# Network Flow 

CS31005: Algorithms-II
Autumn 2020
IIT Kharagpur

## Network Flow

- Models the flow of items through a network
- Example
- Transporting goods through the road/rail/air network
- Flow of fluids (oil, water,..) through pumping stations and pipelines
- Packet transfer in computer networks
- Many others in a variety of fields...
- Has many different versions with wide practical applicability
- We will study the maximum flow problem


## The Maximum Flow Problem

- Input: a directed graph $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ with
- Each edge ( $u, v) \in E$ has a capacity $c(u, v) \geq 0$
- Two distinguished vertices s (source) and t (sink)
- Output: Flow in G , a function $\mathrm{f}: \mathrm{E} \rightarrow \mathrm{R}$ such that
- $0 \leq \mathrm{f}(\mathrm{u}, \mathrm{v}) \leq \mathrm{c}(\mathrm{u}, \mathrm{v})$ for each ( $\mathrm{u}, \mathrm{v}$ ) in E (capacity constraint)
- $\sum_{u \in V,(u, v) \in E} f(u, v)=\sum_{w \in V,(v, w) \in E} f(v, w)$ for all $v$ in $V \backslash\{\mathrm{~s}, \mathrm{t}\} \quad$ (flow conservation constraint)
- Easy to see that this means total flow leaving $s$ must be the total flow entering $t$
- Flow satisfying the two constraints is called a feasible flow
- Value of the flow in the network

$$
|\mathrm{f}|=\sum_{\mathrm{u} \in \mathrm{~V},(\mathrm{~s}, \mathrm{u}) \in \mathrm{E}} \mathrm{f}(\mathrm{~s}, \mathrm{u})=\sum_{\mathrm{u} \in \mathrm{~V},(\mathrm{u}, \mathrm{t}) \in \mathrm{E}} \mathrm{f}(\mathrm{u}, \mathrm{t})
$$

- Maximum Flow Problem: Find a feasible flow f such that the $|\mathrm{f}|$ is maximum among all possible feasible flows
- The assigned flow values on edges can model amount of goods in a transportation network, oil in a pipeline network, packets in a computer network along $\mathrm{road} /$ pipeline/link etc. to maximize the total amount of items moved from a source to a destination


## Example



A maximum flow with $|\mathrm{f}|=23$

## Algorithms for Maximum Flow

- Follows two broad approaches
- The Ford-Fulkerson Method
- Originally proposed by Ford and Fulkerson in 1956
- Actually defines a method, the original paper did not specify any particular implementation of some steps
- Many algorithms proposed later following the method, with specific implementations of steps
- Preflow-Push Method
- Presented by Andrew Goldberg and Robert Tarjan in 1986 (ACM STOC, later detailed journal version in JACM in 1988)
- A totally different approach from the Ford-Fulkerson methods


## Ford-Fulkerson Method

- Before starting the algorithm, we first give an equivalent modelling of the problem by
- Extending the domain of capacity c and flow f to $\mathrm{V} \times \mathrm{V}$ (instead of keeping to E only)
- Modifying the constraints appropriately
- Capacity c: $\mathrm{V} \times \mathrm{V} \rightarrow \mathrm{R}$ such that $\mathrm{c}(\mathrm{u}, \mathrm{v})=0$ if $(\mathrm{u}, \mathrm{v})$ not in E
- Flow $\mathrm{f}: \mathrm{V} \times \mathrm{V} \rightarrow \mathrm{R}$ satisfying:
- Capacity constraint: For all $u, v \in V, f(u, v) \leq c(u, v)$
- Skew symmetry: For all $u, v \in V, f(u, v)=-f(v, u)$
- Flow conservation: For all $u \in V-\{s, t\}, \sum_{v \in V} f(u, v)=0$

The value of the flow $f$ is defined to be $|f|=\sum_{v \in V} f(s, v)$ The maximum flow problem is to find the flow with maximum value (same as before)

- What does this mean? Consider different possibilities for a pair (u,v)
- None of the edges $(u, v)$ or $(v, u)$ exist
- So $\mathrm{c}(\mathrm{u}, \mathrm{v})=\mathrm{c}(\mathrm{v}, \mathrm{u})=0$
- So $f(u, v)=f(v, u)$ must be 0 as otherwise capacity constraint and skew symmetry are violated
- Only one of the edges exist (say (u,v))
- So $\mathrm{c}(\mathrm{u}, \mathrm{v}) \geq 0$ and $\mathrm{c}(\mathrm{v}, \mathrm{u})=0$
- If $f(u, v)=0$, then $f(v, u)=0$ (skew symmetry)
- If $\mathrm{f}(\mathrm{u}, \mathrm{v})>0$, then $\mathrm{f}(\mathrm{v}, \mathrm{u})<0$ (skew symmetry)
- If $\mathrm{f}(\mathrm{u}, \mathrm{v})<0$ then $\mathrm{f}(\mathrm{v}, \mathrm{u})>0$ (skew symmetry), But this violates capacity constraint for ( $v, u)$. So $f(u, v)$ cannot be negative
- Both the edges $(u, v)$ and $(v, u)$ exist
- So $c(u, v) \geq 0$ and $c(v, u) \geq 0$
- So seems like both $f(u, v)$ and $f(v, u)$ can be positive (by capacity constraint)
- But that would break skew symmetry, so both cannot be positive
- The way to think about it is to consider the "net flow"
- If you ship 20 units from A to $B$ and ship 5 units from B to A, the net flow into $B$ is not 20 , it is $20-5=15$. Similarly the net flow into A is not 5 , but $(-20)+5=-15$, indicating it is actually an outflow
- In general, for any two vertices $u$, $v$, if $f(u, v)>0$, then $\mathrm{f}(\mathrm{v}, \mathrm{u})$ must be $<0$ (skew symmetry)


## Example



$$
\begin{aligned}
& \mathrm{f}(\mathrm{~s}, \mathrm{u})=9, \quad \mathrm{f}(\mathrm{u}, \mathrm{~s})=-9 \\
& \mathrm{f}(\mathrm{~s}, \mathrm{v})=7, \quad \mathrm{f}(\mathrm{v}, \mathrm{~s})=-7 \\
& \mathrm{f}(\mathrm{u}, \mathrm{w})=7, \quad \mathrm{f}(\mathrm{w}, \mathrm{u})=-7 \\
& \mathrm{f}(\mathrm{u}, \mathrm{v})=4-2=2 \\
& \mathrm{f}(\mathrm{v}, \mathrm{u})=2-4=-2 \\
& \mathrm{f}(\mathrm{v}, \mathrm{x})=9, \quad \mathrm{f}(\mathrm{x}, \mathrm{v})=-9 \\
& \mathrm{f}(\mathrm{w}, \mathrm{v})=0, \quad \mathrm{f}(\mathrm{v}, \mathrm{w})=0 \\
& \mathrm{f}(\mathrm{u}, \mathrm{x})=0, \mathrm{f}(\mathrm{x}, \mathrm{u})=0 \\
& \text { similar for other pairs in } \mathrm{V} \times \mathrm{V}
\end{aligned}
$$

- With our new definition of flow, we will represent the graph to show $f$ values on edges in red (not necessarily actual shipments)
- Also, we will only show positive $f$ values on the edges of the graph
- So for edges ( $\mathrm{v}, \mathrm{u}$ ) and ( $\mathrm{w}, \mathrm{v}$ ), we do not show the f values because $f(\mathrm{v}, \mathrm{u})=-2$ and $\mathrm{f}(\mathrm{w}, \mathrm{v})=0$

- Did we lose anything from the earlier model?
- For edges $(\mathrm{u}, \mathrm{v})$ and $(\mathrm{v}, \mathrm{u})$ (i.e for the case when edges exist in both direction between a pair of vertices), we are now representing only the net flow, not how exactly the net flow is achieved
- For example, the net flow of 2 from $u$ to $v$ could have been achieved in different ways like "ship 6 units from $u$ to $v$ and 4 units from $v$ to u", "ship 2 units from $u$ to $v$ and 0 units from v to $u ", \ldots$.
- So this model is not exactly equivalent to the model we had,
- For the earlier model, actual shipments are the flow $f$
- but ok as in practice as no need to ship in both directions
- If you have edge only in one direction, f will show the actual shipment


## Residual Network

- Let f be a flow in a flow network $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ with source s and sink t .
- Residual capacity of ( $u, v$ ) $=$ amount of additional flow that can be pushed from a node $u$ to node $v$ before exceeding the capacity $\mathrm{c}(\mathrm{u}, \mathrm{v})$

$$
\mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})=\mathrm{c}(\mathrm{u}, \mathrm{v})-\mathrm{f}(\mathrm{u}, \mathrm{v})
$$

- The residual graph of $G$ induced by $f$ is $G_{f}=\left(V, E_{f}\right)$, where

$$
\mathrm{E}_{\mathrm{f}}=\left\{(\mathrm{u}, \mathrm{v}) \in \mathrm{V} \times \mathrm{V}: \mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})>0\right\}
$$

Edges of the residual graph are called residual edges, with capacity $\mathrm{c}_{\mathrm{f}}$

- Augmenting path: a simple path from source s to sink t in the residual graph $\mathrm{G}_{\mathrm{f}}$
- Residual capacity of an augmenting path p

$$
\mathrm{c}_{\mathrm{f}}(\mathrm{p})=\min \left\{\mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v}):(\mathrm{u}, \mathrm{v}) \text { is on } \mathrm{p}\right\}
$$

$\mathrm{c}_{\mathrm{f}}(\mathrm{p})$ gives the maximum amount by which the flow on each edge in the path p can be increased

## Example

- Residual capacities:


$$
\begin{array}{ll}
\mathrm{c}_{\mathrm{f}}(\mathrm{~s}, \mathrm{u})=16-9=7, & \mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{~s})=0-(-9)=9 \\
\mathrm{c}_{\mathrm{f}}(\mathrm{~s}, \mathrm{v})=13-7=6, & \mathrm{c}_{\mathrm{f}}(\mathrm{v}, \mathrm{~s})=0-(-7)=7 \\
\mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})=10-2=8, & \mathrm{c}_{\mathrm{f}}(\mathrm{v}, \mathrm{u})=4-(-2)=6 \\
\mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{w})=12-7=5, & \mathrm{c}_{\mathrm{f}}(\mathrm{w}, \mathrm{u})=0-(-7)=5 \\
\mathrm{c}_{\mathrm{f}}(\mathrm{w}, \mathrm{v})=9-0=9, & \mathrm{c}_{\mathrm{f}}(\mathrm{v}, \mathrm{w})=0-0=0 \\
\mathrm{c}_{\mathrm{f}}(\mathrm{x}, \mathrm{t})=4-4=0, & \mathrm{c}_{\mathrm{f}}(\mathrm{t}, \mathrm{x})=0-0=0
\end{array}
$$

and so on for the other pairs

- For any $\mathrm{a}, \mathrm{b}$ in $\mathrm{V}, \mathrm{c}_{\mathrm{f}}(\mathrm{a}, \mathrm{b})=0$ if neither $(\mathrm{a}, \mathrm{b})$ nor $(\mathrm{b}, \mathrm{a})$ is an edge (as c and f are both 0 for such pairs), so we do not look at them
- Residual Graph (edges with 0 residual capacity are never shown)

- Note that residual graph may have edges where $G$ did not (shown in color blue)
- It also may NOT have edges where G has one, ex. (x,t)
- The residual capacity of the edge is 0
- Such edges are called saturated
- Augmenting Path - path from stot

- One path shown in bold grey, $<\mathrm{s}, \mathrm{u}, \mathrm{w}, \mathrm{t}>$ with residual capacity $=\min (7,5,8)=5$
- We can increase ("augment") the flow on each edge of the path by 5 to get a new feasible flow with higher value


## Ford-Fulkerson Algorithm

1. Start with a feasible flow f (usually $\mathrm{f}=0$ for all ( $\mathrm{u}, \mathrm{v}$ ))
2. Create the residual graph $\mathrm{G}_{\mathrm{f}}$
3. Find an augmenting path $p$ in $G_{f}$
4. Augment the flow in G
5. Repeat $2-4$ until there is no augmenting path

Ford-Fulkerson-Method $(G, s, t)$
1 initialize flow $f$ to 0
2 while there exists an augmenting path $p$
3 do augment flow $f$ along $p$
4 return $f$

- Augmenting the flow along path p with residual capacity c


## Ford-Fulkerson ( $G, s, t$ )

1 for each edge $(u, v) \in E[G]$
$\begin{array}{lr}2 & \text { do } f[u, v] \\ 3 & f[v, u]\end{array} \leftarrow 0$
4 while there exists a path $p$ from $s$ to $t$ in the residual network $G_{f}$
$5 \quad$ do $c_{f}(p) \leftarrow \min \left\{c_{f}(u, v):(u, v)\right.$ is in $\left.p\right\}$
6
7
8
for each edge $(u, v)$ in $p$

$$
\begin{aligned}
\text { do } f[u, v] & \leftarrow f[u, v]+c_{f}(p) \\
f[v, u] & \leftarrow-f[u, v]
\end{aligned}
$$

- Note that either (u,v) or (v,u) must be an edge in $G$ (or (u.v) cannot be in $\mathrm{G}_{\mathrm{f}}$ )
- If ( $u, v$ ) is an edge, this increases $f(u, v)$
- If $(u, v)$ is not an edge, this actually decreases $f(v, u)$

Residual graph


Flow Assignment





No augmenting path in the residual graph, so stop Maximum Flow $|\mathrm{f}|=23$

## Proof of Correctness

- We first need some definitions
- A cut (S, T) of a flow network $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ is a partition of $V$ into $S$ and $T=V-S$, such that $s \in S$ and $t \in T$
- If f is a flow then the net flow across the cut $(\mathrm{S}, \mathrm{T}), \mathrm{f}(\mathrm{S}, \mathrm{T})$, is the sum of the flows ( $f$ ) of all pairs ( $u, v$ ) with $u$ in $S$ and v in T
- The capacity of the cut $(\mathrm{S}, \mathrm{T}), \mathrm{c}(\mathrm{S}, \mathrm{T})$, is the sum of the capacities of all edges ( $u, v$ ) with $u$ in $S$ and $V$ in $T$
- Of course, $f(S, T) \leq c(S, T)$
- A minimum cut of a network is a cut whose capacity is minimum over all possible cuts of the network

- Consider the cut $(S=\{s, u, v\}, T=\{w, x, t\})$
- $\mathrm{f}(\mathrm{S}, \mathrm{T})=\mathrm{f}(\mathrm{u}, \mathrm{w})+\mathrm{f}(\mathrm{v}, \mathrm{w})+\mathrm{f}(\mathrm{v}, \mathrm{x})$

$$
=8+(-1)+10=17
$$

- $\mathrm{c}(\mathrm{S}, \mathrm{T})=\mathrm{c}(\mathrm{u}, \mathrm{w})+\mathrm{c}(\mathrm{v}, \mathrm{x})=12+14=26$

Lemma 1: Let f be a flow in a network G with source s and sink t , and let $(\mathrm{S}, \mathrm{T})$ be a cut of G . Then the net flow $\operatorname{across}(S, T)$ is $f(S, T)=|f|$.

Proof:

$$
\begin{aligned}
f(S, T) & =f(S, V)-f(S, S) \\
& =f(S, V) \\
& =f(s, V)+f(S-s, V) \\
& =f(s, V) \\
& =|f|
\end{aligned}
$$

Lemma 1 implies that the net flow across any cut is the same (= value of flow).

Corollary 2: The value of any flow $f$ in a flow network $G$ is bounded from above by the capacity of any cut of G , and hence by the capacity of the minimum cut.

Theorem 3 (Max-flow min-cut theorem): If $f$ is a flow in a flow network $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ with source s and sink t , then the following conditions are equivalent:

1. f is a maximum flow in G
2. The residual network $\mathrm{G}_{\mathrm{f}}$ contains no augmenting paths
3. $|\mathrm{f}|=$ capacity of the minimum cut

Proof:
1 implies 2 is obvious, as otherwise $|\mathrm{f}|$ can be increased by increasing the flow along the augmenting path

2 implies 3:
Suppose that $\mathrm{G}_{\mathrm{f}}$ has no augmenting paths. Let
$S=\left\{v \in V\right.$ : there exists a path from $s$ to $v$ in $\left.G_{f}\right\}$ and $\mathrm{T}=\mathrm{V}-\mathrm{S}$.

Then $(S, T)$ is a cut as $s$ is in $S$ and $t$ is not in $S$ as there is no path from s to $t$ in $G_{f}$.
For any $u € S$ and $v \in T$, we have $f(u, v)=c(u, v)$ as
otherwise ( $u, v$ ) is in $G_{f}$, which would mean $v$ is in $S$, which is a contradiction. Therefore, by Lemma $1,|f|=f(S, T)=$ $\mathrm{c}(\mathrm{S}, \mathrm{T})$
3 implies 1: By corollary 2, $|\mathrm{f}| \leq \mathrm{c}(\mathrm{S}, \mathrm{T})$ for all cuts (S,T).
Then, $|\mathrm{f}|=\mathrm{c}(\mathrm{S}, \mathrm{T})$ implies $|\mathrm{f}|$ is a maximum flow.

## Time Complexity

- Original Ford-Fulkerson algorithm does not specify how to find an augmenting path
- Can find in any order
- Assume all capacities are integer
- Let $\mathrm{f}^{*}=$ maximum flow
- Lines 1-3 (Initialization) takes $\mathrm{O}(|\mathrm{E}|)$ time
- No. of times the while loop (no. of times an augmenting path is found) is executed is bounded above by $|\mathrm{f} *|$
- As $|\mathrm{f}|$ increases by at least 1 in each augmentation
- Each iteration of the while loop takes $\mathrm{O}(|\mathrm{E}|)$ time
- So worst case time complexity $\mathrm{O}(|\mathrm{E}||\mathrm{f} *|)$
- This is not polynomial, it is pseudo-polynomial
- This bound is tight

(a)

(c)


## Edmonds-Karp Algorithm

- Proposed in 1972
- Almost same as Ford-Fulkerson
- Main difference: Uses BFS to find augmenting paths in residual graph instead of DFS
- You can prove that
- If the Edmonds-Karp algorithm is run on a flow network $\mathrm{G}=$ (V, E) with source $s$ and sink $t$, then for all vertices $v \in V-\{s$, $\mathrm{t}\}$, the shortest distance $\delta_{f}(\mathrm{~s}, \mathrm{v})$ in the residual network $\mathrm{G}_{\mathrm{f}}$ increases monotonically with each flow augmentation
- The total number of flow augmentations performed by the Edmonds-Karp algorithm is O (VE)
- This gives time complexity of Edmonds-Karp as $\mathrm{O}\left(\mathrm{VE}^{2}\right)$, as BFS can be done in $\mathrm{O}(\mathrm{E})$


## What if there are multiple sources and sink?

- Suppose there are multiple sources $\mathrm{s}_{1}, \mathrm{~s}_{2}, \mathrm{~s}_{3}, . . \mathrm{s}_{\mathrm{p}}$ and multiple sinks $\mathrm{t}_{1}, \mathrm{t}_{2}, \mathrm{t}_{3}, \ldots . \mathrm{t}_{\mathrm{q}}$
- How do we maximize the sum of the flows from all the sources to all the sinks?
- Can easily use the standard maximum flow problem
- Add a "supersource" $s$ with edge $\left(s, s_{j}\right)$ from $s$ to all sources $s_{j}$ with capacity $\infty$
- Add a "supersink" $t$ with edge $\left(\mathrm{t}_{\mathrm{j}}, \mathrm{t}\right)$ from all sinks $\mathrm{t}_{\mathrm{j}}$ to t with capacity $\infty$
- Solve the maximum flow problem with $s$ as source and $t$ as sink



## Application: Maximum Cardinality Bipartite Matching

- Bipartite Graph: an undirected graph $G=(V, E)$ such that the vertex set can be partitioned $V=L \cup R$ where L and R are disjoint and there is no edge between two vertices in $L$ or two vertices in $R$
- A matching in an undirected graph $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ is a subset of edges $\mathrm{M} \subseteq \mathrm{E}$, such that for all vertices $\mathrm{v} \in \mathrm{V}$, at most one edge of M is incident on v .
- A maximum cardinality matching is a matching with maximum number of edges among all possible matchings
- Also simply called maximum matching for unweighted graphs

(a)A matching with cardinality 2
(b) A maximum matching with cardinality 3
- Given the undirected bipartite graph $G=(V, E)$ with partitions $L$ and $R$, create a flow network $G^{\prime}=\left(V^{\prime}, E^{\prime}\right)$ as follows
- Add two new vertices $\mathrm{s}, \mathrm{t}$. $\mathrm{So}^{\prime} \mathrm{V}^{\prime}=\mathrm{V} \boldsymbol{U}\{\mathrm{s}, \mathrm{t}\}$
- For each node $u$ in $L$, add a directed edge $(s, u)$ with capacity 1 to E'
- For each node v in R , add a directed edge ( $\mathrm{v}, \mathrm{t}$ ) with capacity 1 to E'
- For each edge $(u, v)$ in $E$ with $u$ in $L$ and $v$ in $R$, add a directed edge ( $u, v$ ) with capacity 1 to $E^{\prime}$



All capacities are 1

- Now solve the maximum flow problem from $s$ to $t$ in $G^{\prime}$
- The edges of G with corresponding edges in G' with flow $=1$ correspond to the maximum matching


Maximum flow found


Corresponding Maximum Matching

## Application: Edge Connectivity

- Given an undirected graph $G=(\mathrm{V}, \mathrm{E})$, edge connectivity of $G$ is the minimum number of edges that have to be removed to disconnect the graph
- A graph is called k-edge-connected if its edge connectivity is at least k
- Problem: Find the edge connectivity of a given undirected graph
- Important practical problem in various forms for different types of network design
- Example: to avoid disruption in a computer network, need to ensure that a small number of link failures cannot disconnect the network
- We will use the maximum flow problem
- We know that the maximum flow is equal to the capacity of the minimum ( $\mathrm{S}, \mathrm{T}$ ) cut
- So if we set all capacities to 1 , the maximum flow value gives the minimum number of edges that goes across any cut ( $\mathrm{S}, \mathrm{T}$ ), and so, the minimum number of edges that needs to be removed so that there is no path from $s$ to $t$
- But the flow network is a directed graph, we need to solve it for an undirected graph
- Easy. Maximum flow algorithms work on undirected graphs simply by converting it first to a directed graph, with each undirected edge replaced by two directed edges
- We also need to consider disconnection of any two vertices, not just two specified ones like $s$ and $t$
- So (u,v)-cuts for any two vertices $u$ and $v$
- Simple solution:
- For each pair of vertices ( $u, v$ ), set $s=u, t=v$ and find the minimum cut size by solving the maximum flow problem
- Take the minimum over all (u,v) pairs
- Time complexity $=$ no. of distinct pairs $\times$ max-flow time $=\mathrm{O}\left(|\mathrm{V}|^{2}\right) \times \mathrm{O}\left(|\mathrm{V}||\mathrm{E}|^{2}\right)$ (using Edmonds-
Karp)

$$
=\mathrm{O}\left(|\mathrm{~V}|^{3}|\mathrm{E}|^{2}\right)
$$

- Can do better, no need to consider all pairs

Input: Connected graph $G=(\mathrm{V}, \mathrm{E})$
choose any vertex $p$ in $V$
min_size $=|E|$
for all vertices $q \neq p$ do
find maxflow $M$ in directed graph $G^{\prime}=\left(V, E^{\prime}\right)$

$$
\begin{aligned}
& \text { where } E^{\prime}=\{(u, v),(v, u) \mid(u, v) \text { in } E\} \\
& s=p, t=q, \text { and all capacities }=1
\end{aligned}
$$

min_size $=\min ($ min_size, $M)$
edge connectivity of $G=$ min_size

Why is it sufficient to just find edge-connnectivity between a fixed $p$ and all other vertices (and not between all pairs of vertices)?
Time Complexity $=\left(|\mathrm{V}|^{2}|\mathrm{E}|^{2}\right) \quad$ (using Edmonds-Karp)

## Preflow-Push Method

- Also called Push-Relabel method as it is based on two basic operations, push and relabel
- Main difference from Ford-Fulkerson based algorithms
- Do not need to maintain the flow-conservation property throughout the execution
- Total inflow at a vertex can be greater than total outflow from it in intermediate steps
- But in the final solution, they must be the same as before
- Constraints satisfied by $\mathrm{f}: \mathrm{V} \times \mathrm{V} \rightarrow \mathrm{R}$ in intermediate steps of preflow-push:
- Capacity constraint : For all $\mathrm{u}, \mathrm{v} \in \mathrm{V}, \mathrm{f}(\mathrm{u}, \mathrm{v}) \leq \mathrm{c}(\mathrm{u}, \mathrm{v})$ (same as before)
- Skew symmetry : For all $u, v \in V, f(u, v)=-f(v, u)$ (same as before)
- Flow constraint: For all $\mathrm{v} \in \mathrm{V}-\{\mathrm{s}\}, \sum_{\mathrm{u} \in \mathrm{V}} \mathrm{f}(\mathrm{u}, \mathrm{v}) \geq 0$ (Relaxed, allows net flow into v to be greater than 0 )
- Excess flow into $v, e(v)=$ net flow into $v=\sum_{v \in V} f(u, v)$
- A vertex is called active or overflowing if $\mathrm{e}(\mathrm{v})>0$
- f is called a preflow


## An Example Preflow



- $\mathrm{e}(\mathrm{u})=2$ (active)
- $e(v)=4$ (active)
- $\mathrm{e}(\mathrm{w})=2$ (active)
- $e(x)=0$


## Basic Idea

- Think of the vertices at different heights
- Initially s is at height $|\mathrm{V}|$ and all others at height 0
- Think that each vertex has an arbitrarily large temporary storage
- Flow is pushed only downhill, from a vertex with higher height to a vertex with lower height
- Start the algorithm by pushing as much flow as possible from s to all its outgoing edges (i.e., push up to capacity of each edge from s)
- Initial preflow
- The flow pushed first gets stored in the storage of the vertices at the other end


## Initial Preflow



- $e(u)=16$ (active)
- $e(v)=13$ (active)
- $e(w)=0$
- $e(x)=0$
- Any other vertex u pushes this flow along each edge whenever possible (if the vertex $v$ at the other end of the edge is at a lower height, i.e, is downhill, and the edge ( $u, v$ ) is not saturated)
- PUSH operation
- What if no such vertex $v$ is found?
- All vertices at the other end of outgoing edges have height $\geq$ this node's height
- In this case, increase vertex u's height by $1+$ minimum height of any vertex at other end of an unsaturated edge
- RELABEL operation
- Continue until flow cannot be pushed forward anymore
- All edges across the minimum cut get saturated
- But now you may have vertices with excess flow left in them
- Push this flow back towards s
- RELABEL to heights greater than $|\mathrm{V}|$
- Eventually all excess flows go out through s (whose height always stays at $|\mathrm{V}|$ )
- The final flow satisfies the flow conservation constraint at each vertex
- So two types of operation, PUSH and RELABEL
- This is why preflow-push method is also called the pushrelabel method


## The Height Function

- The same notion of residual capacity $\mathrm{c}_{\mathrm{f}}$ and residual graph $G_{f}$ as before is also used here
- Given a preflow f , a function $\mathrm{h}: \mathrm{V} \rightarrow \mathrm{N}$ is a height function if it satisfies the following properties:
- $\mathrm{h}(\mathrm{s})=|\mathrm{V}|$
- $\mathrm{h}(\mathrm{t})=0$
- $h(u) \leq h(v)+1$ for any residual edge $(u, v) \in E_{f}$
- It is usually called the distance function, as it gives a lower bound on the distance from $u$ to $t$ in $G_{f}$
- The text uses the term height to relate to downhill-uphill analogy, so let us use it also
- Note that the definition implies that given any preflow f, for any two vertices $u$, $v$, if $h(u)>h(v)+1$, then $(u, v)$ is not an edge in the residual graph $G_{f}$


## PUSH Operation

- PUSH(u,v)

Precondition:

$$
\begin{aligned}
& \mathrm{e}(\mathrm{u})>0 \text { (i.e., } \mathrm{u} \text { is active) } \\
& \mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})>0 \\
& \mathrm{~h}(\mathrm{u})=\mathrm{h}(\mathrm{v})+1
\end{aligned}
$$

Action:
Let $\mathrm{d}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})=\min \left(\mathrm{e}(\mathrm{u}), \mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})\right)$
Push $d_{f}(u, v)$ amount of flow from $u$ to $v$

- PUSH is saturating if $\mathrm{c}_{\mathrm{f}}(\mathrm{u}, \mathrm{v})=0$ after the PUSH, otherwise non-saturating


## $\operatorname{Push}(u, v)$

$1 \triangleright$ Applies when: $u$ is overflowing, $c_{f}(u, v)>0$, and $h[u]=h[v]+1$.
$2 \triangleright$ Action: Push $d_{f}(u, v)=\min \left(e[u], c_{f}(u, v)\right)$ units of flow from $u$ to $v$.
$3 \quad d_{f}(u, v) \leftarrow \min \left(e[u], c_{f}(u, v)\right)$
$4 \quad f[u, v] \leftarrow f[u, v]+d_{f}(u, v)$
$5 \quad f[v, u] \leftarrow-f[u, v]$
$6 \quad e[u] \leftarrow e[u]-d_{f}(u, v)$
$7 e[v] \leftarrow e[v]+d_{f}(u, v)$

## RELABEL Operation

- RELABEL(u)

Precondition:

$$
\begin{aligned}
& \mathrm{e}(\mathrm{u})>0 \text { (i.e., } \mathrm{u} \text { is active }) \\
& \mathrm{h}(\mathrm{u}) \leq \mathrm{h}(\mathrm{v}) \text { for all edges }(\mathrm{u}, \mathrm{v}) \in \mathrm{E}_{\mathrm{f}}
\end{aligned}
$$

Action:

$$
\mathrm{h}(\mathrm{u})=1+\min \left\{\mathrm{h}(\mathrm{v}) \mid(\mathrm{u}, \mathrm{v}) \in \mathrm{E}_{\mathrm{f}}\right\}
$$

- Note that $h(u)$ never decreases for any vertex $u$

Relabel(u)
$1 \triangleright$ Applies when: $u$ is overflowing and for all $v \in V$ such that $(u, v) \in E_{f}$, we have $h[u] \leq h[v]$.
$2 \triangleright$ Action: Increase the height of $u$.
$3 h[u] \leftarrow 1+\min \left\{h[v]:(u, v) \in E_{f}\right\}$

## An Important Property

For any active vertex $u$, either a PUSH or a RELABEL operation must be applicable

- Why?
- If PUSH operation is not applicable, then for all residual $\operatorname{edges}(\mathrm{u}, \mathrm{v}) \in \mathrm{E}_{\mathrm{f}}, \mathrm{h}(\mathrm{u})<\mathrm{h}(\mathrm{v})+1$
- Note that $\mathrm{h}(\mathrm{u})$ cannot be $>$ than $\mathrm{h}(\mathrm{v})+1$ by defn. of $h$
- So $h(u) \leq h(v)$
- But then a RELABEL operation is applicable to $u$


## Generic Preflow-Push Algorithm

| Initialize-Preflow ( $G, s$ ) |  |
| :---: | :---: |
| 1 | for each vertex $u \in V[G]$ |
| 2 | do $h[u] \leftarrow 0$ |
| 3 | $e[u] \leftarrow 0$ |
| 4 | for each edge $(u, v) \in E[G]$ |
| 5 | do $f[u, v] \leftarrow 0$ |
| 6 | $f[v, u] \leftarrow 0$ |
| 7 | $h[s] \leftarrow\|V[G]\|$ |
| 8 | for each vertex $u \in \operatorname{Adj}[s]$ |
| 9 | do $f[s, u] \leftarrow c(s, u)$ |
| 10 | $f[u, s] \leftarrow-c(s, u)$ |
| 11 | $e[u] \leftarrow c(s, u)$ |
| 12 | $e[s] \leftarrow e[s]-c(s, u)$ |

Generic-Push-Relabél $(G)$
1 Initialize-Preflow ( $G, s$ )
2 while there exists an applicable push or relabel operation do select an applicable push or relabel operation and perform it

## Example

Initial Preflow


RELABEL(u)


RELABEL(v)


PUSH(u,w)


PUSH(v,x)


RELABEL(w)


PUSH (w, t)


RELABEL(u)


PUSH(u,v)


RELABEL(x)


PUSH( $\mathrm{x}, \mathrm{t}$ )


RELABEL(v)


PUSH(v,x)


RELABEL(x)


PUSH(x,w)


PUSH (w,t)


RELABEL(v)


PUSH(v,u)


RELABEL(x)


PUSH(x, v)


RELABEL(u)


PUSH(u,v)


RELABEL(v)


PUSH(v,u)


RELABEL(u)


PUSH(u,v)


PUSH(u,x)


RELABEL(x)


PUSH(x,v)


RELABEL(v)


## PUSH(v,s)



No active node, so stop
Maximum flow $|\mathrm{f}|=23$

## Proof of Correctness (Outline)

- Claim 1: Vertex heights never decrease
- PUSH does not change $h$, and RELABEL only increases it
- Claim 2: $\operatorname{PUSH}(\mathrm{u}, \mathrm{v})$ and RELABEL(u) maintain the properties of the height function
- PUSH(u,v) pushes flow along $(\mathrm{u}, \mathrm{v}) \in \mathrm{E}_{\mathrm{f}}$, so there may be two possibilities:
- It may add the edge (v,u) to $\mathrm{E}_{\mathrm{f}}$. Since $\operatorname{PUSH}(\mathrm{u}, \mathrm{v})$ occurred, so $h(u)=h(v)+1$ before the push. PUSH does not change $h$. So $\mathrm{h}(\mathrm{v})=\mathrm{h}(\mathrm{u})-1<\mathrm{h}(\mathrm{u})+1$ after the push, which satisfies the height function property for the edge ( $\mathrm{v}, \mathrm{u}$ )
- It may remove the edge ( $u, v$ ) from $\mathrm{E}_{\mathrm{f}}$. Then the constraint does not apply to ( $\mathrm{u}, \mathrm{v}$ ) anyway (as height function properties apply only for edges in $E_{f}$ )
- RELABEL(u) increases h(u)
- Outgoing edges from $u$ in $G_{f}$ : Just before relabel, $h(u) \leq h(v)$ for any edge $(u, v) \in E_{f}$. Relabel increases $h(u)$ to $1+$ minimum of the $h(v)$ 's. So $h(u) \leq h(v)+1$ for any edge ( $u, v$ ) $\in \mathrm{E}_{\mathrm{f}}$. This satisfies the height function property.
- Incoming edges to $u$ in $G_{f}$ : For any edge $(w, u) \in E_{f}$, just before RELABEL, $\mathrm{h}(\mathrm{w}) \leq \mathrm{h}(\mathrm{u})+1$ (as the height function was satisfied before the operation). So just after RELABEL, $\mathrm{h}(\mathrm{w})<\mathrm{h}(\mathrm{u})+1$ trivially as $\mathrm{h}(\mathrm{u})$ is increased.
- Claim 3: For a preflow $f$, there is no path from $s$ to $t$ in the residual graph $\mathrm{G}_{\mathrm{f}}$
- Can show by contradiction
- Assume that such a path $p$ exists. By the property of the height function, for any edge $(u, v) \in E_{f}, h(u) \leq h(v)+1$. Applying this to successive vertices of the path $p$, it is easy to show that $\mathrm{h}(\mathrm{s}) \leq \mathrm{h}(\mathrm{t})+\mathrm{k}$, where k is the length of the path. But that means $\mathrm{h}(\mathrm{s})$ cannot be $|\mathrm{V}|$, as $\mathrm{h}(\mathrm{t})=0$ and $\mathrm{k}<|\mathrm{V}|$. This is a contradiction.
- Claim 4: PUSH operations maintains the properties of a preflow
- Since PUSH increases flow from $u$ to $v$ by $d_{f}(u, v)=$ $\min \left(e(u), c_{f}(u, v)\right)$ amount, it cannot make $e(u)$ negative or exceed the capacity $c(u, v)$. So the preflow $f$ after the PUSH satisfies the capacity constraint and the flow constraint. It obviously satisfies the skew symmetry constraint (see pseudocode). So if f is a preflow before the PUSH, it remains a preflow after the PUSH

Theorem: If the algorithm terminates, the preflow $f$ at the end is a maximum flow.

## Proof Outline:

- Initial f is a preflow.
- RELABEL operations do not affect flow, so a preflow remains a preflow
- PUSH operations also maintain preflows (Claim 4)
- Termination means for any vertx in $V-\{s, t\}$, PUSH and RELABEL are not applicable, which implies all vertices in $V$ $\{\mathrm{s}, \mathrm{t}\}$ must have excess 0 . So it is a flow, and it will not change (as no more PUSH and RELABEL can be done)
- We know that there is no path from s to $t$ in $G_{f}$ (Claim 3)
- So there is no augmenting path in the residual graph, so by max-flow min-cut theorem, f is a maximum flow.
- Are we done with correctness proof?
- No. We have proved "If" it terminates, $f$ is a maximum flow
- We have not proved that it "does" terminate
- What if there is always one or more vertices with excess $>0$, and an infinite sequence of PUSH and RELABEL operations occur?
- So we have to prove that the algorithm terminates
- We can prove termination by showing that the number of PUSH and the number of RELABEL operations are bounded
- We will omit this proof, will just note that the following can be proved:
- At any time t during the execution of the algorithm, $\mathrm{h}(\mathrm{u}) \leq$ $2|\mathrm{~V}|-1$
- Then, the number of RELABEL operations is bounded by $(2|\mathrm{~V}|-1)(|\mathrm{V}|-2)<2|\mathrm{~V}|^{2}$
- Number of saturating pushes is $<2|\mathrm{~V}||\mathrm{E}|$
- Number of nonsaturating pushes is $\left.\langle 4| \mathrm{V}\right|^{2}(|\mathrm{~V}|+|\mathrm{E}|)$
- Therefore time complexity $=\mathrm{O}\left(|\mathrm{V}|^{2} \mathrm{E}\right)$
- Can implement each PUSH and RELABEL in O(1) time
- Note that the algorithm we presented is "generic" in the sense that it can apply PUSH and RELABELs in any order
- There are different implementations that apply these operations in different specific orders to get better complexity
- Relabel-to-front
- FIFO
- Highest-label

