CS21003 Algorithms – I, Autumn 2012–13

Class test 2

Maximum marks: 20 Time: 15-Nov-2012 Duration: 1 hour

Roll no:	Name:

[Write your answers in the question paper itself. Be brief and precise. Answer all questions.]

1. Let $S = a_0 a_1 a_2 \dots a_{n-1}$ and $T = b_0 b_1 b_2 \dots b_{m-1}$ be two strings of lengths n and m, respectively. The Levenshtein distance (or edit distance) L(S,T) between S and T is the minimum number of elementary edit operations needed to convert S to T. Three types of elementary edit operations are permitted: insertion of a character (like $algorithm \Rightarrow alogorithm$), deletion of a character ($alogorithm \Rightarrow logorithm$), and replacing one character by another character ($logorithm \Rightarrow logarithm$).

For computing L(S,T), build a two-dimensional table L[i,j] for $-1 \le i \le n-1$ and $-1 \le j \le m-1$. The entry L[i,j] stands for the Levenshtein distance between the prefixes $S[0\ldots i]$ and $T[0\ldots j]$. Write a $\Theta(nm)$ -time algorithm to populate the entire table L in a suitable sequence. The entry L[n-1,m-1] gives the desired distance L(S,T). (**Hint:** Express L[i,j] in terms of L[i-1,j], L[i,j-1] and L[i-1,j-1].) (10)

Solution The boundary conditions are L[i,-1]=i+1 for all $i\geqslant -1$ (we need to make i+1 deletions in $S[0\ldots i]$), and L[-1,j]=j+1 for all $j\geqslant -1$ (j+1) insertions in $S[0\ldots -1]=\epsilon$). For $i,j\geqslant 0$, we have

```
L[i,j] = \min \begin{cases} L[i-1,j]+1, & \left[ \operatorname{Convert} \ a_0a_1 \dots a_{i-1}a_i \ \operatorname{to} \ b_0b_1 \dots b_ja_i, \ \operatorname{and} \ \operatorname{delete} \ a_i. \right] \\ L[i,j-1]+1, & \left[ \operatorname{Convert} \ a_0a_1 \dots a_i \ \operatorname{to} \ b_0b_1 \dots b_{j-1}, \ \operatorname{and} \ \operatorname{append} \ b_j. \right] \\ \left[ \operatorname{If} \ a_i = b_j, \ \operatorname{converting} \ a_0a_1 \dots a_{i-1}a_i \ \operatorname{to} \ b_0b_1 \dots b_{j-1}b_j \ \operatorname{is} \ \operatorname{the} \ \operatorname{same} \ \operatorname{as} \ \operatorname{converting} \ a_0a_1 \dots a_{i-1} \ \operatorname{to} \ b_0b_1 \dots b_{j-1}, \ \operatorname{so} \ t = 0 \ \operatorname{in} \ \operatorname{this} \ \operatorname{case}. \end{cases} \\ L[i-1,j-1]+t. & \left[ \operatorname{If} \ a_i \neq b_j, \ \operatorname{then} \ \operatorname{convert} \ a_0a_1 \dots a_{i-1}a_i \ \operatorname{to} \ b_0b_1 \dots b_{j-1}a_i, \ \operatorname{and} \ \operatorname{replace} \ a_i \ \operatorname{by} \ b_j, \ \operatorname{so} \ t = 1 \ \operatorname{in} \ \operatorname{this} \ \operatorname{case}. \right] \end{cases}
```

To start with, we populate the topmost row and the leftmost column of L using the boundary conditions. Subsequently, we populate the rest of the table in the row-major (or column-major) fashion. This ensures that when L[i,j] is computed, the values L[i-1,j], L[i,j-1] and L[i-1,j-1] are already available.

The pseudocode of an algorithm for computing L(S,T) is given below.

```
 \begin{array}{ll} \text{1. Initialize } L[i,-1]=i+1 \text{ for } i=-1,0,1,2,\ldots,n-1. \\ \text{2. Initialize } L[-1,j]=j+1 \text{ for } j=0,1,2,\ldots,m-1. \\ \text{3. For } i=0,1,2,\ldots,n-1, \text{ repeat: } \{ \\ \text{4. For } j=0,1,2,\ldots,m-1, \text{ repeat: } \{ \\ \text{5. If } (a_i=b_j), \text{ set } t=0, \text{ else set } t=1. \\ \text{6. Set } L[i,j]=\min\left(L[i-1,j]+1,L[i,j-1]+1,L[i-1,j-1]+t\right). \\ \text{7. } \} \text{ /* End of } for \ j \text{ */} \\ \text{8. } \} \text{ /* End of } for \ i \text{ */} \\ \text{9. Return } L[n-1,m-1]. \\ \end{array}
```

2. Let S and T be strings as in Exercise 1. We are given a bound l on the number of errors. We want to compute all positions i in S, for which S[i ... i + k] (for some $k \ge 0$) is at a Levenshtein distance $\le l$ from T. This problem is known as *approximate string matching*, and has applications in spell checking, DNA sequence matching in computational biology, and identifying a multimedia file from a (possibly corrupted) snapshot.

Explain how you can modify the algorithm of Exercise 1 in order to find all the approximate matches (that is, matches with $\leq l$ errors) of T in S. The modified algorithm should run in $\Theta(nm)$ time. (10)

Solution The algorithm of Exercise 1 requires two modifications for solving the approximate string matching problem.

- 1. Change in boundary conditions: Since the approximate match of T can start from any location in S, the characters preceding any matching location do not count in the distance calculation, so we set the leftmost column as L[i, -1] = 0 (instead of i + 1) for all i. The other boundary condition (the topmost row) remains the same.
- 2. Remembering the edit sequences: For $i, j \ge 0$, we need to remember which of the three arguments gives the minimum value during the computation of L[i,j]. We need to track back to the beginning of the match using these markers.

The modified algorithm is given below.

```
1. For i = -1, 0, 1, 2, \dots, n-1, set L[i, -1] = 0.
 2. For j = 0, 1, 2, \dots, m - 1, set L[-1, j] = j + 1.
 3. For i = 0, 1, 2, \dots, n-1, repeat: {
          For j=0,1,2,\ldots,m-1, repeat: \{
 5.
                If (a_i = b_j), set t = 0, else set t = 1.
 6.
                Let u = L[i-1, j] + 1, v = L[i, j-1] + 1 and w = L[i-1, j-1] + t.
 7.
                Set L[i, j] = \min(u, v, w).
 8.
                If (L[i, j] = u), set E[i, j] = \uparrow,
 9.
                else if (L[i, j] = v), set E[i, j] = \leftarrow,
10.
                else set E[i,j] = \nwarrow.
11.
           } /* End of for j */
12.
          If (L[i, m-1] \leq l) {
                Initialize i' = i and j' = m - 1.
13.
                While (L[i', j'] \neq 0), repeat: { /* Backtracking loop */
14.
                     If (E[i', j'] = \uparrow), set i' = i' - 1,
15.
                     else if (E[i',j'] = \leftarrow), set j' = j' - 1,
16.
17.
                     else set i' = i' - 1 and j' = j' - 1.
                } /* End of while */
18.
19.
                Report the approximate match location i' - j'.
20.
           } /* End of if */
21. \} /* End of for i */
```

In this algorithm, the populating of L and E takes a total of $\Theta(nm)$ time. Each iteration in the backtracking loop for each approximate match reduces i' and/or j'. If only i' is reduced, then the value of L[i',j'] also reduces by 1. Therefore, the total number of iterations of each backtracking loop is $\max(m,l)$. We usually have $l\leqslant m-1$ (otherwise, every position in S is an approximate match position), so each backtracking loop runs in O(m) time, and there are at most n executions of the backtracking loop.