

# CS60094 Computational Number Theory, Spring 2017–2018

## Mid-Semester Test

23–February–2018

CSE-107, 09:00am–11:00am

Maximum marks: 40

Roll no: \_\_\_\_\_ Name: \_\_\_\_\_

[ Write your answers in the question paper itself. Be brief and precise. Answer all questions. ]  
[ If you use any algorithm/result/formula covered in the class, just mention it, do not elaborate. ]

1. Let  $f_n$  denote the  $n$ -th Fibonacci number. Prove that the smallest positive integers on which the Euclidean GCD algorithm requires  $n$  steps are  $f_{n+2}$  and  $f_{n+1}$ . In other words, if  $\gcd(a, b)$  with  $a > b > 0$  takes  $n$  steps, then  $a \geq f_{n+2}$ , and  $b \geq f_{n+1}$ . (4)

*Solution* We can prove this by induction on  $n$ . Base case is when  $n = 1$ , so  $a$  is a multiple of  $b$ . The minimum for such  $a$  and  $b$  is when  $a = 2 = f_3$ , and  $b = 1 = f_2$ .

Assuming that the hypothesis holds for  $n = m$ , we show it holds for  $n = m + 1$ . Let  $a = qb + r_1$ . Now, if  $\gcd(a, b)$  takes  $n + 1$  steps,  $\gcd(b, r_1)$  takes  $n$  steps. Thus according to induction hypothesis,  $b \geq f_{n+2}$  and  $r_1 \geq f_{n+1}$ . Since  $q \geq 1$ , we have  $a \geq b + r_1 \geq f_{n+2} + f_{n+1} = f_{n+3}$ . This completes the inductive step.

2. Solve the following parts with appropriate justifications. Here,  $a, b, c$  are arbitrary integers.

(a) Prove that if  $a|b$  and  $b|c$ , then  $a|c$ . (1)

*Solution* There exist integers  $\lambda$  and  $\mu$  such that  $b = \lambda a$ ,  $c = \mu b$ . But then  $c = \mu \lambda a$ .

(b) Prove that if  $a|(bc)$  and  $\gcd(a, b) = 1$ , then  $a|c$ . (2)

*Solution* There exist integers  $\lambda$  and  $\mu$  such that  $1 = \lambda a + \mu b$ . Hence,  $c = \lambda ac + \mu bc$ . Since  $a$  divides the RHS, it must divide  $c$ .

(c) Using the rules of Jacobi-symbol computation, show that the congruence  $x^2 \equiv 286 \pmod{563}$  is not solvable. (3)

*Solution* We have 
$$\left(\frac{286}{563}\right) = \left(\frac{2}{563}\right) \left(\frac{143}{563}\right) = - \left(\frac{143}{563}\right) = \left(\frac{563}{143}\right) = \left(\frac{-9}{143}\right) = - \left(\frac{3^2}{143}\right) = -1.$$

3. Use Hensel's lifting to prove the following: If  $a$  is a quadratic residue of an odd prime  $p$ , then it is also a quadratic residue of  $p^k$  for any positive integer  $k$ . (3)

*Solution* Since  $a$  is a quadratic residue of  $p$ , the congruence  $f(x) = x^2 - a \equiv 0 \pmod{p}$  has a solution say  $x_0$ ,  $0 < x_0 < p$ .  
Now,  $f'(x) = 2x$ , and  $f'(x_0) = 2x_0 \not\equiv 0 \pmod{p}$ , as  $p$  is an odd prime. Hence, we can (uniquely) lift  $x_0$  to  $p^2$ , and then to  $p^3$ , and so on to  $p^k$  for any integer  $k \geq 2$ .

4. The Discrete Fourier Transform (DFT) requires the use of complex numbers, which can result in a loss of precision due to round-off errors. For some problems, the answer is known to contain only integers, and it is desirable to utilize a variant of the DFT, based on modular arithmetic in order to guarantee that the answer is computed exactly. Let  $n$  be the number of points, of which the DFT is taken. In this exercise, we develop a strategy where the modulus  $p$  is of length  $O(\lg n)$ . Answer the following parts in this context.

(a) Suppose that we search for the smallest  $k \in \mathbb{N}$  such that  $p = kn + 1$  is prime. Give a simple heuristic argument why we expect  $k$  to be  $O(\lg n)$ . How does the expected length of  $p$  compare to the length of  $n$ ? (3)

*Solution* From the prime number theorem, between 1 and  $N$  there are about  $N/\ln N$  prime numbers. Hence, the probability that a random number from 1 and  $N$  is prime is  $\frac{(N/\ln N)}{N} = \frac{1}{\ln N}$ . If  $N = n \ln n$ , then this probability is about  $\frac{1}{\ln n}$ .

Hence, if we vary  $k$  from 1 to  $O(\lg n)$ , then in the desired form of one more than a multiple of  $n$ , we would expect one number to be prime.

The bit length of  $p$  is  $\approx \lg k + \lg n$ . We expect  $k = O(\lg n)$ , so the expected bit length of  $p$  is  $\lg n + O(\lg \lg n)$ .

(b) Let  $g$  be a generator of  $Z_p^*$ , and let  $w \equiv g^k \pmod{p}$ . State the DFT operation modulo  $p$  using  $w$ . (2)

*Solution* Since  $w^n \equiv g^{kn} \equiv g^{p-1} \equiv 1 \pmod{p}$ , we can simply replace the complex  $n$ -th root of unity by this value to obtain the formulation.

(c) Let  $p$  and  $w$  be supplied as inputs to the DFT algorithm. Show that the DFT takes time  $O(n \lg n)$ , under the assumption that operations on words of  $O(\lg n)$  bits take unit time. (2)

*Solution* Consider the numbers  $1, w, w^2, \dots, w^{n/2}, w^{n/2+1}, \dots, w^{n-1}$  modulo  $p$ . We have  $w^{n/2} \equiv -1 \pmod{p}$ ,  $w^{n/2+1} \equiv -w \pmod{p}$ , and so on. Thus, we can simply apply the recursion to evaluate the input polynomial at these  $n$  points. Hence, the recurrence is  $T(n) = 2T(n/2) + O(n)$ . This implies  $T(n) = O(n \lg n)$ .

5. (a) Prove that the polynomial  $f(x) = x^3 + 2x + 2$  is irreducible over  $\mathbb{F}_3$ . (2)

*Solution* Since  $\deg(f) = 3$ , the polynomial must have a root in  $\mathbb{F}_3$  if it is reducible. But  $f(0) \equiv f(1) \equiv f(2) \equiv 2 \pmod{3}$ .

(b) Define  $\mathbb{F}_{27} = \mathbb{F}_{3^3} = \mathbb{F}_3(\theta)$ , where  $f(\theta) = \theta^3 + 2\theta + 2 = 0$ . Take the element  $\gamma = \theta + 2 \in \mathbb{F}_{27}$ . Determine whether  $\gamma$  is a primitive element of  $\mathbb{F}_{27}$ . (4)

*Solution* Since  $|\mathbb{F}_{27}^*| = 26 = 2 \times 13$ . Also  $\gamma \neq 1$ . So it suffices to compute  $\gamma^2$  and  $\gamma^{13}$  to determine whether  $\gamma$  is primitive. We have  $\theta^3 + 2\theta + 2 = 0$ , that is,  $\theta^3 = \theta + 1$ . We then have:

$$\begin{aligned} \gamma &= \theta + 2, \\ \gamma^2 &= \theta^2 + \theta + 1, \\ \gamma^3 &= \theta^3 + 2 = \theta, \\ \gamma^9 &= \theta^3 = \theta + 1, \\ \gamma^{12} &= \gamma^9 \times \gamma^3 = (\theta + 1)\theta = \theta^2 + \theta, \\ \gamma^{13} &= \gamma^{12} \times \gamma = (\theta^2 + \theta)(\theta + 2) = \theta^3 + 2\theta = 1. \end{aligned}$$

Since  $\gamma^{13} = 1$ ,  $\gamma$  is not a primitive element of  $\mathbb{F}_{27}$ .

(c) Determine whether the element  $\delta = \theta^2 \in \mathbb{F}_{27}$  is a normal element of  $\mathbb{F}_{27}$ . (4)

*Solution* We have

$$\begin{aligned} \delta &= \theta^2, \\ \delta^3 &= \theta^6 = (\theta + 1)^2 = \theta^2 + 2\theta + 1, \\ \delta^9 &= \theta^6 + 2\theta^3 + 1 = (\theta^2 + 2\theta + 1) + 2(\theta + 1) + 1 = \theta^2 + \theta + 1, \end{aligned}$$

that is,

$$\begin{pmatrix} \delta \\ \delta^3 \\ \delta^9 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ \theta \\ \theta^2 \end{pmatrix}.$$

The transformation matrix has non-zero determinant  $1 - 2 \equiv 2 \pmod{3}$ , so  $\delta$  is a normal element of  $\mathbb{F}_{27}$ .

6. Take an extension field  $\mathbb{F}_q = \mathbb{F}_{p^n}$  for a prime  $p$  and for  $n \geq 2$ . Suppose that  $p$  is small, so the basic arithmetic operations in  $\mathbb{F}_p$  can be assumed to run in  $O(1)$  time. Let  $\theta_0, \theta_1, \theta_2, \dots, \theta_{n-1}$  constitute an arbitrary  $\mathbb{F}_p$ -basis of  $\mathbb{F}_q$ . For all  $i, j \in \{0, 1, 2, \dots, n-1\}$ , write

$$\theta_i \theta_j = \sum_{k=0}^{n-1} t_{i,j,k} \theta_k$$

with  $t_{i,j,k} \in \mathbb{F}_p$ . Suppose that the  $n^3$  elements  $t_{i,j,k}$  are precomputed and stored. Finally, let

$$1 = \sum_{k=0}^{n-1} c_k \theta_k,$$

and suppose that the  $n$  elements  $c_k \in \mathbb{F}_p$  are also precomputed and stored.

Let  $\alpha = a_0 \theta_0 + a_1 \theta_1 + a_2 \theta_2 + \dots + a_{n-1} \theta_{n-1}$ ,  $a_i \in \mathbb{F}_p$ , be an element of  $\mathbb{F}_q^*$  expressed in the given basis. We want to compute the inverse of  $\alpha$  again in the given basis, that is, the element  $\beta = b_0 \theta_0 + b_1 \theta_1 + b_2 \theta_2 + \dots + b_{n-1} \theta_{n-1} \in \mathbb{F}_q^*$  with  $\alpha\beta = 1$ . Fermat's little theorem implies  $\beta = \alpha^{q-2}$ . Since each multiplication in  $\mathbb{F}_q$  can be done by table lookup in  $O(n^3)$  time, and the exponentiation can be done by a square-and-multiply algorithm having  $\log_2(q-2) \approx n \log_2 p$  iterations, the overall running time is  $O(n^4)$ . Propose an  $O(n^3)$ -time algorithm to compute  $\beta = \alpha^{-1}$ . (10)

*Solution* We use linear algebra to solve this problem. We need to determine the unknown quantities  $b_j \in \mathbb{F}_p$ .

1. Since  $\alpha\beta = 1$ , we have

$$\begin{aligned} \sum_{k=0}^{n-1} c_k \theta_k &= \left( \sum_{i=0}^{n-1} a_i \theta_i \right) \left( \sum_{j=0}^{n-1} b_j \theta_j \right) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} a_i b_j \theta_i \theta_j = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \left( a_i b_j \sum_{k=0}^{n-1} t_{i,j,k} \theta_k \right) \\ &= \sum_{k=0}^{n-1} \left( \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} a_i b_j t_{i,j,k} \right) \theta_k = \sum_{k=0}^{n-1} \left[ \sum_{j=0}^{n-1} \left( \sum_{i=0}^{n-1} a_i t_{i,j,k} \right) b_j \right] \theta_k \end{aligned}$$

For all  $j, k \in \{0, 1, 2, \dots, n-1\}$ , we compute  $s_{j,k} = \sum_{i=0}^{n-1} a_i t_{i,j,k}$ .

2. We have the following set of  $n$  linear equations in the variables  $b_0, b_1, b_2, \dots, b_{n-1}$ :

$$\sum_{j=0}^{n-1} s_{j,k} b_j = c_k$$

for  $k = 0, 1, 2, \dots, n-1$ . We solve the system modulo  $p$  to obtain  $b_0, b_1, b_2, \dots, b_{n-1}$ .

Step 1 requires the computation of  $n^2$  elements  $s_{j,k}$  of  $\mathbb{F}_p$ , each involving an  $n$ -fold sum over  $\mathbb{F}_p$ , so this step takes a total of  $O(n^3)$  time. Finally, the  $n \times n$  linear system of equations over  $\mathbb{F}_p$  can be solved in Step 2 by Gaussian elimination using  $O(n^3)$  operations in  $\mathbb{F}_p$ .

**FOR LEFTOVER ANSWERS**

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**FOR LEFTOVER ANSWERS OR ROUGH WORK**

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**FOR ROUGH WORK**

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