

Public-key Cryptography

Theory and Practice

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Chapter 2: Mathematical Concepts

Part 1: Number Theory

Divisibility

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- **Common sets**

$$\mathbb{N} = \{1, 2, 3, \dots\} \quad (\text{Natural numbers})$$

$$\mathbb{N}_0 = \{0, 1, 2, 3, \dots\} \quad (\text{Non-negative integers})$$

$$\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\} \quad (\text{Integers})$$

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- **Notations:** $q = a \text{ quot } b$, $r = a \text{ rem } b$.

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- Extended gcd:** Let $a, b \in \mathbb{Z}$, not both zero. There exist $u, v \in \mathbb{Z}$ such that
$$\gcd(a, b) = ua + vb.$$

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 - If $a \equiv b \pmod{n}$ and $d \mid n$, then $a \equiv b \pmod{d}$.
 - **Cancellation**
 $ab \equiv ac \pmod{n}$ if and only if $b \equiv c \pmod{n/\gcd(a, n)}$.

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- **Theorem:** $a \in \mathbb{Z}_n$ is invertible modulo n if and only if $\gcd(a, n) = 1$. In this case, extended gcd gives $ua + vn = 1$. Then, $u \equiv a^{-1} \pmod{n}$.

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- Example:** $\mathbb{Z}_6 = \{0, 1, 2, 3, 4, 5\}$. We have $\gcd(0, 6) = 6$, $\gcd(1, 6) = 1$, $\gcd(2, 6) = 2$, $\gcd(3, 6) = 3$, $\gcd(4, 6) = 2$, and $\gcd(5, 6) = 1$. So $\mathbb{Z}_6^* = \{1, 5\}$, that is, $\phi(6) = 2$.

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- **Theorem:** Let $n = p_1^{e_1} \cdots p_r^{e_r}$ with distinct primes $p_i \in \mathbb{P}$ and with $e_i \in \mathbb{N}$. Then

$$\phi(n) = n \left(1 - \frac{1}{p_1}\right) \cdots \left(1 - \frac{1}{p_r}\right) = n \prod_{p|n} \left(1 - \frac{1}{p}\right).$$

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- **Chinese remainder theorem (CRT)**
For pairwise coprime moduli n_1, n_2, \dots, n_r with product $N = n_1 n_2 \cdots n_r$, the congruences

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Let $N_i = N/n_i$ and $v_i \equiv N_i^{-1} \pmod{n_i}$. The simultaneous solution is given by

$$x \equiv a_i v_i N_i \pmod{N}.$$

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- $N_1 = n_2 n_3 = 42$, $N_2 = n_1 n_3 = 35$, and $N_3 = n_1 n_2 = 30$.

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- $v_1 \equiv N_1^{-1} \equiv 42^{-1} \equiv 2^{-1} \equiv 3 \pmod{5}$.
 $v_2 \equiv N_2^{-1} \equiv 35^{-1} \equiv 5^{-1} \equiv 5 \pmod{6}$.
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- $v_1 \equiv N_1^{-1} \equiv 42^{-1} \equiv 2^{-1} \equiv 3 \pmod{5}$.
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 $v_3 \equiv N_3^{-1} \equiv 30^{-1} \equiv 2^{-1} \equiv 4 \pmod{7}$.
- The simultaneous solution is

$$\begin{aligned} x &\equiv a_1 v_1 N_1 + a_2 v_2 N_2 + a_3 v_3 N_3 \\ &\equiv 126 + 875 + 360 \equiv 1361 \equiv 101 \pmod{210}. \end{aligned}$$

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- Let $f(x) \in \mathbb{Z}[x]$ be a polynomial of degree $d \geq 2$.
To solve: $f(x) \equiv 0 \pmod{n}$.
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- Solve $f(x) \equiv 0 \pmod{p}$.
- **Hensel lifting**
Let $x \equiv \xi \pmod{p^r}$ be a solution of $f(x) \equiv 0 \pmod{p^r}$.
All solutions of $f(x) \equiv 0 \pmod{p^{r+1}}$ are given by
$$x \equiv \xi + kp^r \pmod{p^{r+1}},$$
where
$$f'(\xi)k \equiv -\frac{f(\xi)}{p^r} \pmod{p}.$$

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- **Example:** $n = 17$, $a = 2$. $a^1 \equiv 2 \pmod{n}$, $a^2 \equiv 4 \pmod{n}$, $a^3 \equiv 8 \pmod{n}$, $a^4 \equiv 16 \pmod{n}$, $a^5 \equiv 15 \pmod{n}$, $a^6 \equiv 13 \pmod{n}$, $a^7 \equiv 9 \pmod{n}$, and $a^8 \equiv 1 \pmod{n}$. So $\text{ord}_{17} 2 = 8$.

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- Theorem:** $a^k \equiv 1 \pmod{n}$ if and only if $\text{ord}_n a \mid k$.

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- Let $n \in \mathbb{N}$ and $a \in \mathbb{Z}_n^*$. Define $\text{ord}_n a$ to be the smallest of the *positive* integers h for which $a^h \equiv 1 \pmod{n}$.
- **Example:** $n = 17$, $a = 2$. $a^1 \equiv 2 \pmod{n}$, $a^2 \equiv 4 \pmod{n}$, $a^3 \equiv 8 \pmod{n}$, $a^4 \equiv 16 \pmod{n}$, $a^5 \equiv 15 \pmod{n}$, $a^6 \equiv 13 \pmod{n}$, $a^7 \equiv 9 \pmod{n}$, and $a^8 \equiv 1 \pmod{n}$. So $\text{ord}_{17} 2 = 8$.
- **Theorem:** $a^k \equiv 1 \pmod{n}$ if and only if $\text{ord}_n a \mid k$.
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- **Example:** 3 is a primitive root modulo the prime $n = 17$:

k	0	1	2	3	4	5	6	7	8	9	10	11	12	13
$3^k \pmod{17}$	1	3	9	10	13	5	15	11	16	14	8	7	4	12

14	15	16
2	6	1

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- **Example:** $n = 2 \times 3^2 = 18$ has a primitive root 5 with order $\phi(18) = 6$:

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- **Example:** $n = 20 = 2^2 \times 5$ does not have a primitive root. We have $\phi(20) = 8$, and the orders of the elements of \mathbb{Z}_{20}^* are $\text{ord}_{20} 1 = 1$, $\text{ord}_{20} 3 = \text{ord}_{20} 7 = \text{ord}_{20} 13 = \text{ord}_{20} 17 = 4$, and $\text{ord}_{20} 9 = \text{ord}_{20} 19 = 2$.

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- **Example:** Take $p = 11$. The quadratic residues are 1, 3, 4, 5, 9 and the non-residues are 2, 6, 7, 8, 10.

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- Let p be an odd prime. Define

$$\left(\frac{a}{p}\right) = \begin{cases} 0 & \text{if } p \mid a, \\ 1 & \text{if } a \text{ is a quadratic residue modulo } p, \\ -1 & \text{if } a \text{ is a quadratic non-residue modulo } p. \end{cases}$$

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- The Jacobi symbol leads to an efficient algorithm for the computation of the Legendre symbol.

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- **Density of smooth integers**

Let x, y be positive real numbers with $x > y$, $u = \ln x / \ln y$, and $\psi(x, y)$ the fraction of positive integers $\leq x$ with all prime factors $\leq y$. For $u \rightarrow \infty$ and $y \geq \ln^2 x$, we have $\psi(x, y) \rightarrow u^{-u+o(u)} = e^{-[(1+o(1))u \ln u]}$.

Part 2: Algebra

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A group $G = (G, \diamond)$ is called **Abelian** or **commutative**, if \diamond is commutative, that is, $a \diamond b = b \diamond a$ for all $a, b \in G$.

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- The set of all bijective function $f : S \rightarrow S$ (for any set S) under composition of functions. This group is not Abelian, in general.

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 - Let $\gcd(a, n) = 1$. The map $\mathbb{Z}_n^* \rightarrow \mathbb{Z}_n^*$ taking $x \mapsto ax \bmod n$ is an automorphism of \mathbb{Z}_n^* .

Cyclic Groups

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- **Extended gcd:** There exist $u(x), v(x) \in K[x]$ such that $\gcd(f(x), g(x)) = u(x)f(x) + v(x)g(x)$. We can choose $u(x), v(x)$ to satisfy $\deg u(x) < \deg g(x)$ and $\deg v(x) < \deg f(x)$.

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- The irreducible polynomial $f(x)$ is called the **defining polynomial** for this representation.

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Let $\mathbb{F}_q = \mathbb{F}_{p^n} = \mathbb{F}_p(\theta)$ with $f(\theta) = 0$.

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- **Inverse:** If $\alpha \neq 0$, then $\gcd(\alpha(x), f(x)) = 1 = u(x)\alpha(x) + v(x)f(x)$ (extended gcd). So $u(\theta)\alpha(\theta) = 1$, that is, $\alpha^{-1} = u(\theta)$.

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- $(\psi + 1)(\psi + 2) + 2(\psi^2 + 1) = 1$, so $\alpha^{-1} = \psi + 2$.

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- Every element in \mathbb{F}_q can be represented uniquely as $a_0\theta + a_1\theta^p + a_2\theta^{p^2} + \cdots + a_{n-1}\theta^{p^{n-1}}$ with each $a_i \in \mathbb{F}_p$.

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- Normal basis representation often speeds up exponentiation in \mathbb{F}_q .

Part 3: Elliptic Curves

The Weierstrass Equation

Let K be a field.

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An **elliptic curve** E over K is defined by the equation:

$$E : y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6, \quad a_i \in K.$$

The curve should be **smooth** (no singularities).

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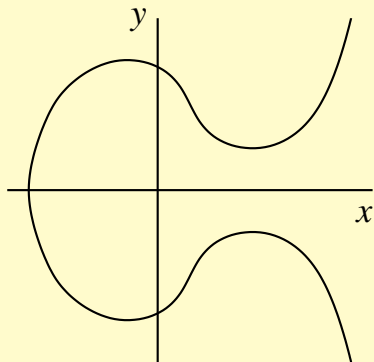
Special forms

- $\text{char } K \neq 2, 3$: $y^2 = x^3 + ax + b, \quad a, b \in K.$
- $\text{char } K \neq 2$: $y^2 = x^3 + b_2x^2 + b_4x + b_6, \quad b_i \in K.$
- $\text{char } K = 2$:

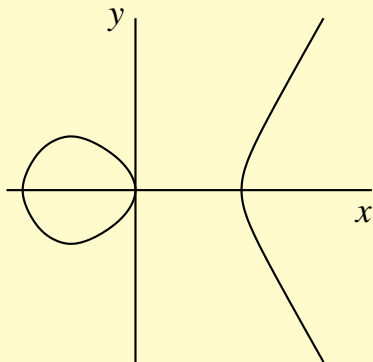
Non-supersingular curve: $y^2 + xy = x^3 + ax^2 + b, \quad a, b \in K.$

Supersingular curve: $y^2 + ay = x^3 + bx + c, \quad a, b, c \in K.$

Elliptic Curves Over \mathbb{R} : Example



(a) $y^2 = x^3 - x + 1$



(b) $y^2 = x^3 - x$

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Point at infinity:

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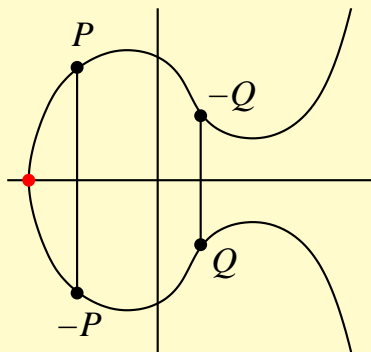
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\mathcal{O} acts as the identity of the group.

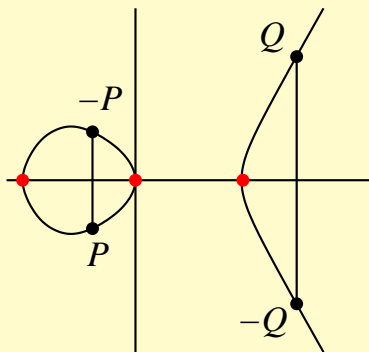
The Opposite of a Point

- Ordinary Points



(a)

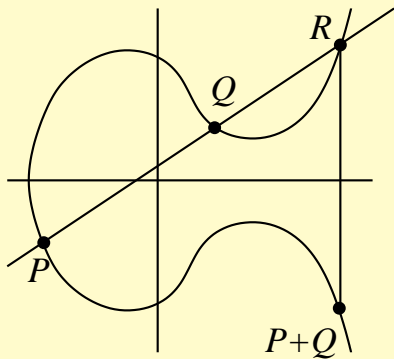
- Special Points



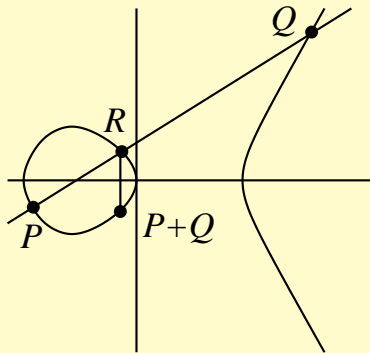
(b)

Addition of Two Points

Chord and tangent rule



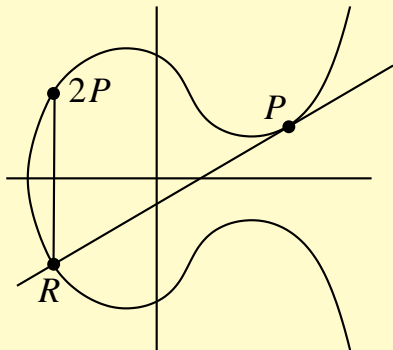
(a)



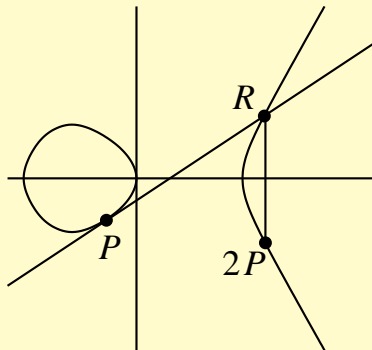
(b)

Doubling of a Point

Chord and tangent rule



(a)



(b)

Addition and Doubling Formulas

Let $P = (h_1, k_1)$ and $Q = (h_2, k_2)$ be finite points.

Assume that $P + Q \neq \mathcal{O}$ and $2P \neq \mathcal{O}$.

Let $P + Q = (h_3, k_3)$ (Note that $P + Q = 2P$ if $P = Q$).

$$E : y^2 = x^3 + ax + b$$

$$-P = (h_1, -k_1)$$

$$h_3 = \lambda^2 - h_1 - h_2$$

$$k_3 = \lambda(h_1 - h_3) - k_1, \text{ where}$$

$$\lambda = \begin{cases} \frac{k_2 - k_1}{h_2 - h_1}, & \text{if } P \neq Q, \\ \frac{3h_1^2 + a}{2k_1}, & \text{if } P = Q. \end{cases}$$

Addition and Doubling in Non-supersingular Curves

$$E : y^2 + xy = x^3 + ax^2 + b \text{ (with char } K = 2).$$

$$\begin{aligned} -P &= (h_1, k_1 + h_1), \\ h_3 &= \begin{cases} \left(\frac{k_1 + k_2}{h_1 + h_2}\right)^2 + \frac{k_1 + k_2}{h_1 + h_2} + h_1 + h_2 + a, & \text{if } P \neq Q, \\ h_1^2 + \frac{b}{h_1^2}, & \text{if } P = Q, \end{cases} \\ k_3 &= \begin{cases} \left(\frac{k_1 + k_2}{h_1 + h_2}\right)(h_1 + h_3) + h_3 + k_1, & \text{if } P \neq Q, \\ h_1^2 + \left(h_1 + \frac{k_1}{h_1} + 1\right)h_3, & \text{if } P = Q. \end{cases} \end{aligned}$$

Addition and Doubling in Supersingular Curves

$$E : y^2 + ay = x^3 + bx + c \text{ (with char } K = 2).$$

$$\begin{aligned} -P &= (h_1, k_1 + a), \\ h_3 &= \begin{cases} \left(\frac{k_1 + k_2}{h_1 + h_2}\right)^2 + h_1 + h_2, & \text{if } P \neq Q, \\ \frac{h_1^4 + b^2}{a^2}, & \text{if } P = Q, \end{cases} \\ k_3 &= \begin{cases} \left(\frac{k_1 + k_2}{h_1 + h_2}\right)(h_1 + h_3) + k_1 + a, & \text{if } P \neq Q, \\ \left(\frac{h_1^2 + b}{a}\right)(h_1 + h_3) + k_1 + a, & \text{if } P = Q. \end{cases} \end{aligned}$$

Elliptic Curves Over Finite Fields

Example 1

Take $K = \mathbb{F}_7$ and $E_1 : y^2 = x^3 + x + 3$.

There are six points in $E_1(\mathbb{F}_7)$: $P_0 = \mathcal{O}$, $P_1 = (4, 1)$, $P_2 = (4, 6)$, $P_3 = (5, 0)$, $P_4 = (6, 1)$ and $P_5 = (6, 6)$.

Multiples of these points

P	$2P$	$3P$	$4P$	$5P$	$6P$	ord P
$P_0 = \mathcal{O}$						1
$P_1 = (4, 1)$	(6, 6)	(5, 0)	(6, 1)	(4, 6)	\mathcal{O}	6
$P_2 = (4, 6)$	(6, 1)	(5, 0)	(6, 6)	(4, 1)	\mathcal{O}	6
$P_3 = (5, 0)$	\mathcal{O}					2
$P_4 = (6, 1)$	(6, 6)	\mathcal{O}				3
$P_5 = (6, 6)$	(6, 1)	\mathcal{O}				3

Elliptic Curves Over Finite Fields

Example 2

Represent $\mathbb{F}_8 = \mathbb{F}_2(\xi)$, where $\xi^3 + \xi + 1 = 0$.

Consider the non-supersingular curve

$E_2 : y^2 + xy = x^3 + x^2 + \xi$ over \mathbb{F}_8 .

There are ten points in $E_2(\mathbb{F}_8)$:

$$\begin{aligned} P_0 &= \mathcal{O}, & P_5 &= (\xi, \xi^2 + \xi), \\ P_1 &= (0, \xi^2 + \xi), & P_6 &= (\xi + 1, \xi^2 + 1), \\ P_2 &= (1, \xi^2), & P_7 &= (\xi + 1, \xi^2 + \xi), \\ P_3 &= (1, \xi^2 + 1), & P_8 &= (\xi^2 + \xi, 1), \\ P_4 &= (\xi, \xi^2), & P_9 &= (\xi^2 + \xi, \xi^2 + \xi + 1). \end{aligned}$$

Elliptic Curves Over Finite Fields

Example 2 (contd.)

P	$2P$	$3P$	$4P$	$5P$	$6P$	$7P$	$8P$	$9P$	$10P$	ord P
P_0										1
P_1	\mathcal{O}									2
P_2	P_7	P_6	P_3	\mathcal{O}						5
P_3	P_6	P_7	P_2	\mathcal{O}						5
P_4	P_3	P_9	P_6	P_1	P_7	P_8	P_2	P_5	\mathcal{O}	10
P_5	P_2	P_8	P_7	P_1	P_6	P_9	P_3	P_4	\mathcal{O}	10
P_6	P_2	P_3	P_7	\mathcal{O}						5
P_7	P_3	P_2	P_6	\mathcal{O}						5
P_8	P_6	P_4	P_2	P_1	P_3	P_5	P_7	P_9	\mathcal{O}	10
P_9	P_7	P_5	P_3	P_1	P_2	P_4	P_6	P_8	\mathcal{O}	10

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Let E be an elliptic curve defined over $\mathbb{F}_q = \mathbb{F}_{p^n}$.

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Note: $E(\mathbb{F}_q)$ is not necessarily cyclic.

Hyperelliptic Curves

A **hyperelliptic curve** of **genus** $g \in \mathbb{N}$ over a field K is defined by the equation:

$$y^2 + u(x)y = v(x),$$

where $u(x), v(x) \in K[x]$, $v(x)$ is monic, $\deg u(x) \leq g$, and $\deg v(x) = 2g + 1$.

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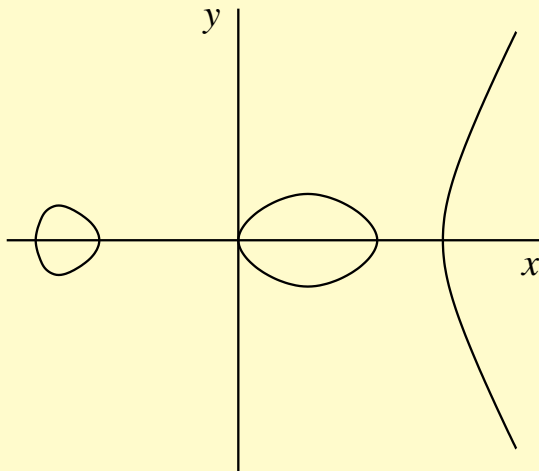
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- The curve must be smooth (no points of singularity).
- If $\text{char } K \neq 2$, then the equation can be simplified to

$$y^2 = v(x)$$

with $v(x) \in K[x]$ monic of degree $2g + 1$.

Hyperelliptic Curves: Example



A hyperelliptic curve over \mathbb{R} : $y^2 = x(x^2 - 1)(x^2 - 2)$

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- For hyperelliptic curves of genus ≥ 2 , the chord-and-tangent rule holds no longer.
- The hyperelliptic curve group is also used in cryptography.