

Roll no: _____ Name: _____

[Write your answers in the question paper itself. Be brief and precise. Answer all questions.]

1. Let a_1, a_2, \dots, a_n be positive integers with $\sum_{i=1}^n a_i = 2S$. The *partition problem* deals with deciding whether there exists a subset $\{i_1, i_2, \dots, i_k\}$ of indices such that $a_{i_1} + a_{i_2} + \dots + a_{i_k} = S$. The partition problem is known to be NP-Complete. We develop a dynamic-programming algorithm to solve the partition problem. Assume that each $a_i \leq S$, otherwise the output is trivially *false*. We prepare a two-dimensional table $T = (T_{i,j})_{\substack{1 \leq i \leq n \\ 0 \leq j \leq S}}$ of Boolean values (*true* and *false*) such that $T_{i,j} = \text{true}$ if and only if an exact sum of j can be realized by a sub-collection of the first i items a_1, a_2, \dots, a_i . We fill T in a row-major order.

(a) Specify how to initialize the first row, that is, the entries $T_{1,j}$ for $0 \leq j \leq S$. (2)

Solution $T_{1,j} = \text{true}$ if $j = 0$ or if $j = a_1$, $T_{1,j} = \text{false}$ otherwise.

(b) Explain how to populate the i -th row for $i \geq 2$. (3)

Solution $T_{i,j} = \text{true}$ if $T_{i-1,j} = \text{true}$ or if $j \geq a_i$ and $T_{i-1,j-a_i} = \text{true}$, $T_{i,j} = \text{false}$ otherwise.

(c) How do you solve the partition problem after the table T is fully populated? (2)

Solution Return $T_{n,S}$.

(d) Prove that the running time of this algorithm is pseudo-polynomial. (3)

Solution Since each cell of T can be computed in $O(1)$ time, the running time of this algorithm is $O(nS)$. Let $M = \max_{i=1}^n a_i$. Then $S = \frac{1}{2} \sum_{i=1}^n a_i \leq \frac{1}{2} \sum_{i=1}^n M = \frac{1}{2} nM$, so the running time is $O(n^2M)$ which is polynomial in n and the maximum argument M .

2. Let U be an optimization problem, and \mathcal{M} the set of all feasible solutions for an input I . This exercise focuses on solving U using a local-search algorithm.

(a) What is meant by a neighborhood in the space \mathcal{M} ? (1)

Solution A function $N : \mathcal{M} \rightarrow 2^{\mathcal{M}}$ such that for all x , we have $x \in N(x)$, and for all $x, y \in \mathcal{M}$, we have $y \in N(x)$ if and only if $x \in N(y)$.

(b) What are local and global optima for the instance I of U ? (2)

Solution An $x \in \mathcal{M}$ is called a local optimum if the cost of x is the best in $N(x)$. An $x \in \mathcal{M}$ is called a global optimum if the cost of x is the best in the entire space \mathcal{M} .

(c) When is a neighborhood in \mathcal{M} called exact? (2)

Solution A neighborhood is called exact if every local optimum is also a global optimum.

Let us now specialize to the problem of sorting n integers a_1, a_2, \dots, a_n , that is, to finding a permutation $\pi = (\pi_1, \pi_2, \dots, \pi_n)$ of $(1, 2, \dots, n)$ such that the sequence $a_{\pi_1}, a_{\pi_2}, \dots, a_{\pi_n}$ is sorted (in non-decreasing order). This is equivalent to maximizing $\sum_{i=1}^n ia_{\pi_i}$ over all permutations π of $(1, 2, \dots, n)$. The feasible-solution space \mathcal{M} is thus the set of all permutations of $(1, 2, \dots, n)$.

(d) Define a polynomial-sized (in n) neighborhood in this solution space. (2)

Solution For any permutation π , the neighborhood $N(\pi)$ consists of all permutations obtained by swapping π_i and π_j for any two $i, j \in \{1, 2, \dots, n\}$. We allow $i = j$, that is, π is in the neighborhood of itself.

(e) Prove/Disprove: The neighborhood you proposed in Part (d) is exact. (3)

Solution Yes, the neighborhood proposed in Part (d) is exact. Suppose that $\pi = (\pi_1, \pi_2, \dots, \pi_n)$ is not a global optimum. Then, the sequence $a_{\pi_1}, a_{\pi_2}, \dots, a_{\pi_n}$ is not sorted, that is, there exist indices i, j with $i < j$ such that $a_{\pi_i} > a_{\pi_j}$. This in turn implies that $(j - i)(a_{\pi_i} - a_{\pi_j}) > 0$, that is, $ja_{\pi_i} + ia_{\pi_j} > ia_{\pi_i} + ja_{\pi_j}$. The permutation obtained from π by swapping π_i and π_j is in the neighborhood of π , that is, π is not a local optimum too.