### Foundations of Algorithm Design and Machine Learning - Graph Theory

## [24BM6JP21]

## Week 04 Summary

## Summary of Graph Algorithms and BFS/DFS

#### 1. Introduction to Graph Theory

Graph theory is fundamental in computer science and mathematics. A **graph** is a structure comprising:

- Vertices (Nodes): Represent entities (e.g., cities, users in a social network).
- Edges: Represent connections between nodes, which can be directed or undirected.

Mathematically, a graph is represented as **G** = (V, E), where:

- V: Set of vertices (nodes).
- E: Set of edges representing relationships between vertices.

#### 2. Graph Representation

Graphs can be represented in multiple ways:

#### Adjacency Matrix

- Uses a 2D array to indicate connections between nodes.
- Time Complexity:
  - Space Complexity:  $O(V^2)$
  - Edge lookup: O(1)
  - Iterating over all edges: O(V<sup>2</sup>)
- Suitable for dense graphs.

#### **Adjacency List**

- Maintains a list for each vertex with its neighbors.
- Time Complexity:
  - Space Complexity: O(V+E)
  - Edge lookup: O(V)
  - Iterating over all edges: O(V+E)
- Suitable for sparse graphs.

#### 3. Depth-First Search (DFS)

DFS explores a graph deeply, visiting each branch before backtracking. It is used for:

- Cycle Detection
- Topological Sorting
- Finding Connected Components

#### DFS Algorithm Steps (Pseudocode give in weekly slides):

- 1. Start at the initial node and mark it as visited.
- 2. Recursively explore each unvisited neighbor.
- 3. Backtrack when no unvisited neighbors are left.
- 4. Repeat until all nodes are visited.

#### **DFS Time Complexity:**

- O(V+E) for adjacency list
- O(V<sup>2</sup>) for adjacency matrix

#### Applications of DFS:

- Solving Constraint Satisfaction Problems (CSPs) like crosswords and Sudoku.
- Detecting cycles in scheduling problems.
- Finding shortest paths in board games like Snakes and Ladders.

#### 4. Breadth-First Search (BFS)

BFS explores a graph level by level, using a **queue** to keep track of nodes.

#### BFS Steps (Pseudocode give in weekly slides):

- 1. Enqueue the starting node and mark it as visited.
- 2. Dequeue the front node and explore its neighbors.
- 3. Enqueue each unvisited neighbor.
- 4. Repeat until the queue is empty.

#### **BFS Time Complexity:**

- O(V+E) for adjacency list
- O(V<sup>2</sup>) for adjacency matrix

#### Applications of BFS:

- Finding the shortest path in unweighted graphs.
- Solving network connectivity problems.



In graph theory, graphs can either have cycles (cyclic) or be free of cycles (acyclic). When dealing with **directed graphs**, understanding the distinction between cyclic and acyclic graphs is crucial for applications like task scheduling, dependency resolution, and shortest path computation.

### **Directed Graphs:**

A **directed graph** (also called a digraph) is a graph where each edge has a direction, indicating a one-way relationship between nodes.

Examples:

- Webpages linking to each other  $(A \rightarrow B \text{ means } A \text{ links to } B)$
- Prerequisite tasks in a project (Task A must be completed before Task B)



Fig. 8.7. An example of breadth-first search traversal of an undirected graph.

#### 1. Directed Cyclic Graphs:

A cyclic graph contains one or more cycles.

A **cycle** is a path in which you can start at a node, follow directed edges, and return to the same node.

#### Example:

Consider nodes  $\mathbf{A} \rightarrow \mathbf{B} \rightarrow \mathbf{C} \rightarrow \mathbf{A}$ . This forms a cycle, as starting at node A leads back to A.

#### Applications of Cycle Detection:

- Detecting **deadlocks** in operating systems
- Circular dependencies in software packages or databases

#### Cycle Detection with DFS:

• A **backward edge** in a DFS traversal indicates a cycle.

#### 2. Directed Acyclic Graph (DAG):

A **DAG** is a directed graph without any cycles.

This type of graph is widely used in many fields because it represents dependencies and processes that must be done in a specific order.

#### Example:

- **Task scheduling:** A DAG can represent tasks in a project where certain tasks depend on the completion of others.
- **Course prerequisites:** Each course depends on completing earlier courses, forming a dependency structure.
- **Data processing pipelines:** Stages of data transformations must follow a specific sequence.

#### Topological Sorting in DAGs:

A **topological order** is a linear ordering of nodes such that for every directed edge u→v, node u comes before v. This ensures tasks or operations are processed in the correct sequence. **How to Find Topological Order:** 

- Use **DFS** to assign exit times.
- The **reverse order of exit times** gives the topological order.

Applications of DAGs:

- Task Scheduling: Ensures tasks are executed in the correct sequence.
- **Expression Trees:** DAGs represent mathematical expressions in compilers.
- Version Control: DAGs track changes and dependencies between commits.
- Shortest Path Algorithms: More efficient for DAGs than general graphs.

#### Conclusion

Understanding graph theory concepts, DFS, BFS, and their applications is essential for solving complex real-world problems, such as scheduling, shortest path computation, and constraint satisfaction.

# Shortest Path in a DAG Algorithm

#### 1. Directed Weighted Acyclic Graphs (DAGs)

Directed Weighted Acyclic Graphs are used to solve the shortest path problem more efficiently than general graphs by utilizing **topological sorting** and **edge relaxation**.

#### Shortest Path in a DAG Algorithm

The algorithm finds the shortest path from a source node to a destination node using a **priority queue (min-heap)**.

#### 1. Initialization:

- Create a distance array dist[] initialized to ∞ for all nodes except the source node (dist[source] = 0).
- Maintain a queue and enqueue the source node with distance 0.

#### 2. Node Processing:

• Extract the node with the smallest distance from the queue.

• Relax all outgoing edges—update the distance for adjacent nodes if a shorter path is found and reinsert them into the queue.

Time Complexity: O(V+E) where V is the number of vertices and E is the number of edges.

#### 2. Recursive Formulation of Dijkstra's Shortest Path

This formulation computes the shortest path by recursively exploring all successors and selecting the one with the smallest cost.

#### 1. Base Cases:

- If s=g, the shortest path from a node to itself is 0.
- If G=NULL, the goal node is unreachable (path cost =  $\infty$ ).

#### 2. Recursive Case:

- $_{\odot}$   $\,$  For each successor m of s, calculate the cost of traveling from s to m.
- $\circ$   $\;$  Recursively compute the shortest path from m to g and select the minimum cost.

### 3. Spanning Trees and Minimum Spanning Trees (MSTs)

A **spanning tree** of a graph connects all vertices without forming cycles. The goal of an **MST** is to find the tree with the minimum total edge weight.

#### Applications of MSTs:

- Network design (e.g., minimizing cable length in a communication network).
- Circuit design.
- Traveling Salesman Problem (sub-problem).

#### **MST Algorithms:**

- 1. Prim's Algorithm:
  - Greedily adds the minimum-weight edge connecting a vertex inside the tree to a vertex outside, ensuring no cycles are formed.
    Time Complexity: O(ElogV).

#### 2. Kruskal's Algorithm:

Sorts all edges by weight and adds the smallest edges to the MST while avoiding cycles using the union-find data structure.
 Time Complexity: O(ElogV).

#### Conclusion

Understanding shortest path algorithms, recursive formulations, and minimum spanning trees is essential for optimizing network designs and solving real-world optimization problems. Techniques like Dijkstra's algorithm and MSTs have practical applications in routing, scheduling, and network optimization.

# Shortest Path in DAG Using DFS and BFS

#### 1. Shortest Path in Directed Weighted Acyclic Graph (DAG) using DFS with Memoization

In this approach, **Depth-First Search (DFS)** is used with **memoization** to optimize the shortest path calculation in a Directed Acyclic Graph (DAG).

Memoization helps store previously computed results to avoid redundant calculations, making it efficient compared to general graph algorithms like Dijkstra or Bellman-Ford.

#### **Algorithm Steps:**

- 1. Start at the source node.
- 2. Recursively explore all paths to the destination node.
- 3. Track and update the shortest cost for each node.
- 4. Use memoization to avoid recalculating costs for already visited nodes.

5. **Time Complexity:** O(V+E) — each node and edge is processed once.



#### Example:

Shortest path from node 1 to node 8:

- **Path:**  $1 \rightarrow 2 \rightarrow 4 \rightarrow 7 \rightarrow 8$
- Total Cost: 9

#### 2. Shortest Path in DAG using Best-First Search (BFS)

Best-First Search (BFS) is another approach to finding the shortest path in a DAG. This method uses a **priority queue (min-heap)** to always expand the node with the current lowest cost, ensuring an optimal solution.

#### Algorithm Steps:

- 1. Initialization: Create a distance array (dist[]) initialized to ∞∞ and set the source node distance to 0. Use a priority queue to manage nodes.
- 2. Processing Nodes:
  - Extract the node with the smallest distance from the queue.
  - Relax all its outgoing edges.
  - o If a shorter path is found, update the distance and reinsert it into the priority queue.
- 3. **Termination:** When all nodes are processed, return the shortest path cost to the destination.

#### Example:

- **Path:**  $1 \rightarrow 2 \rightarrow 4 \rightarrow 7 \rightarrow 8$
- Total Cost: 9