

1. Which of the following estimates are true?

- (a)  $5n^2 \log n + 2(n^3 / \log n)$  is  $O(n^3)$ .                      (e)  $2^n$  is  $\Omega(3^n)$ .  
 (b)  $5n^2 \log n + 2n^3$  is  $\Theta(n^3)$ .                                      (f)  $(2n)!$  is  $\Theta(n!)$ .  
 (c)  $2^{\sqrt{n}}$  is  $\Theta((\sqrt{2})^n)$ .    (g)  $\log((2n)!)$  is  $\Theta(\log(n!))$ .  
 (d)  $n^2$  is  $\Omega(n^3)$ .    (h)  $1/n$  is  $\Theta(1)$ .

2. Let  $f$  and  $g$  be two real-valued functions of non-negative integer variables. We say that  $f(n)$  is  $o(g(n))$ , if  $\lim_{n \rightarrow \infty} f(n)/g(n) = 0$ , i.e., if for every  $\epsilon > 0$  there exists  $k \in \mathbb{N}$  such that  $|f(n)| < \epsilon|g(n)|$  for all  $n > k$ . In this case, we also write  $g(n) = \omega(f(n))$ . Prove the following assertions:

- (a)  $2n + 3$  is  $o(5n^2 + 4n + 3)$ .                                      (d)  $2^{\sqrt{\lg n \lg \lg n}}$  is  $\omega(n^d)$  for any  $d \in \mathbb{N}$ .  
 (b)  $n$  is  $o(2^n)$ .    (e)  $1/n$  is  $o(1)$ .  
 (c)  $2^{\sqrt{\lg n \lg \lg n}}$  is  $o(a^n)$  for any real  $a > 1$ .                      (f)  $2^{-n}$  is  $o(n^{-2})$ .  
 (g)  $f(n)$  is  $o(g(n))$  if and only if  $f(n)$  is  $O(g(n))$  but  $g(n)$  is not  $O(f(n))$ .  
 (h)  $f(n)$  is  $\omega(g(n))$  if and only if  $f(n)$  is  $\Omega(g(n))$  but  $g(n)$  is not  $\Omega(f(n))$ .

3. Why are the following *not* algorithms?

- (a) **Input:**  $n$  integers  $a_1, a_2, \dots, a_n$ .  
**Output:** The smallest index  $i$  for which  $a_i \geq a_{i+1}$ .

**Steps:**  
 $i := 1$ .  
 while  $(a_i < a_{i+1})$   $i := i + 1$ .  
 return  $i$ .

- (b) **Input:** A positive integer  $n$ .  
**Output:** The floating point sum  $\frac{1}{1} + \frac{1}{2} + \dots + \frac{1}{n-1}$ .

**Steps:**  
 $s := 0$ .  
 while  $(n > 0)$  {  
      $s := s + (1.0/(n - 1))$ .  
      $n := n - 1$ .  
 }  
 return  $s$ .

- \*\* (c) **Input:** Nothing.  
**Output:** The smallest integer  $n > 1$  for which  $\frac{1}{1} + \frac{1}{2} + \dots + \frac{1}{n}$  is an integer.

**Steps:**  
 $n := 1, a := 1, b := 1$ .  
 repeat {  
      $n := n + 1$ .  
      $a := an + b$ .  
      $b := bn$ .  
 } until  $b \mid a$ .  
 return  $n$ .

- (d) Write an algorithm for solving each of the above problems.

4. Determine what the following two algorithms compute. Also derive the worst-case time complexities of

these algorithms. Assume that in each case the input is a sequence of  $n$  positive integers  $a_1, a_2, \dots, a_n$ . For Part (b) assume that  $a_1 \leq a_2 \leq \dots \leq a_n$ .

(a) for  $i = 1$  to  $n$  set  $b_i := 0$ .  
 for  $i = 1$  to  $n$  {  
   for  $j = 1$  to  $n$  {  
     if ( $a_j = a_i$ ) then  $b_j := b_j + 1$ .  
   }  
 }  
 $m := 0, j := 0$ .  
 for  $i = 1$  to  $n$  {  
   if ( $b_i > m$ ) then {  $m := b_i, j := i$ . }  
 }  
 return  $a_j$ .

(b)  $m := 1, j := 1, c := 1, b := a_1$ .  
 for  $i = 2$  to  $n$  {  
   if ( $a_i \neq b$ ) then {  $c := 1, b := a_i$ . }  
   else {  
      $c := c + 1$ .  
     if ( $c > m$ ) then {  $m := c, j := i$ . }  
   }  
 }  
 return  $a_j$ .

(c) Describe how you can use the algorithm of Part (b) to solve the problem of Part (a) in  $O(n \log n)$  time.

5. Suppose that the study of the properties of some objects located in the Andromeda Galaxy requires the computation of a formula  $f(a_1, a_2, \dots, a_n)$  defined for positive integers  $a_1, a_2, \dots, a_n$  as:

$$f(a_1, a_2, \dots, a_n) := \sum_{i \in S} \left( \prod_{j=1}^i a_j^2 \right),$$

where  $S$  is the set  $\{i \mid 1 \leq i < n \text{ and } a_i \leq a_{i+1}\}$ . I supply the following algorithm for the computation of  $f(a_1, a_2, \dots, a_n)$ .

$s := 0$ .  
 for  $i = 1$  to  $n - 1$  {  
   if ( $a_i \leq a_{i+1}$ ) {  
      $p := 1$ .  
     for  $j = 1$  to  $i$  do  $p := p \times a_j$ .  
      $s := s + p^2$ .  
   }  
 }  
 return  $s$ .

Compute the worst-case, average and best-case time complexity of my algorithm.

6. Suppose we want to compute for a given  $n \in \mathbb{Z}^+$  the number of triples  $(i, j, k)$  with  $1 \leq i \leq j \leq k \leq n$ .

(a) Design an algorithm for this problem, that runs in  $\Theta(n^3)$  time.

(b) Design an algorithm for this problem, that runs in  $\Theta(n^2)$  time.

\* (c) Design an algorithm for this problem, that runs in  $\Theta(1)$  time. (**Hint:** Find a compact formula for the number of such triples.)

7. Consider the following modification of the binary search algorithm. Let  $a_1, a_2, \dots, a_n$  be a sorted sequence of integers. In order to determine if an integer  $a$  occurs in the list, divide the list in three parts of nearly

equal sizes and determine in which part  $a$  may be located. Then divide this potential part into three subparts and so on. Since this search uses a three-way branching, one calls it ternary search.

- (a) Write the details of the ternary search algorithm.
  - (b) Compute the worst-case time complexity of the ternary search algorithm.
  - (c) If you are asked to choose between binary search and ternary search, which would you prefer. Why?
- \* 8. Prove that it is impossible to write a C program that takes as input a C program  $Q$  and an input  $I$  to  $Q$  and that determines whether  $Q$  on input  $I$  prints the string “Hello, world” as the first twelve printed characters.
9. Prove that the union of a countable number of countable sets is again countable. (**Hint:** Look at  $\mathbb{N} \times \mathbb{N}$ .)
- \* 10. Show that no set  $A$  can have bijective correspondence with its power set  $\mathcal{P}(A)$ . (**Hint:** Let  $f : A \rightarrow \mathcal{P}(A)$  be a bijection. Look at the subset  $S \subseteq A$  defined as  $S := \{x \in A \mid x \notin f(x)\}$ .)
11. Find the flaw in the following proof.

**Theorem** All horses are of the same color.

*Proof* Let there be  $n$  horses. We proceed by induction on  $n$ . If  $n = 1$ , there is nothing to prove. So assume that  $n > 1$  and that the theorem holds for any group of  $n - 1$  horses. From the given  $n$  horses discard one, say the first one. Then all the remaining  $n - 1$  horses are of the same color by the induction hypothesis. Now put the first horse back and discard another, say the last one. Then the first  $n - 1$  horses have the same color again by the induction hypothesis. So all the  $n$  horses must have the same color as the ones that were not discarded either time. •

12. The Fibonacci sequence is defined recursively as

$$\begin{aligned} F_0 &:= 0, \\ F_1 &:= 1, \\ F_n &:= F_{n-1} + F_{n-2} \text{ for } n \geq 2. \end{aligned}$$

Prove by induction that  $F_n = \frac{1}{\sqrt{5}} \left[ \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right]$  for all  $n \in \mathbb{N}$ .

13. Let  $F_n, n \in \mathbb{N}$ , denote the sequence of Fibonacci numbers defined in Exercise 12. By using induction on  $n$  prove the following assertions:
- (a)  $F_1^2 + F_2^2 + \dots + F_n^2 = F_n F_{n+1}$  for all  $n \in \mathbb{Z}^+$ .
  - (b) If  $A := \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ , then  $A^n = \begin{pmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{pmatrix}$  for all  $n \in \mathbb{Z}^+$ .
  - (c)  $F_{m+n} = F_{m-1}F_n + F_m F_{n+1}$  for all  $m \in \mathbb{N}$  and  $n \in \mathbb{Z}^+$ .
14. Let  $H_n := \frac{1}{1} + \frac{1}{2} + \dots + \frac{1}{n}$  for  $n \geq 1$  (the harmonic numbers).
- (a) Use calculus to show that  $\ln(n+1) \leq H_n \leq \ln n + 1$  for all  $n \geq 1$ . (**Remark:** It is known that  $(H_n - \ln n) \rightarrow \gamma$  as  $n \rightarrow \infty$ , where the constant  $\gamma = 0.57721566\dots$  is called Euler’s constant.)
  - (b) Use induction to show that  $H_1 + H_2 + \dots + H_n = (n+1)H_n - n$  for all  $n \geq 1$ .
15. Let  $S$  be a subset of  $\mathbb{N}$ , such that 0 and 1 belong to  $S$ , and whenever  $n$  and  $n+1$  belong to  $S$ , so also does  $n+2$ . Prove that  $S = \mathbb{N}$ .
16. Recursively define the following functions:
- (a)  $f_1 : \mathbb{N} \rightarrow \mathbb{N}$  given by  $f_1(n) = 2n^3$  for all  $n \in \mathbb{N}$ .
  - (b)  $f_2 : \mathbb{N} \rightarrow \mathbb{N}$  given by  $f_2(n) = n^2 + 2n^3$  for all  $n \in \mathbb{N}$ .

- (c)  $f_3 : \mathbb{N} \rightarrow \mathbb{N}$  given by  $f_3(n) = 3^{2^n}$  for all  $n \in \mathbb{N}$ .
- \* (d)  $f_4 : \mathbb{N} \rightarrow \mathbb{N}$  given by  $f_4(n) = 2^n + 3^{2^n}$  for all  $n \in \mathbb{N}$ .
- (e)  $f_5 : \mathbb{N} \rightarrow \mathbb{N}$  given by  $f_5(n) = 3^{2^n}$  for all  $n \in \mathbb{N}$ .
- (f)  $\phi : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$  given by  $\phi(n) := |\{a \mid 1 \leq a \leq n \text{ and } \gcd(a, n) = 1\}|$ . (**Hint:** Use without proof the results that  $\phi(p^e) = p^e - p^{e-1}$  for a prime  $p$  and an exponent  $e \in \mathbb{Z}^+$  and that if  $m, n$  are coprime, then  $\phi(mn) = \phi(m)\phi(n)$ .)
- (g)  $\det : \mathcal{M} \rightarrow \mathbb{Z}$ , where  $\mathcal{M}$  is the set of all square matrices with integer entries, and where  $\det(A)$  is the determinant of a matrix  $A \in \mathcal{M}$ .
- (h) The binomial coefficients  $\binom{n}{k} : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  defined by  $\binom{n}{k} = \begin{cases} \frac{n!}{k!(n-k)!} & \text{if } k \leq n, \\ 0 & \text{otherwise.} \end{cases}$
- \* (i)  $\mathcal{S} : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ , where the Stirling number  $\mathcal{S}(n, k)$  stands for the total number of ways of partitioning a set of cardinality  $n$  into exactly  $k$  non-empty parts. (**Hint:** Let  $A := \{a_1, a_2, \dots, a_n, a_{n+1}\}$ . A partition of  $A$  is of one of the two kinds: i)  $\{a_{n+1}\}$  is itself a member of the partition, and ii)  $a_{n+1}$  lies in a bigger set in the partition.)
- 17.** Recursively define the following sets:
- (a)  $S_1 := \{a \in \mathbb{N} \mid a \equiv 3 \text{ or } 5 \pmod{7}\}$ .
- (b)  $S_2 := \{(a, b) \in \mathbb{N} \times \mathbb{N} \mid a + b \text{ is odd}\}$ .
- (c) The set  $S_3$  of all palindromes over the binary alphabet  $\{0, 1\}$ .
- \* (d) The set  $S_4$  of all strings over the binary alphabet  $\{0, 1\}$ , that contain equal numbers of 0's and 1's.
- \*\* (e) The set  $S_5$  of all strings over the binary alphabet  $\{0, 1\}$  of the form  $\alpha\alpha$  for some  $\alpha \in \{0, 1\}^*$ .
- 18.** What is wrong in the following recursive definition of *sets*.  
BASIS: The empty collection is a set.  
INDUCTION: If  $S$  is a set and  $a$  is an object not present in  $S$ , then adding  $a$  to  $S$  gives a set.  
(**Hint:** Infinity is not a natural number.)
- 19.** Design recursive algorithms to compute the functions of Exercise 16. For Part (f) you may assume that you are given subroutines for primality testing and factorization of positive integers.
- 20.** For notations refer to Exercise 17. Design recursive algorithms that compute the following:
- (a) Given  $k \in \mathbb{N}$ , the cardinality of  $\{a \in S_1 \mid a \leq k\}$ .
- (b) Given  $k \in \mathbb{N}$ , the elements of  $\{a \in S_1 \mid a \leq k\}$ .
- (c) Given  $(h, k) \in \mathbb{N}^2$ , the cardinality of  $\{(a, b) \in S_2 \mid (a, b) \leq (h, k) \text{ under the lexicographic ordering}\}$ .
- (d) Given  $k \in \mathbb{N}$ , the number of all palindromes over  $\{0, 1\}$  of length  $\leq k$ .
- (e) Given  $k \in \mathbb{N}$ , all palindromes over  $\{0, 1\}$  of length  $\leq k$ , with each palindrome printed exactly once (but in any order of your convenience).
- \* (f) Given  $k \in \mathbb{N}$ , the number of all strings of  $S_4$  of length  $\leq k$ .