

ALGORITHM DESIGN USING **DIVIDE & CONQUER** METHOD: III



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Overview of Algorithm Design

1. Initial Solution

- a. Recursive Definition – A set of Solutions
- b. Inductive Proof of Correctness
- c. Analysis Using Recurrence Relations

2. Exploration of Possibilities

- a. Decomposition or Unfolding of the Recursion Tree
- b. Examination of Structures formed
- c. Re-composition Properties

3. Choice of Solution & Complexity Analysis

- a. Balancing the Split, Choosing Paths
- b. Identical Sub-problems

4. Data Structures & Complexity Analysis

- a. Remembering Past Computation for Future
- b. Space Complexity

5. Final Algorithm & Complexity Analysis

- a. Traversal of the Recursion Tree
- b. Pruning

6. Implementation

- a. Available Memory, Time, Quality of Solution, etc

1. Core Methods

- a. Divide and Conquer ✓
- b. Greedy Algorithms ✓
- c. Dynamic Programming ✓
- d. Branch-and-Bound ✓
- e. Analysis using Recurrences
- f. Advanced Data Structuring

2. Important Problems to be addressed

- a. Sorting and Searching
- b. Strings and Patterns
- c. Trees and Graphs
- d. Combinatorial Optimization

3. Complexity & Advanced Topics

- a. Time and Space Complexity
- b. Lower Bounds
- c. Polynomial Time, NP-Hard
- d. Parallelizability, Randomization

MergeSort

Mergesort (L)

if ($|L| \leq 1$) return (L)
split L into L_1, L_2 which are
non-empty

$M_1 = \text{Mergesort}(L_1)$

$M_2 = \text{Mergesort}(L_2)$

$M = \text{Merge}(L_1, L_2)$

return (M)

$$T(n) = T(n/k) + T(n - \frac{n}{k}) + O(n^{\frac{1}{k}})$$

choose $k=2 \rightarrow O(n \log n)$
 \rightarrow optimal performance

Merge (L_1, L_2)

if ($L_1 = \text{NULL}$) return (L_2)

if ($L_2 = \text{NULL}$) return (L_1)

let $L_1 = \{x_1, x_2, \dots, x_n\}$

$L_2 = \{y_1, y_2, \dots, y_m\}$

if ($x_1 > y_1$)

$L = \{x_1\} \parallel \text{Merge}(L_1 - \{x_1\}, L_2)$
 \uparrow concat

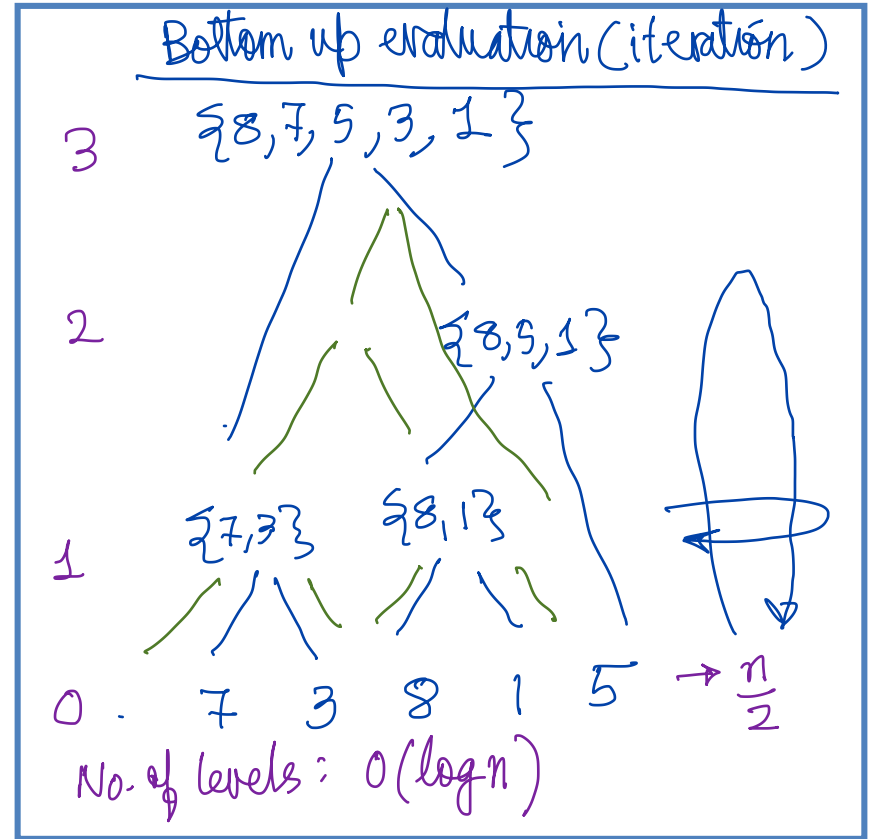
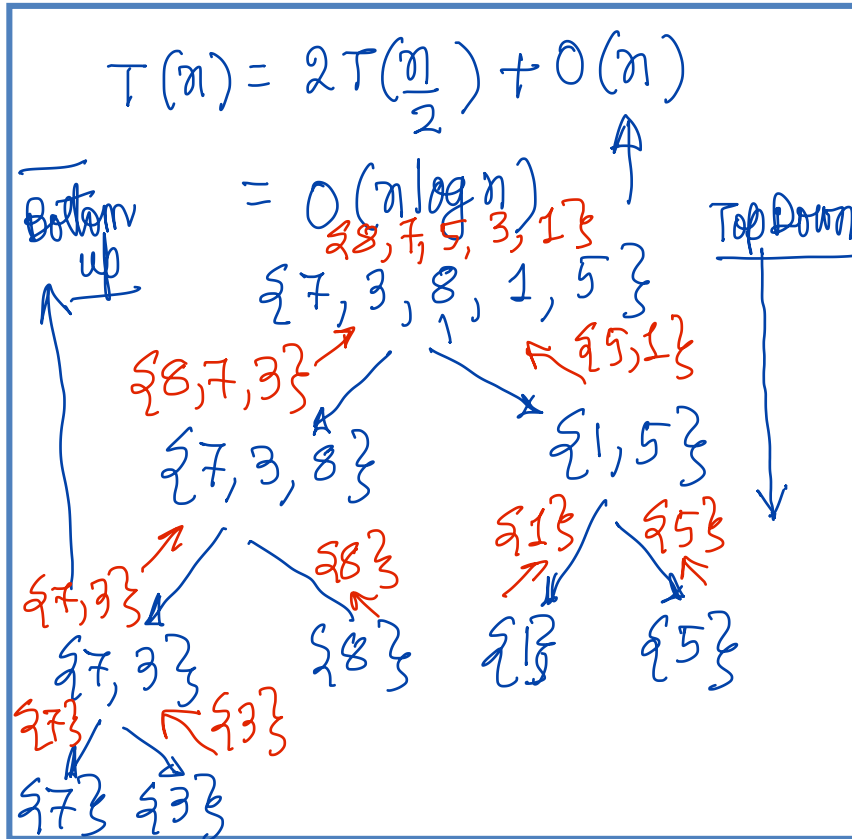
else

$L = \{y_1\} \parallel \text{Merge}(L_1, L_2 - \{y_1\})$

return (L)

$$T(n) = T(n-1) + 1 = O(n)$$

MergeSort: Analysis



MergeSort: Finalization

- Use a global array A to store the elements
- pass array A indices during recursion
- Merge → We use pointers / indices on Array A

TOP-DOWN ALGORITHM

BOTTOM UP ITERATIVE ALGO

- inner loop of Merge calls and an outer loop which will go on for $O(\log n)$ steps

Available in any standard book

$O(n)$ Level 0: $\frac{n}{2}$ merges of size 1 each

$O(n)$ Level 1: $\frac{n}{4}$ merges of size 2 each

⋮

$O(n)$ Level $O(\log n)$:

$O(n \log n)$

Optimal Merge Sequence: Problem

Merge Sequence (L)

$L = \{L_1, L_2, \dots, L_n\}$
 each L_i has $|L_i| = l_i$ elements
 which are already sorted

GENERAL RECURSIVE METHOD

for each pair (L_i, L_j)

$L_{ij} = \text{Merge}(L_i, L_j)$

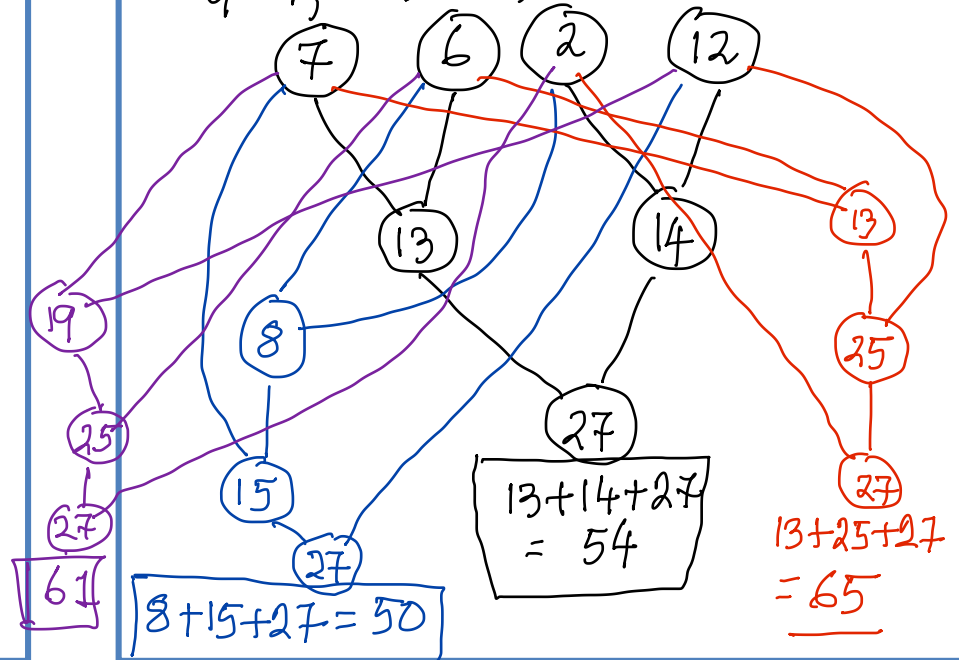
$L_R = L - \{L_i\} - \{L_j\} + L_{ij}$

$M_{ij} = \text{Merge Sequence}(L_R)$

Best Solution / option of choosing L_i & L_j

Example

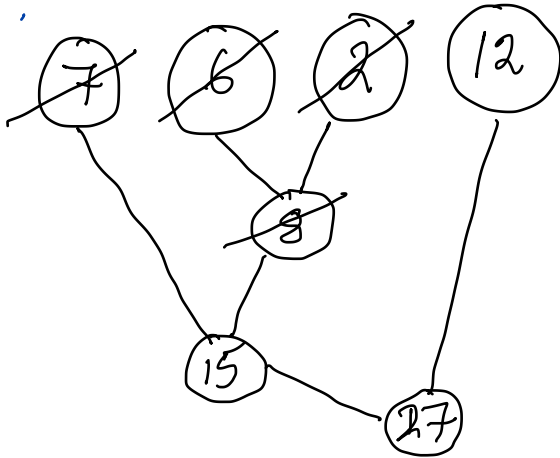
Four lists L_1, L_2, L_3, L_4
 $l_1 = 7, l_2 = 6, l_3 = 2, l_4 = 12$



Optimal Merge Sequence: Algorithm & Choice

$$L = \{L_1, L_2, \dots, L_n\}$$

Choose: L_i and L_j such that
 l_i and l_j ($|L_i|=l_i$) ($|L_j|=l_j$)
are the smallest sized sets in
 L .



1. Prove that this GREEDY choice always yields the optimal value.
2. Analyze the Time Complexity of this Algorithm

[Assignments / Homework / Tutorial]

Use of a GREEDY CHOICE
METHOD

↳ is also another way of looking at bottom-up evaluation in Mergesort

Optimal Merge Sequence: Alternative

$L_1 = \{x_1, x_2, \dots, x_{l_1}\}$
 $L_2 = \{y_1, y_2, \dots, y_{l_2}\}$
 $L_3 = \{z_1, z_2, \dots, z_{l_3}\}$
 \vdots
 $L_n = \{ \quad \quad \quad \}$

1. Find the largest element from all the elements at the head of each list
2. Remove it and put it in the result
3. Recurse

$$T(n) = T(n-1) + \boxed{O(n)}$$

if we use a simple
Max

Data Structure

Balanced BST to store only these
header elements \rightarrow REMOVE-MAX
 \rightarrow INSERT.

$$T(n) = T(n-1) + O(\log n) = O(n \log n)$$

each of
 $O(\log n)$

Optimal Merge Sequence: Finalization

1. Greedy Algorithm → Data Structures
2. Balanced BST based algo

(A) Leave it as a home work to determine which / whether any of them is better than the other

(B) Write down the final versions of both these algorithms

QuickSort

Quicksort (L)

$\{$ if $(|L| \leq 1)$ return (L)

Let $L = \{x_1, x_2, \dots, x_n\}$

① $y = \text{choose}(L)$
/choose an element from L /

$L_1 = \{z \mid z \leq y\}$

$L_2 = \{z \mid z > y\}$

$M_1 = \text{Quicksort}(L_1)$

$M_2 = \text{Quicksort}(L_2)$

② $M = M_2 \parallel M_1$ (concatenation)
return (M)
 $\}$

$$T(n) = T(k) + T(n-k) + O(n)$$

In the worst case $k = 1$
 $\rightarrow O(n^2)$

How do we choose y from L to reduce the complexity from $O(n^2)$

Case 1: If we wish to divide L into almost equal halves

then $y = \text{MEDIAN}(L)$

Question: How to find Median in $O(n)$ time

\rightarrow classical Algo.

Case 2: Randomly choose y from L .

\rightarrow Average case: $O(n \log n)$

QuickSort: Analysis

→ Worst Case : $O(n^2)$

↳ Median Finding : $O(n \log n)$
in $O(n)$ time

→ Average Case : $O(n \log n)$

$T(n) =$

Exercise / Look up the book
for this analysis.

→ Average Case Analysis of Binary Search if we choose a random point

→ Average Case Analysis of Height of a BST which does not apply sophisticated balancing techniques

Time-Space Relationship

	$O(n^2)$ Time	$O(1)$ Add'l space
1. Max Removal Sorting		
2. Insertion Sort		<u>BST</u>
3. Merge Sort		
4. Quick Sort		

Space: → The additional space requirement beyond what we need to store the input

→ HEAP

→ In-place merge in $O(n)$

Other Approaches to Sorting

1. When elements are from a known domain
2. Alternative Data Structures
 - ↳ Hash Tables
3. External Sorting

Thank you

Any Questions?