



A

A P P E N D I X

# Assemblers, Linkers, and the SPIM Simulator

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*Fear of serious injury cannot alone  
justify suppression of free speech  
and assembly.*

**Louis Brandeis**  
*Whitney v. California, 1927*

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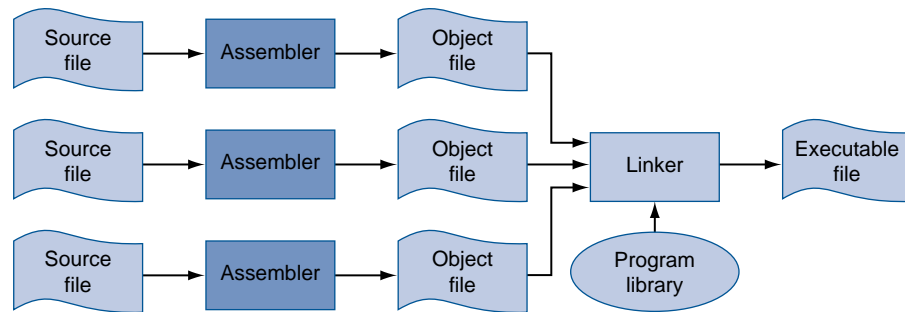
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## **A.1** Introduction

Encoding instructions as binary numbers is natural and efficient for computers. Humans, however, have a great deal of difficulty understanding and manipulating these numbers. People read and write symbols (words) much better than long sequences of digits. Chapter 2 showed that we need not choose between numbers and words because computer instructions can be represented in many ways. Humans can write and read symbols, and computers can execute the equivalent binary numbers. This appendix describes the process by which a human-readable program is translated into a form that a computer can execute, provides a few hints about writing assembly programs, and explains how to run these programs on SPIM, a simulator that executes MIPS programs. UNIX, Windows, and Mac OS X versions of the SPIM simulator are available on the CD.

*Assembly language* is the symbolic representation of a computer's binary encoding—**machine language**. Assembly language is more readable than machine language because it uses symbols instead of bits. The symbols in assembly language name commonly occurring bit patterns, such as opcodes and register specifiers, so people can read and remember them. In addition, assembly language

**machine language** Binary representation used for communication within a computer system.



**FIGURE A.1.1** The process that produces an executable file. An assembler translates a file of assembly language into an object file, which is linked with other files and libraries into an executable file.

permits programmers to use *labels* to identify and name particular memory words that hold instructions or data.

**assembler** A program that translates a symbolic version of an instruction into the binary version.

**macro** A pattern-matching and replacement facility that provides a simple mechanism to name a frequently used sequence of instructions.

A tool called an **assembler** translates assembly language into binary instructions. Assemblers provide a friendlier representation than a computer's 0s and 1s that simplifies writing and reading programs. Symbolic names for operations and locations are one facet of this representation. Another facet is programming facilities that increase a program's clarity. For example, **macros**, discussed in Section A.2, enable a programmer to extend the assembly language by defining new operations.

An assembler reads a single assembly language *source file* and produces an *object file* containing machine instructions and bookkeeping information that helps combine several object files into a program. Figure A.1.1 illustrates how a program is built. Most programs consist of several files—also called *modules*—that are written, compiled, and assembled independently. A program may also use prewritten routines supplied in a *program library*. A module typically contains *references* to subroutines and data defined in other modules and in libraries. The code in a module cannot be executed when it contains **unresolved references** to labels in other object files or libraries. Another tool, called a **linker**, combines a collection of object and library files into an *executable file*, which a computer can run.

**unresolved reference** A reference that requires more information from an outside source in order to be complete.

**linker** Also called link editor. A systems program that combines independently assembled machine language programs and resolves all undefined labels into an executable file.

To see the advantage of assembly language, consider the following sequence of figures, all of which contain a short subroutine that computes and prints the sum of the squares of integers from 0 to 100. Figure A.1.2 shows the machine language that a MIPS computer executes. With considerable effort, you could use the opcode and instruction format tables in Chapter 2 to translate the instructions into a symbolic program similar to Figure A.1.3. This form of the

```

001001111011110111111111111100000
10101111101111110000000000010100
10101111101001000000000000100000
10101111101001010000000000100100
1010111110100000000000000011000
1010111110100000000000000011100
1000111110101110000000000011100
1000111110111000000000000011000
0000000111001110000000000011001
0010010111001000000000000000001
00101001000000010000000001100101
1010111110101000000000000011100
00000000000000000111100000010010
00000011000011111100100000100001
00010100001000001111111111110111
1010111110111001000000000011000
00111100000001000001000000000000
1000111110100101000000000011000
00001100000100000000000011101100
00100100100001000000010000110000
1000111110111111000000000010100
0010011110111101000000000100000
000000111110000000000000001000
000000000000000000100000100001

```

**FIGURE A.1.2** MIPS machine language code for a routine to compute and print the sum of the squares of integers between 0 and 100.

routine is much easier to read because operations and operands are written with symbols, rather than with bit patterns. However, this assembly language is still difficult to follow because memory locations are named by their address, rather than by a symbolic label.

Figure A.1.4 shows assembly language that labels memory addresses with mnemonic names. Most programmers prefer to read and write this form. Names that begin with a period, for example `.data` and `.globl`, are **assembler directives** that tell the assembler how to translate a program but do not produce machine instructions. Names followed by a colon, such as `str` or `main`, are labels that name the next memory location. This program is as readable as most assembly language programs (except for a glaring lack of comments), but it is still difficult to follow because many simple operations are required to accomplish simple tasks and because assembly language's lack of control flow constructs provides few hints about the program's operation.

By contrast, the C routine in Figure A.1.5 is both shorter and clearer since variables have mnemonic names and the loop is explicit rather than constructed with branches. In fact, the C routine is the only one that we wrote. The other forms of the program were produced by a C compiler and assembler.

In general, assembly language plays two roles (see Figure A.1.6). The first role is the output language of compilers. A *compiler* translates a program written in a

**assembler directive** An operation that tells the assembler how to translate a program but does not produce machine instructions; always begins with a period.

```

addiu    $29, $29, -32
sw       $31, 20($29)
sw       $4, 32($29)
sw       $5, 36($29)
sw       $0, 24($29)
sw       $0, 28($29)
lw       $14, 28($29)
lw       $24, 24($29)
multu   $14, $14
addiu   $8, $14, 1
slti    $1, $8, 101
sw       $8, 28($29)
mflo    $15
addu    $25, $24, $15
bne     $1, $0, -9
sw       $25, 24($29)
lui     $4, 4096
lw      $5, 24($29)
jal     1048812
addiu   $4, $4, 1072
lw      $31, 20($29)
addiu   $29, $29, 32
jr      $31
move    $2, $0

```

**FIGURE A.1.3** The same routine written in assembly language. However, the code for the routine does not label registers or memory locations nor include comments.

**source language** The high-level language in which a program is originally written.

*high-level language* (such as C or Pascal) into an equivalent program in machine or assembly language. The high-level language is called the **source language**, and the compiler's output is its *target language*.

Assembly language's other role is as a language in which to write programs. This role used to be the dominant one. Today, however, because of larger main memories and better compilers, most programmers write in a high-level language and rarely, if ever, see the instructions that a computer executes. Nevertheless, assembly language is still important to write programs in which speed or size are critical or to exploit hardware features that have no analogues in high-level languages.

Although this appendix focuses on MIPS assembly language, assembly programming on most other machines is very similar. The additional instructions and address modes in CISC machines, such as the VAX, can make assembly programs shorter but do not change the process of assembling a program or provide assembly language with the advantages of high-level languages such as type-checking and structured control flow.

```
        .text
        .align    2
        .globl   main
main:
        subu     $sp, $sp, 32
        sw      $ra, 20($sp)
        sd      $a0, 32($sp)
        sw      $0, 24($sp)
        sw      $0, 28($sp)
loop:
        lw      $t6, 28($sp)
        mul     $t7, $t6, $t6
        lw      $t8, 24($sp)
        addu    $t9, $t8, $t7
        sw      $t9, 24($sp)
        addu    $t0, $t6, 1
        sw      $t0, 28($sp)
        ble     $t0, 100, loop
        la      $a0, str
        lw      $a1, 24($sp)
        jal     printf
        move    $v0, $0
        lw      $ra, 20($sp)
        addu    $sp, $sp, 32
        jr      $ra

        .data
        .align    0
str:
        .asciiz  "The sum from 0 .. 100 is %d\n"
```

**FIGURE A.1.4 The same routine written in assembly language with labels, but no comments.** The commands that start with periods are assembler directives (see pages A-47–A-49). `.text` indicates that succeeding lines contain instructions. `.data` indicates that they contain data. `.align n` indicates that the items on the succeeding lines should be aligned on a  $2^n$  byte boundary. Hence, `.align 2` means the next item should be on a word boundary. `.globl main` declares that `main` is a global symbol that should be visible to code stored in other files. Finally, `.asciiz` stores a null-terminated string in memory.

## When to Use Assembly Language

The primary reason to program in assembly language, as opposed to an available high-level language, is that the speed or size of a program is critically important. For example, consider a computer that controls a piece of machinery, such as a car's brakes. A computer that is incorporated in another device, such as a car, is called an *embedded computer*. This type of computer needs to respond rapidly and predictably to events in the outside world. Because a compiler introduces uncer-

```

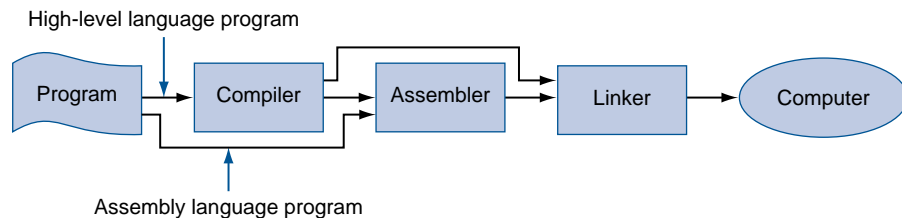
#include <stdio.h>

int
main (int argc, char *argv[])
{
    int i;
    int sum = 0;

    for (i = 0; i <= 100; i = i + 1) sum = sum + i * i;
    printf ("The sum from 0 .. 100 is %d\n", sum);
}

```

**FIGURE A.1.5** The routine written in the C programming language.



**FIGURE A.1.6** Assembly language either is written by a programmer or is the output of a compiler.

tainty about the time cost of operations, programmers may find it difficult to ensure that a high-level language program responds within a definite time interval—say, 1 millisecond after a sensor detects that a tire is skidding. An assembly language programmer, on the other hand, has tight control over which instructions execute. In addition, in embedded applications, reducing a program’s size, so that it fits in fewer memory chips, reduces the cost of the embedded computer.

A hybrid approach, in which most of a program is written in a high-level language and time-critical sections are written in assembly language, builds on the strengths of both languages. Programs typically spend most of their time executing a small fraction of the program’s source code. This observation is just the principle of locality that underlies caches (see Section 7.2 in Chapter 7).

Program profiling measures where a program spends its time and can find the time-critical parts of a program. In many cases, this portion of the program can be made faster with better data structures or algorithms. Sometimes, however, significant performance improvements only come from recoding a critical portion of a program in assembly language.

This improvement is not necessarily an indication that the high-level language's compiler has failed. Compilers typically are better than programmers at producing uniformly high-quality machine code across an entire program. Programmers, however, understand a program's algorithms and behavior at a deeper level than a compiler and can expend considerable effort and ingenuity improving small sections of the program. In particular, programmers often consider several procedures simultaneously while writing their code. Compilers typically compile each procedure in isolation and must follow strict conventions governing the use of registers at procedure boundaries. By retaining commonly used values in registers, even across procedure boundaries, programmers can make a program run faster.

Another major advantage of assembly language is the ability to exploit specialized instructions, for example, string copy or pattern-matching instructions. Compilers, in most cases, cannot determine that a program loop can be replaced by a single instruction. However, the programmer who wrote the loop can replace it easily with a single instruction.

Currently, a programmer's advantage over a compiler has become difficult to maintain as compilation techniques improve and machines' pipelines increase in complexity (Chapter 6).

The final reason to use assembly language is that no high-level language is available on a particular computer. Many older or specialized computers do not have a compiler, so a programmer's only alternative is assembly language.

## Drawbacks of Assembly Language

Assembly language has many disadvantages that strongly argue against its widespread use. Perhaps its major disadvantage is that programs written in assembly language are inherently machine-specific and must be totally rewritten to run on another computer architecture. The rapid evolution of computers discussed in Chapter 1 means that architectures become obsolete. An assembly language program remains tightly bound to its original architecture, even after the computer is eclipsed by new, faster, and more cost-effective machines.

Another disadvantage is that assembly language programs are longer than the equivalent programs written in a high-level language. For example, the C program in Figure A.1.5 is 11 lines long, while the assembly program in Figure A.1.4 is 31 lines long. In more complex programs, the ratio of assembly to high-level language (its *expansion factor*) can be much larger than the factor of three in this example. Unfortunately, empirical studies have shown that programmers write roughly the same number of lines of code per day in assembly as in high-level languages. This means that programmers are roughly  $x$  times more productive in a high-level language, where  $x$  is the assembly language expansion factor.



To compound the problem, longer programs are more difficult to read and understand and they contain more bugs. Assembly language exacerbates the problem because of its complete lack of structure. Common programming idioms, such as *if-then* statements and loops, must be built from branches and jumps. The resulting programs are hard to read because the reader must reconstruct every higher-level construct from its pieces and each instance of a statement may be slightly different. For example, look at Figure A.1.4 and answer these questions: What type of loop is used? What are its lower and upper bounds?

**Elaboration:** Compilers can produce machine language directly instead of relying on an assembler. These compilers typically execute much faster than those that invoke an assembler as part of compilation. However, a compiler that generates machine language must perform many tasks that an assembler normally handles, such as resolving addresses and encoding instructions as binary numbers. The trade-off is between compilation speed and compiler simplicity.

**Elaboration:** Despite these considerations, some embedded applications are written in a high-level language. Many of these applications are large and complex programs that must be extremely reliable. Assembly language programs are longer and more difficult to write and read than high-level language programs. This greatly increases the cost of writing an assembly language program and makes it extremely difficult to verify the correctness of this type of program. In fact, these considerations led the Department of Defense, which pays for many complex embedded systems, to develop Ada, a new high-level language for writing embedded systems.

## A.2 Assemblers

**external label** Also called global label. A label referring to an object that can be referenced from files other than the one in which it is defined.

**local label** A label referring to an object that can be used only within the file in which it is defined.

An assembler translates a file of assembly language statements into a file of binary machine instructions and binary data. The translation process has two major parts. The first step is to find memory locations with labels so the relationship between symbolic names and addresses is known when instructions are translated. The second step is to translate each assembly statement by combining the numeric equivalents of opcodes, register specifiers, and labels into a legal instruction. As shown in Figure A.1.1, the assembler produces an output file, called an *object file*, which contains the machine instructions, data, and bookkeeping information.

An object file typically cannot be executed because it references procedures or data in other files. A **label** is **external** (also called **global**) if the labeled object can

be referenced from files other than the one in which it is defined. A label is *local* if the object can be used only within the file in which it is defined. In most assemblers, labels are local by default and must be explicitly declared global. Subroutines and global variables require external labels since they are referenced from many files in a program. **Local labels** hide names that should not be visible to other modules—for example, static functions in C, which can only be called by other functions in the same file. In addition, compiler-generated names—for example, a name for the instruction at the beginning of a loop—are local so the compiler need not produce unique names in every file.

### Local and Global Labels

Consider the program in Figure A.1.4 on page A-7. The subroutine has an external (global) label `main`. It also contains two local labels—`loop` and `str`—that are only visible with this assembly language file. Finally, the routine also contains an unresolved reference to an external label `printf`, which is the library routine that prints values. Which labels in Figure A.1.4 could be referenced from another file?

Only global labels are visible outside of a file, so the only label that could be referenced from another file is `main`.

Since the assembler processes each file in a program individually and in isolation, it only knows the addresses of local labels. The assembler depends on another tool, the linker, to combine a collection of object files and libraries into an executable file by resolving external labels. The assembler assists the linker by providing lists of labels and unresolved references.

However, even local labels present an interesting challenge to an assembler. Unlike names in most high-level languages, assembly labels may be used before they are defined. In the example, in Figure A.1.4, the label `str` is used by the `la` instruction before it is defined. The possibility of a **forward reference**, like this one, forces an assembler to translate a program in two steps: first find all labels and then produce instructions. In the example, when the assembler sees the `la` instruction, it does not know where the word labeled `str` is located or even whether `str` labels an instruction or datum.

### EXAMPLE

### ANSWER

**forward reference** A label that is used before it is defined.

An assembler's first pass reads each line of an assembly file and breaks it into its component pieces. These pieces, which are called *lexemes*, are individual words, numbers, and punctuation characters. For example, the line

```
ble    $t0, 100, loop
```

contains six lexemes: the opcode `ble`, the register specifier `$t0`, a comma, the number `100`, a comma, and the symbol `loop`.

**symbol table** A table that matches names of labels to the addresses of the memory words that instructions occupy.

If a line begins with a label, the assembler records in its **symbol table** the name of the label and the address of the memory word that the instruction occupies. The assembler then calculates how many words of memory the instruction on the current line will occupy. By keeping track of the instructions' sizes, the assembler can determine where the next instruction goes. To compute the size of a variable-length instruction, like those on the VAX, an assembler has to examine it in detail. Fixed-length instructions, like those on MIPS, on the other hand, require only a cursory examination. The assembler performs a similar calculation to compute the space required for data statements. When the assembler reaches the end of an assembly file, the symbol table records the location of each label defined in the file.

The assembler uses the information in the symbol table during a second pass over the file, which actually produces machine code. The assembler again examines each line in the file. If the line contains an instruction, the assembler combines the binary representations of its opcode and operands (register specifiers or memory address) into a legal instruction. The process is similar to the one used in Section 2.4 in Chapter 2. Instructions and data words that reference an external symbol defined in another file cannot be completely assembled (they are unresolved) since the symbol's address is not in the symbol table. An assembler does not complain about unresolved references since the corresponding label is likely to be defined in another file

## The BIG Picture

Assembly language is a programming language. Its principal difference from high-level languages such as BASIC, Java, and C is that assembly language provides only a few, simple types of data and control flow. Assembly language programs do not specify the type of value held in a variable. Instead, a programmer must apply the appropriate operations (e.g., integer or floating-point addition) to a value. In addition, in assembly language, programs must implement all control flow with *go tos*. Both factors make assembly language programming for any machine—MIPS or 80x86—more difficult and error-prone than writing in a high-level language.

**Elaboration:** If an assembler's speed is important, this two-step process can be done in one pass over the assembly file with a technique known as **backpatching**. In its pass over the file, the assembler builds a (possibly incomplete) binary representation of every instruction. If the instruction references a label that has not yet been defined, the assembler records the label and instruction in a table. When a label is defined, the assembler consults this table to find all instructions that contain a forward reference to the label. The assembler goes back and corrects their binary representation to incorporate the address of the label. Backpatching speeds assembly because the assembler only reads its input once. However, it requires an assembler to hold the entire binary representation of a program in memory so instructions can be backpatched. This requirement can limit the size of programs that can be assembled. The process is complicated by machines with several types of branches that span different ranges of instructions. When the assembler first sees an unresolved label in a branch instruction, it must either use the largest possible branch or risk having to go back and readjust many instructions to make room for a larger branch.

## Object File Format

Assemblers produce object files. An object file on UNIX contains six distinct sections (see Figure A.2.1):

- The *object file header* describes the size and position of the other pieces of the file.
- The **text segment** contains the machine language code for routines in the source file. These routines may be unexecutable because of unresolved references.
- The **data segment** contains a binary representation of the data in the source file. The data also may be incomplete because of unresolved references to labels in other files.
- The **relocation information** identifies instructions and data words that depend on **absolute addresses**. These references must change if portions of the program are moved in memory.
- The *symbol table* associates addresses with external labels in the source file and lists unresolved references.
- The *debugging information* contains a concise description of the way in which the program was compiled, so a debugger can find which instruction addresses correspond to lines in a source file and print the data structures in readable form.

The assembler produces an object file that contains a binary representation of the program and data and additional information to help link pieces of a pro-

**backpatching** A method for translating from assembly language to machine instructions in which the assembler builds a (possibly incomplete) binary representation of every instruction in one pass over a program and then returns to fill in previously undefined labels.

**text segment** The segment of a UNIX object file that contains the machine language code for routines in the source file.

**data segment** The segment of a UNIX object or executable file that contains a binary representation of the initialized data used by the program.

**relocation information** The segment of a UNIX object file that identifies instructions and data words that depend on absolute addresses.

**absolute address** A variable's or routine's actual address in memory.

Object file header	Text segment	Data segment	Relocation information	Symbol table	Debugging information
--------------------	--------------	--------------	------------------------	--------------	-----------------------

**FIGURE A.2.1 Object file.** A UNIX assembler produces an object file with six distinct sections.

gram. This relocation information is necessary because the assembler does not know which memory locations a procedure or piece of data will occupy after it is linked with the rest of the program. Procedures and data from a file are stored in a contiguous piece of memory, but the assembler does not know where this memory will be located. The assembler also passes some symbol table entries to the linker. In particular, the assembler must record which external symbols are defined in a file and what unresolved references occur in a file.

**Elaboration:** For convenience, assemblers assume each file starts at the same address (for example, location 0) with the expectation that the linker will *relocate* the code and data when they are assigned locations in memory. The assembler produces *relocation information*, which contains an entry describing each instruction or data word in the file that references an absolute address. On MIPS, only the subroutine call, load, and store instructions reference absolute addresses. Instructions that use PC-relative addressing, such as branches, need not be relocated.

## Additional Facilities

Assemblers provide a variety of convenience features that help make assembler programs short and easier to write, but do not fundamentally change assembly language. For example, *data layout directives* allow a programmer to describe data in a more concise and natural manner than its binary representation.

In Figure A.1.4, the directive

```
.ascii "The sum from 0 .. 100 is %d\n"
```

stores characters from the string in memory. Contrast this line with the alternative of writing each character as its ASCII value (Figure 2.21 in Chapter 2 describes the ASCII encoding for characters):

```
.byte 84, 104, 101, 32, 115, 117, 109, 32
.byte 102, 114, 111, 109, 32, 48, 32, 46
.byte 46, 32, 49, 48, 48, 32, 105, 115
.byte 32, 37, 100, 10, 0
```

The `.ascii` directive is easier to read because it represents characters as letters, not binary numbers. An assembler can translate characters to their binary representation much faster and more accurately than a human. Data layout directives

specify data in a human-readable form that the assembler translates to binary. Other layout directives are described in Section A.10 on page A-45.

### String Directive

Define the sequence of bytes produced by this directive:

```
.asciiz "The quick brown fox jumps over the lazy dog"

.byte 84, 104, 101, 32, 113, 117, 105, 99
.byte 107, 32, 98, 114, 111, 119, 110, 32
.byte 102, 111, 120, 32, 106, 117, 109, 112
.byte 115, 32, 111, 118, 101, 114, 32, 116
.byte 104, 101, 32, 108, 97, 122, 121, 32
.byte 100, 111, 103, 0
```

**EXAMPLE**

**ANSWER**

*Macros* are a pattern-matching and replacement facility that provide a simple mechanism to name a frequently used sequence of instructions. Instead of repeatedly typing the same instructions every time they are used, a programmer invokes the macro and the assembler replaces the macro call with the corresponding sequence of instructions. Macros, like subroutines, permit a programmer to create and name a new abstraction for a common operation. Unlike subroutines, however, macros do not cause a subroutine call and return when the program runs since a macro call is replaced by the macro's body when the program is assembled. After this replacement, the resulting assembly is indistinguishable from the equivalent program written without macros.

### Macros

As an example, suppose that a programmer needs to print many numbers. The library routine `printf` accepts a format string and one or more values to print as its arguments. A programmer could print the integer in register `$7` with the following instructions:

```
.data
int_str: .asciiz "%d"
.text
la      $a0, int_str # Load string address
                        # into first arg
```

**EXAMPLE**

```

        mov    $a1, $7 # Load value into
                        # second arg
        jal   printf # Call the printf routine

```

The `.data` directive tells the assembler to store the string in the program's data segment, and the `.text` directive tells the assembler to store the instructions in its text segment.

However, printing many numbers in this fashion is tedious and produces a verbose program that is difficult to understand. An alternative is to introduce a macro, `print_int`, to print an integer:

```

        .data
int_str:.asciiz "%d"
        .text
        .macro print_int($arg)
        la $a0, int_str # Load string address into
                        # first arg
        mov $a1, $arg   # Load macro's parameter
                        # ($arg) into second arg
        jal printf     # Call the printf routine
        .end_macro
print_int($7)

```

**formal parameter** A variable that is the argument to a procedure or macro; replaced by that argument once the macro is expanded.

The macro has a **formal parameter**, `$arg`, that names the argument to the macro. When the macro is expanded, the argument from a call is substituted for the formal parameter throughout the macro's body. Then the assembler replaces the call with the macro's newly expanded body. In the first call on `print_int`, the argument is `$7`, so the macro expands to the code

```

        la $a0, int_str
        mov $a1, $7
        jal printf

```

In a second call on `print_int`, say, `print_int($t0)`, the argument is `$t0`, so the macro expands to

```

        la $a0, int_str
        mov $a1, $t0
        jal printf

```

What does the call `print_int($a0)` expand to?

```
la $a0, int_str
mov $a1, $a0
jal printf
```

This example illustrates a drawback of macros. A programmer who uses this macro must be aware that `print_int` uses register `$a0` and so cannot correctly print the value in that register.

**ANSWER**

Some assemblers also implement *pseudoinstructions*, which are instructions provided by an assembler but not implemented in hardware. Chapter 2 contains many examples of how the MIPS assembler synthesizes pseudoinstructions and addressing modes from the spartan MIPS hardware instruction set. For example, Section 2.6 in Chapter 2 describes how the assembler synthesizes the `blt` instruction from two other instructions: `slt` and `bne`. By extending the instruction set, the MIPS assembler makes assembly language programming easier without complicating the hardware. Many pseudoinstructions could also be simulated with macros, but the MIPS assembler can generate better code for these instructions because it can use a dedicated register (`$at`) and is able to optimize the generated code.

**Hardware  
Software  
Interface**

**Elaboration:** Assemblers *conditionally assemble* pieces of code, which permits a programmer to include or exclude groups of instructions when a program is assembled. This feature is particularly useful when several versions of a program differ by a small amount. Rather than keep these programs in separate files—which greatly complicates fixing bugs in the common code—programmers typically merge the versions into a single file. Code particular to one version is conditionally assembled, so it can be excluded when other versions of the program are assembled.

If macros and conditional assembly are useful, why do assemblers for UNIX systems rarely, if ever, provide them? One reason is that most programmers on these systems write programs in higher-level languages like C. Most of the assembly code is produced by compilers, which find it more convenient to repeat code rather than define macros. Another reason is that other tools on UNIX—such as `cpp`, the C preprocessor, or `m4`, a general macro processor—can provide macros and conditional assembly for assembly language programs.



## A.3 Linkers

**separate compilation** Splitting a program across many files, each of which can be compiled without knowledge of what is in the other files.

**Separate compilation** permits a program to be split into pieces that are stored in different files. Each file contains a logically related collection of subroutines and data structures that form a *module* in a larger program. A file can be compiled and assembled independently of other files, so changes to one module do not require recompiling the entire program. As we discussed above, separate compilation necessitates the additional step of linking to combine object files from separate modules and fix their unresolved references.

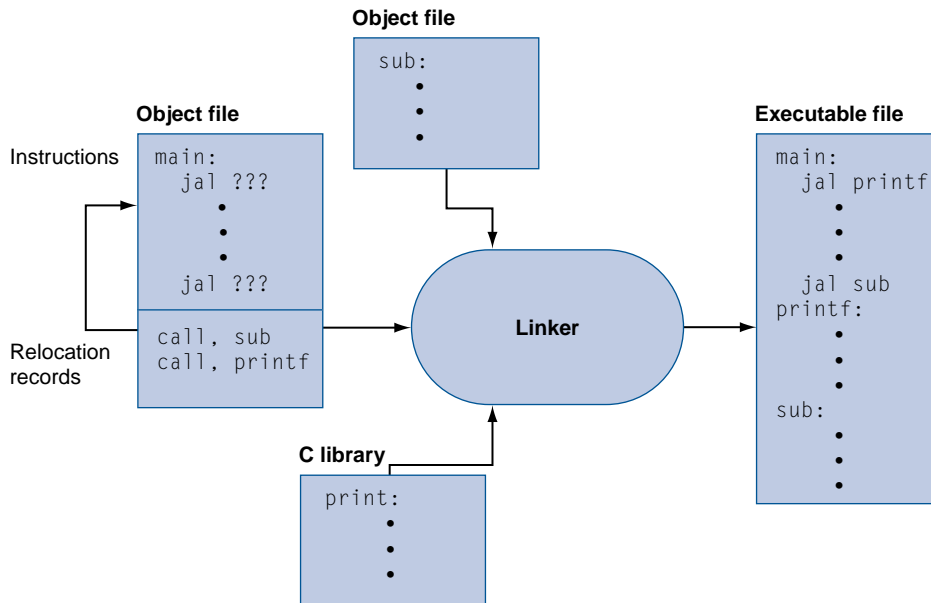
The tool that merges these files is the *linker* (see Figure A.3.1). It performs three tasks:

- Searches the program libraries to find library routines used by the program
- Determines the memory locations that code from each module will occupy and relocates its instructions by adjusting absolute references
- Resolves references among files

A linker's first task is to ensure that a program contains no undefined labels. The linker matches the external symbols and unresolved references from a program's files. An external symbol in one file resolves a reference from another file if both refer to a label with the same name. Unmatched references mean a symbol was used, but not defined anywhere in the program.

Unresolved references at this stage in the linking process do not necessarily mean a programmer made a mistake. The program could have referenced a library routine whose code was not in the object files passed to the linker. After matching symbols in the program, the linker searches the system's program libraries to find predefined subroutines and data structures that the program references. The basic libraries contain routines that read and write data, allocate and deallocate memory, and perform numeric operations. Other libraries contain routines to access a database or manipulate terminal windows. A program that references an unresolved symbol that is not in any library is erroneous and cannot be linked. When the program uses a library routine, the linker extracts the routine's code from the library and incorporates it into the program text segment. This new routine, in turn, may depend on other library routines, so the linker continues to fetch other library routines until no external references are unresolved or a routine cannot be found.

If all external references are resolved, the linker next determines the memory locations that each module will occupy. Since the files were assembled in isolation,



**FIGURE A.3.1** The linker searches a collection of object files and program libraries to find nonlocal routines used in a program, combines them into a single executable file, and resolves references between routines in different files.

the assembler could not know where a module's instructions or data will be placed relative to other modules. When the linker places a module in memory, all absolute references must be *relocated* to reflect its true location. Since the linker has relocation information that identifies all relocatable references, it can efficiently find and backpatch these references.

The linker produces an executable file that can run on a computer. Typically, this file has the same format as an object file, except that it contains no unresolved references or relocation information.

## A.4 Loading

A program that links without an error can be run. Before being run, the program resides in a file on secondary storage, such as a disk. On UNIX systems, the oper-

ating system kernel brings a program into memory and starts it running. To start a program, the operating system performs the following steps:

1. Reads the executable file's header to determine the size of the text and data segments.
2. Creates a new address space for the program. This address space is large enough to hold the text and data segments, along with a stack segment (see Section A.5).
3. Copies instructions and data from the executable file into the new address space.
4. Copies arguments passed to the program onto the stack.
5. Initializes the machine registers. In general, most registers are cleared, but the stack pointer must be assigned the address of the first free stack location (see Section A.5).
6. Jumps to a start-up routine that copies the program's arguments from the stack to registers and calls the program's `main` routine. If the `main` routine returns, the start-up routine terminates the program with the `exit` system call.

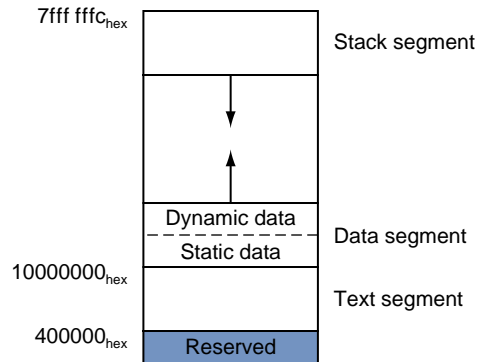
## A.5 Memory Usage

The next few sections elaborate the description of the MIPS architecture presented earlier in the book. Earlier chapters focused primarily on hardware and its relationship with low-level software. These sections focus primarily on how assembly language programmers use MIPS hardware. These sections describe a set of conventions followed on many MIPS systems. For the most part, the hardware does not impose these conventions. Instead, they represent an agreement among programmers to follow the same set of rules so that software written by different people can work together and make effective use of MIPS hardware.

Systems based on MIPS processors typically divide memory into three parts (see Figure A.5.1). The first part, near the bottom of the address space (starting at address `400000hex`), is the *text segment*, which holds the program's instructions.

The second part, above the text segment, is the *data segment*, which is further divided into two parts. **Static data** (starting at address `10000000hex`) contains objects whose size is known to the compiler and whose lifetime—the interval during which a program can access them—is the program's entire execution. For example, in C, global variables are statically allocated since they can be referenced

**static data** The portion of memory that contains data whose size is known to the compiler and whose lifetime is the program's entire execution.



**FIGURE A.5.1** Layout of memory.

Because the data segment begins far above the program at address  $10000000_{hex}$ , load and store instructions cannot directly reference data objects with their 16-bit offset fields (see Section 2.4 in Chapter 2). For example, to load the word in the data segment at address  $10010020_{hex}$  into register  $\$v0$  requires two instructions:

```
lui $s0, 0x1001 # 0x1001 means 1001 base 16
lw $v0, 0x0020($s0) # 0x10010000 + 0x0020 = 0x10010020
```

(The  $0x$  before a number means that it is a hexadecimal value. For example,  $0x8000$  is  $8000_{hex}$  or  $32,768_{ten}$ .)

To avoid repeating the `lui` instruction at every load and store, MIPS systems typically dedicate a register ( $\$gp$ ) as a *global pointer* to the static data segment. This register contains address  $10008000_{hex}$ , so load and store instructions can use their signed 16-bit offset fields to access the first 64 KB of the static data segment. With this global pointer, we can rewrite the example as a single instruction:

```
lw $v0, 0x8020($gp)
```

Of course, a global pointer register makes addressing locations  $10000000_{hex}$ – $10010000_{hex}$  faster than other heap locations. The MIPS compiler usually stores *global variables* in this area because these variables have fixed locations and fit better than other global data, such as arrays.

anytime during a program's execution. The linker both assigns static objects to locations in the data segment and resolves references to these objects.

Immediately above static data is *dynamic data*. This data, as its name implies, is allocated by the program as it executes. In C programs, the `malloc` library routine finds and returns a new block of memory. Since a compiler cannot predict how much memory a program will allocate, the operating system expands the dynamic data area to meet demand. As the upward arrow in the figure indicates, `malloc` expands the dynamic area with the `sbrk` system call, which causes the operating system to add more pages to the program's virtual address space (see Section 7.4 in Chapter 7) immediately above the dynamic data segment.

The third part, the program **stack segment**, resides at the top of the virtual address space (starting at address `7fffffffhex`). Like dynamic data, the maximum size of a program's stack is not known in advance. As the program pushes values on the stack, the operating system expands the stack segment down, toward the data segment.

This three-part division of memory is not the only possible one. However, it has two important characteristics: the two dynamically expandable segments are as far apart as possible, and they can grow to use a program's entire address space.

**stack segment** The portion of memory used by a program to hold procedure call frames.

## A.6 Procedure Call Convention

Conventions governing the use of registers are necessary when procedures in a program are compiled separately. To compile a particular procedure, a compiler must know which registers it may use and which registers are reserved for other procedures. Rules for using registers are called **register use** or **procedure call conventions**. As the name implies, these rules are, for the most part, conventions followed by software rather than rules enforced by hardware. However, most compilers and programmers try very hard to follow these conventions because violating them causes insidious bugs.

The calling convention described in this section is the one used by the `gcc` compiler. The native MIPS compiler uses a more complex convention that is slightly faster.

The MIPS CPU contains 32 general-purpose registers that are numbered 0–31. Register `$0` always contains the hardwired value 0.

- Registers `$at` (1), `$k0` (26), and `$k1` (27) are reserved for the assembler and operating system and should not be used by user programs or compilers.
- Registers `$a0`–`$a3` (4–7) are used to pass the first four arguments to routines (remaining arguments are passed on the stack). Registers `$v0` and `$v1` (2, 3) are used to return values from functions.

**register-use convention** Also called **procedure call convention**. A software protocol governing the use of registers by procedures.

- Registers `$t0–$t9` (8–15, 24, 25) are **caller-saved registers** that are used to hold temporary quantities that need not be preserved across calls (see Section 2.7 in Chapter 2).
- Registers `$s0–$s7` (16–23) are **callee-saved registers** that hold long-lived values that should be preserved across calls.
- Register `$gp` (28) is a global pointer that points to the middle of a 64K block of memory in the static data segment.
- Register `$sp` (29) is the stack pointer, which points to the last location on the stack. Register `$fp` (30) is the frame pointer. The `jal` instruction writes register `$ra` (31), the return address from a procedure call. These two registers are explained in the next section.

The two-letter abbreviations and names for these registers—for example, `$sp` for the stack pointer—reflect the registers’ intended uses in the procedure call convention. In describing this convention, we will use the names instead of register numbers. Figure A.6.1 lists the registers and describes their intended uses.

## Procedure Calls

This section describes the steps that occur when one procedure (the *caller*) invokes another procedure (the *callee*). Programmers who write in a high-level language (like C or Pascal) never see the details of how one procedure calls another because the compiler takes care of this low-level bookkeeping. However, assembly language programmers must explicitly implement every procedure call and return.

Most of the bookkeeping associated with a call is centered around a block of memory called a **procedure call frame**. This memory is used for a variety of purposes:

- To hold values passed to a procedure as arguments
- To save registers that a procedure may modify, but which the procedure’s caller does not want changed
- To provide space for variables local to a procedure

In most programming languages, procedure calls and returns follow a strict last-in, first-out (LIFO) order, so this memory can be allocated and deallocated on a stack, which is why these blocks of memory are sometimes called stack frames.

Figure A.6.2 shows a typical stack frame. The frame consists of the memory between the frame pointer (`$fp`), which points to the first word of the frame, and the stack pointer (`$sp`), which points to the last word of the frame. The stack grows down from higher memory addresses, so the frame pointer points above

**caller-saved register** A register saved by the routine being called.

**callee-saved register** A register saved by the routine making a procedure call.

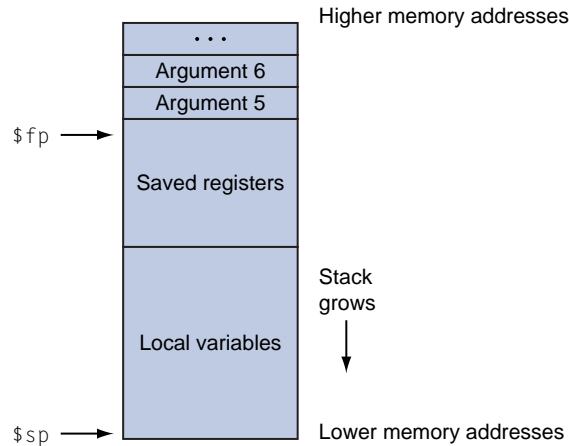
**procedure call frame** A block of memory that is used to hold values passed to a procedure as arguments, to save registers that a procedure may modify but that the procedure’s caller does not want changed, and to provide space for variables local to a procedure.

Register name	Number	Usage
\$zero	0	constant 0
\$at	1	reserved for assembler
\$v0	2	expression evaluation and results of a function
\$v1	3	expression evaluation and results of a function
\$a0	4	argument 1
\$a1	5	argument 2
\$a2	6	argument 3
\$a3	7	argument 4
\$t0	8	temporary (not preserved across call)
\$t1	9	temporary (not preserved across call)
\$t2	10	temporary (not preserved across call)
\$t3	11	temporary (not preserved across call)
\$t4	12	temporary (not preserved across call)
\$t5	13	temporary (not preserved across call)
\$t6	14	temporary (not preserved across call)
\$t7	15	temporary (not preserved across call)
\$s0	16	saved temporary (preserved across call)
\$s1	17	saved temporary (preserved across call)
\$s2	18	saved temporary (preserved across call)
\$s3	19	saved temporary (preserved across call)
\$s4	20	saved temporary (preserved across call)
\$s5	21	saved temporary (preserved across call)
\$s6	22	saved temporary (preserved across call)
\$s7	23	saved temporary (preserved across call)
\$t8	24	temporary (not preserved across call)
\$t9	25	temporary (not preserved across call)
\$k0	26	reserved for OS kernel
\$k1	27	reserved for OS kernel
\$gp	28	pointer to global area
\$sp	29	stack pointer
\$fp	30	frame pointer
\$ra	31	return address (used by function call)

**FIGURE A.6.1 MIPS registers and usage convention.**

the stack pointer. The executing procedure uses the frame pointer to quickly access values in its stack frame. For example, an argument in the stack frame can be loaded into register \$v0 with the instruction

```
lw $v0, 0($fp)
```



**FIGURE A.6.2 Layout of a stack frame.** The frame pointer ( $\$fp$ ) points to the first word in the currently executing procedure's stack frame. The stack pointer ( $\$sp$ ) points to the last word of frame. The first four arguments are passed in registers, so the fifth argument is the first one stored on the stack.

A stack frame may be built in many different ways; however, the caller and callee must agree on the sequence of steps. The steps below describe the calling convention used on most MIPS machines. This convention comes into play at three points during a procedure call: immediately before the caller invokes the callee, just as the callee starts executing, and immediately before the callee returns to the caller. In the first part, the caller puts the procedure call arguments in standard places and invokes the callee to do the following:

1. Pass arguments. By convention, the first four arguments are passed in registers  $\$a0$ – $\$a3$ . Any remaining arguments are pushed on the stack and appear at the beginning of the called procedure's stack frame.
2. Save caller-saved registers. The called procedure can use these registers ( $\$a0$ – $\$a3$  and  $\$t0$ – $\$t9$ ) without first saving their value. If the caller expects to use one of these registers after a call, it must save its value before the call.
3. Execute a `jal` instruction (see Section 2.7 of Chapter 2), which jumps to the callee's first instruction and saves the return address in register  $\$ra$ .



Before a called routine starts running, it must take the following steps to set up its stack frame:

1. Allocate memory for the frame by subtracting the frame's size from the stack pointer.
2. Save callee-saved registers in the frame. A callee must save the values in these registers (`$s0–$s7`, `$fp`, and `$ra`) before altering them since the caller expects to find these registers unchanged after the call. Register `$fp` is saved by every procedure that allocates a new stack frame. However, register `$ra` only needs to be saved if the callee itself makes a call. The other callee-saved registers that are used also must be saved.
3. Establish the frame pointer by adding the stack frame's size minus 4 to `$sp` and storing the sum in register `$fp`.

---

## Hardware Software Interface

The MIPS register use convention provides callee- and caller-saved registers because both types of registers are advantageous in different circumstances. Callee-saved registers are better used to hold long-lived values, such as variables from a user's program. These registers are only saved during a procedure call if the callee expects to use the register. On the other hand, caller-saved registers are better used to hold short-lived quantities that do not persist across a call, such as immediate values in an address calculation. During a call, the callee can also use these registers for short-lived temporaries.

---

Finally, the callee returns to the caller by executing the following steps:

1. If the callee is a function that returns a value, place the returned value in register `$v0`.
2. Restore all callee-saved registers that were saved upon procedure entry.
3. Pop the stack frame by adding the frame size to `$sp`.
4. Return by jumping to the address in register `$ra`.

### recursive procedures

Procedures that call themselves either directly or indirectly through a chain of calls.

**Elaboration:** A programming language that does not permit [recursive procedures](#)—procedures that call themselves either directly or indirectly through a chain of calls—need not allocate frames on a stack. In a nonrecursive language, each procedure's frame may be statically allocated since only one invocation of a procedure can be active at a time. Older versions of Fortran prohibited recursion because statically allocated frames produced faster code on some older machines. However, on load-store architectures like MIPS, stack frames may be just as fast because a frame pointer register points directly to

the active stack frame, which permits a single load or store instruction to access values in the frame. In addition, recursion is a valuable programming technique.

### Procedure Call Example

As an example, consider the C routine

```
main ()
{
    printf ("The factorial of 10 is %d\n", fact (10));
}

int fact (int n)
{
    if (n < 1)
        return (1);
    else
        return (n * fact (n - 1));
}
```

which computes and prints 10! (the factorial of 10,  $10! = 10 \times 9 \times \dots \times 1$ ). `fact` is a recursive routine that computes  $n!$  by multiplying  $n$  times  $(n - 1)!$ . The assembly code for this routine illustrates how programs manipulate stack frames.

Upon entry, the routine `main` creates its stack frame and saves the two callee-saved registers it will modify: `$fp` and `$ra`. The frame is larger than required for these two registers because the calling convention requires the minimum size of a stack frame to be 24 bytes. This minimum frame can hold four argument registers (`$a0–$a3`) and the return address `$ra`, padded to a double-word boundary (24 bytes). Since `main` also needs to save `$fp`, its stack frame must be two words larger (remember: the stack pointer is kept doubleword aligned).

```
.text
.globl main
main:
    subu    $sp,$sp,32    # Stack frame is 32 bytes long
    sw     $ra,20($sp)   # Save return address
    sw     $fp,16($sp)   # Save old frame pointer
    addiu  $fp,$sp,28    # Set up frame pointer
```

The routine `main` then calls the factorial routine and passes it the single argument 10. After `fact` returns, `main` calls the library routine `printf` and passes it both a format string and the result returned from `fact`:

```

li      $a0,10      # Put argument (10) in $a0
jal     fact        # Call factorial function

la      $a0,$LC     # Put format string in $a0
move    $a1,$v0     # Move fact result to $a1
jal     printf      # Call the print function

```

Finally, after printing the factorial, `main` returns. But first, it must restore the registers it saved and pop its stack frame:

```

lw      $ra,20($sp) # Restore return address
lw      $fp,16($sp) # Restore frame pointer
addiu   $sp,$sp,32  # Pop stack frame
jr      $ra         # Return to caller

```

```

.rdata
$LC:
.ascii  "The factorial of 10 is %d\n\000"

```

The factorial routine is similar in structure to `main`. First, it creates a stack frame and saves the callee-saved registers it will use. In addition to saving `$ra` and `$fp`, `fact` also saves its argument (`$a0`), which it will use for the recursive call:

```

.text
fact:
subu    $sp,$sp,32  # Stack frame is 32 bytes long
sw      $ra,20($sp) # Save return address
sw      $fp,16($sp) # Save frame pointer
addiu   $fp,$sp,28  # Set up frame pointer
sw      $a0,0($fp)  # Save argument (n)

```

The heart of the `fact` routine performs the computation from the C program. It tests if the argument is greater than 0. If not, the routine returns the value 1. If the argument is greater than 0, the routine recursively calls itself to compute `fact(n-1)` and multiplies that value times `n`:

```

lw      $v0,0($fp)  # Load n
bgtz   $v0,$L2     # Branch if n > 0
li      $v0,1       # Return 1
jr      $L1         # Jump to code to return

$L2:
lw      $v1,0($fp)  # Load n
subu    $v0,$v1,1   # Compute n - 1
move    $a0,$v0     # Move value to $a0

```

```

jal    fact           # Call factorial function

lw     $v1,0($fp)    # Load n
mul    $v0,$v0,$v1   # Compute fact(n-1) * n

```

Finally, the factorial routine restores the callee-saved registers and returns the value in register \$v0:

```

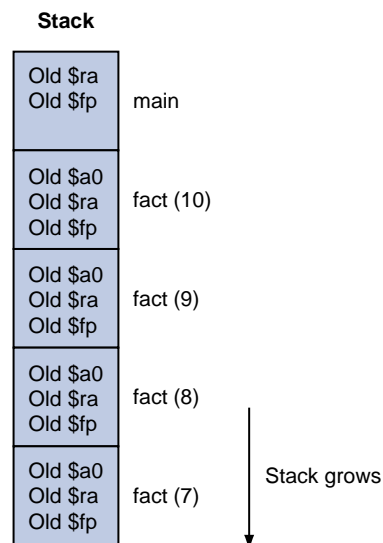
$L1:
lw     $ra, 20($sp)  # Restore $ra
lw     $fp, 16($sp)  # Restore $fp
addiu  $sp, $sp, 32  # Pop stack
jr     $ra           # Return to caller

```

### Stack in Recursive Procedure

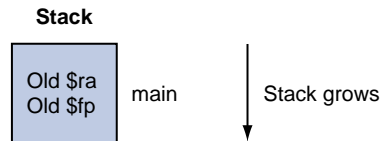
Figure A.6.3 shows the stack at the call `fact(7)`. `main` runs first, so its frame is deepest on the stack. `main` calls `fact(10)`, whose stack frame is next on the stack. Each invocation recursively invokes `fact` to compute the next-lowest factorial. The stack frames parallel the LIFO order of these calls. What does the stack look like when the call to `fact(10)` returns?

## EXAMPLE



**FIGURE A.6.3** Stack frames during the call of `fact(7)`.

## ANSWER



**Elaboration:** The difference between the MIPS compiler and the gcc compiler is that the MIPS compiler usually does not use a frame pointer, so this register is available as another callee-saved register, `$s8`. This change saves a couple of instructions in the procedure call and return sequence. However, it complicates code generation because a procedure must access its stack frame with `$sp`, whose value can change during a procedure's execution if values are pushed on the stack.

### Another Procedure Call Example

As another example, consider the following routine that computes the `tak` function, which is a widely used benchmark created by Ikuo Takeuchi. This function does not compute anything useful, but is a heavily recursive program that illustrates the MIPS calling convention.

```
int tak (int x, int y, int z)
{
    if (y < x)
        return 1+ tak (tak (x - 1, y, z),
            tak (y - 1, z, x),
            tak (z - 1, x, y));
    else
        return z;
}

int main ()
{
    tak(18, 12, 6);
}
```

The assembly code for this program is below. The `tak` function first saves its return address in its stack frame and its arguments in callee-saved registers, since the routine may make calls that need to use registers `$a0–$a2` and `$ra`. The function uses callee-saved registers since they hold values that persist over

the lifetime of the function, which includes several calls that could potentially modify registers.

```
.text
.globl tak

tak:
subu    $sp, $sp, 40
sw      $ra, 32($sp)

sw      $s0, 16($sp)    # x
move    $s0, $a0
sw      $s1, 20($sp)    # y
move    $s1, $a1
sw      $s2, 24($sp)    # z
move    $s2, $a2
sw      $s3, 28($sp)    # temporary
```

The routine then begins execution by testing if  $y < x$ . If not, it branches to label L1, which is below.

```
bge     $s1, $s0, L1    # if (y < x)
```

If  $y < x$ , then it executes the body of the routine, which contains four recursive calls. The first call uses almost the same arguments as its parent:

```
addiu   $a0, $s0, -1
move    $a1, $s1
move    $a2, $s2
jal     tak                # tak (x - 1, y, z)
move    $s3, $v0
```

Note that the result from the first recursive call is saved in register `$s3`, so that it can be used later.

The function now prepares arguments for the second recursive call.

```
addiu   $a0, $s1, -1
move    $a1, $s2
move    $a2, $s0
jal     tak                # tak (y - 1, z, x)
```

In the instructions below, the result from this recursive call is saved in register `$s0`. But, first we need to read, for the last time, the saved value of the first argument from this register.

```

    addiu    $a0, $s2, -1
    move     $a1, $s0
    move     $a2, $s1
    move     $s0, $v0
    jal      tak           # tak (z - 1, x, y)

```

After the three inner recursive calls, we are ready for the final recursive call. After the call, the function's result is in `$v0` and control jumps to the function's epilogue.

```

    move     $a0, $s3
    move     $a1, $s0
    move     $a2, $v0
    jal      tak           # tak (tak(...), tak(...), tak(...))
    addiu   $v0, $v0, 1
    j       L2

```

This code at label `L1` is the consequent of the *if-then-else* statement. It just moves the value of argument `z` into the return register and falls into the function epilogue.

```

L1:
    move     $v0, $s2

```

The code below is the function epilogue, which restores the saved registers and returns the function's result to its caller.

```

L2:
    lw       $ra, 32($sp)
    lw       $s0, 16($sp)
    lw       $s1, 20($sp)
    lw       $s2, 24($sp)
    lw       $s3, 28($sp)
    addiu   $sp, $sp, 40
    jr      $ra

```

The `main` routine calls the `tak` function with its initial arguments, then takes the computed result (7) and prints it using SPIM's system call for printing integers.

```

    .globl  main
main:
    subu   $sp, $sp, 24
    sw     $ra, 16($sp)

    li     $a0, 18
    li     $a1, 12

```

```

li      $a2, 6
jal     tak          # tak(18, 12, 6)

move    $a0, $v0
li      $v0, 1      # print_int syscall
syscall

lw      $ra, 16($sp)
addiu   $sp, $sp, 24
jr      $ra

```

## A.7 Exceptions and Interrupts

Section 5.6 of Chapter 5 describes the MIPS exception facility, which responds both to exceptions caused by errors during an instruction's execution and to external interrupts caused by I/O devices. This section describes exception and **interrupt handling** in more detail.<sup>1</sup> In MIPS processors, a part of the CPU called *coprocessor 0* records the information that software needs to handle exceptions and interrupts. The MIPS simulator SPIM does not implement all of coprocessor 0's registers, since many are not useful in a simulator or are part of the memory system, which SPIM does not model. However, SPIM does provide the following coprocessor 0 registers:

**interrupt handler** A piece of code that is run as a result of an exception or an interrupt.

Register name	Register number	Usage
BadVAddr	8	memory address at which an offending memory reference occurred
Count	9	timer
Compare	11	value compared against timer that causes interrupt when they match
Status	12	interrupt mask and enable bits
Cause	13	exception type and pending interrupt bits
EPC	14	address of instruction that caused exception
Config	16	configuration of machine

1. This section discusses exceptions in the MIPS32 architecture, which is what SPIM implements in Version 7.0 and later. Earlier versions of SPIM implemented the MIPS-I architecture, which handled exceptions slightly differently. Converting programs from these versions to run on MIPS32 should not be difficult, as the changes are limited to the Status and Cause register fields and the replacement of the `rfe` instruction by the `eret` instruction.



These seven registers are part of coprocessor 0's register set. They are accessed by the `mfc0` and `mtc0` instructions. After an exception, register EPC contains the address of the instruction that was executing when the exception occurred. If the exception was caused by an external interrupt, then the instruction will not have started executing. All other exceptions are caused by the execution of the instruction at EPC, except when the offending instruction is in the delay slot of a branch or jump. In that case, EPC points to the branch or jump instruction and the BD bit is set in the Cause register. When that bit is set, the exception handler must look at EPC + 4 for the offending instruction. However, in either case, an exception handler properly resumes the program by returning to the instruction at EPC.

If the instruction that caused the exception made a memory access, register `BadVAddr` contains the referenced memory location's address.

The Count register is a timer that increments at a fixed rate (by default, every 10 milliseconds) while SPIM is running. When the value in the Count register equals the value in the Compare register, a hardware interrupt at priority level 5 occurs.

Figure A.7.1 shows the subset of the Status register fields implemented by the MIPS simulator SPIM. The `interrupt mask` field contains a bit for each of the six hardware and two software interrupt levels. A mask bit that is 1 allows interrupts at that level to interrupt the processor. A mask bit that is 0 disables interrupts at that level. When an interrupt arrives, it sets its interrupt pending bit in the Cause register, even if the mask bit is disabled. When an interrupt is pending, it will interrupt the processor when its mask bit is subsequently enabled.

The user mode bit is 0 if the processor is running in kernel mode and 1 if it is running in user mode. On SPIM, this bit is fixed at 1, since the SPIM processor does not implement kernel mode. The exception level bit is normally 0, but is set to 1 after an exception occurs. When this bit is 1, interrupts are disabled and the EPC is not updated if another exception occurs. This bit prevents an exception

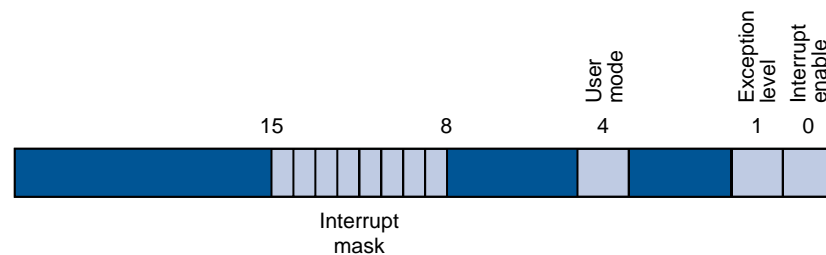
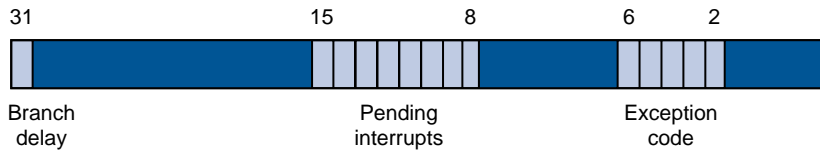


FIGURE A.7.1 The Status register.



**FIGURE A.7.2** The Cause register.

handler from being disturbed by an interrupt or exception, but it should be reset when the handler finishes. If the `interrupt enable` bit is 1, interrupts are allowed. If it is 0, they are disabled.

Figure A.7.2 shows the subset of Cause register fields that SPIM implements. The branch delay bit is 1 if the last exception occurred in an instruction executed in the delay slot of a branch. The interrupt pending bits become 1 when an interrupt is raised at a given hardware or software level. The exception code register describes the cause of an exception through the following codes:

Number	Name	Cause of exception
0	Int	interrupt (hardware)
4	AdEL	address error exception (load or instruction fetch)
5	AdES	address error exception (store)
6	IBE	bus error on instruction fetch
7	DBE	bus error on data load or store
8	Sys	syscall exception
9	Bp	breakpoint exception
10	RI	reserved instruction exception
11	CpU	coprocessor unimplemented
12	Ov	arithmetic overflow exception
13	Tr	trap
15	FPE	floating point

Exceptions and interrupts cause a MIPS processor to jump to a piece of code, at address `80000180hex` (in the kernel, not user address space), called an *exception handler*. This code examines the exception's cause and jumps to an appropriate point in the operating system. The operating system responds to an exception either by terminating the process that caused the exception or by performing some action. A process that causes an error, such as executing an unimplemented instruction, is killed by the operating system. On the other hand, other exceptions such as page faults are requests from a process to the operating system to perform a service, such as bringing in a page from disk. The operating system processes

these requests and resumes the process. The final type of exceptions are interrupts from external devices. These generally cause the operating system to move data to or from an I/O device and resume the interrupted process.

The code in the example below is a simple exception handler, which invokes a routine to print a message at each exception (but not interrupts). This code is similar to the exception handler (`exceptions.s`) used by the SPIM simulator.

## EXAMPLE

### Exception Handler

The exception handler first saves register `$at`, which is used in pseudo-instructions in the handler code, then saves `$a0` and `$a1`, which it later uses to pass arguments. The exception handler cannot store the old values from these registers on the stack, as would an ordinary routine, because the cause of the exception might have been a memory reference that used a bad value (such as 0) in the stack pointer. Instead, the exception handler stores these registers in an exception handler register (`$k1`, since it can't access memory without using `$at`) and two memory locations (`save0` and `save1`). If the exception routine itself could be interrupted, two locations would not be enough since the second exception would overwrite values saved during the first exception. However, this simple exception handler finishes running before it enables interrupts, so the problem does not arise.

```
.ktext 0x80000180
mov $k1, $at      # Save $at register
sw  $a0, save0   # Handler is not re-entrant and can't use
sw  $a1, save1   # stack to save $a0, $a1
                                # Don't need to save $k0/$k1
```

The exception handler then moves the Cause and EPC registers into CPU registers. The Cause and EPC registers are not part of the CPU register set. Instead, they are registers in coprocessor 0, which is the part of the CPU that handles exceptions. The instruction `mfc0 $k0, $13` moves coprocessor 0's register 13 (the Cause register) into CPU register `$k0`. Note that the exception handler need not save registers `$k0` and `$k1` because user programs are not supposed to use these registers. The exception handler uses the value from the Cause register to test if the exception was caused by an interrupt (see the preceding table). If so, the exception is ignored. If the exception was not an interrupt, the handler calls `print_exc` to print a message.

```

mfc0    $k0, $13      # Move Cause into $k0

sr1     $a0, $k0, 2   # Extract ExcCode field
andi    $a0, $a0, 0xf

bgtz    $a0, done     # Branch if ExcCode is Int (0)

mov     $a0, $k0      # Move Cause into $a0
mfc0    $a1, $14      # Move EPC into $a1
jal     print_excpc   # Print exception error message

```

Before returning, the exception handler clears the Cause register; resets the Status register to enable interrupts and clear the EXL bit, which allows subsequent exceptions to change the EPC register; and restores registers \$a0, \$a1, and \$at. It then executes the `eret` (exception return) instruction, which returns to the instruction pointed to by EPC. This exception handler returns to the instruction following the one that caused the exception, so as to not reexecute the faulting instruction and cause the same exception again.

```

done:   mfc0    $k0, $14      # Bump EPC
        addiu   $k0, $k0, 4   # Do not reexecute
        # faulting instruction
        mtc0    $k0, $14      # EPC

        mtc0    $0, $13      # Clear Cause register

        mfc0    $k0, $12      # Fix Status register
        andi    $k0, 0xffffd  # Clear EXL bit
        ori     $k0, 0x1      # Enable interrupts
        mtc0    $k0, $12

        lw     $a0, save0     # Restore registers
        lw     $a1, save1
        mov    $at, $k1

        eret                    # Return to EPC

        .kdata
save0:  .word 0
save1:  .word 0

```

**Elaboration:** On real MIPS processors, the return from an exception handler is more complex. The exception handler cannot always jump to the instruction following EPC. For example, if the instruction that caused the exception was in a branch instruction's delay slot (see Chapter 6), the next instruction to execute may not be the following instruction in memory.

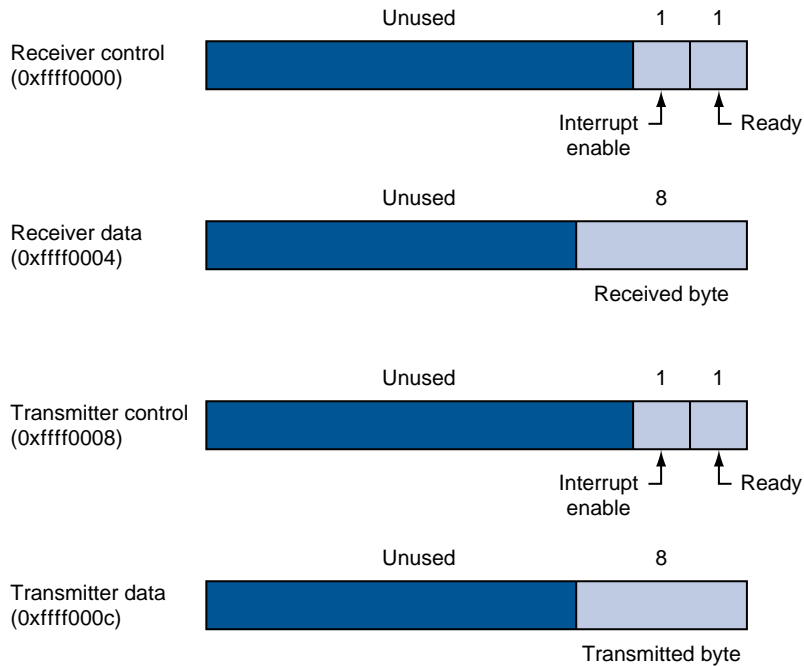
## A.8 Input and Output

SPIM simulates one I/O device: a memory-mapped console on which a program can read and write characters. When a program is running, SPIM connects its own terminal (or a separate console window in the X-window version `xspim` or the Windows version `PCSpim`) to the processor. A MIPS program running on SPIM can read the characters that you type. In addition, if the MIPS program writes characters to the terminal, they appear on SPIM's terminal or console window. One exception to this rule is control-C: this character is not passed to the program, but instead causes SPIM to stop and return to command mode. When the program stops running (for example, because you typed control-C or because the program hit a breakpoint), the terminal is reconnected to SPIM so you can type SPIM commands.

To use memory-mapped I/O (see below), `spim` or `xspim` must be started with the `-mapped_io` flag. `PCSpim` can enable memory-mapped I/O through a command line flag or the "Settings" dialog.

The terminal device consists of two independent units: a *receiver* and a *transmitter*. The receiver reads characters typed on the keyboard. The transmitter displays characters on the console. The two units are completely independent. This means, for example, that characters typed at the keyboard are not automatically echoed on the display. Instead, a program echoes a character by reading it from the receiver and writing it to the transmitter.

A program controls the terminal with four memory-mapped device registers, as shown in Figure A.8.1. "Memory-mapped" means that each register appears as a special memory location. The *Receiver Control register* is at location `ffff0000hex`. Only two of its bits are actually used. Bit 0 is called "ready": if it is 1, it means that a character has arrived from the keyboard but has not yet been read from the Receiver Data register. The ready bit is read-only: writes to it are ignored. The ready bit changes from 0 to 1 when a character is typed at the keyboard, and it changes from 1 to 0 when the character is read from the Receiver Data register.



**FIGURE A.8.1** The terminal is controlled by four device registers, each of which appears as a memory location at the given address. Only a few bits of these registers are actually used. The others always read as 0s and are ignored on writes.

Bit 1 of the Receiver Control register is the keyboard “interrupt enable.” This bit may be both read and written by a program. The interrupt enable is initially 0. If it is set to 1 by a program, the terminal requests an interrupt at hardware level 1 whenever a character is typed and the ready bit becomes 1. However, for the interrupt to affect the processor, interrupts must also be enabled in the Status register (see Section A.7). All other bits of the Receiver Control register are unused.

The second terminal device register is the *Receiver Data register* (at address  $\text{ffff0004}_{\text{hex}}$ ). The low-order 8 bits of this register contain the last character typed at the keyboard. All other bits contain 0s. This register is read-only and changes only when a new character is typed at the keyboard. Reading the Receiver Data register resets the ready bit in the Receiver Control register to 0. The value in this register is undefined if the Receiver Control register is 0.

The third terminal device register is the *Transmitter Control register* (at address  $\text{ffff0008}_{\text{hex}}$ ). Only the low-order 2 bits of this register are used. They behave much like the corresponding bits of the Receiver Control register. Bit 0 is called “ready”

and is read-only. If this bit is 1, the transmitter is ready to accept a new character for output. If it is 0, the transmitter is still busy writing the previous character. Bit 1 is “interrupt enable” and is readable and writable. If this bit is set to 1, then the terminal requests an interrupt at hardware level 0 whenever the transmitter is ready for a new character and the ready bit becomes 1.

The final device register is the *Transmitter Data register* (at address `ffff000chex`). When a value is written into this location, its low-order 8 bits (i.e., an ASCII character as in Figure 2.21 in Chapter 2) are sent to the console. When the Transmitter Data register is written, the ready bit in the Transmitter Control register is reset to 0. This bit stays 0 until enough time has elapsed to transmit the character to the terminal; then the ready bit becomes 1 again. The Transmitter Data register should only be written when the ready bit of the Transmitter Control register is 1. If the transmitter is not ready, writes to the Transmitter Data register are ignored (the write appears to succeed but the character is not output).

Real computers require time to send characters to a console or terminal. These time lags are simulated by SPIM. For example, after the transmitter starts to write a character, the transmitter’s ready bit becomes 0 for a while. SPIM measures time in instructions executed, not in real clock time. This means that the transmitter does not become ready again until the processor executes a fixed number of instructions. If you stop the machine and look at the ready bit, it will not change. However, if you let the machine run, the bit eventually changes back to 1.

## A.9 SPIM

SPIM is a software simulator that runs assembly language programs written for processors that implement the MIPS32 architecture, specifically Release 1 of this architecture with a fixed memory mapping, no caches, and only coprocessors 0 and 1.<sup>2</sup> SPIM’s name is just MIPS spelled backwards. SPIM can read and immediately execute assembly language files. SPIM is a self-contained system for running MIPS programs. It contains a debugger and provides a few operating system–like services. SPIM is much slower than a real computer (100 or more

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2. Earlier versions of SPIM (before 7.0) implemented the MIPS-I architecture used in the original MIPS R2000 processors. This architecture is almost a proper subset of the MIPS32 architecture, with the difference being the manner in which exceptions are handled. MIPS32 also introduced approximately 60 new instructions, which are supported by SPIM. Programs that ran on the earlier versions of SPIM and did not use exceptions should run unmodified on newer versions of SPIM. Programs that used exceptions will require minor changes.

times). However, its low cost and wide availability cannot be matched by real hardware!

An obvious question is, Why use a simulator when most people have PCs that contain processors that run significantly faster than SPIM? One reason is that the processor in PCs are Intel 80x86s, whose architecture is far less regular and far more complex to understand and program than MIPS processors. The MIPS architecture may be the epitome of a simple, clean RISC machine.

In addition, simulators can provide a better environment for assembly programming than an actual machine because they can detect more errors and provide a better interface than an actual computer.

Finally, simulators are a useful tool in studying computers and the programs that run on them. Because they are implemented in software, not silicon, simulators can be examined and easily modified to add new instructions, build new systems such as multiprocessors, or simply to collect data.

## Simulation of a Virtual Machine

The basic MIPS architecture is difficult to program directly because of delayed branches, delayed loads, and restricted address modes. This difficulty is tolerable since these computers were designed to be programmed in high-level languages and present an interface designed for compilers rather than assembly language programmers. A good part of the programming complexity results from delayed instructions. A *delayed branch* requires two cycles to execute (see Elaborations on pages 382 and 423 of Chapter 6). In the second cycle, the instruction immediately following the branch executes. This instruction can perform useful work that normally would have been done before the branch. It can also be a *nop* (no operation) that does nothing. Similarly, *delayed loads* require 2 cycles to bring a value from memory, so the instruction immediately following a load cannot use the value (see Section 6.2 of Chapter 6).

MIPS wisely chose to hide this complexity by having its assembler implement a **virtual machine**. This virtual computer appears to have nondelayed branches and loads and a richer instruction set than the actual hardware. The assembler *reorganizes* (rearranges) instructions to fill the delay slots. The virtual computer also provides *pseudoinstructions*, which appear as real instructions in assembly language programs. The hardware, however, knows nothing about pseudoinstructions, so the assembler translates them into equivalent sequences of actual machine instructions. For example, the MIPS hardware only provides instructions to branch when a register is equal to or not equal to 0. Other conditional branches, such as one that branches when one register is greater than another, are synthesized by comparing the two registers and branching when the result of the comparison is true (nonzero).

**virtual machine** A virtual computer that appears to have nondelayed branches and loads and a richer instruction set than the actual hardware.







By default, SPIM simulates the richer virtual machine, since this is the machine that most programmers will find useful. However, SPIM can also simulate the delayed branches and loads in the actual hardware. Below, we describe the virtual machine and only mention in passing features that do not belong to the actual hardware. In doing so, we follow the convention of MIPS assembly language programmers (and compilers), who routinely use the extended machine as if it was implemented in silicon.

## Getting Started with SPIM

The rest of this appendix introduces SPIM and the MIPS R2000 Assembly language. Many details should never concern you; however, the sheer volume of information can sometimes obscure the fact that SPIM is a simple, easy-to-use program. This section starts with a quick tutorial on using SPIM, which should enable you to load, debug, and run simple MIPS programs.

SPIM comes in different versions for different types of computer systems. The one constant is the simplest version, called `spim`, which is a command-line-driven program that runs in a console window. It operates like most programs of this type: you type a line of text, hit the return key, and `spim` executes your command. Despite its lack of a fancy interface, `spim` can do everything that its fancy cousins can do.

There are two fancy cousins to `spim`. The version that runs in the X-windows environment of a UNIX or Linux system is called `xspim`. `xspim` is an easier program to learn and use than `spim` because its commands are always visible on the screen and because it continually displays the machine's registers and memory. The other fancy version is called `pcspim` and runs on Microsoft Windows. The UNIX and Windows versions of SPIM are on the CD (click on Tutorials) . Tutorials on `xspim`, `pcspim`, `spim`, and [spim command-line options](#)  are on the CD (click on Software).

If you are going to run `spim` on a PC running Microsoft Windows, you should first look at the tutorial on [PCSpim](#)  on this CD. If you are going to run `spim` on a computer running UNIX or Linux, you should read the tutorial on [xspim](#)  (click on Tutorials).

## Surprising Features

Although SPIM faithfully simulates the MIPS computer, SPIM is a simulator and certain things are not identical to an actual computer. The most obvious differences are that instruction timing and the memory system are not identical. SPIM does not simulate caches or memory latency, nor does it accurately reflect floating-point operation or multiply and divide instruction delays. In addition, the floating-point instructions do not detect many error conditions, which would cause exceptions on a real machine.

Another surprise (which occurs on the real machine as well) is that a pseudoinstruction expands to several machine instructions. When you single-step or examine memory, the instructions that you see are different from the source program. The correspondence between the two sets of instructions is fairly simple since SPIM does not reorganize instructions to fill delay slots.

## Byte Order

Processors can number bytes within a word so the byte with the lowest number is either the leftmost or rightmost one. The convention used by a machine is called its *byte order*. MIPS processors can operate with either *big-endian* or *little-endian* byte order. For example, in a big-endian machine, the directive `.byte 0, 1, 2, 3` would result in a memory word containing

Byte #			
0	1	2	3

while in a little-endian machine, the word would contain

Byte #			
3	2	1	0

SPIM operates with both byte orders. SPIM's byte order is the same as the byte order of the underlying machine that runs the simulator. For example, on a Intel 80x86, SPIM is little-endian, while on a Macintosh or Sun SPARC, SPIM is big-endian.

## System Calls

SPIM provides a small set of operating-system-like services through the system call (`syscall`) instruction. To request a service, a program loads the system call code (see Figure A.9.1) into register `$v0` and arguments into registers `$a0–$a3` (or `$f12` for floating-point values). System calls that return values put their results in register `$v0` (or `$f0` for floating-point results). For example, the following code prints “the answer = 5”:

```
.data
str:
.asciiz "the answer = "
.text
```

Service	System call code	Arguments	Result
print_int	1	\$a0 = integer	
print_float	2	\$f12 = float	
print_double	3	\$f12 = double	
print_string	4	\$a0 = string	
read_int	5		integer (in \$v0)
read_float	6		float (in \$f0)
read_double	7		double (in \$f0)
read_string	8	\$a0 = buffer, \$a1 = length	
sbrk	9	\$a0 = amount	address (in \$v0)
exit	10		
print_char	11	\$a0 = char	
read_char	12		char (in \$a0)
open	13	\$a0 = filename (string), \$a1 = flags, \$a2 = mode	file descriptor (in \$a0)
read	14	\$a0 = file descriptor, \$a1 = buffer, \$a2 = length	num chars read (in \$a0)
write	15	\$a0 = file descriptor, \$a1 = buffer, \$a2 = length	num chars written (in \$a0)
close	16	\$a0 = file descriptor	
exit2	17	\$a0 = result	

FIGURE A.9.1 System services.

```

li      $v0, 4    # system call code for print_str
la      $a0, str  # address of string to print
syscall                    # print the string

li      $v0, 1    # system call code for print_int
li      $a0, 5    # integer to print
syscall                    # print it

```

The `print_int` system call is passed an integer and prints it on the console. `print_float` prints a single floating-point number; `print_double` prints a double precision number; and `print_string` is passed a pointer to a null-terminated string, which it writes to the console.

The system calls `read_int`, `read_float`, and `read_double` read an entire line of input up to and including the newline. Characters following the number are ignored. `read_string` has the same semantics as the UNIX library routine `fgets`. It reads up to  $n - 1$  characters into a buffer and terminates the string with a null byte. If fewer than  $n - 1$  characters are on the current line, `read_string` reads up to and including the newline and again null-terminates the string.

*Warning:* Programs that use these syscalls to read from the terminal should not use memory-mapped I/O (see Section A.8).

`sbrk` returns a pointer to a block of memory containing  $n$  additional bytes. `exit` stops the program SPIM is running. `exit2` terminates the SPIM program, and the argument to `exit2` becomes the value returned when the SPIM simulator itself terminates.

`print_char` and `read_char` write and read a single character. `open`, `read`, `write`, and `close` are the standard UNIX library calls.

## A.10 MIPS R2000 Assembly Language

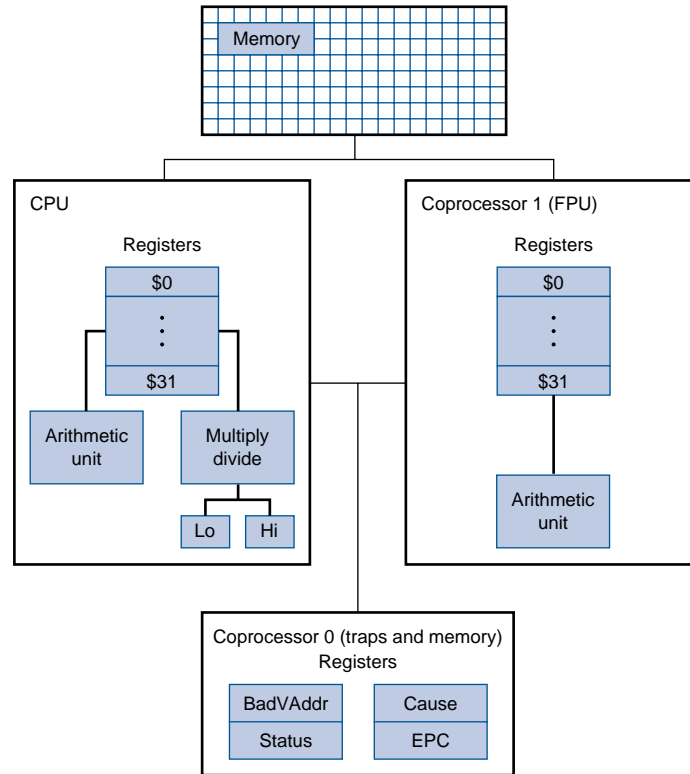
A MIPS processor consists of an integer processing unit (the CPU) and a collection of coprocessors that perform ancillary tasks or operate on other types of data such as floating-point numbers (see Figure A.10.1). SPIM simulates two coprocessors. Coprocessor 0 handles exceptions and interrupts. Coprocessor 1 is the floating-point unit. SPIM simulates most aspects of this unit.

### Addressing Modes

MIPS is a load-store architecture, which means that only load and store instructions access memory. Computation instructions operate only on values in registers. The bare machine provides only one memory-addressing mode:  $c(rx)$ , which uses the sum of the immediate  $c$  and register  $rx$  as the address. The virtual machine provides the following addressing modes for load and store instructions:

Format	Address computation
(register)	contents of register
imm	immediate
imm (register)	immediate + contents of register
label	address of label
label $\pm$ imm	address of label + or - immediate
label $\pm$ imm (register)	address of label + or - (immediate + contents of register)

Most load and store instructions operate only on aligned data. A quantity is *aligned* if its memory address is a multiple of its size in bytes. Therefore, a half-



**FIGURE A.10.1 MIPS R2000 CPU and FPU.**

word object must be stored at even addresses and a full word object must be stored at addresses that are a multiple of four. However, MIPS provides some instructions to manipulate unaligned data (`lwl`, `lwr`, `swl`, and `swr`).

**Elaboration:** The MIPS assembler (and SPIM) synthesizes the more complex addressing modes by producing one or more instructions before the load or store to compute a complex address. For example, suppose that the label `table` referred to memory location `0x10000004` and a program contained the instruction

```
ld $a0, table + 4($a1)
```

The assembler would translate this instruction into the instructions

```
lui $at, 4096
addu $at, $at, $a1
lw $a0, 8($at)
```

The first instruction loads the upper bits of the label's address into register `$at`, which is the register that the assembler reserves for its own use. The second instruction adds the contents of register `$a1` to the label's partial address. Finally, the load instruction uses the hardware address mode to add the sum of the lower bits of the label's address and the offset from the original instruction to the value in register `$a0`.

## Assembler Syntax

Comments in assembler files begin with a sharp sign (`#`). Everything from the sharp sign to the end of the line is ignored.

Identifiers are a sequence of alphanumeric characters, underbars (`_`), and dots (`.`) that do not begin with a number. Instruction opcodes are reserved words that *cannot* be used as identifiers. Labels are declared by putting them at the beginning of a line followed by a colon, for example:

```
        .data
item:   .word 1
        .text
        .globl main      # Must be global
main:   lw          $t0, item
```

Numbers are base 10 by default. If they are preceded by `0x`, they are interpreted as hexadecimal. Hence, 256 and `0x100` denote the same value.

Strings are enclosed in doublequotes (`"`). Special characters in strings follow the C convention:

- newline `\n`
- tab `\t`
- quote `\"`

SPIM supports a subset of the MIPS assembler directives:

<code>.align n</code>	Align the next datum on a $2^n$ byte boundary. For example, <code>.align 2</code> aligns the next value on a word boundary. <code>.align 0</code> turns off automatic alignment of <code>.half</code> , <code>.word</code> , <code>.float</code> , and <code>.double</code> directives until the next <code>.data</code> or <code>.kdata</code> directive.
<code>.ascii str</code>	Store the string <code>str</code> in memory, but do not null-terminate it.

---

<code>.asciiz str</code>	Store the string <i>str</i> in memory and null-terminate it.
<code>.byte b1, ..., bn</code>	Store the <i>n</i> values in successive bytes of memory.
<code>.data &lt;addr&gt;</code>	Subsequent items are stored in the data segment. If the optional argument <i>addr</i> is present, subsequent items are stored starting at address <i>addr</i> .
<code>.double d1, ..., dn</code>	Store the <i>n</i> floating-point double precision numbers in successive memory locations.
<code>.extern sym size</code>	Declare that the datum stored at <i>sym</i> is <i>size</i> bytes large and is a global label. This directive enables the assembler to store the datum in a portion of the data segment that is efficiently accessed via register <code>\$gp</code> .
<code>.float f1, ..., fn</code>	Store the <i>n</i> floating-point single precision numbers in successive memory locations.
<code>.globl sym</code>	Declare that label <i>sym</i> is global and can be referenced from other files.
<code>.half h1, ..., hn</code>	Store the <i>n</i> 16-bit quantities in successive memory halfwords.
<code>.kdata &lt;addr&gt;</code>	Subsequent data items are stored in the kernel data segment. If the optional argument <i>addr</i> is present, subsequent items are stored starting at address <i>addr</i> .
<code>.ktext &lt;addr&gt;</code>	Subsequent items are put in the kernel text segment. In SPIM, these items may only be instructions or words (see the <code>.word</code> directive below). If the optional argument <i>addr</i> is present, subsequent items are stored starting at address <i>addr</i> .
<code>.set noat</code> and <code>.set at</code>	The first directive prevents SPIM from complaining about subsequent instructions that use register <code>\$at</code> . The second directive reenables the warning. Since pseudoinstructions expand into code that uses register <code>\$at</code> , programmers must be very careful about leaving values in this register.
<code>.space n</code>	Allocate <i>n</i> bytes of space in the current segment (which must be the data segment in SPIM).

<code>.text &lt;addr&gt;</code>	Subsequent items are put in the user text segment. In SPIM, these items may only be instructions or words (see the <code>.word</code> directive below). If the optional argument <i>addr</i> is present, subsequent items are stored starting at address <i>addr</i> .
<code>.word w1, ..., wn</code>	Store the <i>n</i> 32-bit quantities in successive memory words.

SPIM does not distinguish various parts of the data segment (`.data`, `.rdata`, and `.sdata`).

## Encoding MIPS Instructions

Figure A.10.2 explains how a MIPS instruction is encoded in a binary number. Each column contains instruction encodings for a field (a contiguous group of bits) from an instruction. The numbers at the left margin are values for a field. For example, the *j* opcode has a value of 2 in the opcode field. The text at the top of a column names a field and specifies which bits it occupies in an instruction. For example, the *op* field is contained in bits 26–31 of an instruction. This field encodes most instructions. However, some groups of instructions use additional fields to distinguish related instructions. For example, the different floating-point instructions are specified by bits 0–5. The arrows from the first column show which opcodes use these additional fields.

## Instruction Format

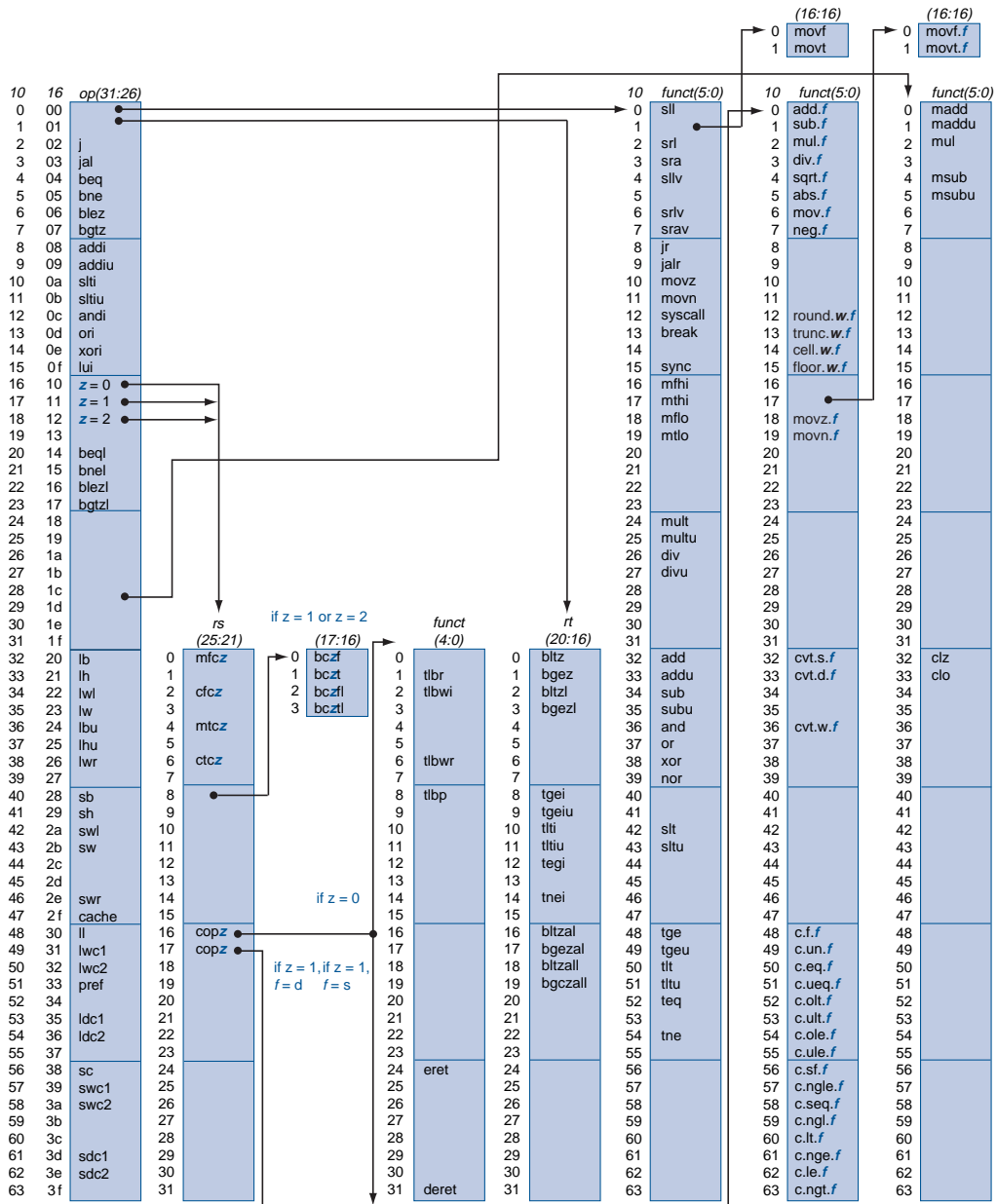
The rest of this appendix describes both the instructions implemented by actual MIPS hardware and the pseudoinstructions provided by the MIPS assembler. The two types of instructions are easily distinguished. Actual instructions depict the fields in their binary representation. For example, in

### Addition (with overflow)

<code>add rd, rs, rt</code>	0	rs	rt	rd	0	0x20
	6	5	5	5	5	6

the `add` instruction consists of six fields. Each field's size in bits is the small number below the field. This instruction begins with 6 bits of 0s. Register specifiers begin with an *r*, so the next field is a 5-bit register specifier called *rs*. This is the same register that is the second argument in the symbolic assembly at the left of this line. Another common field is `imm16`, which is a 16-bit immediate number.





**FIGURE A.10.2 MIPS opcode map.** The values of each field are shown to its left. The first column shows the values in base 10 and the second shows base 16 for the op field (bits 31 to 26) in the third column. This op field completely specifies the MIPS operation except for 6 op values: 0, 1, 16, 17, 18, and 19. These operations are determined by other fields, identified by pointers. The last field (funct) uses “f” to mean “s” if rs = 16 and op = 17 or “d” if rs = 17 and op = 17. The second field (rs) uses “z” to mean “0”, “1”, “2”, or “3” if op = 16, 17, 18, or 19, respectively. If rs = 16, the operation is specified elsewhere: if z = 0, the operations are specified in the fourth field (bits 4 to 0); if z = 1, then the operations are in the last field with f = s. If rs = 17 and z = 1, then the operations are in the last field with f = d.

Pseudoinstructions follow roughly the same conventions, but omit instruction encoding information. For example:

#### Multiply (without overflow)

```
mul rdest, rsrc1, src2      pseudoinstruction
```

In pseudoinstructions, *rdest* and *rsrc1* are registers and *src2* is either a register or an immediate value. In general, the assembler and SPIM translate a more general form of an instruction (e.g., `add $v1, $a0, 0x55`) to a specialized form (e.g., `addi $v1, $a0, 0x55`).

## Arithmetic and Logical Instructions

#### Absolute value

```
abs rdest, rsrc      pseudoinstruction
```

Put the absolute value of register *rsrc* in register *rdest*.

#### Addition (with overflow)

```
add rd, rs, rt
```

0	rs	rt	rd	0	0x20
6	5	5	5	5	6

#### Addition (without overflow)

```
addu rd, rs, rt
```

0	rs	rt	rd	0	0x21
6	5	5	5	5	6

Put the sum of registers *rs* and *rt* into register *rd*.

#### Addition immediate (with overflow)

```
addi rt, rs, imm
```

8	rs	rt	imm
6	5	5	16

#### Addition immediate (without overflow)

```
addiu rt, rs, imm
```

9	rs	rt	imm
6	5	5	16

Put the sum of register *rs* and the sign-extended immediate into register *rt*.

**AND**

and rd, rs, rt

0	rs	rt	rd	0	0x24
6	5	5	5	5	6

Put the logical AND of registers *rs* and *rt* into register *rd*.

**AND immediate**

andi rt, rs, imm

0xc	rs	rt	imm
6	5	5	16

Put the logical AND of register *rs* and the zero-extended immediate into register *rt*.

**Count leading ones**

clo rd, rs

0x1c	rs	0	rd	0	0x21
6	5	5	5	5	6

**Count leading zeros**

clz rd, rs

0x1c	rs	0	rd	0	0x20
6	5	5	5	5	6

Count the number of leading ones (zeros) in the word in register *rs* and put the result into register *rd*. If a word is all ones (zeros), the result is 32.

**Divide (with overflow)**

div rs, rt

0	rs	rt	0	0x1a
6	5	5	10	6

**Divide (without overflow)**

divu rs, rt

0	rs	rt	0	0x1b
6	5	5	10	6

Divide register *rs* by register *rt*. Leave the quotient in register *lo* and the remainder in register *hi*. Note that if an operand is negative, the remainder is unspecified by the MIPS architecture and depends on the convention of the machine on which SPIM is run.

**Divide (with overflow)**

```
div rdest, rsrcl, src2      pseudoinstruction
```

**Divide (without overflow)**

```
divu rdest, rsrcl, src2    pseudoinstruction
```

Put the quotient of register `rsrcl` and `src2` into register `rdest`.

**Multiply**

```
mult rs, rt
```

0	rs	rt	0	0x18
6	5	5	10	6

**Unsigned multiply**

```
multu rs, rt
```

0	rs	rt	0	0x19
6	5	5	10	6

Multiply registers `rs` and `rt`. Leave the low-order word of the product in register `lo` and the high-order word in register `hi`.

**Multiply (without overflow)**

```
mul rd, rs, rt
```

0x1c	rs	rt	rd	0	2
6	5	5	5	5	6

Put the low-order 32 bits of the product of `rs` and `rt` into register `rd`.

**Multiply (with overflow)**

```
mulo rdest, rsrcl, src2    pseudoinstruction
```

**Unsigned multiply (with overflow)**

```
mulou rdest, rsrcl, src2   pseudoinstruction
```

Put the low-order 32 bits of the product of register `rsrcl` and `src2` into register `rdest`.

**Multiply add**

madd rs, rt	0x1c	rs	rt	0	0
	6	5	5	10	6

**Unsigned multiply add**

maddu rs, rt	0x1c	rs	rt	0	1
	6	5	5	10	6

Multiply registers *rs* and *rt* and add the resulting 64-bit product to the 64-bit value in the concatenated registers *lo* and *hi*.

**Multiply subtract**

msub rs, rt	0x1c	rs	rt	0	4
	6	5	5	10	6

**Unsigned multiply subtract**

msubu rs, rt	0x1c	rs	rt	0	5
	6	5	5	10	6

Multiply registers *rs* and *rt* and subtract the resulting 64-bit product from the 64-bit value in the concatenated registers *lo* and *hi*.

**Negate value (with overflow)**

neg rdest, rsrc *pseudoinstruction*

**Negate value (without overflow)**

negu rdest, rsrc *pseudoinstruction*

Put the negative of register *rsrc* into register *rdest*.

**NOR**

nor rd, rs, rt	0	rs	rt	rd	0	0x27
	6	5	5	5	5	6

Put the logical NOR of registers *rs* and *rt* into register *rd*.

**NOT**

```
not rdest, rsrc           pseudoinstruction
```

Put the bitwise logical negation of register `rsrc` into register `rdest`.

**OR**

```
or rd, rs, rt
```

0	rs	rt	rd	0	0x25
6	5	5	5	5	6

Put the logical OR of registers `rs` and `rt` into register `rd`.

**OR immediate**

```
ori rt, rs, imm
```

Oxd	rs	rt	imm
6	5	5	16

Put the logical OR of register `rs` and the zero-extended immediate into register `rt`.

**Remainder**

```
rem rdest, rsrc1, rsrc2   pseudoinstruction
```

**Unsigned remainder**

```
remu rdest, rsrc1, rsrc2  pseudoinstruction
```

Put the remainder of register `rsrc1` divided by register `rsrc2` into register `rdest`. Note that if an operand is negative, the remainder is unspecified by the MIPS architecture and depends on the convention of the machine on which SPIM is run.

**Shift left logical**

```
sll rd, rt, shamt
```

0	rs	rt	rd	shamt	0
6	5	5	5	5	6

**Shift left logical variable**

```
sllv rd, rt, rs
```

0	rs	rt	rd	0	4
6	5	5	5	5	6

**Shift right arithmetic**

sra rd, rt, shamt

0	rs	rt	rd	shamt	3
6	5	5	5	5	6

**Shift right arithmetic variable**

srav rd, rt, rs

0	rs	rt	rd	0	7
6	5	5	5	5	6

**Shift right logical**

srl rd, rt, shamt

0	rs	rt	rd	shamt	2
6	5	5	5	5	6

**Shift right logical variable**

srlv rd, rt, rs

0	rs	rt	rd	0	6
6	5	5	5	5	6

Shift register *rt* left (right) by the distance indicated by immediate *shamt* or the register *rs* and put the result in register *rd*. Note that argument *rs* is ignored for *sll*, *sra*, and *srl*.

**Rotate left**

rol rdest, rsrc1, rsrc2      *pseudoinstruction*

**Rotate right**

ror rdest, rsrc1, rsrc2      *pseudoinstruction*

Rotate register *rsrc1* left (right) by the distance indicated by *rsrc2* and put the result in register *rdest*.

**Subtract (with overflow)**

sub rd, rs, rt

0	rs	rt	rd	0	0x22
6	5	5	5	5	6

**Subtract (without overflow)**

0	rs	rt	rd	0	0x23
6	5	5	5	5	6

subu rd, rs, rt

Put the difference of registers *rs* and *rt* into register *rd*.

**Exclusive OR**

0	rs	rt	rd	0	0x26
6	5	5	5	5	6

xor rd, rs, rt

Put the logical XOR of registers *rs* and *rt* into register *rd*.

**XOR immediate**

0xe	rs	rt	Imm
6	5	5	16

xori rt, rs, imm

Put the logical XOR of register *rs* and the zero-extended immediate into register *rt*.

**Constant-Manipulating Instructions****Load upper immediate**

0xf	0	rt	imm
6	5	5	16

lui rt, imm

Load the lower halfword of the immediate *imm* into the upper halfword of register *rt*. The lower bits of the register are set to 0.

**Load immediate**

li rdest, imm *pseudoinstruction*

Move the immediate *imm* into register *rdest*.

**Comparison Instructions****Set less than**

0	rs	rt	rd	0	0x2a
6	5	5	5	5	6

slt rd, rs, rt



**Set less than unsigned**

sltu rd, rs, rt

0	rs	rt	rd	0	0x2b
6	5	5	5	5	6

Set register rd to 1 if register rs is less than rt, and to 0 otherwise.

**Set less than immediate**

slti rt, rs, imm

0xa	rs	rt	imm
6	5	5	16

**Set less than unsigned immediate**

sltiu rt, rs, imm

0xb	rs	rt	imm
6	5	5	16

Set register rt to 1 if register rs is less than the sign-extended immediate, and to 0 otherwise.

**Set equal**

seq rdest, rsrcl, rsrc2 *pseudoinstruction*

Set register rdest to 1 if register rsrcl equals rsrc2, and to 0 otherwise.

**Set greater than equal**

sge rdest, rsrcl, rsrc2 *pseudoinstruction*

**Set greater than equal unsigned**

sgeu rdest, rsrcl, rsrc2 *pseudoinstruction*

Set register rdest to 1 if register rsrcl is greater than or equal to rsrc2, and to 0 otherwise.

**Set greater than**

sgt rdest, rsrcl, rsrc2 *pseudoinstruction*

**Set greater than unsigned**

```
sgtu rdest, rsrc1, rsrc2    pseudoinstruction
```

Set register *rdest* to 1 if register *rsrc1* is greater than *rsrc2*, and to 0 otherwise.

**Set less than equal**

```
sle rdest, rsrc1, rsrc2    pseudoinstruction
```

**Set less than equal unsigned**

```
sleu rdest, rsrc1, rsrc2   pseudoinstruction
```

Set register *rdest* to 1 if register *rsrc1* is less than or equal to *rsrc2*, and to 0 otherwise.

**Set not equal**

```
sne rdest, rsrc1, rsrc2    pseudoinstruction
```

Set register *rdest* to 1 if register *rsrc1* is not equal to *rsrc2*, and to 0 otherwise.

**Branch Instructions**

Branch instructions use a signed 16-bit instruction *offset* field; hence they can jump  $2^{15} - 1$  instructions (not bytes) forward or  $2^{15}$  instructions backwards. The *jump* instruction contains a 26-bit address field. In actual MIPS processors, branch instructions are delayed branches, which do not transfer control until the instruction following the branch (its "delay slot") has executed (see Chapter 6). Delayed branches affect the offset calculation, since it must be computed relative to the address of the delay slot instruction ( $PC + 4$ ), which is when the branch occurs. SPIM does not simulate this delay slot, unless the *-bare* or *-delayed\_branch* flags are specified.

In assembly code, offsets are not usually specified as numbers. Instead, an instructions branch to a label, and the assembler computes the distance between the branch and the target instructions.

In MIPS32, all actual (not pseudo) conditional branch instructions have a "likely" variant (for example, *beq*'s likely variant is *beql*), which does *not* execute the

instruction in the branch's delay slot if the branch is not taken. Do not use these instructions; they may be removed in subsequent versions of the architecture. SPIM implements these instructions, but they are not described further.

#### Branch instruction

`b label` *pseudoinstruction*

Unconditionally branch to the instruction at the label.

#### Branch coprocessor false

`bclf cc label`

0x11	8	cc	0	Offset
6	5	3	2	16

#### Branch coprocessor true

`bclt cc label`

0x11	8	cc	1	Offset
6	5	3	2	16

Conditionally branch the number of instructions specified by the offset if the floating point coprocessor's condition flag numbered *cc* is false (true). If *cc* is omitted from the instruction, condition code flag 0 is assumed.

#### Branch on equal

`beq rs, rt, label`

4	rs	rt	Offset
6	5	5	16

Conditionally branch the number of instructions specified by the offset if register *rs* equals *rt*.

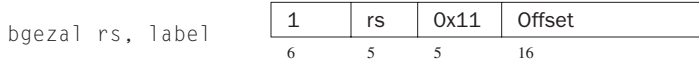
#### Branch on greater than equal zero

`bgez rs, label`

1	rs	1	Offset
6	5	5	16

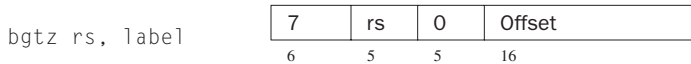
Conditionally branch the number of instructions specified by the offset if register *rs* is greater than or equal to 0.

**Branch on greater than equal zero and link**



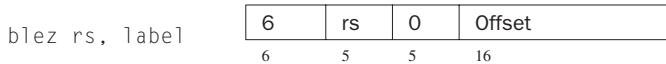
Conditionally branch the number of instructions specified by the offset if register *rs* is greater than or equal to 0. Save the address of the next instruction in register 31.

**Branch on greater than zero**



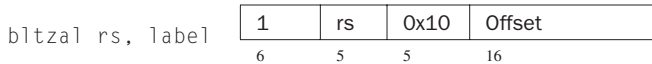
Conditionally branch the number of instructions specified by the offset if register *rs* is greater than 0.

**Branch on less than equal zero**



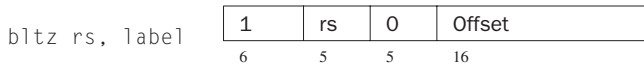
Conditionally branch the number of instructions specified by the offset if register *rs* is less than or equal to 0.

**Branch on less than and link**



Conditionally branch the number of instructions specified by the offset if register *rs* is less than 0. Save the address of the next instruction in register 31.

**Branch on less than zero**



Conditionally branch the number of instructions specified by the offset if register *rs* is less than 0.

**Branch on not equal**

bne rs, rt, label	5	rs	rt	Offset
	6	5	5	16

Conditionally branch the number of instructions specified by the offset if register `rs` is not equal to `rt`.

**Branch on equal zero**

```
beq rsrc, label           pseudoinstruction
```

Conditionally branch to the instruction at the label if `rsrc` equals 0.

**Branch on greater than equal**

```
bge rsrc1, rsrc2, label  pseudoinstruction
```

**Branch on greater than equal unsigned**

```
bgeu rsrc1, rsrc2, label pseudoinstruction
```

Conditionally branch to the instruction at the label if register `rsrc1` is greater than or equal to `rsrc2`.

**Branch on greater than**

```
bgt rsrc1, src2, label   pseudoinstruction
```

**Branch on greater than unsigned**

```
bgtu rsrc1, src2, label  pseudoinstruction
```

Conditionally branch to the instruction at the label if register `rsrc1` is greater than `src2`.

**Branch on less than equal**

```
ble rsrc1, src2, label   pseudoinstruction
```

**Branch on less than equal unsigned**

```
bleu rsrc1, src2, label      pseudoinstruction
```

Conditionally branch to the instruction at the label if register `rsrc1` is less than or equal to `src2`.

**Branch on less than**

```
blt rsrc1, rsrc2, label     pseudoinstruction
```

**Branch on less than unsigned**

```
bltu rsrc1, rsrc2, label   pseudoinstruction
```

Conditionally branch to the instruction at the label if register `rsrc1` is less than `rsrc2`.

**Branch on not equal zero**

```
bnez rsrc, label          pseudoinstruction
```

Conditionally branch to the instruction at the label if register `rsrc` is not equal to 0.

**Jump Instructions****Jump**

```
j target
```

2	target
6	26

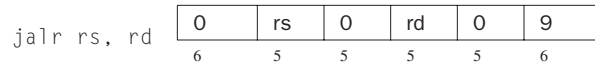
Unconditionally jump to the instruction at target.

**Jump and link**

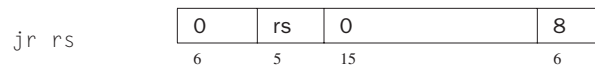
```
jal target
```

3	target
6	26

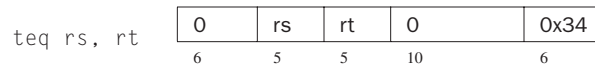
Unconditionally jump to the instruction at target. Save the address of the next instruction in register `$ra`.

**Jump and link register**

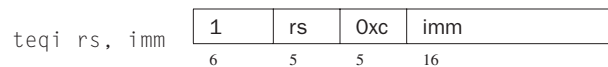
Unconditionally jump to the instruction whose address is in register *rs*. Save the address of the next instruction in register *rd* (which defaults to 31).

**Jump register**

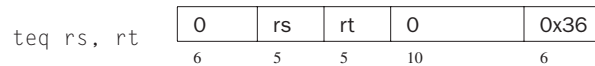
Unconditionally jump to the instruction whose address is in register *rs*.

**Trap Instructions****Trap if equal**

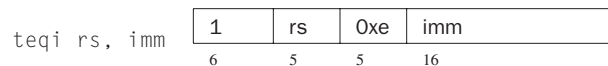
If register *rs* is equal to register *rt*, raise a Trap exception.

**Trap if equal immediate**

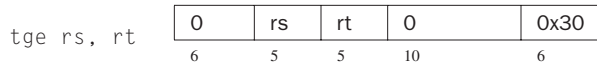
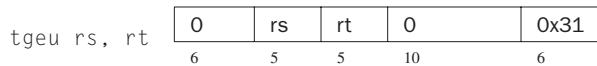
If register *rs* is equal to the sign extended value *imm*, raise a Trap exception.

**Trap if not equal**

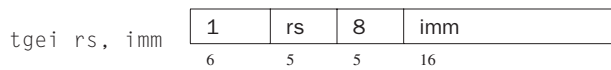
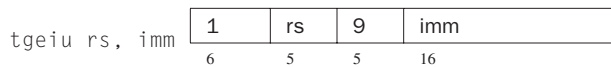
If register *rs* is not equal to register *rt*, raise a Trap exception.

**Trap if not equal immediate**

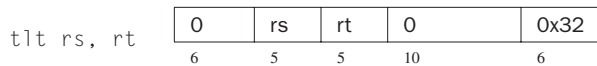
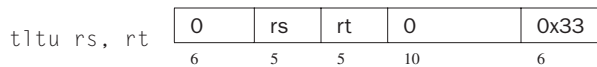
If register *rs* is not equal to the sign extended value *imm*, raise a Trap exception.

**Trap if greater equal****Unsigned trap if greater equal**

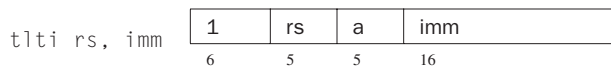
If register *rs* is greater than or equal to register *rt*, raise a Trap exception.

**Trap if greater equal immediate****Unsigned trap if greater equal immediate**

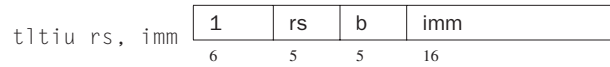
If register *rs* is greater than or equal to the sign extended value *imm*, raise a Trap exception.

**Trap if less than****Unsigned trap if less than**

If register *rs* is less than register *rt*, raise a Trap exception.

**Trap if less than immediate**



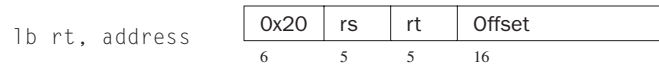
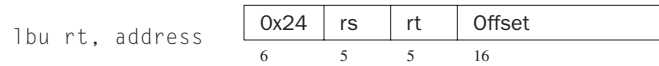
**Unsigned trap if less than immediate**

If register *rs* is less than the sign extended value *imm*, raise a Trap exception.

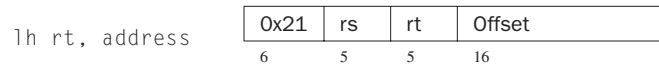
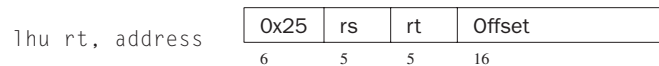
**Load Instructions****Load address**

la rdest, address *pseudoinstruction*

Load computed *address*—not the contents of the location—into register *rdest*.

**Load byte****Load unsigned byte**

Load the byte at *address* into register *rt*. The byte is sign-extended by *lb*, but not by *lbu*.

**Load halfword****Load unsigned halfword**

Load the 16-bit quantity (halfword) at *address* into register *rt*. The halfword is sign-extended by *lh*, but not by *lhu*.

**Load word**

```
lw rt, address
```

0x23	rs	rt	Offset
6	5	5	16

Load the 32-bit quantity (word) at *address* into register *rt*.

**Load word coprocessor 1**

```
lwc1 ft, address
```

0x31	rs	ft	Offset
6	5	5	16

Load the word at *address* into register *ft* in the floating-point unit.

**Load word left**

```
lwl rt, address
```

0x22	rs	rt	Offset
6	5	5	16

**Load word right**

```
lwr rt, address
```

0x26	rs	rt	Offset
6	5	5	16

Load the left (right) bytes from the word at the possibly unaligned *address* into register *rt*.

**Load doubleword**

```
ld rdest, address pseudoinstruction
```

Load the 64-bit quantity at *address* into registers *rdest* and *rdest + 1*.

**Unaligned load halfword**

```
ulh rdest, address pseudoinstruction
```

**Unaligned load halfword unsigned**

```
ulhu rdest, address pseudoinstruction
```

Load the 16-bit quantity (halfword) at the possibly unaligned *address* into register *rdest*. The halfword is sign-extended by *ulh*, but not *ulhu*.

**Unaligned load word**

```
ulw rdest, address pseudoinstruction
```

Load the 32-bit quantity (word) at the possibly unaligned *address* into register *rdest*.

**Load linked**

```
ll rt, address
```

0x30	rs	rt	Offset
6	5	5	16

Load the 32-bit quantity (word) at *address* into register *rt* and start an atomic read-modify-write operation. This operation is completed by a store conditional (*sc*) instruction, which will fail if another processor writes into the block containing the loaded word. Since SPIM does not simulate multiple processors, the store conditional operation always succeeds.

**Store Instructions****Store byte**

```
sb rt, address
```

0x28	rs	rt	Offset
6	5	5	16

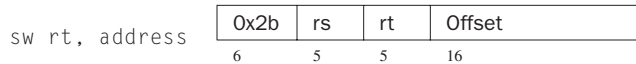
Store the low byte from register *rt* at *address*.

**Store halfword**

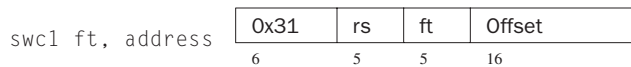
```
sh rt, address
```

0x29	rs	rt	Offset
6	5	5	16

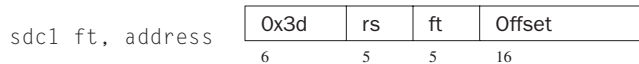
Store the low halfword from register *rt* at *address*.

**Store word**

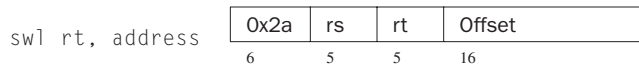
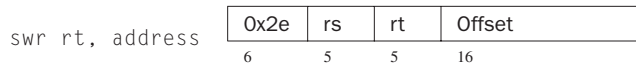
Store the word from register *rt* at *address*.

**Store word coprocessor 1**

Store the floating-point value in register *ft* of floating-point coprocessor at *address*.

**Store double coprocessor 1**

Store the double word floating-point value in registers *ft* and *ft + 1* of floating-point coprocessor at *address*. Register *ft* must be even numbered.

**Store word left****Store word right**

Store the left (right) bytes from register *rt* at the possibly unaligned *address*.

**Store doubleword**

sd rsrc, address *pseudoinstruction*

Store the 64-bit quantity in registers *rsrc* and *rsrc + 1* at *address*.

**Unaligned store halfword**

`ush rsrc, address` *pseudoinstruction*

Store the low halfword from register `rsrc` at the possibly unaligned *address*.

**Unaligned store word**

`usw rsrc, address` *pseudoinstruction*

Store the word from register `rsrc` at the possibly unaligned *address*.

**Store conditional**

`sc rt, address`

0x38	rs	rt	Offset
6	5	5	16

Store the 32-bit quantity (word) in register `rt` into memory at *address* and complete an atomic read-modify-write operation. If this atomic operation is successful, the memory word is modified and register `rt` is set to 1. If the atomic operation fails because another processor wrote to a location in the block containing the addressed word, this instruction does not modify memory and writes 0 into register `rt`. Since SPIM does not simulate multiple processors, the instruction always succeeds.

**Data Movement Instructions****Move**

`move rdest, rsrc` *pseudoinstruction*

Move register `rsrc` to `rdest`.

**Move from hi**

`mfhi rd`

0	0	rd	0	0x10
6	10	5	5	6

**Move from lo**

mflo rd	0	0	rd	0	0x12
	6	10	5	5	6

The multiply and divide unit produces its result in two additional registers, hi and lo. These instructions move values to and from these registers. The multiply, divide, and remainder pseudoinstructions that make this unit appear to operate on the general registers move the result after the computation finishes.

Move the hi (lo) register to register rd.

**Move to hi**

mthi rs	0	rs	0	0x11
	6	5	15	6

**Move to lo**

mtlo rs	0	rs	0	0x13
	6	5	15	6

Move register rs to the hi (lo) register.

**Move from coprocessor 0**

mfc0 rt, rd	0x10	0	rt	rd	0
	6	5	5	5	11

**Move from coprocessor 1**

mfc1 rt, fs	0x11	0	rt	fs	0
	6	5	5	5	11

Coprocessors have their own register sets. These instructions move values between these registers and the CPU's registers.

Move register rd in a coprocessor (register fs in the FPU) to CPU register rt. The floating-point unit is coprocessor 1.

**Move double from coprocessor 1**

```
mfc1.d rdest, frsrc1      pseudoinstruction
```

Move floating-point registers `frsrc1` and `frsrc1 + 1` to CPU registers `rdest` and `rdest + 1`.

**Move to coprocessor 0**

```
mtc0 rd, rt
```

0x10	4	rt	rd	0
6	5	5	5	11

**Move to coprocessor 1**

```
mtc1 rd, fs
```

0x11	4	rt	fs	0
6	5	5	5	11

Move CPU register `rt` to register `rd` in a coprocessor (register `fs` in the FPU).

**Move conditional not zero**

```
movn rd, rs, rt
```

0	rs	rt	rd	0xb
6	5	5	5	11

Move register `rs` to register `rd` if register `rt` is not 0.

**Move conditional zero**

```
movz rd, rs, rt
```

0	rs	rt	rd	0xa
6	5	5	5	11

Move register `rs` to register `rd` if register `rt` is 0.

**Move conditional on FP false**

```
movf rd, rs, cc
```

0	rs	cc	0	rd	0	1
6	5	3	2	5	5	6

Move CPU register `rs` to register `rd` if FPU condition code flag number `cc` is 0. If `cc` is omitted from the instruction, condition code flag 0 is assumed.

**Move conditional on FP true**

movt rd, rs, cc	0	rs	cc	1	rd	0	1
	6	5	3	2	5	5	6

Move CPU register *rs* to register *rd* if FPU condition code flag number *cc* is 1. If *cc* is omitted from the instruction, condition code bit 0 is assumed.

**Floating-Point Instructions**

The MIPS has a floating-point coprocessor (numbered 1) that operates on single precision (32-bit) and double precision (64-bit) floating-point numbers. This coprocessor has its own registers, which are numbered \$f0–\$f31. Because these registers are only 32 bits wide, two of them are required to hold doubles, so only floating-point registers with even numbers can hold double precision values. The floating-point coprocessor also has 8 condition code (*cc*) flags, numbered 0–7, which are set by compare instructions and tested by branch (*bc1f* or *bc1t*) and conditional move instructions.

Values are moved in or out of these registers one word (32 bits) at a time by *lwc1*, *swc1*, *mtc1*, and *mfc1* instructions or one double (64 bits) at a time by *ldc1* and *sdc1* described above, or by the *l.s*, *l.d*, *s.s*, and *s.d* pseudoinstructions described below.

In the actual instructions below, bits 21–26 are 0 for single precision and 1 for double precision. In the pseudoinstructions below, *fdest* is a floating-point register (e.g., \$f2).

**Floating-point absolute value double**

abs.d fd, fs	0x11	1	0	fs	fd	5
	6	5	5	5	5	6

**Floating-point absolute value single**

abs.s fd, fs	0x11	0	0	fs	fd	5
--------------	------	---	---	----	----	---

Compute the absolute value of the floating-point double (single) in register *fs* and put it in register *fd*.

**Floating-point addition double**

add.d fd, fs, ft	0x11	0x11	ft	fs	fd	0
	6	5	5	5	5	6



**Floating-point addition single**

add.s fd, fs, ft	0x11	0x10	ft	fs	fd	0
	6	5	5	5	5	6

Compute the sum of the floating-point doubles (singles) in registers *fs* and *ft* and put it in register *fd*.

**Floating-point ceiling to word**

ceil.w.d fd, fs	0x11	0x11	0	fs	fd	0xe
	6	5	5	5	5	6

ceil.w.s fd, fs	0x11	0x10	0	fs	fd	0xe
-----------------	------	------	---	----	----	-----

Compute the ceiling of the floating-point double (single) in register *fs*, convert to a 32-bit fixed-point value, and put the resulting word in register *fd*.

**Compare equal double**

c.eq.d cc fs, ft	0x11	0x11	ft	fs	cc	0	FC	2
	6	5	5	5	3	2	2	4

**Compare equal single**

c.eq.s cc fs, ft	0x11	0x10	ft	fs	cc	0	FC	2
	6	5	5	5	3	2	2	4

Compare the floating-point double (single) in register *fs* against the one in *ft* and set the floating-point condition flag *cc* to 1 if they are equal. If *cc* is omitted, condition code flag 0 is assumed.

**Compare less than equal double**

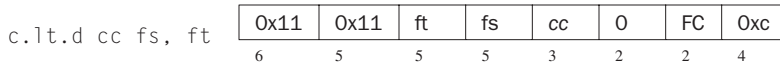
c.le.d cc fs, ft	0x11	0x11	ft	fs	cc	0	FC	0xe
	6	5	5	5		2	2	4

**Compare less than equal single**

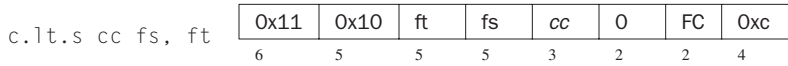
c.le.s cc fs, ft	0x11	0x10	ft	fs	cc	0	FC	0xe
	6	5	5	5	3	2	2	4

Compare the floating-point double (single) in register *fs* against the one in *ft* and set the floating-point condition flag *cc* to 1 if the first is less than or equal to the second. If *cc* is omitted, condition code flag 0 is assumed.

**Compare less than double**

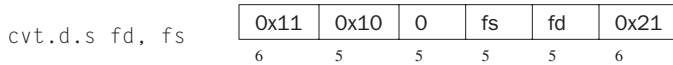


**Compare less than single**

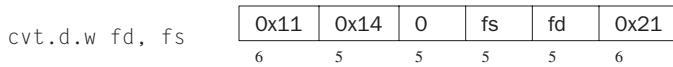


Compare the floating-point double (single) in register *fs* against the one in *ft* and set the condition flag *cc* to 1 if the first is less than the second. If *cc* is omitted, condition code flag 0 is assumed.

**Convert single to double**

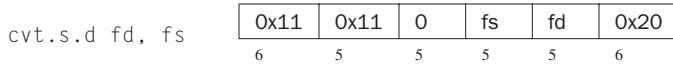


**Convert integer to double**

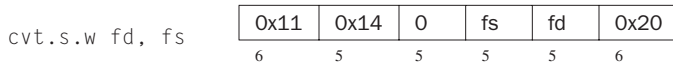


Convert the single precision floating-point number or integer in register *fs* to a double (single) precision number and put it in register *fd*.

**Convert double to single**



**Convert integer to single**



Convert the double precision floating-point number or integer in register *fs* to a single precision number and put it in register *fd*.

**Convert double to integer**

cvt.w.d fd, fs	0x11	0x11	0	fs	fd	0x24
	6	5	5	5	5	6

**Convert single to integer**

cvt.w.s fd, fs	0x11	0x10	0	fs	fd	0x24
	6	5	5	5	5	6

Convert the double or single precision floating-point number in register *fs* to an integer and put it in register *fd*.

**Floating-point divide double**

div.d fd, fs, ft	0x11	0x11	ft	fs	fd	3
	6	5	5	5	5	6

**Floating-point divide single**

div.s fd, fs, ft	0x11	0x10	ft	fs	fd	3
	6	5	5	5	5	6

Compute the quotient of the floating-point doubles (singles) in registers *fs* and *ft* and put it in register *fd*.

**Floating-point floor to word**

floor.w.d fd, fs	0x11	0x11	0	fs	fd	0xf
	6	5	5	5	5	6

floor.w.s fd, fs	0x11	0x10	0	fs	fd	0xf
------------------	------	------	---	----	----	-----

Compute the floor of the floating-point double (single) in register *fs* and put the resulting word in register *fd*.

**Load floating-point double**

l.d fdest, address *pseudoinstruction*

**Load floating-point single**

```
l.s fdest, address           pseudoinstruction
```

Load the floating-point double (single) at address into register fdest.

**Move floating-point double**

```
mov.d fd, fs
```

0x11	0x11	0	fs	fd	6
6	5	5	5	5	6

**Move floating-point single**

```
mov.s fd, fs
```

0x11	0x10	0	fs	fd	6
6	5	5	5	5	6

Move the floating-point double (single) from register fs to register fd.

**Move conditional floating-point double false**

```
movf.d fd, fs, cc
```

0x11	0x11	cc	0	fs	fd	0x11
6	5	3	2	5	5	6

**Move conditional floating-point single false**

```
movf.s fd, fs, cc
```

0x11	0x10	cc	0	fs	fd	0x11
6	5	3	2	5	5	6

Move the floating-point double (single) from register fs to register fd if condition code flag cc is 0. If cc is omitted, condition code flag 0 is assumed.

**Move conditional floating-point double true**

```
movt.d fd, fs, cc
```

0x11	0x11	cc	1	fs	fd	0x11
6	5	3	2	5	5	6

**Move conditional floating-point single true**

```
movt.s fd, fs, cc
```

0x11	0x10	cc	1	fs	fd	0x11
6	5	3	2	5	5	6

Move the floating-point double (single) from register *fs* to register *fd* if condition code flag *cc* is 1. If *cc* is omitted, condition code flag 0 is assumed.

**Move conditional floating-point double not zero**

movn.d <i>fd</i> , <i>fs</i> , <i>rt</i>	0x11	0x11	<i>rt</i>	<i>fs</i>	<i>fd</i>	0x13
	6	5	5	5	5	6

**Move conditional floating-point single not zero**

movn.s <i>fd</i> , <i>fs</i> , <i>rt</i>	0x11	0x10	<i>rt</i>	<i>fs</i>	<i>fd</i>	0x13
	6	5	5	5	5	6

Move the floating-point double (single) from register *fs* to register *fd* if processor register *rt* is not 0.

**Move conditional floating-point double zero**

movz.d <i>fd</i> , <i>fs</i> , <i>rt</i>	0x11	0x11	<i>rt</i>	<i>fs</i>	<i>fd</i>	0x12
	6	5	5	5	5	6

**Move conditional floating-point single zero**

movz.s <i>fd</i> , <i>fs</i> , <i>rt</i>	0x11	0x10	<i>rt</i>	<i>fs</i>	<i>fd</i>	0x12
	6	5	5	5	5	6

Move the floating-point double (single) from register *fs* to register *fd* if processor register *rt* is 0.

**Floating-point multiply double**

mul.d <i>fd</i> , <i>fs</i> , <i>ft</i>	0x11	0x11	<i>ft</i>	<i>fs</i>	<i>fd</i>	2
	6	5	5	5	5	6

**Floating-point multiply single**

mul.s <i>fd</i> , <i>fs</i> , <i>ft</i>	0x11	0x10	<i>ft</i>	<i>fs</i>	<i>fd</i>	2
	6	5	5	5	5	6

Compute the product of the floating-point doubles (singles) in registers *fs* and *ft* and put it in register *fd*.

**Negate double**

neg.d fd, fs	0x11	0x11	0	fs	fd	7
	6	5	5	5	5	6

**Negate single**

neg.s fd, fs	0x11	0x10	0	fs	fd	7
	6	5	5	5	5	6

Negate the floating-point double (single) in register *fs* and put it in register *fd*.

**Floating-point round to word**

round.w.d fd, fs	0x11	0x11	0	fs	fd	0xc
	6	5	5	5	5	6

round.w.s fd, fs	0x11	0x10	0	fs	fd	0xc
	6	5	5	5	5	6

Round the floating-point double (single) value in register *fs*, convert to a 32-bit fixed-point value, and put the resulting word in register *fd*.

**Square root double**

sqrt.d fd, fs	0x11	0x11	0	fs	fd	4
	6	5	5	5	5	6

**Square root single**

sqrt.s fd, fs	0x11	0x10	0	fs	fd	4
	6	5	5	5	5	6

Compute the square root of the the floating-point double (single) in register *fs* and put it in register *fd*.

**Store floating-point double**

s.d fdest, address *pseudoinstruction*

**Store floating-point single**

s.s fdest, address *pseudoinstruction*

Store the floating-point double (single) in register *fdest* at *address*.

**Floating-point subtract double**

sub.d fd, fs, ft

0x11	0x11	ft	fs	fd	1
6	5	5	5	5	6

**Floating-point subtract single**

sub.s fd, fs, ft

0x11	0x10	ft	fs	fd	1
6	5	5	5	5	6

Compute the difference of the floating-point doubles (singles) in registers *fs* and *ft* and put it in register *fd*.

**Floating-point truncate to word**

trunc.w.d fd, fs

0x11	0x11	0	fs	fd	0xd
6	5	5	5	5	6

trunc.w.s fd, fs

0x11	0x10	0	fs	fd	0xd
------	------	---	----	----	-----

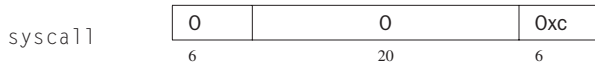
Truncate the floating-point double (single) value in register *fs*, convert to a 32-bit fixed-point value, and put the resulting word in register *fd*.

**Exception and Interrupt Instructions****Exception return**

eret

0x10	1	0	0x18
6	1	19	6

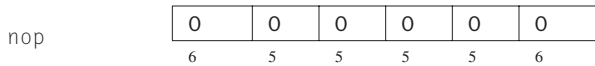
Set the EXL bit in coprocessor 0's Status register to 0 and return to the instruction pointed to by coprocessor 0's EPC register.

**System call**

Register \$v0 contains the number of the system call (see Figure A.9.1) provided by SPIM.

**Break**

Cause exception *code*. Exception 1 is reserved for the debugger.

**No operation**

Do nothing.

**A.11****Concluding Remarks**

Programming in assembly language requires a programmer to trade off helpful features of high-level languages—such as data structures, type checking, and control constructs—for complete control over the instructions that a computer executes. External constraints on some applications, such as response time or program size, require a programmer to pay close attention to every instruction. However, the cost of this level of attention is assembly language programs that are longer, more time-consuming to write, and more difficult to maintain than high-level language programs.

Moreover, three trends are reducing the need to write programs in assembly language. The first trend is toward the improvement of compilers. Modern compilers produce code that is typically comparable to the best handwritten code—and is sometimes better. The second trend is the introduction of new processors that are not only faster, but in the case of processors that execute multiple instructions simultaneously, also more difficult to program by hand. In addition, the



rapid evolution of the modern computer favors high-level language programs that are not tied to a single architecture. Finally, we witness a trend toward increasingly complex applications—characterized by complex graphic interfaces and many more features than their predecessors. Large applications are written by teams of programmers and require the modularity and semantic checking features provided by high-level languages.

## Reading Further

Aho, A., R. Sethi, and J. Ullman [1985]. *Compilers: Principles, Techniques, and Tools*, Reading, MA: Addison-Wesley.

*Slightly dated and lacking in coverage of modern architectures, but still the standard reference on compilers.*

Sweetman, D. [1999]. *See MIPS Run*, San Francisco CA: Morgan Kaufmann Publishers.

*A complete, detailed, and engaging introduction to the MIPS instruction set and assembly language programming on these machines.*

Detailed documentation on the MIPS32 architecture is available on the Web:

[MIPS32™ Architecture for Programmers Volume I: Introduction to the MIPS32 Architecture](http://mips.com/content/Documentation/MIPSDocumentation/ProcessorArchitecture/ArchitectureProgrammingPublicationsforMIPS32/MD00082-2B-MIPS32INT-AFP-02.00.pdf/getDownload) (<http://mips.com/content/Documentation/MIPSDocumentation/ProcessorArchitecture/ArchitectureProgrammingPublicationsforMIPS32/MD00082-2B-MIPS32INT-AFP-02.00.pdf/getDownload>)

[MIPS32™ Architecture for Programmers Volume II: The MIPS32 Instruction Set](http://mips.com/content/Documentation/MIPSDocumentation/ProcessorArchitecture/ArchitectureProgrammingPublicationsforMIPS32/MD00086-2B-MIPS32BIS-AFP-02.00.pdf/getDownload) (<http://mips.com/content/Documentation/MIPSDocumentation/ProcessorArchitecture/ArchitectureProgrammingPublicationsforMIPS32/MD00086-2B-MIPS32BIS-AFP-02.00.pdf/getDownload>)

[MIPS32™ Architecture for Programmers Volume III: The MIPS32 Privileged Resource Architecture](http://mips.com/content/Documentation/MIPSDocumentation/ProcessorArchitecture/ArchitectureProgrammingPublicationsforMIPS32/MD00090-2B-MIPS32PRA-AFP-02.00.pdf/getDownload) (<http://mips.com/content/Documentation/MIPSDocumentation/ProcessorArchitecture/ArchitectureProgrammingPublicationsforMIPS32/MD00090-2B-MIPS32PRA-AFP-02.00.pdf/getDownload>)

## A.12 Exercises

**A.1** [5] <§A.5> Section A.5 described how memory is partitioned on most MIPS systems. Propose another way of dividing memory that meets the same goals.

**A.2** [20] <§A.6> Rewrite the code for `fact` to use fewer instructions.

**A.3** [5] <§A.7> Is it ever safe for a user program to use registers `$k0` or `$k1`?

**A.4** [25] <§A.7> Section A.7 contains code for a very simple exception handler. One serious problem with this handler is that it disables interrupts for a long time.

This means that interrupts from a fast I/O device may be lost. Write a better exception handler that is interruptable and enables interrupts as quickly as possible.

**A.5** [15] <§A.7> The simple exception handler always jumps back to the instruction following the exception. This works fine unless the instruction that causes the exception is in the delay slot of a branch. In that case, the next instruction is the target of the branch. Write a better handler that uses the EPC register to determine which instruction should be executed after the exception.

**A.6** [5] <§A.9> Using SPIM, write and test an adding machine program that repeatedly reads in integers and adds them into a running sum. The program should stop when it gets an input that is 0, printing out the sum at that point. Use the SPIM system calls described on pages A-43 and A-45.

**A.7** [5] <§A.9> Using SPIM, write and test a program that reads in three integers and prints out the sum of the largest two of the three. Use the SPIM system calls described on pages A-43 and A-45. You can break ties arbitrarily.

**A.8** [5] <§A.9> Using SPIM, write and test a program that reads in a positive integer using the SPIM system calls. If the integer is not positive, the program should terminate with the message “Invalid Entry”; otherwise the program should print out the names of the digits of the integers, delimited by exactly one space. For example, if the user entered “728,” the output would be “Seven Two Eight.”

**A.9** [25] <§A.9> Write and test a MIPS assembly language program to compute and print the first 100 prime numbers. A number  $n$  is prime if no numbers except 1 and  $n$  divide it evenly. You should implement two routines:

- `test_prime (n)` Return 1 if  $n$  is prime and 0 if  $n$  is not prime.
- `main ()` Iterate over the integers, testing if each is prime. Print the first 100 numbers that are prime.

Test your programs by running them on SPIM.

**A.10** [10] <§§A.6, A.9> Using SPIM, write and test a recursive program for solving the classic mathematical recreation, the Towers of Hanoi puzzle. (This will require the use of stack frames to support recursion.) The puzzle consists of three pegs (1, 2, and 3) and  $n$  disks (the number  $n$  can vary; typical values might be in the range from 1 to 8). Disk 1 is smaller than disk 2, which is in turn smaller than disk 3, and so forth, with disk  $n$  being the largest. Initially, all the disks are on peg 1, starting with disk  $n$  on the bottom, disk  $n - 1$  on top of that, and so forth, up to disk 1 on the top. The goal is to move all the disks to peg 2. You may only move one disk at a time, that is, the top disk from any of the three pegs onto the top of

either of the other two pegs. Moreover, there is a constraint: You must not place a larger disk on top of a smaller disk.

The C program below can be used to help write your assembly language program.

```
/* move n smallest disks from start to finish using
extra */

void hanoi(int n, int start, int finish, int extra){
    if(n != 0){
        hanoi(n-1, start, extra, finish);
        print_string("Move disk");
        print_int(n);
        print_string("from peg");
        print_int(start);
        print_string("to peg");
        print_int(finish);
        print_string(".\n");
        hanoi(n-1, extra, finish, start);
    }
}

main(){
    int n;
    print_string("Enter number of disks>");
    n = read_int();
    hanoi(n, 1, 2, 3);
    return 0;
}
```

