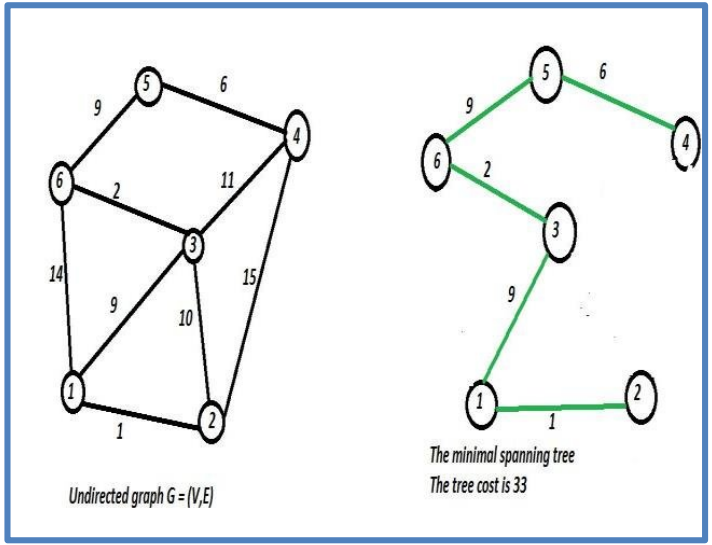
MINIMUM SPANNING TREES



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Spanning Tree of an Undirected Graph

Given a connected Undirected Graph G = (V, E), a Spanning Tree is a connected sub-Tree of G covering every node of G.

Each Spanning Tree T=(V, E') consists of all the V vertices of G and E' is a subset of E such that G remains connected by the edges of T. Thus E' will have |V| - 1 edges.

Tree Edges of a basic DFS or BFS Traversal will generate a Spanning Tree.

A Graph G may have many Spanning Trees.

If G = (V,E) is a graph of multiple separate connected components, then we have a Spanning Tree for every connected component and together it is called a Spanning Forest.

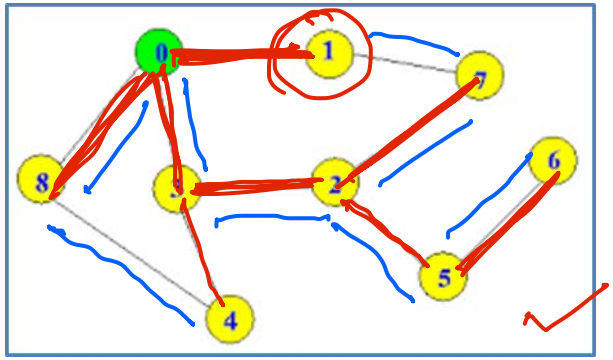


FIG1

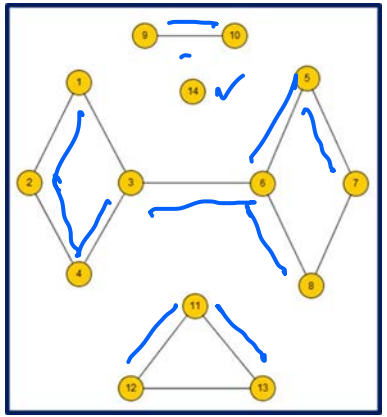
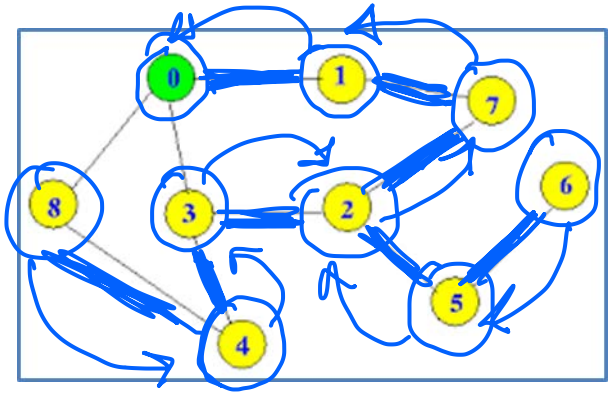


FIG2

DFS based Spanning Tree Algorithm

```
Global Data: G = (V,E)
visited [i] indicates if node i is visited. / initially 0 /
Parent[i] = parent of a node in the Search / initially NULL /
Tree[i,j] indicates if the edge is a tree edge or not /initially all 0/
succ(i) = {set of nodes to which node i is connected}
Dfs(node) {
   visited[node] = 1;
   for each j in succ(node) do {
      if (visited [j] ==0) { Parent[j] = node;
                             Tree[node,j] = 1;
                             Dfs(j) }
   }
}
```



Minimum Spanning Tree of a Weighted Undirected Graph

Given a connected Weighted Undirected Graph G = (V, E), a Minimum Spanning Tree (MST) is a Spanning Tree of G of Minimum Cost. cost = Sum of edges of the Tree

There may be multiple MSTs in a graph. However, if each edge of G has a distinct weight, then the MST is UNIQUE

Applications:

- Design of various kinds of Networks (Circuits, Telecom, Transport, Water Supply, Power Grids, etc)
- Geometric / Vision / Image Processing Problems and Analysis
- Approximations of Complex Problems

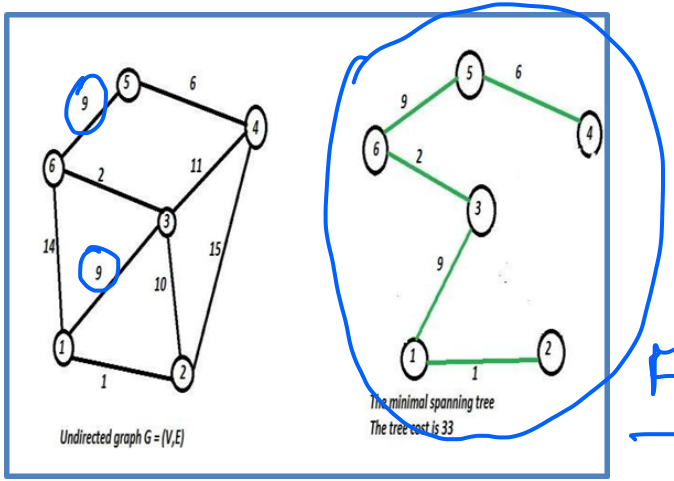


Fig 1

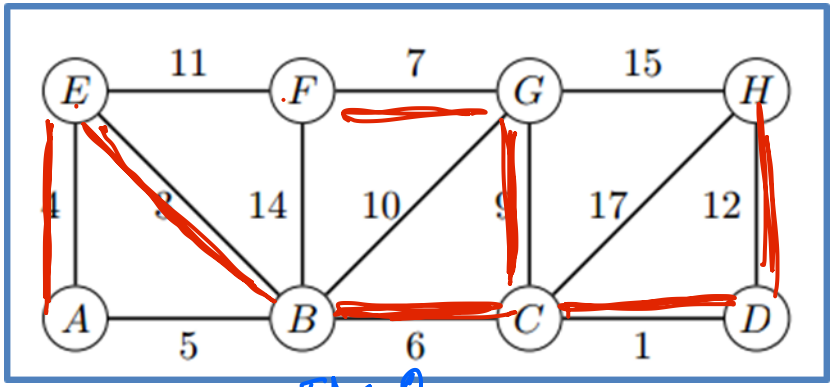


Fig 2

Algorithm for MST: Step 1 (Initial Recursive Definition)

MST(G1, G2,T) { → T as the MST of G1

If (G2 ={}) return <T,0> BASE

For each edge e = (n,m) from G1 to G2, recursively find the minimum cost Tree (cost_a) using the remaining part of G2 and the corresponding T' on selection of the edge e as a part of the MST

{ G1a = G1 + {m};

G2a = G2 – {m}

Ta = T + {e}

<T', cost'> = MST(G1a, G2a,Ta)

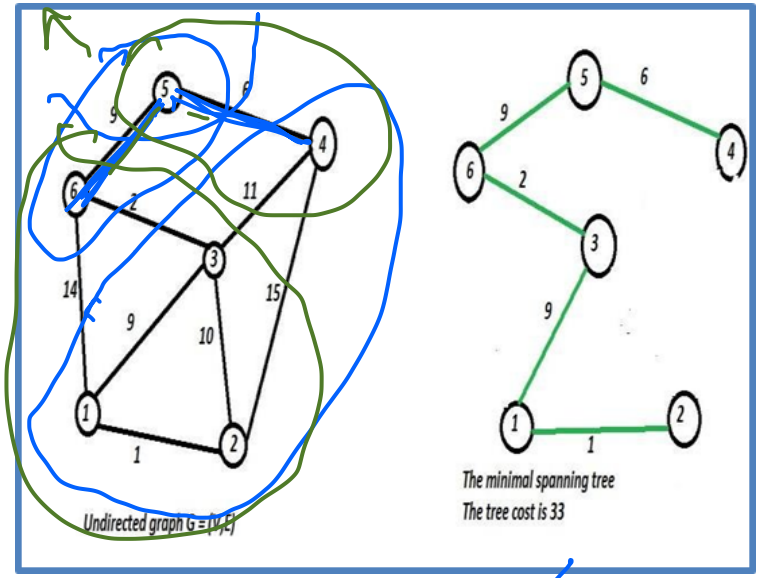
cost_a = C[n,m] + cost'

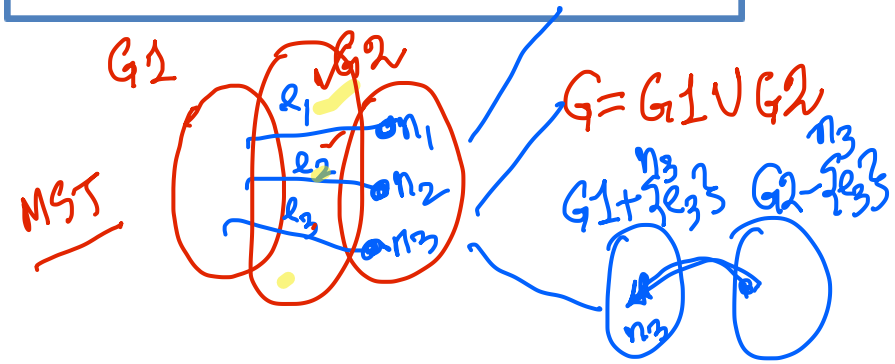
}

Let <T_min, Cost_min> be the minimum cost_a and corresponding T' found across all edges e

Return <T_min, Cost_Min>

}





Algorithm for MST: Step 1A (Initial Recursive Definition)

MST(G1, G2,T) {

If (G2 ={}) return <T,0>

For each edge e = (n,m) from G1 to G2, recursively find the minimum cost Tree (cost_a) using the remaining part of G2 and the corresponding T' on selection of the edge e as a part of the MST

{ G1a = G1 + {m};

G2a = G2 – {m}

Ta = T + {e}

<T', cost'> = MST(G1a, G2a,Ta)

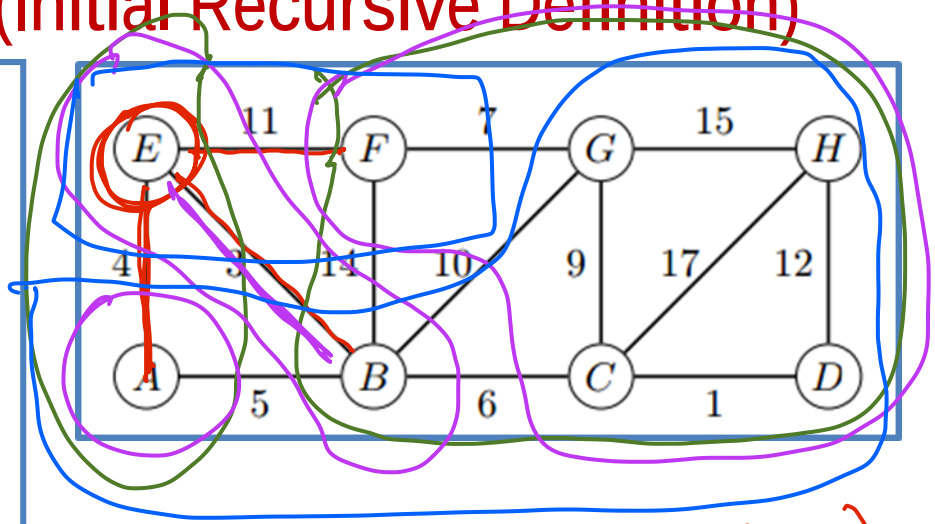
cost_a = C[n,m] + cost'

}

Let <T_min, Cost_min> be the minimum cost_a and corresponding T' found across all edges e

Return <T_min, Cost_Min>

}



MST ({E}, G−{E}{(E)})

Proof by induction

Algorithm for MST: Step 1B (Initial Recursive Definition)

MST(G1, G2,T) {

If (G2 ={}) return <T,0>

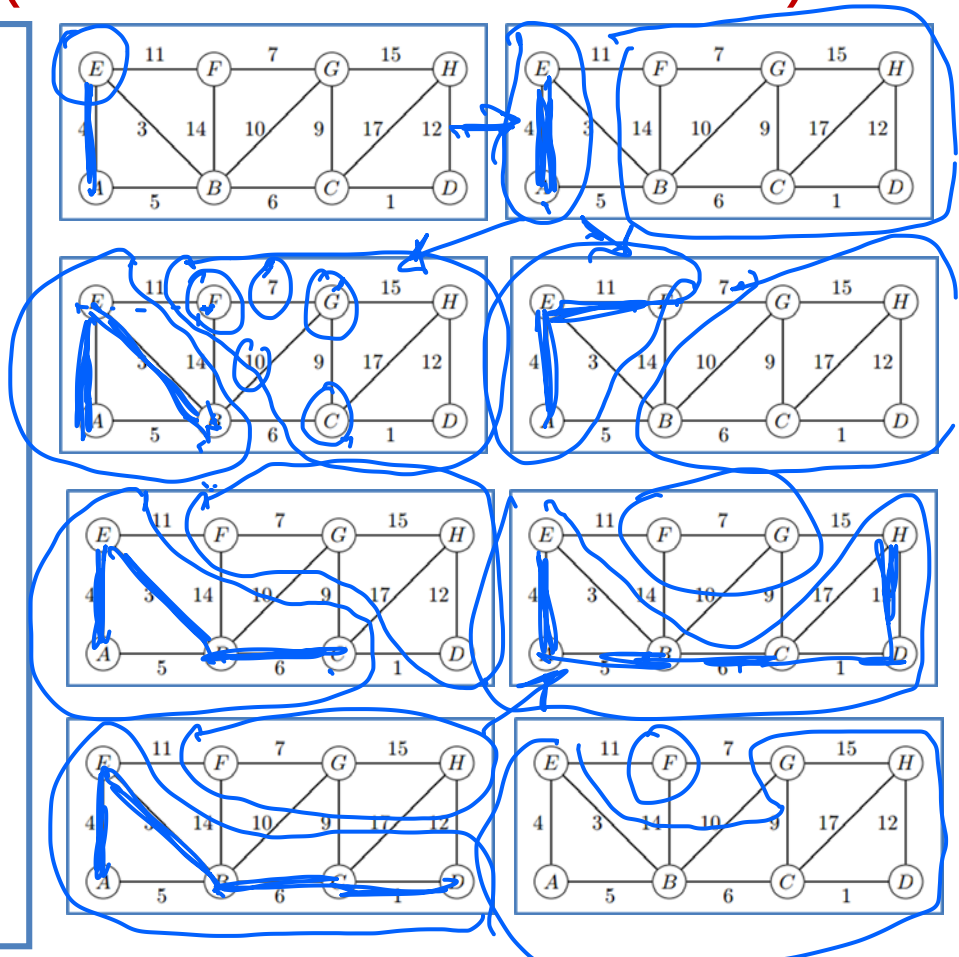
For each edge e = (n,m) from G1 to G2, recursively find the minimum cost Tree (cost_a) using the remaining part of G2 and the corresponding T' on selection of the edge e as a part of the MST

```
{   G1a = G1 + {m};
    G2a = G2 – {m}
    Ta = T + {e}
    <T', cost'> = MST(G1a, G2a,Ta)
    cost_a =  C[n,m] + cost'
}
```

Let <T_min, Cost_min> be the minimum cost_a and corresponding T' found across all edges e

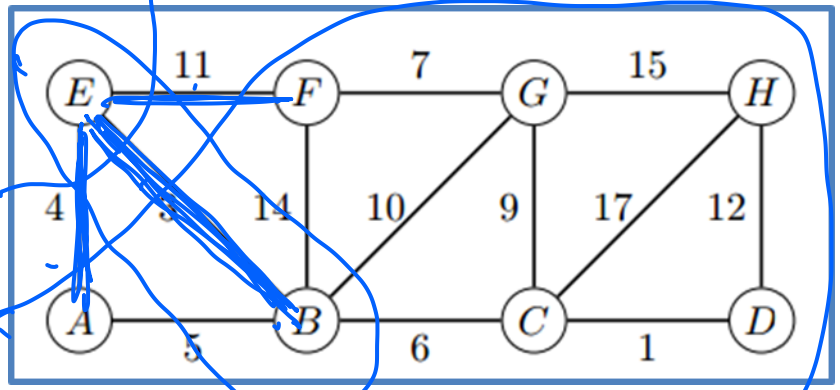
Return <T_min, Cost_Min>

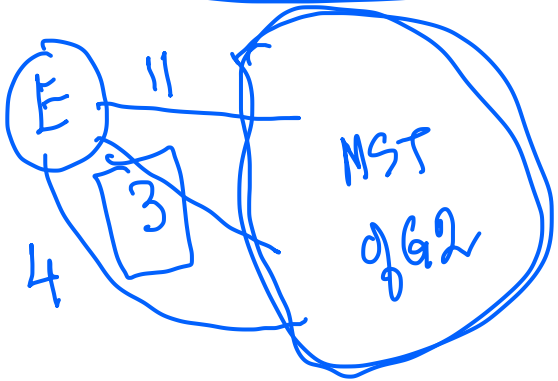
}



Algorithm for MST: Step 2 (Analyzing the Properties)

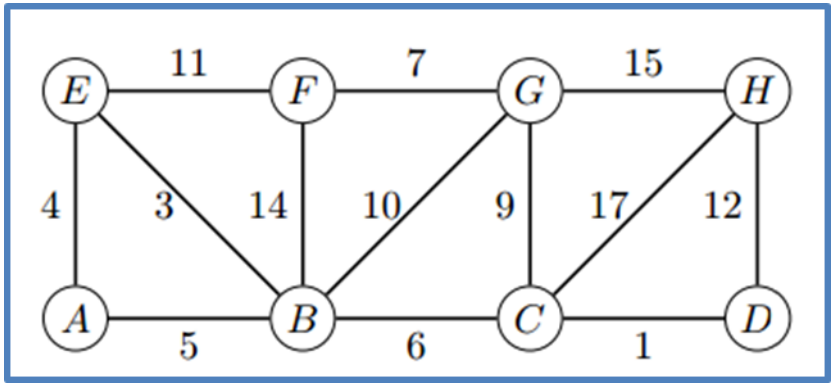
```
MST(G1, G2,T) {
If (G2 ==={}) return <T,0>
For each edge e = (n,m) from G1 to G2, recursively
find the minimum cost Tree (cost_a) using the
remaining part of G2 and the corresponding T' on
selection of the edge e as a part of the MST
  {  G1a = G1 + {m};
     G2a = G2 – {m}
     Ta = T + {e}
     <T', cost'> = MST(G1a, G2a,Ta)
     cost_a =  C[n,m] + cost'
  }
Let <T_min, Cost_min> be the minimum cost_a and
corresponding T' found across all edges e
Return <T_min, Cost_Min>
}
```

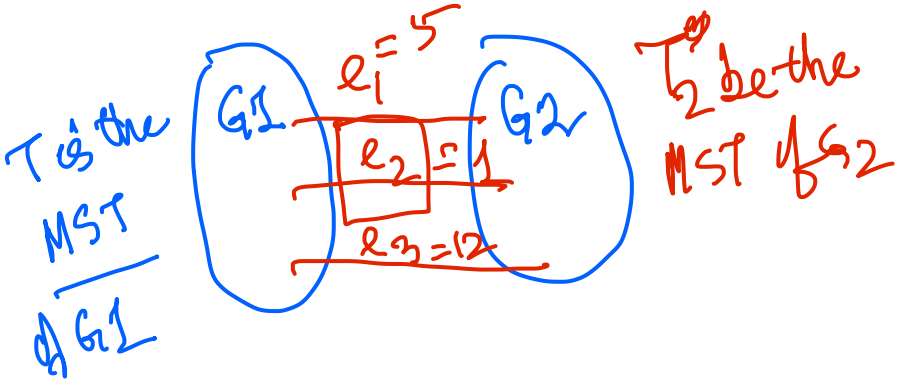




Algorithm for MST: Step 3 (Making a Greedy Choice)

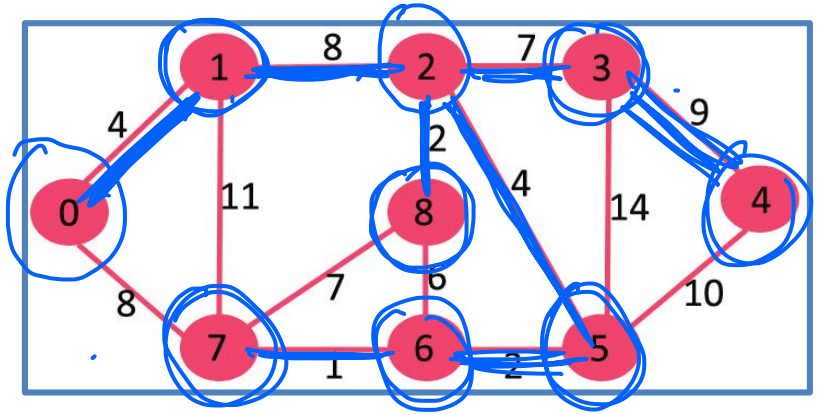
```
MST_Greedy (G1, G2, T) {
If (G2 ={}) return <T,0>
From all edges e = (n,m) from G1 to G2 do and
find the minimum cost edge e' = (n',m') from
G1 to G2 and do the following:
  {  G1a = G1 + {m'};
     G2a = G2 – {m'}
     Ta = T + {e'}
     <T',cost'> = MST_Greedy (G1a, G2a,Ta)
     cost_a =  C[n,m] + cost'
  }
Return <T', cost_a>
}
```

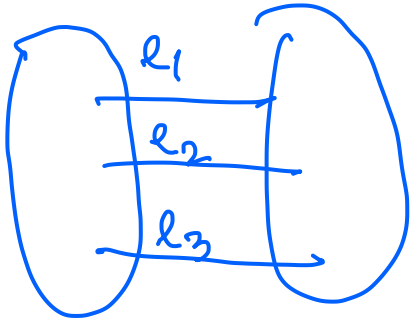




Algorithm for MST: Step 3 (Example)

```
MST_Greedy (G1, G2,T) {
If (G2 ={}) return <T,0>
From all edges e = (n,m) from G1 to G2 do and
find the minimum cost edge e' = (n',m') from
G1 to G2 and do the following:
  {   G1a = G1 + {m'};
      G2a = G2 – {m'}
      Ta = T + {e'}
      <T',cost'> = MST_Greedy (G1a, G2a,Ta)
       cost_a =  C[n,m] + cost'
  }
Return <T', cost_a>
}
```





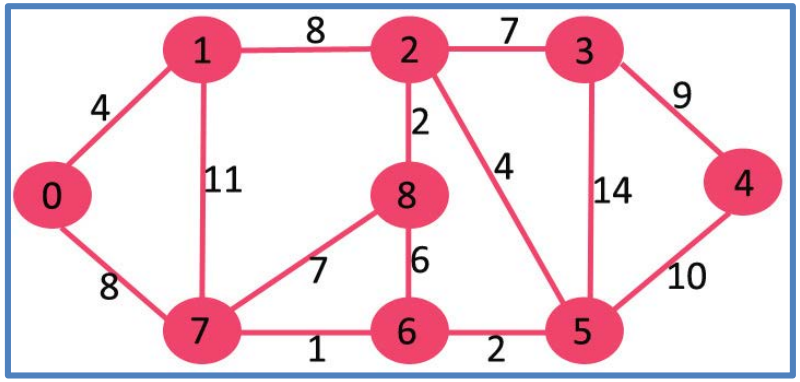
choose the one with min cost

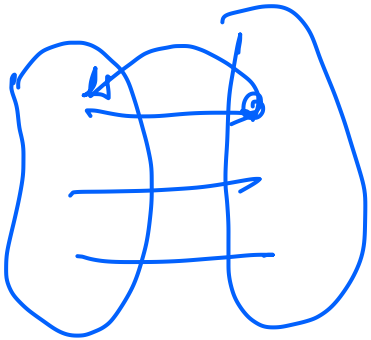
Algorithm for MST: Step 3 (Analysis)

```
MST_Greedy (G1, G2,T) {
If (G2 ={}) return <T,0>
From all edges e = (n,m) from G1 to G2 do and
find the minimum cost edge e' = (n',m') from
G1 to G2 and do the following:
  {  G1a = G1 + {m'};
     G2a = G2 – {m'}
     Ta = T + {e'}
     <T',cost'> = MST_Greedy (G1a, G2a,Ta)
     cost_a =  C[n,m] + cost'
  }
Return <T', cost_a>
}
```

PRIM'S Algorithm

$O(|E| \log |V|)$





min edge

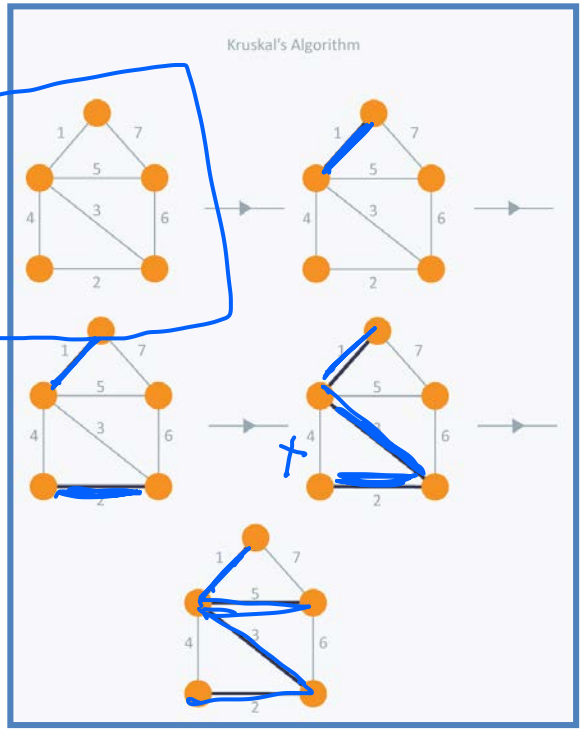
Add edges

$O(|E| \log |E|)$

MIN_HEAP of edges

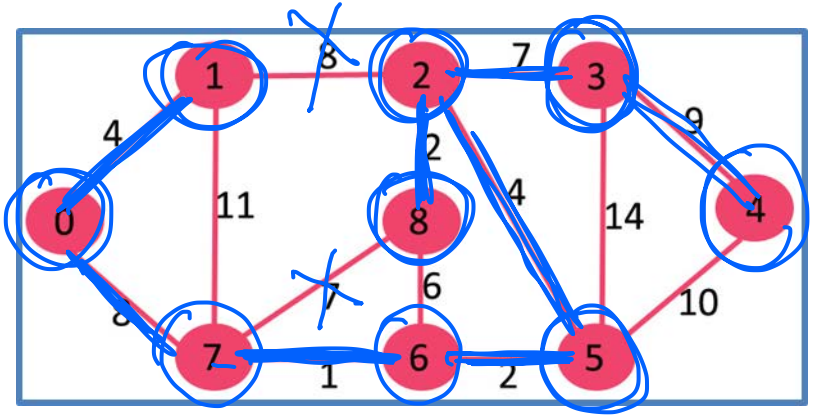
Kruskal's Algorithm for MST

```
Kruskal (V,E) {
Sort the edges in E in increasing cost;
VT = {}; ET = {}; cost = 0;
While E is not empty or VT = V do {
Choose the next minimum cost edge e = (n,m) in E;
E = E – {e}
If adding n, m in VT and e in ET makes a cycle,  discard e, else {
        VT = VT + {n} + {m}
        ET = ET + { e}
        cost = cost + C[n,m] }
    }
Return <GT = (VT, ET), cost>
}
```



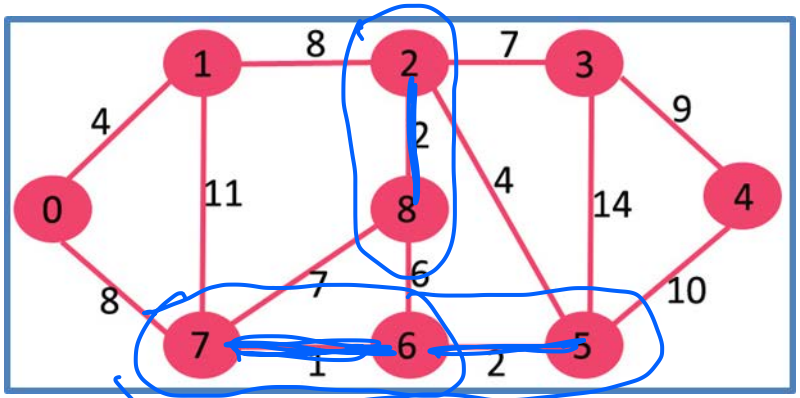
Kruskal's Algorithm for MST (Example)

```
Kruskal (V,E) {
Sort the edges in E in increasing cost;
VT = {}; ET = {}; cost = 0;
While E is not empty or VT = V do {
Choose the next minimum cost edge e =
(n,m) in E;
E = E – {e}
If adding n, m in VT and e in ET makes a
cycle,  discard e, else {
      VT = VT + {n} + {m}
      ET = ET + { e}
      cost = cost + C[n,m] }
   }
Return <GT = (VT, ET), cost>
}
```



Kruskal's Algorithm for MST (Analysis)

```
Kruskal (V,E) {
Sort the edges in E in increasing cost;
VT = {}; ET = {}; cost = 0;
While E is not empty or VT = V do {
Choose the next minimum cost edge e = (n,m) in E;
E = E – {e}
If adding n, m in VT and e in ET makes a cycle,  discard e, else {
        VT = VT + {n} + {m}
        ET = ET + { e}
        cost = cost + C[n,m] }
    }
Return <GT = (VT, ET), cost>
}
```



sorting $O(|E| \log |E|)$

$= O(|E| \log |V|)$

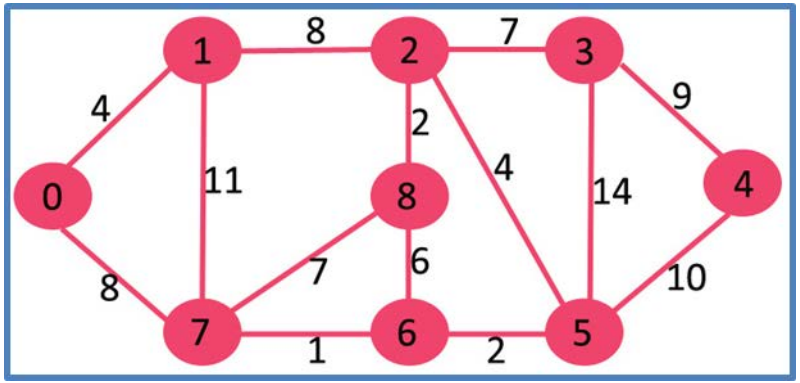
cycle detection

Kruskal's Algorithm (using Disjoint UNION-FIND)

```
algorithm Kruskal_UF (G = (V,E)) {
A = {};
for each v in V do MAKE-SET(v);
for each edge e = (u, v) in E ordered by
increasing weight(u, v) do
  {
    if FIND-SET(u) ≠ FIND-SET(v) then
    {
      A = A + {(u, v)}
      UNION(FIND-SET(u), FIND-SET(v))
    }
  }
return A
}
```

CYCLE DETECTION

DISJOINT UNION



$O(|E| \log |V|)$

Thank you