

A language that is not r.e.

$$\neg HP = \{ (M, x) \mid M \text{ does not halt on } x \}$$

If $\neg HP$ were r.e., then HP would be recursive (since we know HP is r.e.).

But HP is not recursive (i.e., undecidable)

So $\neg HP$ is not r.e.

$$FIN = \{ M \mid L(M) \text{ is finite} \}$$

Neither FIN nor $\neg FIN$ is r.e.

→ FIN is not r.e.

$$\neg HP \leq_m FIN$$

$$(M, x) \mapsto N$$

N : on i/p y

- erases y
- simulates M on x
- accept if M halts

$$(M, x) \in \neg HP \Rightarrow L(N) = \emptyset \text{ finite} \Rightarrow N \in FIN$$

$$(M, x) \notin \neg HP \Rightarrow L(N) = \Sigma^* \text{ infinite} \Rightarrow N \notin FIN$$

Since $\neg HP$ is not r.e., neither is FIN .

→ $\neg \text{FIN}$ is not r.e.

$$\neg \text{HP} \leq_m \neg \text{FIN}$$

$$(M, x) \mapsto N$$

N : on i/p y

- saves y on a separate track

- simulate M on i/p x for $|y|$ steps

- accept if M does not halt (within $|y|$ steps)

$(M, x) \in \neg \text{HP}$ i.e., M does not halt on x

$\Rightarrow N$ will accept all strings $\Rightarrow L(N) = \Sigma^*$

$\Rightarrow N \in \neg \text{FIN}$

$(M, x) \notin \neg \text{HP}$ i.e., M halts on x , say, in n steps.

$\Rightarrow N$ will accept strings y with $|y| < n$

$\Rightarrow L(N) = \sum_{< n}^{\text{finite}} \Rightarrow N \notin \neg \text{FIN}$

$\neg \text{HP}$ is not r.e. $\Rightarrow \neg \text{FIN}$ is not r.e.

RICE'S THEOREM

✓ Problems about r.e. sets (an r.e. set is finitely described by a TM)

Given TM M

→ Is $L(M) = \Sigma^*$? *monotone*

→ Is $L(M) = \emptyset$? *non-monotone*

→ Is $L(M)$ regular? *non-monotone*

→ Is $L(M)$ a CFL? *non-monotone*

→ Does $L(M)$ contain string y ? *monotone*

} not r.e.

✗ Problems about TMs

Give TM M

→ Does M have at least 100 states?

→ Is M equivalent to a smaller TM?

Property

$P: \{\text{r.e. subsets of } \Sigma^*\} \rightarrow \{T, F\}$

$[F \leq T]$

P is non-trivial if it is neither
universally true nor universally false

P is monotone if $A \subseteq B \Rightarrow P(A) \leq P(B)$
 $\forall A, B.$

P is non-monotone if it is not monotone.
($\exists A, B$ s.t. $A \subseteq B$ but $P(A) = T$ & $P(B) = F$)

Rice's Theorem Part I: Every non-trivial property of r.e. sets is undecidable

Proof: Assume w.l.g. that $P(\emptyset) = F$.

Let A be an r.e. set with $P(A) = T$.

Let K be a TM accepting A .

$$T_P = \{ M \mid P(L(M)) = T \}$$

P is undecidable $\Leftrightarrow T_P$ is not recursive.

$$HP \leq_m T_P$$

$$(M, x) \mapsto N$$

$$(M, x) \in HP \Rightarrow L(N) = A \text{ i.e., } N \in T_P$$

$$(M, x) \notin HP \Rightarrow L(N) = \emptyset \text{ i.e., } N \notin T_P$$

$\therefore T_P$ (or P) is undecidable

N : on i/p y

- save y on a separate track
- run M on x
- if M halts, run K on i/p y
- accept if K accepts