

CS21003 Algorithms–I, Spring 2017–2018

Class Test 1

08–February–2018

CSE 107/119/120, 07:00pm–08:00pm

Maximum marks: 20

Roll no: \_\_\_\_\_ Name: \_\_\_\_\_

[ Write your answers in the question paper itself. Be brief and precise. Answer all questions.  
If you use any algorithm/result/formula covered in the class, just mention it, do not elaborate. ]

1. Catalan numbers  $C(n)$  are given by the formula  $C(n) = \frac{1}{n+1} \binom{2n}{n}$  for all integers  $n \geq 1$ .

(a) Find a simple closed-form expression for  $C(n+1)/C(n)$  for all  $n \geq 1$ . (3)

*Solution* We have

$$\begin{aligned} C(n+1)/C(n) &= \left( \frac{n+1}{n+2} \right) \binom{2n+2}{n+1} / \binom{2n}{n} \\ &= \left( \frac{n+1}{n+2} \right) \left( \frac{(2n+2)(2n+1)\cdots(n+2)}{(n+1)!} \right) \left( \frac{n!}{(2n)(2n-1)\cdots(n+1)} \right) \\ &= \left( \frac{n+1}{n+2} \right) \left( \frac{(2n+2)(2n+1)}{(n+1)^2} \right) \\ &= \frac{4n+2}{n+2}. \end{aligned}$$

(b) Prove that  $C(n) = O(4^n)$ . (3)

*Solution* For all  $n \geq 1$ , we have  $\frac{C(n+1)}{C(n)} \leq \frac{4n+8}{n+2} = 4$ . It follows that

$$C(n) = \left( \frac{C(n)}{C(n-1)} \right) \left( \frac{C(n-1)}{C(n-2)} \right) \cdots \left( \frac{C(2)}{C(1)} \right) C(1) \leq 4^{n-1} = \left( \frac{1}{4} \right) 4^n.$$

(c) Prove that  $C(n) = \Omega((4 - \varepsilon)^n)$  for any constant  $\varepsilon$  satisfying  $0 < \varepsilon < 3$ . (4)

*Solution*  $\frac{C(n+1)}{C(n)} = \frac{4n+2}{n+2} \geq 4 - \varepsilon$  for all  $n \geq n_0$ , where  $n_0 = \left\lceil \frac{6-2\varepsilon}{\varepsilon} \right\rceil$ . For all  $n \geq n_0$ , we then have

$$C(n) = \left( \frac{C(n)}{C(n-1)} \right) \left( \frac{C(n-1)}{C(n-2)} \right) \cdots \left( \frac{C(n_0+1)}{C(n_0)} \right) C(n_0) \geq (4 - \varepsilon)^{n-n_0} C(n_0) = \left( \frac{C(n_0)}{(4 - \varepsilon)^{n_0}} \right) 4^n.$$

Since  $\varepsilon$  and  $n_0$  are constant, the result follows.

2. Let  $n$  be a positive integer. The *partition number*  $p(n)$  is the count of ways in which  $n$  can be expressed as a sum of positive integers. For example, 5 can be written in seven ways as  $1 + 1 + 1 + 1 + 1$ ,  $2 + 1 + 1 + 1$ ,  $2 + 2 + 1$ ,  $3 + 1 + 1$ ,  $3 + 2$ ,  $4 + 1$ , and 5. Therefore  $p(5) = 7$ . Notice that permuting the summands does not give a new way of expressing  $n$ . For example,  $2 + 2 + 1$ ,  $2 + 1 + 2$  and  $1 + 2 + 2$  are considered the same partition of 5. By convention,  $p(0) = 1$  (0 is the empty sum of zero number of summands). In this exercise, you use dynamic programming to compute  $p(n)$  efficiently.

(a) In order to avoid duplicate permutations of the summands in a given partition, we choose the summands in non-increasing order. For example, if 3 is chosen as a summand, the remaining summands are allowed to be 3, 2, and 1 only. Keeping this in mind, we build a two-dimensional table  $T$  such that  $T[i][j]$  is meant for storing the count of partitions of  $i$ , in which the largest summand allowed is  $j$ . Make a recursive formulation of  $T[i][j]$ . Also supply the initial conditions. (4)

*Solution* If  $i = 0$ , there are no more explorations of choosing summands. If  $1 \leq j \leq i$ , there are two options: we choose all summands  $< j$ , or we choose  $j$  as a summand. Finally, if  $i + 1 \leq j \leq n$ , the maximum summand allowed is  $i$ . These imply the following.

- Initial conditions:  $T[0][j] = 1$  for all  $j = 0, 1, 2, \dots, n$ ,
- Recursive relation 1:  $T[i][j] = T[i][j-1] + T[i-j][j]$  for  $1 \leq j \leq i$ ,
- Recursive relation 2:  $T[i][j] = T[i][i]$  (or  $T[i][j-1]$ ) for  $i + 1 \leq j \leq n$ .

(b) Propose a bottom-up approach of filling the table  $T$ . Do not use memoization. Mention how you finally obtain  $p(n)$  from  $T$ . (4 + 1)

*Solution* The following pseudocode describes the algorithm. The recursion formulas in Part (a) indicate that we can fill  $T$  in the row-major order.

1. For  $j = 0, 1, 2, \dots, n$ , set  $T[0][j] = 1$ .
2. For  $i = 1, 2, 3, \dots, n$ , repeat:
  - (a) For  $j = 1, 2, 3, \dots, i$ , set  $T[i][j] = T[i][j-1] + T[i-j][j]$ .
  - (b) For  $j = i+1, i+2, \dots, n$ , set  $T[i][j] = T[i][i]$  (or  $T[i][j] = T[i][j-1]$ ).

In the count  $p(n)$ , the sum is  $n$ , and the maximum allowed summand is  $n$ . So  $T[n][n]$  is returned as  $p(n)$ .

(c) What is the running time of your algorithm of Part (b)? (1)

*Solution*  $\Theta(n^2)$  (the running time is dominated by the time for building  $T$  which has  $(n+1)^2$  entries, each of which can be computed in  $\Theta(1)$  time).

**FOR ROUGH WORK**

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