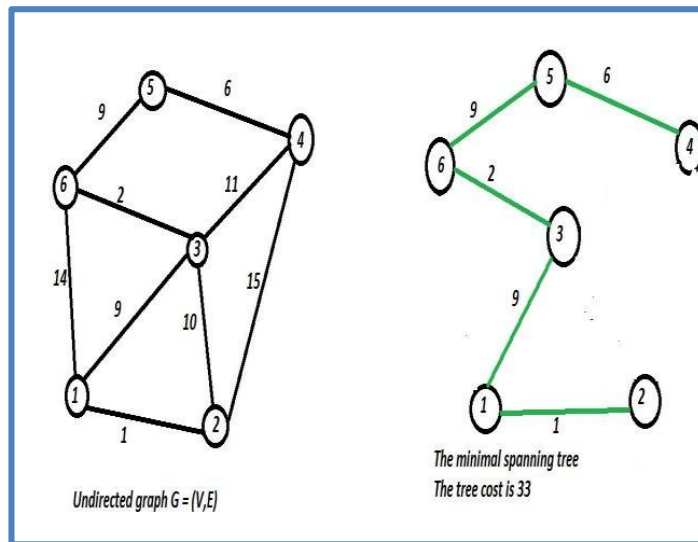


# MINIMUM SPANNING TREES



**Aritra Hazra & Partha P Chakrabarti**  
Indian Institute of Technology Kharagpur

# Spanning Tree of an Undirected Graph

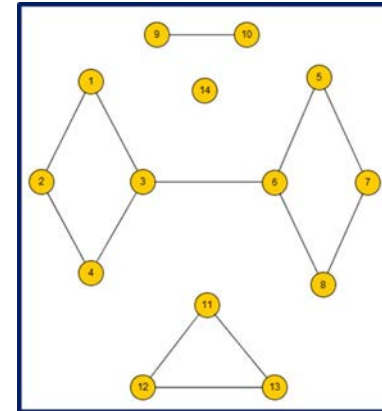
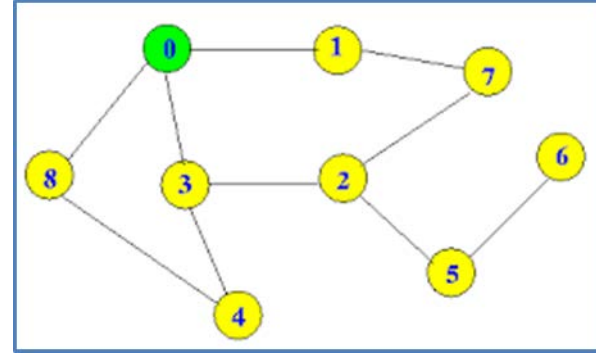
Given a connected Undirected Graph  $G = (V, E)$ , a **Spanning Tree** is a connected sub-Tree of  $G$  covering every node of  $G$ .

Each Spanning Tree  $T = (V, E')$  consists of all the  $V$  vertices of  $G$  and  $E'$  is a subset of  $E$  such that  $G$  remains connected by the edges of  $T$ . Thus  $E'$  will have  $|V| - 1$  edges.

Tree Edges of a basic DFS or BFS Traversal will generate a Spanning Tree.

A Graph  $G$  may have many Spanning Trees.

If  $G = (V, E)$  is a graph of multiple separate connected components, then we have a Spanning Tree for every connected component and together it is called a **Spanning Forest**.



# DFS based Spanning Tree Algorithm

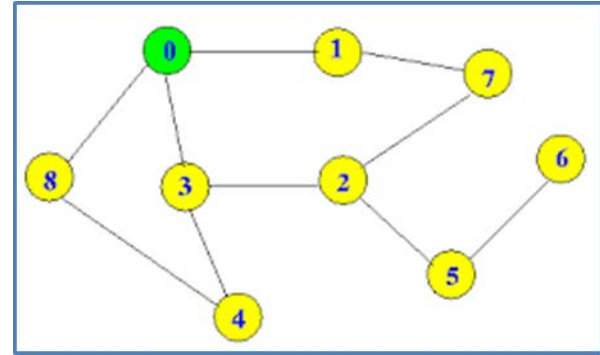
Global Data:  $G = (V, E)$

$visited[i]$  indicates if node  $i$  is visited. / initially 0 /  
 $Parent[i]$  = parent of a node in the Search / initially NULL /

$Tree[i,j]$  indicates if the edge is a tree edge or not /initially all 0/

$succ(i) = \{\text{set of nodes to which node } i \text{ is connected}\}$

```
Dfs(node) {  
    visited[node] = 1;  
    for each j in succ(node) do {  
        if (visited[j] == 0) { Parent[j] = node;  
                                Tree[node,j] = 1;  
                                Dfs(j) }  
    }  
}
```



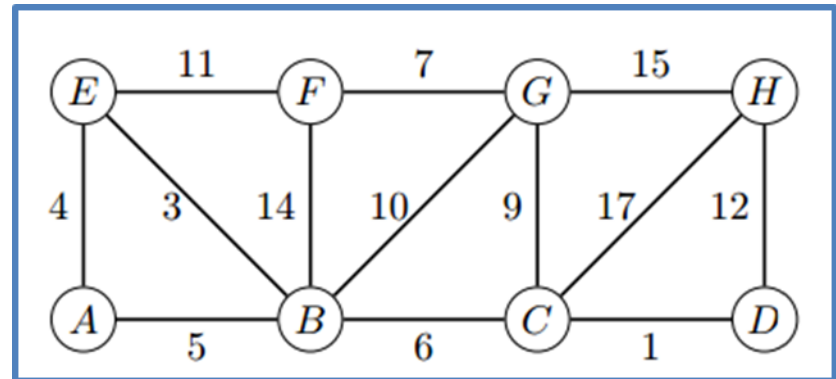
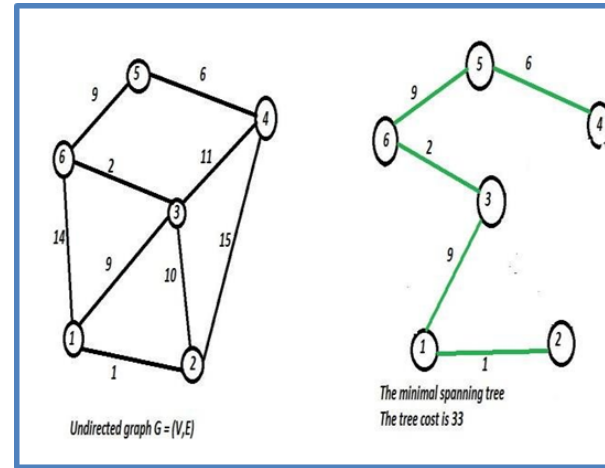
# Minimum Spanning Tree of a Weighted Undirected Graph

Given a connected Weighted Undirected Graph  $G = (V, E)$ , a **Minimum Spanning Tree (MST)** is a Spanning Tree of  $G$  of Minimum Cost.

There may be multiple MSTs in a graph. However, **if each edge of  $G$  has a distinct weight, then the MST is UNIQUE**

## Applications:

- Design of various kinds of Networks (Circuits, Telecom, Transport, Water Supply, Power Grids, etc)
- Geometric / Vision / Image Processing Problems and Analysis
- Approximations of Complex Problems



# Algorithm for MST: Step 1 (Initial Recursive Definition)

```
MST(G1, G2, T) {
```

```
  If (G2 == {}) return <T, 0>
```

```
  For each edge  $e = (n, m)$  from G1 to G2, recursively  
  find the minimum cost Tree (cost_a) using the  
  remaining part of G2 and the corresponding T' on  
  selection of the edge e as a part of the MST
```

```
  { G1a = G1 + {m};
```

```
    G2a = G2 - {m}
```

```
    Ta = T + {e}
```

```
    <T', cost'> = MST(G1a, G2a, Ta)
```

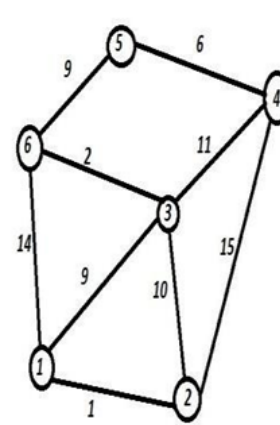
```
    cost_a = C[n, m] + cost'
```

```
  }
```

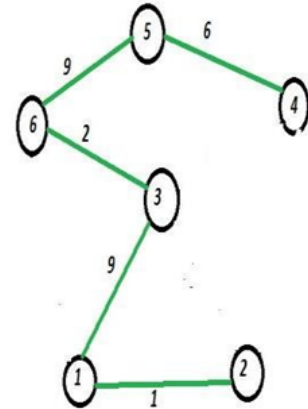
```
  Let <T_min, Cost_min> be the minimum cost_a and  
  corresponding T' found across all edges e
```

```
  Return <T_min, Cost_Min>
```

```
}
```



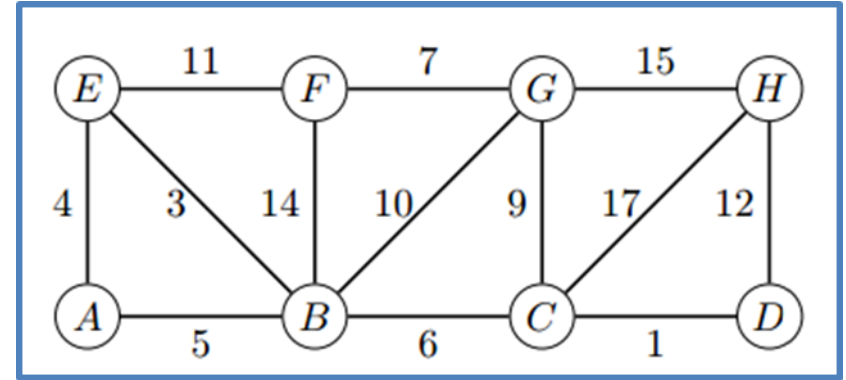
Undirected graph  $G = (V, E)$



The minimal spanning tree  
The tree cost is 33

# Algorithm for MST: Step 1A (Initial Recursive Definition)

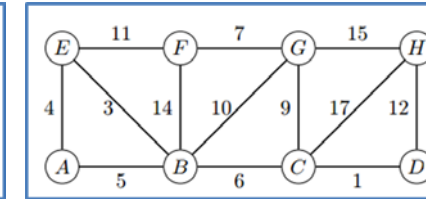
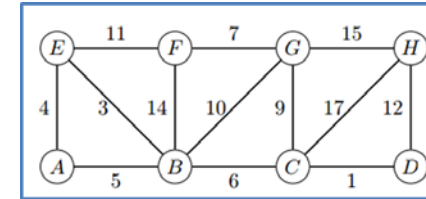
```
MST(G1, G2, T) {  
  If (G2 == {}) return <T, 0>  
  For each edge e = (n, m) from G1 to G2, recursively  
  find the minimum cost Tree (cost_a) using the  
  remaining part of G2 and the corresponding T' on  
  selection of the edge e as a part of the MST  
  {  
    G1a = G1 + {m};  
    G2a = G2 - {m}  
    Ta = T + {e}  
    <T', cost'> = MST(G1a, G2a, Ta)  
    cost_a = C[n, m] + cost'  
  }  
  Let <T_min, Cost_min> be the minimum cost_a and  
  corresponding T' found across all edges e  
  Return <T_min, Cost_Min>  
}
```



```

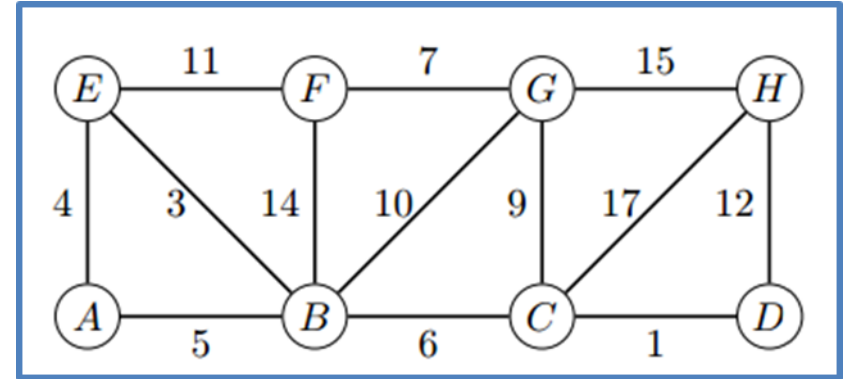
MST(G1, G2, T) {
  If (G2 == {}) return <T, 0>
  For each edge e = (n, m) from G1 to G2, recursively
  find the minimum cost Tree (cost_a) using the
  remaining part of G2 and the corresponding T' on
  selection of the edge e as a part of the MST
  {
    G1a = G1 + {m};
    G2a = G2 - {m}
    Ta = T + {e}
    <T', cost'> = MST(G1a, G2a, Ta)
    cost_a = C[n, m] + cost'
  }
  Let <T_min, Cost_min> be the minimum cost_a and
  corresponding T' found across all edges e
  Return <T_min, Cost_Min>
}

```



# Algorithm for MST: Step 2 (Analyzing the Properties)

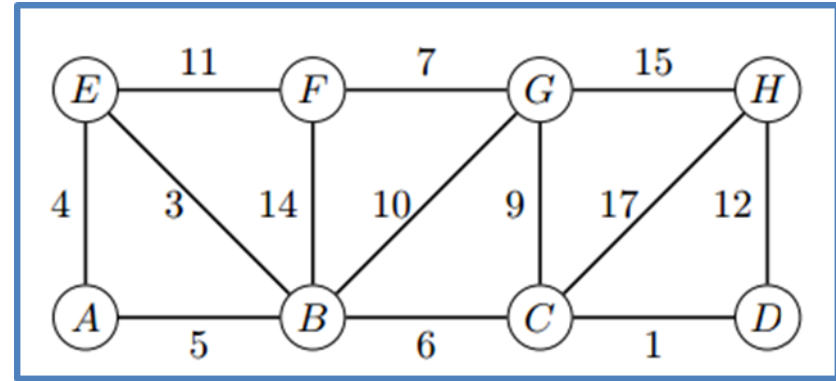
```
MST(G1, G2, T) {  
  If (G2 == {}) return <T, 0>  
  For each edge e = (n, m) from G1 to G2, recursively  
  find the minimum cost Tree (cost_a) using the  
  remaining part of G2 and the corresponding T' on  
  selection of the edge e as a part of the MST  
  {  
    G1a = G1 + {m};  
    G2a = G2 - {m}  
    Ta = T + {e}  
    <T', cost'> = MST(G1a, G2a, Ta)  
    cost_a = C[n, m] + cost'  
  }  
  Let <T_min, Cost_min> be the minimum cost_a and  
  corresponding T' found across all edges e  
  Return <T_min, Cost_Min>  
}
```





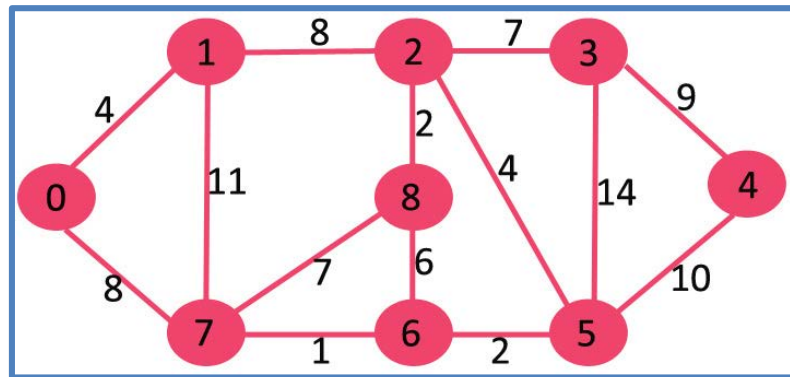
# Algorithm for MST: Step 3 (Making a Greedy Choice)

```
MST_Greedy (G1, G2, T) {  
  If (G2 == {}) return <T, 0>  
  From all edges  $e = (n, m)$  from G1 to G2 do and  
  find the minimum cost edge  $e' = (n', m')$  from  
  G1 to G2 and do the following:  
  {  
    G1a = G1 + {m'};  
    G2a = G2 - {m'}  
    Ta = T + {e'}  
    <T', cost'> = MST_Greedy (G1a, G2a, Ta)  
    cost_a = C[n, m] + cost'  
  }  
  Return <T', cost_a>  
}
```



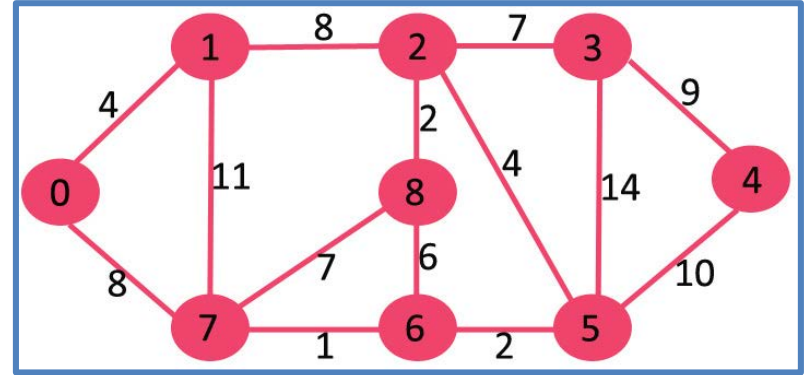
## Algorithm for MST: Step 3 (Example)

```
MST_Greedy (G1, G2,T) {  
  If (G2 =={}) return <T,0>  
  From all edges  $e = (n,m)$  from G1 to G2 do and  
  find the minimum cost edge  $e' = (n',m')$  from  
  G1 to G2 and do the following:  
    { G1a = G1 + {m'};  
      G2a = G2 - {m'}  
      Ta = T + {e'}  
      <T',cost'> = MST_Greedy (G1a, G2a,Ta)  
      cost_a = C[n,m] + cost'  
    }  
  Return <T', cost_a>  
}
```



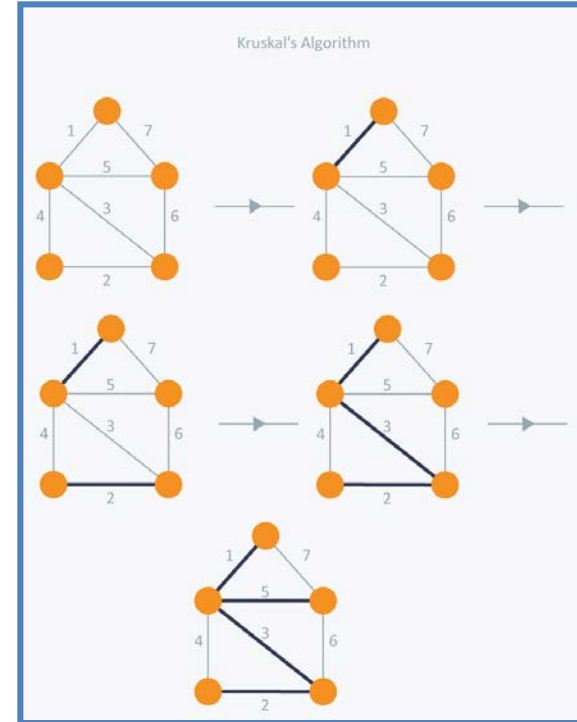
## Algorithm for MST: Step 3 (Analysis)

```
MST_Greedy (G1, G2, T) {  
  If (G2 == {}) return <T, 0>  
  From all edges  $e = (n, m)$  from G1 to G2 do and  
  find the minimum cost edge  $e' = (n', m')$  from  
  G1 to G2 and do the following:  
    { G1a = G1 + {m'};  
      G2a = G2 - {m'}  
      Ta = T + {e'}  
      <T', cost'> = MST_Greedy (G1a, G2a, Ta)  
      cost_a = C[n, m] + cost'  
    }  
  Return <T', cost_a>  
}
```



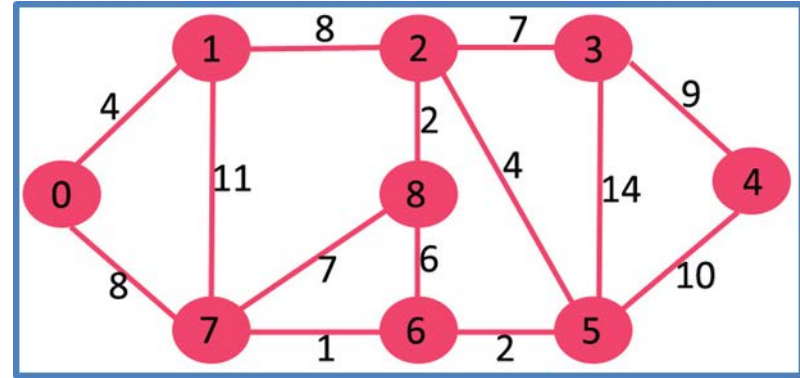
# Kruskal's Algorithm for MST

```
Kruskal (V,E) {  
  Sort the edges in E in increasing cost;  
  VT = {}; ET = {}; cost = 0;  
  While E is not empty or VT = V do {  
    Choose the next minimum cost edge e =  
    (n,m) in E;  
    E = E - {e}  
    If adding n, m in VT and e in ET makes a  
    cycle, discard e, else {  
      VT = VT + {n} + {m}  
      ET = ET + { e}  
      cost = cost + C[n,m] }  
  }  
  Return <GT = (VT, ET), cost>  
}
```



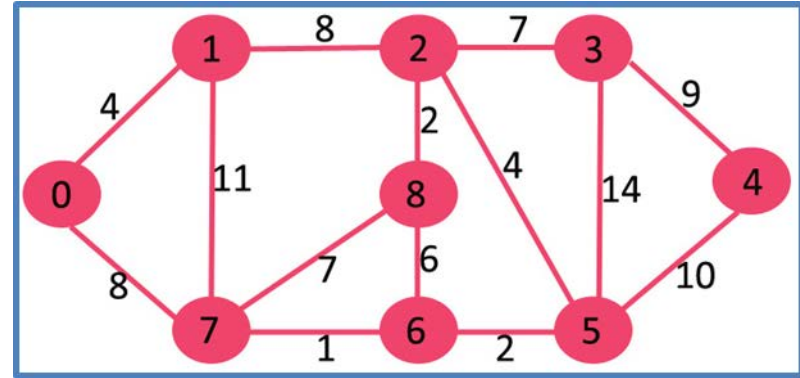
# Kruskal's Algorithm for MST (Example)

```
Kruskal (V,E) {  
  Sort the edges in E in increasing cost;  
  VT = {}; ET = {}; cost = 0;  
  While E is not empty or VT = V do {  
    Choose the next minimum cost edge e =  
    (n,m) in E;  
    E = E - {e}  
    If adding n, m in VT and e in ET makes a  
    cycle, discard e, else {  
      VT = VT + {n} + {m}  
      ET = ET + { e}  
      cost = cost + C[n,m] }  
    }  
  Return <GT = (VT, ET), cost>  
}
```



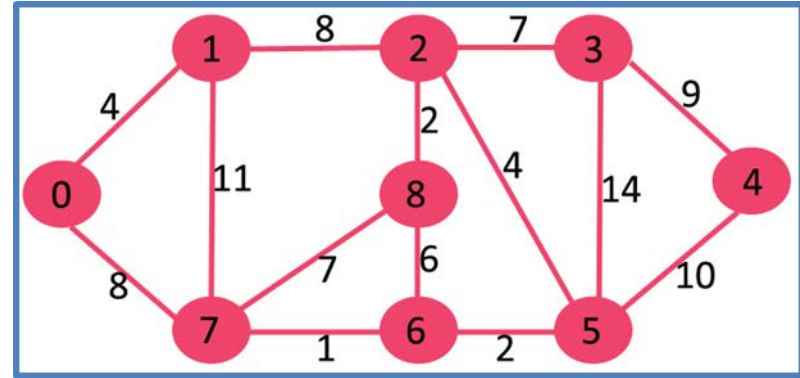
# Kruskal's Algorithm for MST (Analysis)

```
Kruskal (V,E) {  
  Sort the edges in E in increasing cost;  
  VT = {}; ET = {}; cost = 0;  
  While E is not empty or VT = V do {  
    Choose the next minimum cost edge e =  
    (n,m) in E;  
    E = E - {e}  
    If adding n, m in VT and e in ET makes a  
    cycle, discard e, else {  
      VT = VT + {n} + {m}  
      ET = ET + { e}  
      cost = cost + C[n,m] }  
    }  
  Return <GT = (VT, ET), cost>  
}
```



# Kruskal's Algorithm (using Disjoint UNION-FIND)

```
algorithm Kruskal_UF ( $G = (V, E)$ ) {  
   $A = \{\}$ ;  
  for each  $v$  in  $V$  do MAKE-SET( $v$ );  
  for each edge  $e = (u, v)$  in  $E$  ordered by  
  increasing weight( $u, v$ ) do  
    {  
      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ ) then  
        {  
           $A = A + \{(u, v)\}$   
          UNION(FIND-SET( $u$ ), FIND-SET( $v$ ))  
        }  
    }  
  return  $A$   
}
```



Thank you