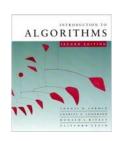
CS60020: Foundations of Algorithm Design and Machine Learning

Sourangshu Bhattacharya



Why study algorithms and performance?

- Algorithms help us to understand *scalability*.
- Performance often draws the line between what is feasible and what is impossible.
- Algorithmic mathematics provides a *language* for talking about program behavior.
- Performance is the *currency* of computing.
- The lessons of program performance generalize to other computing resources.
- Speed is fun!



The problem of sorting

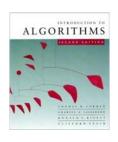
Input: sequence $\langle a_1, a_2, ..., a_n \rangle$ of numbers.

Output: permutation $\langle a'_1, a'_2, ..., a'_n \rangle$ such that $a'_1 \le a'_2 \le \cdots \le a'_n$.

Example:

Input: 8 2 4 9 3 6

Output: 2 3 4 6 8 9



Insertion sort

"pseudocode"

```
INSERTION-SORT (A, n) A[1 ... n]

for j \leftarrow 2 to n

do key \leftarrow A[j]

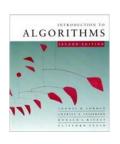
i \leftarrow j - 1

while i > 0 and A[i] > key

do A[i+1] \leftarrow A[i]

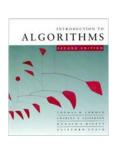
i \leftarrow i - 1

A[i+1] = key
```



Insertion sort

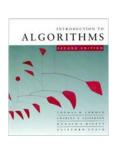
 $\triangleleft A[1 \dots n]$ INSERTION-SORT (A, n)for $j \leftarrow 2$ to n**do** $key \leftarrow A[j]$ $i \leftarrow j - 1$ "pseudocode" while i > 0 and A[i] > key $\operatorname{do} A[i+1] \leftarrow A[i]$ $i \leftarrow i - 1$ A[i+1] = keynA: sorted

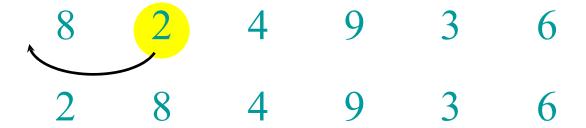


8 2 4 9 3 6



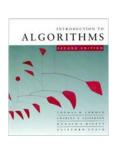


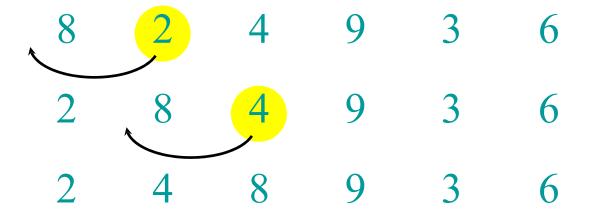


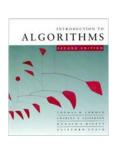


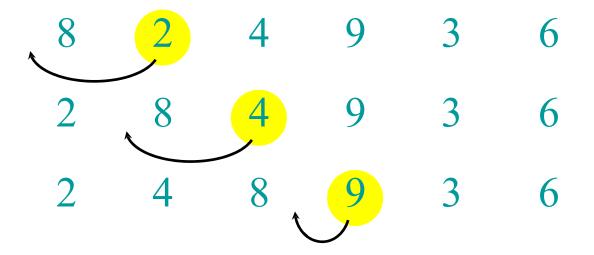




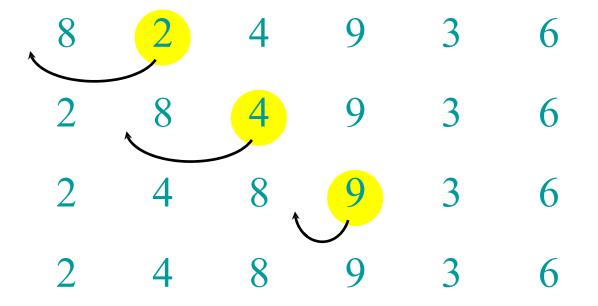




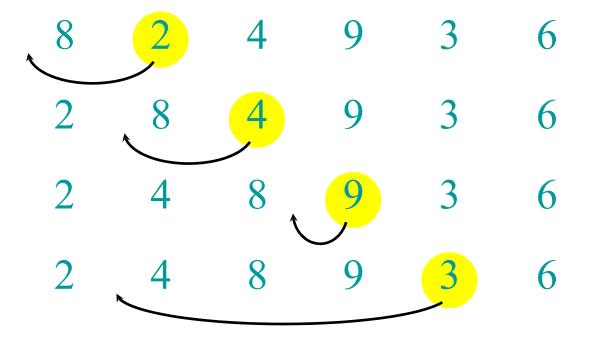




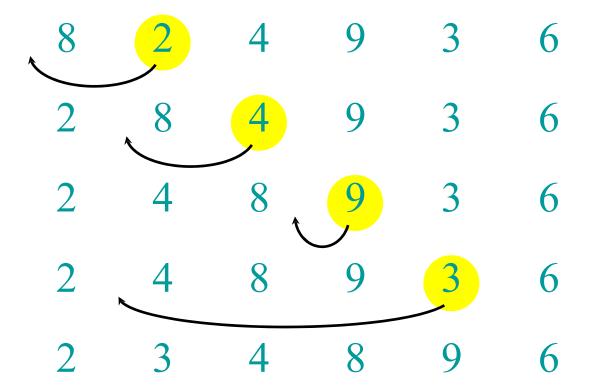




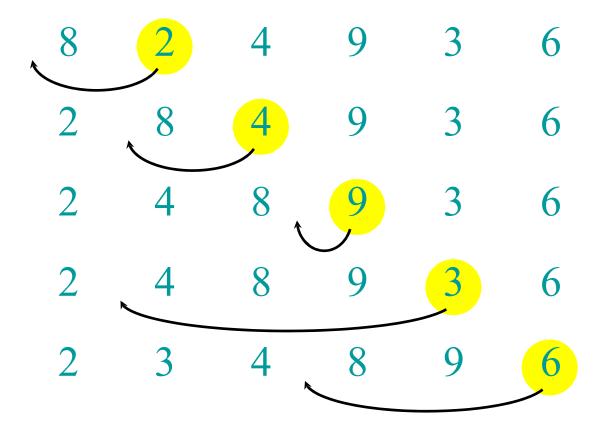


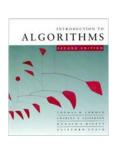


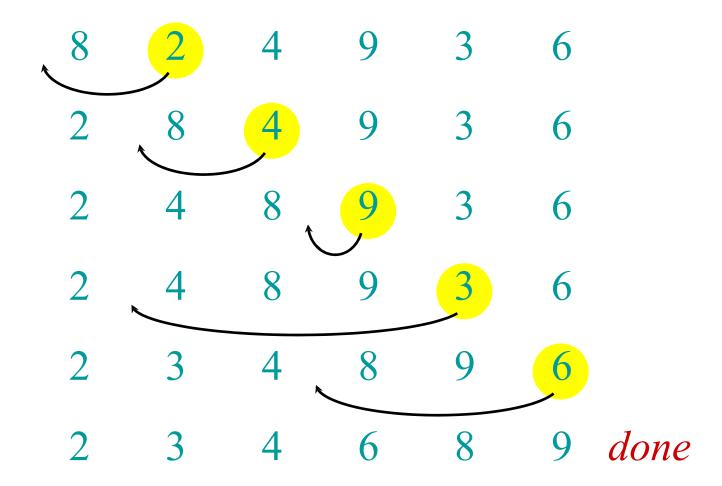














Running time

- The running time depends on the input: an already sorted sequence is easier to sort.
- Parameterize the running time by the size of the input, since short sequences are easier to sort than long ones.
- Generally, we seek upper bounds on the running time, because everybody likes a guarantee.



Kinds of analyses

Worst-case: (usually)

• T(n) = maximum time of algorithm on any input of size n.

Average-case: (sometimes)

- T(n) = expected time of algorithm over all inputs of size n.
- Need assumption of statistical distribution of inputs.

Best-case: (bogus)

• Cheat with a slow algorithm that works fast on *some* input.



Machine-independent time

What is insertion sort's worst-case time?

- It depends on the speed of our computer:
 - relative speed (on the same machine),
 - absolute speed (on different machines).

BIG IDEA:

- Ignore machine-dependent constants.
- Look at *growth* of T(n) as $n \to \infty$.

"Asymptotic Analysis"



Θ-notation

Math:

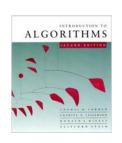
```
\Theta(g(n)) = \{ f(n) : \text{there exist positive constants } c_1, c_2, \text{ and} 

n_0 \text{ such that } 0 \le c_1 g(n) \le f(n) \le c_2 g(n) 

for all n \ge n_0 \}
```

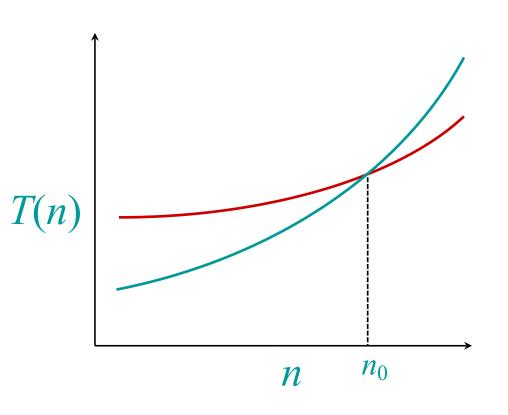
Engineering:

- Drop low-order terms; ignore leading constants.
- Example: $3n^3 + 90n^2 5n + 6046 = \Theta(n^3)$



Asymptotic performance

When *n* gets large enough, a $\Theta(n^2)$ algorithm *always* beats a $\Theta(n^3)$ algorithm.



- We shouldn't ignore asymptotically slower algorithms, however.
- Real-world design situations often call for a careful balancing of engineering objectives.
- Asymptotic analysis is a useful tool to help to structure our thinking.



Insertion sort analysis

Worst case: Input reverse sorted.

$$T(n) = \sum_{j=2}^{n} \Theta(j) = \Theta(n^2)$$
 [arithmetic series]

Average case: All permutations equally likely.

$$T(n) = \sum_{j=2}^{n} \Theta(j/2) = \Theta(n^2)$$

Is insertion sort a fast sorting algorithm?

- Moderately so, for small *n*.
- Not at all, for large *n*.

Analysis

```
INSERTION-SORT (A)
                                                   times
                                           cost
   for j = 2 to A. length
                                           c_1
2 	 key = A[j]
                                           c_2 \qquad n-1
     // Insert A[j] into the sorted
          sequence A[1..j-1].
                                           0
                                                   n-1
                                           c_4 \qquad n-1
    i = j - 1
                                           c_5 \qquad \sum_{j=2}^n t_j
      while i > 0 and A[i] > key
                                           c_6 \qquad \sum_{j=2}^n (t_j - 1)
         A[i+1] = A[i]
                                           c_7 \qquad \sum_{i=2}^n (t_i - 1)
     i = i - 1
  A[i+1] = key
                                                   n-1
                                           C_8
```