$ , where  $F$  is a field, without proof.

1. If  $f \in F[x]$  is of positive degree, then  $f = cp_1^{e_1} \cdots p_k^{e_k}$ , where  $p_1, \dots, p_k \in F[x]$  are irreducible polynomials over  $F$  and  $c \in F$ . Compare it with the prime factorisation of integers larger than 1.
2. If an irreducible polynomial  $p$  in  $F$  divides  $f_1 \times \cdots \times f_n$ , a product of polynomials in  $F[x]$ , then one  $f_i$ ,  $1 \leq i \leq n$  is divisible by  $p$ . Compare it with the fact that when a prime  $p|mn$ , then either  $p|m$  or  $p|n$ .

**Proposition 8.** The quotient ring  $F[x]/(f)$  is a field if and only if  $f$  is irreducible in field  $F$ . QED.

**Proof:** It is clear that the ring is commutative and it has the multiplicative identity element  $[1] = 1 + (f)$ . So this is a field if all non-zero elements are invertible and it does not degenerate i.e.  $[0] \neq [1]$ .

( $\Leftarrow$ ):  $f$  is irreducible.

Let  $[k] \neq [0]$  in  $F[x]/(f)$ . As  $[k] \neq [0]$ ,  $k$  is not divisible by  $f$ . So  $\gcd(k, f) = 1$  and by the Bezout's identity, there are polynomials  $u, v \in F[x]/(f)$  such that  $uf + vk = 1$ . As  $uf \in (f) = [0]$ , we have  $[v][k] = [vk] = [1]$ . So  $[v]$  is inverse of  $[k]$ .

( $\Rightarrow$ ):  $f$  is reducible.

If  $f$  is a constant polynomial, there are two possibilities,

(i)  $f = 0$ , in that case  $F[x]/(0)$  is isomorphic to  $F[x]$  as for all  $g \in F[x]$ ,  $g + (0)$  is identified with  $g$  itself.

(ii)  $f = c \neq 0$ , in that case  $F[x]/(c)$  has only one element as  $(c) = \{cg(x) : g(x) \in F[x]\}$  and for each  $g(x) \in F[x]$ ,  $cc^{-1}g(x) = g(x)$ .

In none of these cases  $F[x]/(f)$  is a field.

If  $f = gh$ , where  $g, h$  are not constants and  $\deg(g), \deg(h)$  are less than  $\deg(f)$ . So  $f$  does not divide either  $g$  or  $h$  implies that  $[g]$  or  $[h]$  are not equal to  $[0]$ . But  $[g][h] = [gh] = [f] = [0]$ . So  $F[x]/(f)$  has zero divisors and it is not a field. QED.

Compare the proposition with  $\mathbb{Z}/(p) = \mathbb{Z}/p\mathbb{Z} = \mathbb{Z}_p$ , which is a field  $\mathbb{F}_p$  when  $p$  is a prime. Now we shall look at the last result more closely. Let  $f \in F[x]$  and  $f \neq 0$ . The ring of residue classes modulo  $(f)$  is  $F[x]/(f)$ , where  $(f) = \{f(x)h(x) : h(x) \in F[x]\}$ . A residue class  $[g] = g + (f)$ . Equality of two residue classes is defined as usual:  $[g] = [h]$ , if  $g + (f) = h + (f)$  i.e.  $g(x) + f(x)f_1(x) = h(x) + f(x)f_2(x)$ , for some  $f_1(x), f_2(x) \in R[x]$ . So,  $f|(g - h)$  i.e.  $g \equiv h \pmod{f}$ .

Each residue class  $[g]$  has a representative  $r \in [g]$  so that  $g \equiv r \pmod{f}$  and  $\deg(r) < \deg(f)$ . It is clear that  $r$  is the remainder when  $g$  is divided by  $f$  i.e.  $g = fq + r$ . If there is another  $r'$  satisfying  $g \equiv r' \pmod{f}$  and  $\deg(r') < \deg(f)$ , then  $r \equiv r' \pmod{f}$  i.e.  $f|(r - r')$ , but that is impossible unless  $r = r'$ . This gives us the distinct representative  $r$  of an equivalence class of  $F[x]/(f)$ . So an equivalence class is of the form  $r + (f)$ , where  $r \in F[x]$  and  $\deg(r) < \deg(f)$ . Compare it with the equivalence classes of  $\mathbb{Z}$  modulo  $n$ ,  $[0], \dots, [n-1]$ .

In particular if we take  $F = \mathbb{F}_p$  and  $\deg(f) = n$ , then the number of polynomials of degree  $\leq (n-1)$  are  $p^n$  as every coefficient of  $a_0 + a_1x + \cdots + a_{n-1}x^{n-1}$  can take  $p$  possible values. So the number of elements of  $\mathbb{F}_p[x]/(f)$  is  $p^n$ , and this is a field.

We already know that any finite field can be of size  $p^n$  and here is a field of size  $p^n$ . So there is a connection. But the question is whether there is an irreducible polynomial of degree  $n$  for every positive integer  $n$ ? How do we get an irreducible polynomial of a certain degree if it exists? For finite field and lower degree we may enumerate.

Example 20. Let us find out the irreducible polynomials of degree 3 over  $\mathbb{F}_2$ . Any polynomial of degree 3 is of the form  $x^3 + a_2x^2 + a_1x + a_0$ , where  $a_0, a_1, a_2 \in \{0, 1\}$ . So there are 8 possibilities. Again any reducible polynomial of degree

3 is of the form  $(x + b)(x^2 + b_1x + b_0)$  where  $b, b_1, b_0 \in \{0, 1\}$ . Following table computes them.

$b$	$b_1$	$b_0$	$(x + b)(x^2 + b_1x + b_0)$
0	0	0	$x^3$
0	0	1	$x^3 + x$
0	1	0	$x^3 + x^2$
0	1	1	$x^3 + x^2 + x$
1	0	0	same
1	0	1	$x^3 + x^2 + x + 1$
1	1	0	same
1	1	1	$x^3 + 1$

So there are two irreducible polynomials of degree 3 over  $\mathbb{F}_2$ . They are  $x^3 + x^2 + 1$  and  $x^3 + x + 1$ .

Example 21. The equivalence classes of  $\mathbb{F}_2[x]/(f)$ , where  $f = x^3 + x^2 + 1$ , are  $[0] = 0 + (f)$ ,  $[1] = 1 + (f)$ ,  $[x] = x + (f)$ ,  $[x + 1] = x + 1 + (f)$ ,  $[x^2] = x^2 + (f)$ ,  $[x^2 + 1] = x^2 + 1 + (f)$ ,  $[x^2 + x] = x^2 + x + (f)$ ,  $[x^2 + x + 1] = x^2 + x + 1 + (f)$ .

The addition and multiplication tables can be constructed following usual rules e.g.

- (i)  $[x^2] + [x^2 + x + 1] = (x^2 + (f)) + (x^2 + x + 1 + (f)) = x + 1 + (f) = [x + 1]$ .  
(ii)  $[x^2] \times [x^2 + x + 1] = (x^2 + (f)) \times (x^2 + x + 1 + (f)) = x^2 + x + (f) = [x^2 + x]$ .  
The reason for the last one is  $x^4 + x^3 + x^2 = xf(x) + x^2 + x$ .

Example 22. We take a simpler example. Take the irreducible polynomial  $f(x) = x^2 + x + 1$  over  $\mathbb{F}_2$  of degree-2. The elements of the quotient field are  $[0] = 0 + (f)$ ,  $[1] = 1 + (f)$ ,  $[x] = x + (f)$ , and  $[x + 1] = x + 1 + (f)$ . The addition and multiplication tables are as follows:

+	[0]	[1]	[x]	[x + 1]	×	0	1	x	x + 1
0	0	1	x	x + 1	0	0	0	0	0
1	1	0	x + 1	x	1	0	1	x	x + 1
x	x	x + 1	0	1	x	0	x	x + 1	1
x + 1	x + 1	x	1	0	x + 1	0	x + 1	1	x

As an example,

$$[x] \times [x + 1] = (x + (f))(x + 1 + (f)) = x^2 + x + (f) = 1 + (f) = [1].$$

The table is same as what we constructed earlier.

## 1.4 Extension Field

We know that given a field  $F$ , a subset  $K$  of  $F$  is called a subfield of  $F$ , if  $K$  is a field under the operations of  $F$ . If  $K \neq F$ , then  $K$  is a proper subfield of  $F$ . The field  $F$  is known as an extension of  $K$  and is denoted by  $F/K$ . A field is called a prime field if it does not have any proper subfield. We already know that for each prime  $p$ ,  $\mathbb{F}_p$  is a prime field.

Definition 10: Let  $K$  be a subfield of  $F$  and  $M \subseteq F$ . The smallest subfield of  $F$  containing both  $M$  and  $K$  is the extension field of  $K$  obtained by adjoining  $M$ . It is denoted by  $K(M)$ . If  $M = \{\alpha\}$ , then we write  $K(\alpha)$  and it is called a simple extension of  $K$ , where  $\alpha$  is known as the defining element of  $K(\alpha)$  over  $K$ .

Viewing  $F$  as a vector space over  $K$ , the dimension of  $F$  is denoted by  $[F : K]$ . It is called the degree of extension. If the degree of extension or the dimension of  $F$  over  $K$  is finite, then it is called a finite extension.

If an element  $\alpha \in F$  is a root of a polynomial equation  $a_0 + a_1x + \dots + a_nx^n = 0$ , where  $a_i \in K$  and not all  $a_i$ 's are zeros, then we say that  $\alpha$  is algebraic over  $K$ . An extension  $L$  of  $K$  is called algebraic over  $K$ , if all elements of  $L$  are algebraic over  $K$ .

Note that each element  $a$  of  $K$  is algebraic over  $K$  as it is a root of  $x - a = 0$ .

Example 23. We know that  $\mathbb{C}$  is an extension of  $\mathbb{R}$ , and  $\mathbb{R}$  is an extension of  $\mathbb{Q}$ .