

# Message Authentication Codes (MACs)

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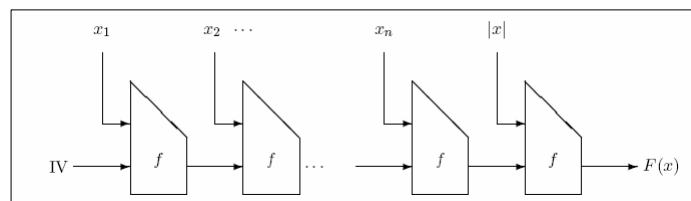
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## Objectives

- **Security Notions of MACs**
- **NMACs and HMACs**
- **CBC-MACs**

## Unkeyed Hash Functions

- **We have studied un-keyed hash functions**
  - **Merkle Damgard Construction**
  - **iterative in nature**



## What are MACs?

- **Message Authentication Codes**
- **They are keyed hash functions**
- **Needed for message integrity**
  - **One possible construction could be to make the IV (Initialization Vector) of hash functions secret.**

## Constructing MAC by making IV secret

- Consider for simplicity a hash function:
  - with no pre-processing steps
  - with no final output transformation.
  - Thus, every input message is a multiple of  $t$ , where compress:  $\{0,1\}^{m+t} \rightarrow \{0,1\}^m$
  - Key  $K$  is of  $m$  bits
- Given  $x$  and  $h_K(x)$  (MAC) we have to construct another valid pair.
  - Can we do that efficiently?

## Constructing MAC by making IV secret

- $h_K(x) = \text{compress}(K, x)$
- Consider  $x || x'$ , where  $x, x'$  are of  $t$  bits.
- Thus,  $h_K(x || x') = \text{compress}(h_K(x), x')$ 
  - which can always be computed, even though key is secret!
  - this can be also attacked to those cases where padding is required and there is a pre-processing step.

## Hash with pre-processing step

- Consider,  $y=x||\text{pad}(x)$ , such that  $|y|=rt$
- Let  $w$  be any bit string:
  - st.  $x'=x||\text{pad}(x)||w$
  - $y'=x||\text{pad}(x)||w||\text{pad}(x')$ ,  $|y'|=r't$ ,  $r'>r$
- Note that the attacker knows  $z_r=h_K(x)$

## Computing $h_K(x')$ from $h_K(x)$

- The attacker can obtain the value even without knowing  $K$ :
  - $z_{r+1}=\text{compress}(h_K(x)||y_{r+1})$
  - $z_{r+2}=\text{compress}(z_{r+1}||y_{r+2})$
  - ...
  - ...
  - $z_{r'}=\text{compress}(z_{r'-1}||y_{r'})$
  - $h_K(x')=z_{r'}$

## What is security of MAC?

- **Attacker is allowed to request for  $q$  valid MACs on  $x_1, x_2, \dots, x_q$**
- **Thus he obtains the list:**  
 $((x_1, y_1), (x_2, y_2), \dots, (x_q, y_q))$
- **Forgery:** If he is able to output  $(x, y)$ , where  $x$  is not among the  $q$  values queried for, then we say that the pair is a forgery.
- **If the probability is  $\epsilon$ , then adversary is an  $(\epsilon, q)$  forger.**

## Nested MAC (NMAC)

Suppose that  $(X, Y, K, G)$  and  $(Y, Z, L, H)$  be two hash families.

The composition of these hash families is the hash family  $(X, Z, M, G \circ H)$  in which  $M = K \times L$  and  $G \circ H = \{g \circ h : g \in G, h \in H\}$  where  $(g \circ h)_{(K, L)}(x) = h_L(g_K(x))$  for all  $x \in X$ .

## A Result

- **The nested MAC is secure provided that the following two conditions hold:**
  - **H is a secured MAC, given a fixed unknown key.**
  - **G is collision-resistant, given a fixed unknown key.**

## Adversaries

- **Three kinds of adversaries:**
  - **forger for the nested MAC (big MAC attack)**
  - **forger for the little MAC (small MAC attack)**
  - **collision finder for the hash, when the key is secret (unknown key collision attack)**

## Theorem

Suppose  $(X, Z, M, G \circ H)$  is a nested MAC. Suppose there does not exist an  $(\varepsilon_1, q+1)$ -collision attack for a randomly chosen function  $g_K \in G$ , when the key  $K$  is secret. Further, suppose that there does not exist an  $(\varepsilon_2, q)$ -forger for a randomly chosen function  $h_L \in H$ , where  $L$  is secret. Finally suppose there exists an  $(\varepsilon, q)$ -forger for the nested MAC, for a randomly chosen function  $(g \circ h)_{(K,L)} \in G \circ H$ . Then  $\varepsilon \leq \varepsilon_1 + \varepsilon_2$ .

- **Result Proved in the class...**

## Hash based MAC (HMAC)

- **HMAC is a nested MAC algorithm proposed by FIPS Standard.**
- **It constructs a MAC from an unkeyed hash function, namely SHA-1.**
  - **K: 512 bit key.**
  - **x is the message to be authenticated.**
  - **ipad and opad are 512 bit constants.**

# HMAC

- **ipad=3636...36; opad=5C5C...5C**
- **Thus the 160 bit MAC is defined as follows:**

$$HMAC_K(x) = SHA-1(K \oplus opad) \parallel SHA-1(K \oplus ipad \parallel x)$$

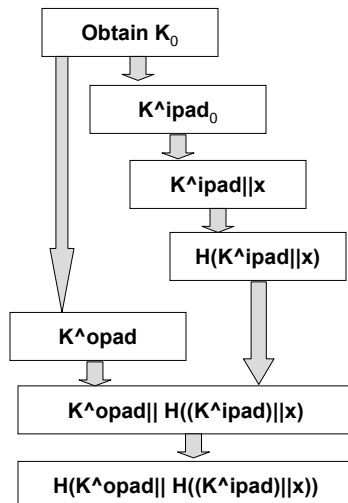


Illustration  
of the  
HMAC  
function



## Security Arguments

- First application of SHA-1 is assumed to be unknown key collision resistant.
- Second application of SHA-1 is assumed to be a secured MAC.
- Second SHA-1 needs only one compress function to be computed.
- Note that the “extension attack” is prevented in NMAC (or HMAC) because  $h_L$  avoids the exposure of  $g_K(x)$ .

## CBC-MAC

Each is of block length  $t$

$CBC-MAC(x, K)$

denote  $x = x_1 \parallel \dots \parallel x_n$

$IV \leftarrow 00 \dots 0$

$y_0 \leftarrow IV$

**for**  $i \leftarrow 1$  **to**  $n$

**do**  $y_i \leftarrow e_K(y_{i-1} \oplus x_i)$

**return**  $(y_n)$

Endomorphic Block  
Cipher

## Attack on CBC-MAC

Set  $q \approx 1.17 \times 2^{t/2}$  be an integer.

Choose  $q$  distinct bit strings of length  $t$ , which we denote  $x_1^1, \dots, x_1^q$ .

Choose  $q$  random bit strings of length  $t$ , which we denote  $x_2^1, \dots, x_2^q$ .

Let  $x_3, \dots, x_n$  be fixed bit strings of length  $t$ .

Construct:  $x^i = x_1^i \parallel \dots \parallel x_n^i$ , for  $1 \leq i \leq q$ .

Here for  $3 \leq k \leq n$ ,  $x_k = x_k^i$ , for each  $i$ .

Note that  $x^i \neq x^j$  if  $i \neq j$ , as  $x_1^i \neq x_1^j$ .

## Attack on CBC-MAC

- **The attacker now queries the hash value of the  $q$ ,  $x^i$  values.**
- **Due to the Birthday Paradox, there is a collision with probability  $1/2$ .**
- **Let  $h_K(x^i) = h_K(x^j)$ . This happens if and only if  $y_2^i = y_2^j$ , which happens if and only if :**

$$y_1^i \oplus x_2^i = y_1^j \oplus x_2^j$$

## Attack on CBC-MAC

- Let  $x_\delta$  be a non-zero bit string of length  $t$ .
- Define:  $v = x_1^i \parallel (x_2^i \oplus x_\delta) \parallel \dots \parallel x_n^i$   
and  $w = x_1^j \parallel (x_2^j \oplus x_\delta) \parallel \dots \parallel x_n^j$ .
- The attacker now requests the MAC of  $v$ .
- The MAC of  $w$  also is the MAC of  $v$ .
- So, he publishes  $(w, \text{MAC of } v)$  as a valid pair.
- Thus, we have an  $(1/2, O(2^{t/2}))$ -forger.

## Points to Ponder

- What would have happened if the hash function  $g$ , in the NMAC construction, would have been unkeyed?
- Why are different ipad and opads used?

## References

- **D. Stinson, Cryptography: Theory and Practice, Chapman & Hall/CRC**
- **M. Bellare, R. Canetti, H. Krawczyk, “*Keying Hash Functions for Message Authentication*”, 1996**

## Next Days Topic

- **More Number Theoretic Results**