

1. Prove that the following languages are not regular.

(a)  $L_{11} = \{a^m b^n \mid m, n > 0 \text{ and } \gcd(m, n) = 1\} \subseteq \{a, b\}^*$ .

*Solution* Let  $L_{11}$  be regular. Take any prime  $p$  such that  $p$  is at least two more than a pumping lemma constant for  $L_{11}$ . Consider the string  $\alpha = a^p b^{(p-1)!} \in L_{11}$ . The pumping lemma gives a decomposition of  $\alpha$  of the form  $\alpha_1 \alpha_2 \alpha_3$  with  $|\alpha_1 \alpha_2| \leq p - 2$ ,  $1 \leq |\alpha_2| \leq p - 2$  and  $\alpha_1 \alpha_2^k \alpha_3 \in L_{11}$  for all  $k \in \mathbb{N}_0$ . Let  $r = |\alpha_2|$ . Since the length of  $\alpha_1 \alpha_2$  is no more than the pumping lemma constant, it follows that  $\alpha_2$  consists of  $a$ 's only. Take  $k = 0$ , i.e.,  $\alpha_1 \alpha_3 = a^{p-r} b^{(p-1)!} \in L_{11}$ . By the choice of  $p$  we have  $2 \leq p - r \leq p - 1$  and so  $\gcd((p - r), (p - 1)!) = p - r \geq 2$ , a contradiction to the definition of  $L_{11}$ .

(b)  $L_{12} = \{a^m b^n \mid m, n > 0 \text{ and } \gcd(m, n) > 1\} \subseteq \{a, b\}^*$ .

*Solution* One can invoke the pumping lemma independently to prove that  $L_{12}$  is not regular. Here is an easier proof. Consider the language

$$L_1 = \{a^m b^n \mid m, n > 0\}.$$

$L_1$  is the language of the regular expression  $aa^*bb^*$  and so is regular. Also  $L_1$  is the disjoint union of  $L_{11}$  and  $L_{12}$ , that is,  $L_{11} = L_1 \setminus L_{12} = L_1 \cap \overline{L_{12}}$ . If  $L_{12}$  were regular, so also would be  $L_{11}$ , since regular languages are closed under complementation and intersection. But we have already proved in Part (a) that  $L_{11}$  is not regular. So  $L_{12}$  cannot be regular.

2. Let  $\Sigma = \{a, b, c, d\}$ . Consider the language

$$L_2 = \{a^i b^j c^k d^l \mid i, j, k, l \geq 0 \text{ and } i + j = k + l\} \subseteq \Sigma^*.$$

(a) Design a context-free grammar  $G$  with  $\mathcal{L}(G) = L_2$ .

*Solution* Let  $S$  be the start variable. We first generate matching  $a$ 's and  $d$ 's. This step is repeated  $\min(i, l)$  times. Next we branch based on the condition whether  $i \leq l$  or  $i \geq l$ . If  $i \leq l$ , then  $a$ 's are exhausted earlier than  $d$ 's. So the remaining  $d$ 's are to be matched against  $b$ 's. After that we have to match the leftover  $b$ 's with the  $c$ 's. If  $i \geq l$ , the  $d$ 's are exhausted first and the leftover  $a$ 's are to be matched against  $c$ 's. After all  $a$ 's are taken care of, the remaining  $c$ 's are matched against the  $b$ 's. Thus the grammar  $G = (\{S, U, V, W\}, \{a, b, c, d\}, R, S)$  generates  $L_2$ , where  $R$  consists of the following productions:

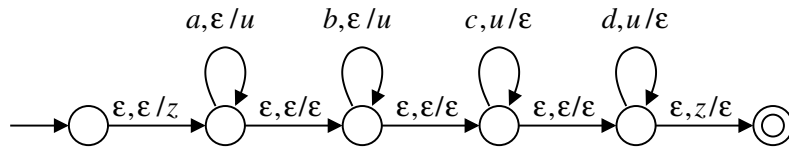
$$\begin{aligned} S &\rightarrow aSd \mid U \mid V \\ U &\rightarrow bUd \mid W \\ V &\rightarrow aVc \mid W \\ W &\rightarrow bWc \mid \epsilon \end{aligned}$$

Here the variable  $U$  corresponds to the case  $i \leq l$ , and  $V$  to the case  $i \geq l$ . In both these cases, the final matching of  $b$ 's against  $c$ 's is handled by the variable  $W$ .

(b) Design a PDA to recognize  $L_2$ .

*Solution* We can use the CFG-to-PDA conversion procedure for constructing a PDA to recognize  $L_2$ . Let me instead design a PDA from the first principles. The PDA first reads  $a$ 's and then the  $b$ 's and keeps track

of the total count of  $a$ 's and  $b$ 's read. Then it reads runs of  $c$ 's and  $d$ 's and matches the stored count against the total count of  $c$ 's and  $d$ 's. If the input string is not in the correct format (for example, when a  $b$  follows an  $a$ ), the machine goes to the stuck position. Moreover, the jumps between runs of  $a$ 's and  $b$ 's, of  $b$ 's and  $c$ 's and of  $c$ 's and  $d$ 's are effected by  $\epsilon$ -transitions. The following figure describes the PDA. Here the symbol  $x, y/z$  means read  $x$  from the input and replace  $y$  at the top of the stack by  $z$ . Each of  $x, y, z$  is allowed to be empty ( $\epsilon$ ).



3. Prove that the following languages are decidable. Provide only high-level descriptions of deciders.

(a)  $A_{\text{DFA},n} = \{\langle D, n \rangle \mid D \text{ is a DFA that accepts some string of length } n\}$ .

*Solution* Simulate  $D$  one-by-one on all strings of length  $n$ . Since  $A_{\text{DFA}}$  is decidable and since there are only finitely many ( $|\Sigma|^n$ ) strings of length  $n$ , the simulation ends after a finite amount of time. If the DFA accepts any of these strings, *accept*. If the DFA rejects all strings of length  $n$ , *reject*.

(b)  $\text{SUBSET}_{\text{DFA}} = \{\langle D_1, D_2 \rangle \mid D_1, D_2 \text{ are DFA with } \mathcal{L}(D_1) \subseteq \mathcal{L}(D_2)\}$ . (Hint: Look at  $\mathcal{L}(D_1) \setminus \mathcal{L}(D_2)$ .)

*Solution* We have  $\mathcal{L}(D_1) \subseteq \mathcal{L}(D_2)$  if and only if  $\mathcal{L}(D_1) \setminus \mathcal{L}(D_2) = \mathcal{L}(D_1) \cap \overline{\mathcal{L}(D_2)} = \emptyset$ . Given  $D_1, D_2$ , a DFA  $D$  can be constructed to recognize  $\mathcal{L}(D_1) \cap \overline{\mathcal{L}(D_2)}$  (recall that regular languages are closed under complementation and intersection). Then feed the description of the DFA  $D$  to a decider for  $E_{\text{DFA}}$ .

(c)  $\text{FINITE}_{\text{PDA}} = \{\langle P \rangle \mid P \text{ is a PDA with } \mathcal{L}(P) \text{ finite}\}$ . (Hint: Let  $n$  be a pumping lemma constant for  $\mathcal{L}(P)$ . First prove that  $\mathcal{L}(P)$  is infinite if and only if  $\mathcal{L}(P)$  contains a string of length between  $n$  and  $2n - 1$ .)

*Solution* Let  $P$  be a PDA,  $L = \mathcal{L}(P)$ , and  $n$  a pumping lemma constant for  $L$ . If  $L$  contains a string  $\alpha$  of length  $\geq n$ , then the pumping lemma on  $\alpha$  gives an infinite collection of strings each of which belongs to  $L$ . In order that  $L$  is finite we then require  $L$  to consist of no strings of length  $\geq n$ . However, we cannot check that this condition is satisfied by simulating the PDA  $P$  on all strings of length  $\geq n$ , since there are infinitely many such strings and the sequence of simulation does not halt. Assume that  $L$  is infinite and  $l$  is the minimum length of a string in  $L$  of length  $\geq n$ . We claim that  $n \leq l \leq 2n - 1$ . Assume not, i.e.,  $l \geq 2n$ . Let  $\alpha$  be a string of length  $l$  in  $L$ . The pumping lemma gives a decomposition  $\alpha = \alpha_1\alpha_2\alpha_3\alpha_4\alpha_5$  so that  $\beta = \alpha_1\alpha_3\alpha_5$  is in  $L$  too. We have  $1 \leq |\alpha_2\alpha_4| \leq n$  by the pumping lemma. So  $\beta$  is again a string in  $L$  of length  $\geq n$ . This contradicts the choice of  $l$  (and  $\alpha$ ).

So it suffices to check only the strings of length between  $n$  and  $2n - 1$ . There are finitely many of them. Since  $A_{\text{PDA}}$  is decidable, a TM can check in finite time whether each of these strings belongs to  $\mathcal{L}(P)$ . Finally, note that the pumping lemma constant  $n$  can be computed from the description of  $P$ . For example, we may take  $n = b^{|V|+2}$ , where  $V$  is the set of non-terminals and  $b$  is the maximum number of symbols on the right side of a rule in a CFG equivalent to  $P$ .

(d)  $\text{MOVE}_{\text{TM},\alpha} = \{\langle M, \alpha \rangle \mid M \text{ is a TM that makes at least ten moves on input } \alpha\}$ .

*Solution* Simulate  $M$  on  $\alpha$  for at most ten moves. If  $M$  halts before ten moves, *reject*, else *accept*.

(e)  $\text{MOVE}_{\text{TM},n} = \{\langle M, n \rangle \mid M \text{ is a TM that makes at least } n \text{ moves on some input}\}$ . (Hint: First argue that it suffices to restrict attention only to input strings of length  $\leq n$ .)

*Solution* In  $n$  moves a TM  $M$  can scan at most  $n$  cells starting from the left end. So irrespective of what the length of the input string is,  $M$  makes at least  $n$  moves if and only if it does so on a string of length  $\leq n$ . So simulate  $M$  for at most  $n$  steps on each input string  $\alpha$  of length  $\leq n$ . If any string of length  $\leq n$  is found on which  $M$  does not halt before making  $n$  moves, then *accept*, else *reject*.

#### 4. Consider the language

$$L_4 = \{\langle M \rangle \mid M \text{ is a TM which halts on the input } 01011\}.$$

Prove the following assertions:

(a)  $L_4$  is Turing-recognizable.

*Solution* Simulate  $M$  on 01011. If  $M$  halts (after accepting or rejecting), then *accept*. If  $M$  does not halt on 01011, then the simulation does not stop and so  $\langle M \rangle$  is anyway not accepted.

(b)  $L_4$  is not Turing-decidable.

*Solution* Let us reduce  $A_{TM}$  to  $L_4$ , i.e., we convert  $\langle M, \alpha \rangle$  to  $\langle M' \rangle$  such that  $M'$  halts on 01011 if and only if  $M$  accepts  $\alpha$ . Here is a description of  $M'$ .

**Input:**  $\beta$ .

##### Steps

if  $\beta \neq 01011$ , then *halt* (after accepting  $\beta$ ).  
if  $\beta = 01011$ ,  
    simulate  $M$  on 01011.  
    if  $M$  accepts 01011 (and hence halts), then *halt* (after accepting  $\beta$ ).  
    if  $M$  rejects 01011 after halting, then go to an infinite loop.

It follows that  $M'$  halts on every input other than 01011. If the input is 01011, then there are three possibilities:  $M$  accepts  $\alpha$  (after halting),  $M$  rejects  $\alpha$  after halting,  $M$  goes to an infinite loop on  $\alpha$  (and hence implicitly rejects  $\alpha$ ). Only in the first case,  $M'$  halts on 01011. In the second case,  $M'$  enters a forced infinite loop. In the third case, the simulation of  $M$  on  $\alpha$  by  $M'$  never terminates.

(c)  $\overline{L_4}$  is not Turing-recognizable.

*Solution* If  $L_4$  were Turing-recognizable, then Part (a) would imply that  $L_4$  is Turing-decidable, a contradiction to Part (b).

#### 5. Consider the language

$$L_5 = \{\langle M \rangle \mid M \text{ is a TM which halts on every input}\}.$$

(a) Use a reduction from  $\overline{L_4}$  to  $L_5$  to prove that  $L_5$  is not Turing-recognizable. (Hint: Suppose that  $\langle M \rangle$  maps to  $\langle M' \rangle$  under the reduction. Let  $M'$  simulate  $M$  on input 01011 for  $n$  steps, where  $n$  is the length of the input string for  $M'$ .)

*Solution* I propose a reduction from  $\overline{L_4}$  to  $L_5$  that maps  $\langle M \rangle$  to  $\langle M' \rangle$  such that  $M'$  halts on every input if and only if  $M$  does not halt on 01011. Here is a description of  $M'$ .

**Input:**  $\beta$ .

##### Steps

determine the length  $n$  of the input  $\beta$ .  
simulate  $M$  on 01011 for exactly  $n$  steps.  
if the simulation halts (after accepting or rejecting 01011) within  $n$  steps, enter an infinite loop,  
else stop the simulation and *halt* (after accepting or rejecting  $\beta$ ).

If  $M$  does not halt on 01011, then irrespective of the length  $n$  of  $\beta$ , the simulation of  $M$  on 01011 for  $n$  steps does not reach a halting configuration. In this case,  $M'$  simply halts after aborting the simulation. On the other hand, if  $M$  halts on 01011 after the  $m$ -th step (for  $m < \infty$ ), then for any input  $\beta$  of length  $n \geq m$ ,  $M'$  enters an infinite loop and fails to halt.

Since  $\overline{L_4}$  is not Turing-recognizable (Exercise 4(c)), it follows that  $L_5$  is also not Turing-recognizable.

(b) Use a reduction from  $\overline{L_4}$  to  $\overline{L_5}$  to prove that  $\overline{L_5}$  is also not Turing-recognizable.

*Solution* Let me now describe a reduction from  $\overline{L_4}$  to  $\overline{L_5}$  that maps  $\langle M \rangle$  to  $\langle M' \rangle$  such that  $M'$  does not halt on some input string  $\beta$  if and only if  $M$  does not halt on 01011. It is natural to take  $\beta = 01011$ , so that  $M'$  can simply simulate  $M$  on input 01011. A description of  $M'$  now follows:

**Input:**  $\beta$ .

**Steps**

if  $\beta \neq 01011$ , *halt* (after accepting or rejecting  $\beta$ ).  
if  $\beta = 01011$ , then  
    simulate  $M$  on 01011.  
    if the simulation halts, *halt* (after accepting or rejecting  $\beta$ ).

Evidently,  $M'$  halts on every input other than 01011. On the other hand,  $M'$  halts on the input 01011 if and only if  $M$  does so on the same input. Thus the reduction is as desired.

Finally, since  $\overline{L_4}$  is not Turing-recognizable, it follows that  $\overline{L_5}$  too is not Turing-recognizable.