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## Problem Set 5

### Hash Functions, Sub-Gaussian Random Variables, Hoeffding Bound

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1. For any family of hash functions from  $X$  to  $Y$  where  $|X| = m$ ,  $|Y| = n$ , show that for  $h$  chosen at random from the family, there exists a pair of elements  $x, x' \in X$  such that

$$\Pr[h(x) = h(x')] \geq \frac{1}{n} - \frac{1}{m},$$

irrespective of the distribution according to which  $h$  is chosen.

2. (a) Let  $X$  and  $Y$  be numbers that are chosen independently and uniformly at random from  $\mathbb{Z}_{n+1}$ . Let  $Z = X + Y \bmod (n + 1)$ . Show that  $X, Y, Z$  are pairwise independent but not independent.
- (b) Extend this example to give a collection of random variables that are  $k$ -wise independent but not  $(k + 1)$ -wise independent.
3. Suppose we are given  $m$  vectors  $\vec{v}_1, \dots, \vec{v}_m \in \{0, 1\}^\ell$  such that any  $k$  of the  $m$  vectors are linearly independent modulo 2. Let  $\vec{v}_i = (v_{i,1}, v_{i,2}, \dots, v_{i,\ell})$ . Let  $\vec{u}$  be chosen uniformly at random from  $\{0, 1\}^\ell$  and let  $X_i = \sum_{j=1}^{\ell} v_{i,j} u_j \bmod 2$ . Show that the  $X_i$  are uniform  $k$ -wise independent bits.
4. Suppose that Alice and Bob secretly agree on a hash function  $h$  from a 2-universal family  $\mathcal{H} = \{h : \mathcal{M} \rightarrow \mathbb{Z}_p\}$  of hash functions, where  $p$  is a prime. Later, Alice sends a message  $m$  to Bob over the Internet, where  $m \in \mathcal{M}$ . She authenticates this message to Bob by also sending an authentication tag  $t = h(m)$ , and Bob checks that the pair  $(m, t)$  he receives satisfies  $t = h(m)$ . Suppose that an adversary intercepts  $(m, t)$  en route and tries to fool Bob by replacing the pair with a different pair  $(m', t')$ . Argue that the probability that the adversary succeeds in fooling Bob into accepting  $(m', t')$  is at most  $1/p$ , no matter how much computing power the adversary has, even if the adversary knows the family  $\mathcal{H}$  of hash functions used.
5. Prove the following variants of Hoeffding bound.

- (a) Let  $X_1, X_2, \dots, X_n$  be independent random variables with  $\mathbf{E}[X_i] = \mu_i$  and  $\Pr[a_i \leq X_i \leq b_i] = 1$  (i.e.,  $X_i$  is a bounded random variable with lower bound  $a_i$  and upper bound  $b_i$ ) where  $a_i, b_i$  are constants. Then

$$\Pr \left[ \left| \sum_{i=1}^n X_i - \sum_{i=1}^n \mu_i \right| \geq \epsilon \right] \leq 2e^{-2\epsilon^2 / \sum_{i=1}^n (b_i - a_i)^2}.$$

**Hint:** Use the Hoeffding inequality discussed in class and the fact that bounded random variables are sub-Gaussian (show this!).

- (b) Let  $X_1, X_2, \dots, X_n$  be independent random variables with  $\mathbf{E}[X_i] = \mu$  for all  $i \in [n]$  and  $\Pr[a \leq X_i \leq b] = 1$ . Then

$$\Pr \left[ \left| \frac{1}{n} \sum_{i=1}^n X_i - \mu \right| \geq \epsilon \right] \leq 2e^{-2n\epsilon^2 / (b-a)^2}.$$