

**CS11001/CS11002**  
**Programming and Data**  
**Structures (PDS)**  
**(Theory: 3-1-0)**

Analysis of Algorithms

## Sorting problem

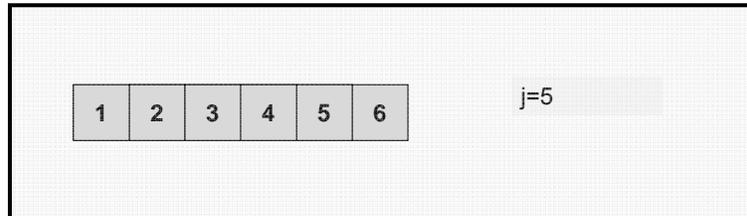
- Input: A sequence of  $n$  numbers,  $a_1, a_2, \dots, a_n$
- Output: A permutation (reordering)  $(a_1', a_2', \dots, a_n')$  of the input sequence such that  $a_1' \leq a_2' \leq \dots \leq a_n'$ 
  - Comment: The number that we wish to sort are also known as keys

## Insertion Sort

- Efficient for sorting small numbers
- In place sort: Takes an array  $A[0..n-1]$  (sequence of  $n$  elements) and arranges them in place, so that it is sorted.

**It is always good to start with numbers**

5	2	4	6	1	3
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**Invariant property in the loop:**

At the start of each iteration of the algorithm, the subarray  $a[0..j-1]$  contains the elements originally in  $a[0..j-1]$  but in sorted order

## Pseudo Code

- **Insertion-sort(A)**
  1. for  $j=1$  to  $(\text{length}(A)-1)$
  2.     do  $\text{key} = A[j]$
  3.     #Insert  $A[j]$  into the sorted sequence  $A[0..j-1]$
  4.      $i=j-1$
  5.     while  $i>0$  and  $A[i]>\text{key}$
  6.         do  $A[i+1]=A[i]$
  7.          $i=i-1$
  8.      $A[i+1]=\text{key}$  //as  $A[i]\leq\text{key}$ , so we place  
                          //key on the right side of  $A[i]$

## Lets analyze the Insertion sort

- The time taken to sort depends on the fact that we are sorting how many numbers
- Also, the time to sort may change depending upon whether the array is almost sorted (can you see if the array was sorted we had very little job).
- So, we need to define the meaning of the **input size** and **running time**.

## Input Size

- Depends on the notion of the problem we are studying.
- Consider sorting of  $n$  numbers. The input size is the cardinal number of the set of the integers we are sorting.
- Consider multiplying two integers. The input size is the total number of bits required to represent the numbers.
- Sometimes, instead of one numbers we represent the input by two numbers. E.g. graph algorithms, where the input size is represented by both the number of edges ( $E$ ) and the number of vertices ( $V$ )

## Running Time

- Proportional to the Number of primitive operations or steps performed.
- Assume, in the pseudo-code a constant amount of time is required for each line.
- Assume that the  $i$ th line requires  $c_i$ , where  $c_i$  is a constant.
- There is no concurrency

## Run Time of Insertion Sort

Steps	Cost	Times
for j=1 to n-1	$c_1$	n
key=A[j]	$c_2$	n-1
i=j-1	$c_3$	n-1
while i>0 and A[i]>key	$c_4$	$\sum_{j=1}^{n-1} t_j$
do A[i+1]=A[i]	$c_5$	$\sum_{j=1}^{n-1} (t_j - 1)$
i=i-1	$c_6$	$\sum_{j=1}^{n-1} (t_j - 1)$
A[i+1]=key	$c_7$	(n-1)

The total time required is the sum of that for each statement:

$$T(n) = c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 \sum_{j=1}^{n-1} t_j + c_5 \sum_{j=1}^{n-1} (t_j - 1) + c_6 \sum_{j=1}^{n-1} (t_j - 1) + c_7 (n-1)$$

## Best Case

- If the array is already sorted:
  - *While* loop sees in 1 check that  $A[j] < \text{key}$  and so while loop terminates. Thus  $t_j = 1$  and we have:

$$\begin{aligned} T(n) &= c_1 n + c_2(n-1) + c_3(n-1) + c_4 \sum_{j=1}^{n-1} 1 + c_5 \sum_{j=1}^{n-1} (1-1) + c_6 \sum_{j=1}^{n-1} (1-1) + c_7(n-1) \\ &= (c_1 + c_2 + c_3 + c_4 + c_7)n - (c_2 + c_3 + c_4 + c_7) \end{aligned}$$

**The run time is thus a linear function of n**

## Worst Case: The algorithm cannot run slower!

- If the array is arranged in reverse sorted array:
  - *While* loop requires to perform the comparisons with  $A[j-1]$  to  $A[0]$ , that is  $t_j = j$

$$\begin{aligned} T(n) &= c_1 n + c_2(n-1) + c_3(n-1) + c_4 \sum_{j=1}^{n-1} j + c_5 \sum_{j=1}^{n-1} (j-1) + c_6 \sum_{j=1}^{n-1} (j-1) + c_7(n-1) \\ &= \left(\frac{c_4}{2} + \frac{c_5}{2} + \frac{c_6}{2}\right)n^2 + (c_1 + c_2 + c_3 - \frac{c_4}{2} - \frac{3c_5}{2} - \frac{3c_6}{2})n + (c_5 + c_6 - c_2 - c_3 - c_7) \end{aligned}$$

**The run time is thus a quadratic function of n**

## Divide & Conquer Algorithms

- Many types of problems are solvable by reducing a problem of size  $n$  into some number  $a$  of independent subproblems, each of size  $\leq \lceil n/b \rceil$ , where  $a \geq 1$  and  $b > 1$ .
- The time complexity to solve such problems is given by a recurrence relation:

$$- T(n) = aT(\lceil n/b \rceil) + g(n)$$

Time for each subproblem

Time to combine the solutions of the subproblems into a solution of the original problem.

## Why the name?

- Divide: This step divides the problem into one or more substances of the same problem of smaller size
- Conquer: Provides solutions to the bigger problem by using the solutions of the smaller problem by some additional work.

## Divide and Conquer Examples

- **Binary search:** Break list into 1 sub-problem (smaller list) (so  $a=1$ ) of size  $\leq \lceil n/2 \rceil$  (so  $b=2$ ).
  - So  $T(n) = T(\lceil n/2 \rceil) + 2$  ( $g(n)=c$  constant)
  - $g(n)=2$ , because two comparisons are needed to conquer. One to decide which half of the list to use. Second to decide whether any term in the list remain.

## Solving the recurrence

Assume,  $n=2^t \Rightarrow t = \log(n)$

$$\begin{aligned}T(n) &= T(n/2) + 2 \\&= T(n/4) + 2 + 2 \\&= T(n/2^2) + 2 \cdot 2 \\&= \dots \\&= T(n/2^t) + 2 \cdot t \\&= T(1) + 2 \log(n) = 1 + 2 \log(n) \\&= O(\log n)\end{aligned}$$

## Merge Sort

*Assume,  $n = 2^t$*

$$\begin{aligned}T(n) &= 2T(n/2) + cn \\&= 2[2T(n/4) + c(n/2)] + cn \\&= 2^2T(n/2^2) + 2cn \\&= 2^tT(n/2^t) + tcn \\&= 2^tT(1) + tcn \\&= n + cn \log(n) = O(n \log n)\end{aligned}$$

Best of Luck for End Sems!