# Relations Among Notions of Security for Public-Key Encryption Schemes

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#### **Notions**

- To organize the definitions of secure encryptions
- · Classified depending on:
  - security goals:
    - Indistinguishability (GM) (Goldwasser-Micali)
    - Non-malleability (DDN), (Dolev, Dwork, Naor)
  - attack models:
    - Chosen Plain Text (CPA)
    - Non-adaptive Chosen Ciphertext (CCA1)
    - Adaptive Chosen Ciphertext (CCA2)

#### Relations

- One can mix and match the goals (IND,NM) and the attack models (CPA, CCA1, CCA2)
  - thus there are 6 notions of security
    - IND-X: IND-CPA, IND-CCA1, IND-CCA2
    - NM-X: NM-CPA, NM-CCA1, NM-CCA2

# Non-malleability

 Danny Dolev, Cynthia Dwork and Moni Naor, "Non-malleable Cryptography", Siam J of Computing, 2000.

#### Motivation

- Consider a bidding scheme.
- Company A gives a bid of say Rs 10,000.
- It communicates to the arbiter by using a Public Key Infrastructure (PKI), E(10000)
- Another company B, should not be able to compute a bid value say E(x), st. x<10000 more likely than when B does not have a knowledge of E(10000).

#### Other Motivations

- For key agreement protocols like Kerberos, after the mutual key K<sub>AB</sub> is agreed there is an exchange of nonces, N.
- One party sends to the other E<sub>KAB</sub>(N) and expects E<sub>KAB</sub>(N-1).
  - the assumption being that without KAB it is not feasible to compute N-1 (or any f(N)) with a probability better than without having the knowledge of the ciphertext of N with KAB).

# Informally

 Informally, given the CT it is no easier to generate a different CT, so that the corresponding PTs are related, than it is to do with out the ciphertext.

# Indistinguishability

A public key scheme (E,D,G) is (t,q,ε)secure in the IND-X sense if for all pairs of
different messages of the same length,
and for every adversary A that runs in time
t and makes at most q queries to oracle O:

$$\Pr_{(p_k,s_k)}[A^{O}(p_k, E_{p_k}(m_1) = 1)] - \Pr_{(p_k,s_k)}[A^{O}(p_k, E_{p_k}(m_0)) = 1] \le \varepsilon(n)$$
 where the oracle is:

[ -, if IND-CPA

 $O = \begin{cases} -, & \text{if IND-CPA} \\ D_{sk}, & \text{if IND-CCA2} \end{cases}$ 

and the adversary cannot query the decryption oracle at  $E_{p_k}(m_i)$ 

#### CCA1 vs CCA2

- Imagine all the algorithms  $A=(A_1,A_2)$ , both of which are also polytime algorithms in n.
- A<sub>1</sub> generates a message pair and encrypts one of them and gives it to A<sub>2</sub> as a challenge.
- A<sub>2</sub> has to be successful against the challenge, depending on the goal:
  - IND: It has to tell message 0/1 which has been encryptes.
  - NM: It has to return a ciphertext whose corresponding message is related to the plaintext encrypted.

#### Inter-relation

• IND-CCA2=>IND-CCA1=>IND-CPA=SS

### Non-Malleability

 A public-key scheme (E,D,G) is (t,q,ε)-secure in the NM-X sense if for all message distributions M, and all relations R:MxM→{0,1}, and for every adversary A that runs in time t, and makes at most q queries to oracle O, there exists another adversary A' that runs in time poly(t), st:

 $\Pr_{(p_k,s_k),m}[R(m,D_{s_k}(A^{O}(p_k,E_{p_k}(m)))] - \Pr_{(p_k,s_k),m}[R(m,D_{s_k}(A'(p_k)))] \le \varepsilon(n)$ where the oracle is:

$$O = \begin{cases} -, & \text{if IND-CPA} \\ D_{sk}, & \text{if IND-CCA2} \end{cases}$$

and the adversary cannot query the decryption oracle at  $E_{p_k}(m)$ 

#### Relation NM-X=>IND-X

- If a public-key scheme is (t,q,ε)-secure in NM-X sense, then it is (t,q,2ε)-secure in IND-X sense.
- Contradict that the scheme is (t,q,2ε)secure in IND-X sense.
- Show that the scheme is also not (t,q,ε)secure in NM-X sense.

Let us assume that the scheme is not IND-X secure.

There exists messages  $m_0 = m_1$  and an adversary  $A^0$ , st:

$$\Pr_{(p_k,s_k)}[A^{O}(p_k,E_{p_k}(m_1)=1)] - \Pr_{(p_k,s_k)}[A^{O}(p_k,E_{p_k}(m_0))=1] > 2\varepsilon(n)$$

We need to prove that there exists B for which there exists a R, so that

$$\begin{split} & \Pr_{(p_k,s_k),m}[R(m,D_{s_k}(B^o(p_k,E_{p_k}(m)))] - \Pr_{(p_k,s_k),m}[R(m,D_{s_k}(B'(p_k)))] > \varepsilon(n) \\ & Note: \Pr_{(p_k,s_k),i \in \{0,1\}}[R(m_i,D_{s_k}(B'(p_k)))] = 1/2 \end{split}$$

Consider, 
$$R(u, v) = \begin{cases} 1, u = v \\ 0, u \neq v \end{cases}$$

and 
$$B^{O}(p_{k},c) = E_{p_{k}}(m_{A^{O}(p_{k},c)})$$

and B 
$$(p_k,c) = E_{p_k}(m_{A^0(p_k,c)})$$

Thus, 
$$\Pr_{(p_k,s_k),i\in\{0,1\}}[R(m_i,D_{s_k}(B^O(p_k,E_{p_k}(m_i)))] =$$

= 
$$\Pr_{(p_k,s_k),m\in\{0,1\}}[m_i = D_{s_k}(B^o(p_k,E_{p_k}(m_i)))]$$

= 
$$\Pr_{(p_k,s_k),m\in\{0,1\}}[A^O(p_k,E_{p_k}(m_i))=i]$$

$$\begin{split} &=\frac{1}{2}\Pr_{(p_k,s_k),m\in\{0,1\}}[A^{O}(p_k,E_{p_k}(m_0))=0]+\frac{1}{2}\Pr_{(p_k,s_k),m\in\{0,1\}}[A^{O}(p_k,E_{p_k}(m_1))=1]\\ &=\frac{1}{2}(1-\Pr_{(p_k,s_k),m\in\{0,1\}}[A^{O}(p_k,E_{p_k}(m_0))=1])+\frac{1}{2}\Pr_{(p_k,s_k),m\in\{0,1\}}[A^{O}(p_k,E_{p_k}(m_1))=1] \end{split}$$

$$= \frac{1}{2} + (\Pr_{(p_k, s_k), m \in [0,1]}[A^O(p_k, E_{p_k}(m_1)) = 1] - \Pr_{(p_k, s_k), m \in [0,1]}[A^O(p_k, E_{p_k}(m_0)) = 1])$$

$$= \frac{1}{2} + Adv[A^o]$$

Thus, LHS=  $Adv[A^o] > \varepsilon(n)$ , by our assumption. Thus the assumption leads to a successful adversary against the Encryption in the NM-X sense.

# **Separation**

 $IND - CPA \neq > NM - CPA$ 

Suppose, we have (E,D,G) which satisfies IND-CPA.

Consider, 
$$E'(p_k) = 0 \parallel E_{p_k}(x)$$

Thus, 
$$D'_{s_{k}}(b || y) = D_{s_{k}}(y)$$

(E',D',G) is also an IND-CPA scheme.

It may be shown that (E',D'G) is not IND-NM.

Informally, the IND-NM adversary is provided

with 0||y and is asked to produce another

ciphertext, whose corresponding plaintext is related

to the original plaintext.

With probability 1, the adversary can make the first bit 1 and obtain  $1 \parallel y$ , whose corresponding plaintext is the the same as that corresponding to the challenge.

Thus adversary  $A(p_k, E'_{p_k}(m))$  outputs 1||y|, where  $y=E_{p_k}(m)$ .

For an adversary A' who does not have access to  $E'_{p_k}(m)$ ,

its probability of guessing 0 or 1 is 1/2.

Thus,  $Adv[A^{NM-CPA}] = 1 - 1/2 = 1/2$ .

# **Another Separation**

 $IND - CPA \neq > IND - CCA2$ 

Consider:  $E(m)=x^3 \pmod{n} ||s||x.s \oplus m$ If the RSA function is a one-way function, then E(x) is a IND-CPA scheme. But, this is clearly not an IND-CCA2 scheme. Why?

# Equivalence of NM-CCA2 and IND-CCA2

- We have proved NM-CCA2=>IND-CCA2
- We have to prove that IND-CCA2=>NM-CCA2
- We shall assume there is an adversary in the NM-CCA2 sense. We shall construct an adversary in the IND-CCA2 sense.

Suppose there is an  $(t,q,\varepsilon)$  – adversary in the NM-CCA2 sense against the scheme  $E_{p_k}$ .

That is there exists a message distribution M and a relation  $R: M \times M \rightarrow \{0,1\}$  such that for all simulators S running in polynomial time t:

 $\Pr[R(m,A(p_k,E_{p_k}(m))] - \Pr[R(m,S(p_k))] > 2\varepsilon(n)$ 

# Modify A

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Adversary B(p<sub>k</sub>, E_{p_k}(m)), where m \in \{m_0, m_1\}
Run A^{D_{s_k}}(p_k, E_{p_k}(m)) and assign to y
If R(m_0, D_{s_k}(y))
return 0
else
return r \in_R \{0,1\}
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# Proof (contd.)

Simulator 
$$S(p_k)$$
  
Generate  $m'' \leftarrow M$   
Return  $A^{D_{s_k}}(p_k, E_{p_k}(m''))$ 

Now A is a good adversary in NM-CCA2 sense. Thus, 
$$\Pr_{(p_k,s_k),m}[R(m,D_{s_k}(A^O(p_k,E_{p_k}(m)))] - \Pr_{(p_k,s_k),m}[R(m,D_{s_k}(S(p_k)))] > 2\varepsilon(n)$$
 Let, 
$$p = \Pr_{(p_k,s_k),m}[R(m,D_{s_k}(A^O(p_k,E_{p_k}(m)))]$$
 and 
$$p' = \Pr_{(p_k,s_k),(m,m')}[R(m,D_{s_k}(A^O(p_k,E_{p_k}(m')))]$$
 
$$= \Pr_{(p_k,s_k),m}[R(m,D_{s_k}(S(p_k))]$$
 So, we have 
$$p - p' > 2\varepsilon(n)$$
 Now, 
$$\Pr_{p_k,s_k}[B(p_k,E_{p_k}(m_0)) = 0] = \Pr_{(p_k,s_k)}[R(m_0,D_{s_k}(A(p_k,E_{p_k}(m_0))))]$$
 
$$+ \frac{1}{2}\Pr_{(p_k,s_k)}[\operatorname{not} R(m_0,D_{s_k}(A(p_k,E_{p_k}(m_0))))]$$
 
$$= p + \frac{1}{2}(1-p)$$
 
$$\Pr_{p_k,s_k}[B(p_k,E_{p_k}(m_1)) = 0] = \Pr_{(p_k,s_k)}[R(m_0,D_{s_k}(A(p_k,E_{p_k}(m_1))))]$$
 
$$+ \frac{1}{2}\Pr_{(p_k,s_k)}[\operatorname{not} R(m_0,D_{s_k}(A(p_k,E_{p_k}(m_1))))]$$
 
$$= p' + \frac{1}{2}(1-p')$$

Thus, 
$$Adv[B^{O}(p_{k})] = p + \frac{1}{2}(1-p) - p' + \frac{1}{2}(1-p') = \frac{1}{2}(p-p') > \varepsilon(n)$$
  
This completes the proof.

