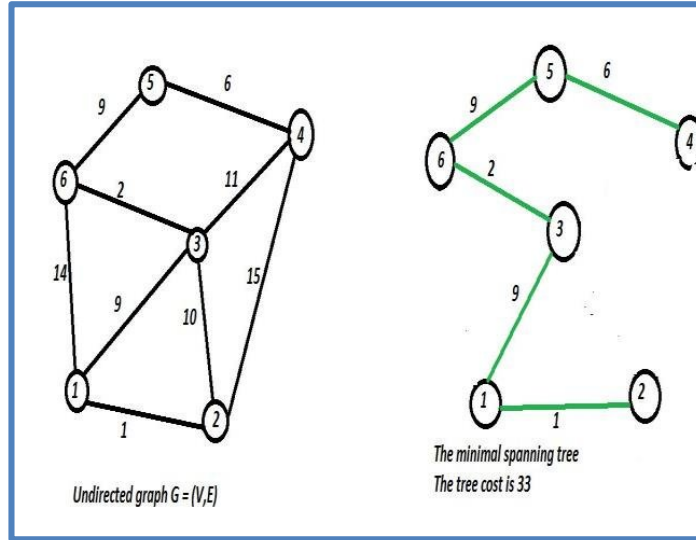


MINIMUM SPANNING TREES



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Spanning Tree of an Undirected Graph

Given a connected Undirected Graph $G = (V, E)$, a **Spanning Tree** is a connected sub-Tree of G covering every node of G .

Each Spanning Tree $T = (V, E')$ consists of all the V vertices of G and E' is a subset of E such that G remains connected by the edges of T . Thus E' will have $|V| - 1$ edges.

Tree Edges of a basic DFS or BFS Traversal will generate a Spanning Tree.

A Graph G may have many Spanning Trees.

If $G = (V, E)$ is a graph of multiple separate connected components, then we have a Spanning Tree for every connected component and together it is called a **Spanning Forest**.

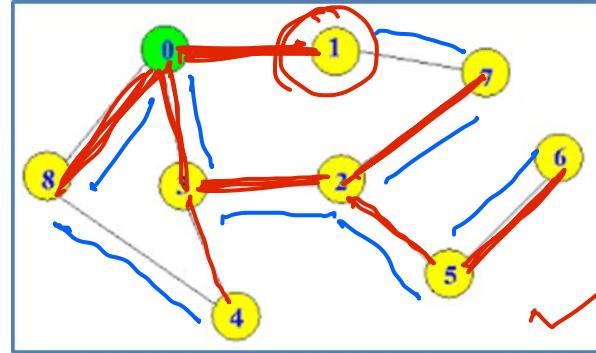


FIG 1

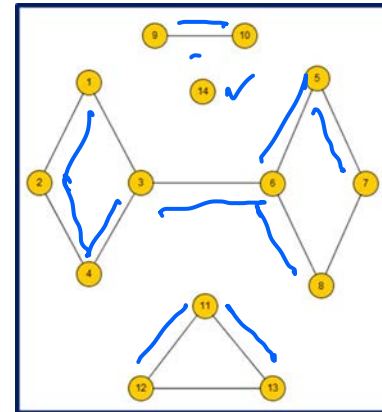


FIG 2

DFS based Spanning Tree Algorithm

Global Data: $G = (V, E)$

visited [i] indicates if node i is visited. / initially 0 /

Parent[i] = parent of a node in the Search / initially NULL /

Tree[i,j] indicates if the edge is a tree edge or not /initially all 0/

succ(i) = {set of nodes to which node i is connected}

Dfs(node) {

visited[node] = 1; ✓

for each j in succ(node) do {

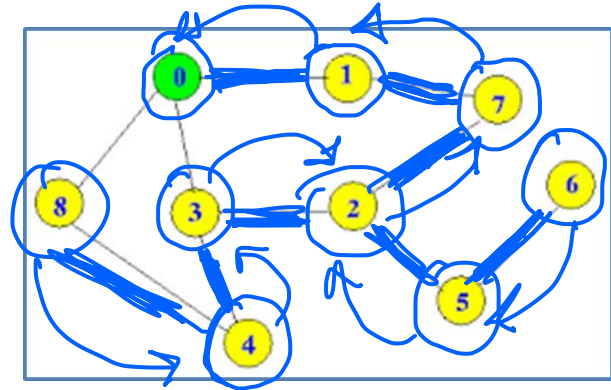
if (visited [j] == 0) { Parent[j] = node; ✓

Tree[node,j] = 1;

Dfs(j) } ✓

}

}



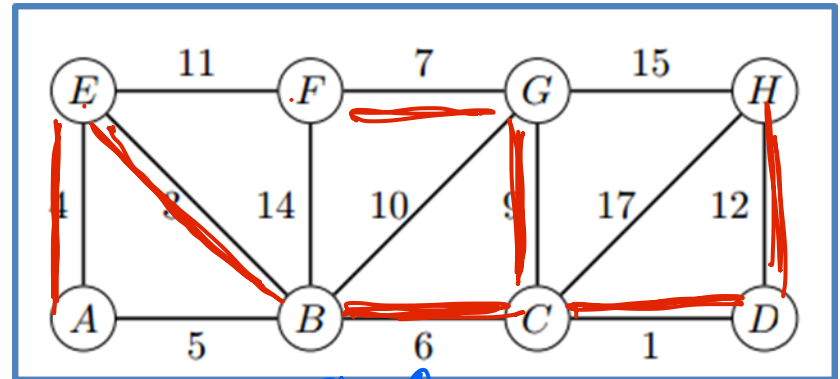
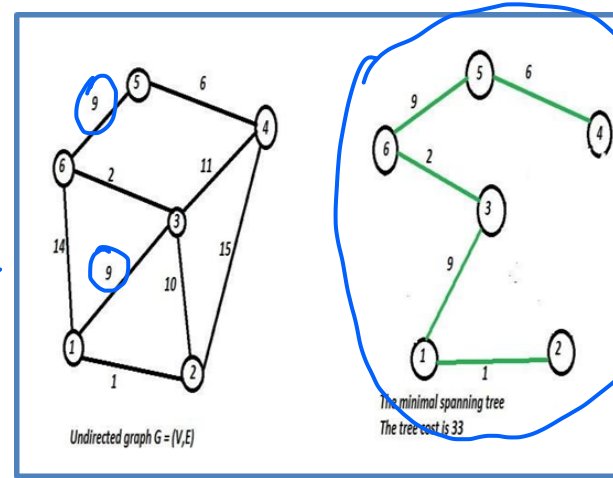
Minimum Spanning Tree of a Weighted Undirected Graph

Given a connected Weighted Undirected Graph $G = (V, E)$, a **Minimum Spanning Tree (MST)** is a Spanning Tree of G of Minimum Cost. *cost = Sum of edges of the Tree*

There may be multiple MSTs in a graph. However, if each edge of G has a distinct weight, then the MST is **UNIQUE**

Applications:

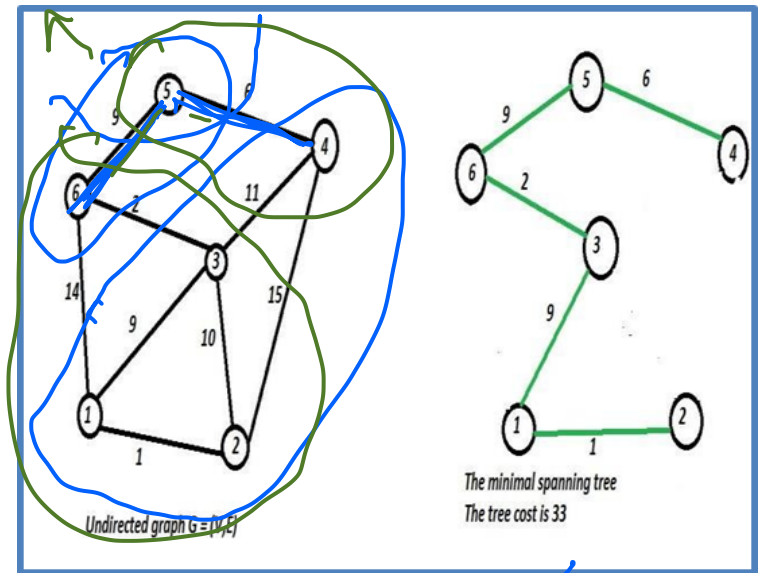
- Design of various kinds of Networks (Circuits, Telecom, Transport, Water Supply, Power Grids, etc)
- Geometric / Vision / Image Processing Problems and Analysis
- Approximations of Complex Problems



Algorithm for MST: Step 1 (Initial Recursive Definition)

```

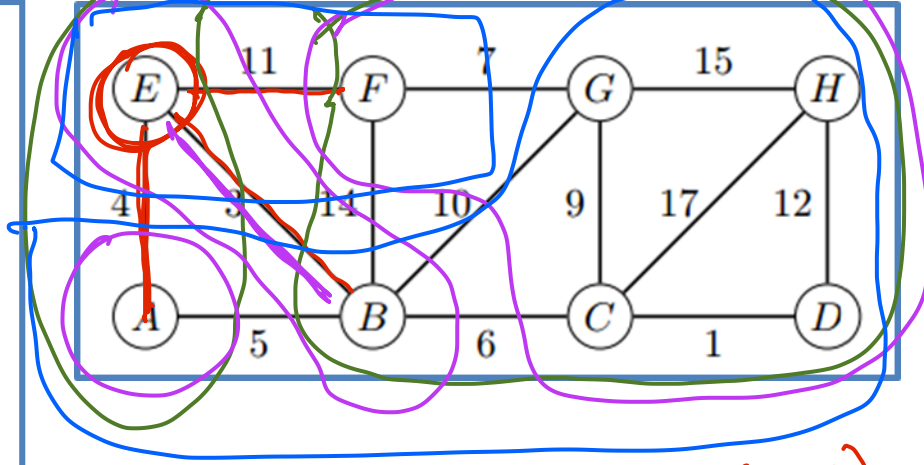
MST(G1, G2, T) {
  If (G2 == {}) return <T, 0>  BASE
  For each edge e = (n, m) from G1 to G2, recursively
  find the minimum cost Tree (cost_a) using the
  remaining part of G2 and the corresponding T' on
  selection of the edge e as a part of the MST
  {
    G1a = G1 + {m};
    G2a = G2 - {m};
    Ta = T + {e};
    <T', cost'> = MST(G1a, G2a, Ta)
    cost_a = C[n, m] + cost'
  }
  Let <T_min, Cost_min> be the minimum cost_a and
  corresponding T' found across all edges e
  Return <T_min, Cost_Min>
}
  
```



Algorithm for MST: Step 1A (Initial Recursive Definition)

```

MST(G1, G2, T) {
  If (G2 == {}) return <T, 0>
  For each edge e = (n, m) from G1 to G2, recursively
  find the minimum cost Tree (cost_a) using the
  remaining part of G2 and the corresponding T' on
  selection of the edge e as a part of the MST
  {
    G1a = G1 + {m};
    G2a = G2 - {m}
    Ta = T + {e}
    <T', cost'> = MST(G1a, G2a, Ta)
    cost_a = C[n, m] + cost'
  }
  Let <T_min, Cost_min> be the minimum cost_a and
  corresponding T' found across all edges e
  Return <T_min, Cost_Min>
}
    
```



MST ($\{E\}$, $G - \{E\}$) ($\{E\}$)

Proof by induction

Algorithm for MST: Step 1B (Initial Recursive Definition)

```
MST(G1, G2, T) {
```

```
  If (G2 == {}) return <T, 0>
```

```
  For each edge e = (n, m) from G1 to G2, recursively  
  find the minimum cost Tree (cost_a) using the  
  remaining part of G2 and the corresponding T' on  
  selection of the edge e as a part of the MST
```

```
    { G1a = G1 + {m};
```

```
      G2a = G2 - {m}
```

```
      Ta = T + {e}
```

```
      <T', cost'> = MST(G1a, G2a, Ta)
```

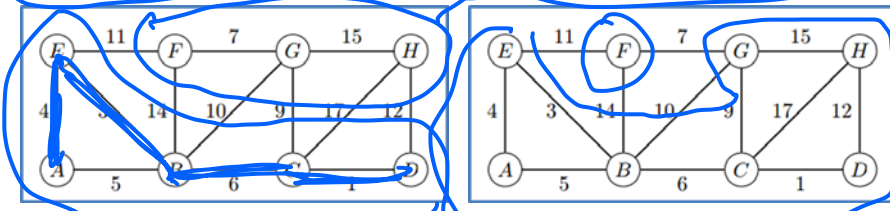
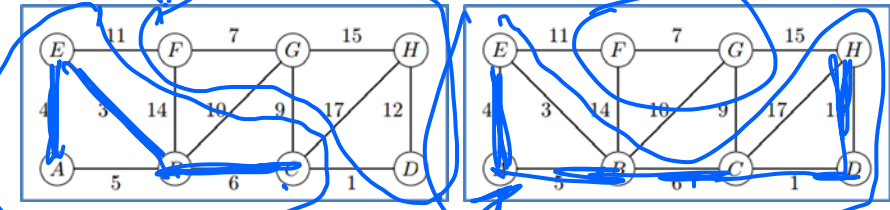
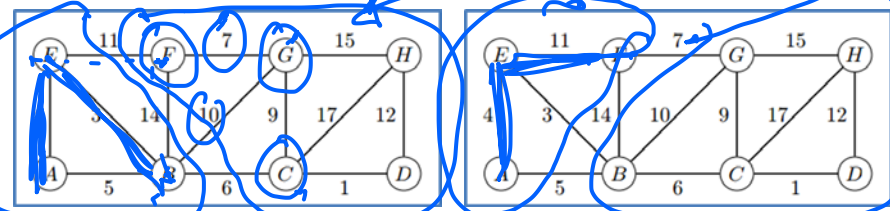
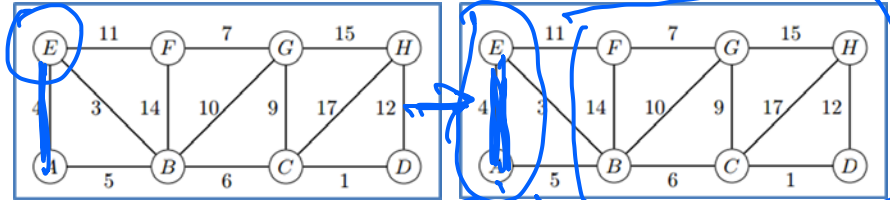
```
      cost_a = C[n, m] + cost'
```

```
    }
```

```
  Let <T_min, Cost_min> be the minimum cost_a and  
  corresponding T' found across all edges e
```

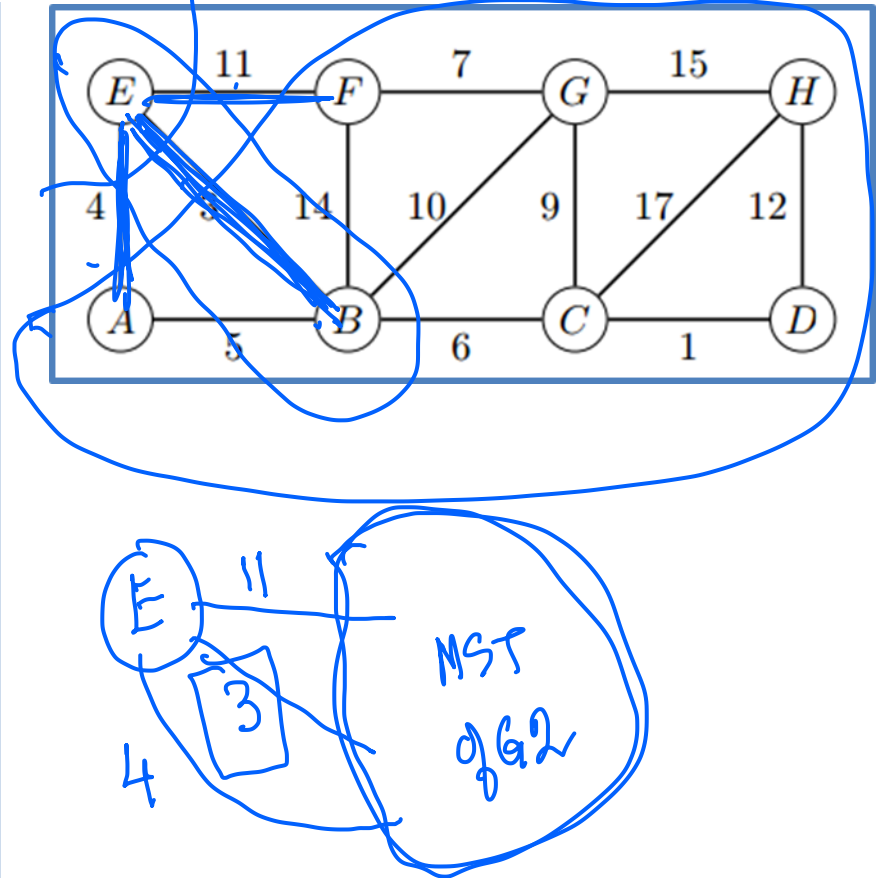
```
  Return <T_min, Cost_Min>
```

```
}
```



Algorithm for MST: Step 2 (Analyzing the Properties)

```
MST(G1, G2, T) {  
  If (G2 == {}) return <T, 0>  
  For each edge e = (n, m) from G1 to G2, recursively  
  find the minimum cost Tree (cost_a) using the  
  remaining part of G2 and the corresponding T' on  
  selection of the edge e as a part of the MST  
  {  
    G1a = G1 + {m};  
    G2a = G2 - {m}  
    Ta = T + {e}  
    <T', cost'> = MST(G1a, G2a, Ta)  
    cost_a = C[n, m] + cost'  
  }  
  Let <T_min, Cost_min> be the minimum cost_a and  
  corresponding T' found across all edges e  
  Return <T_min, Cost_Min>  
}
```

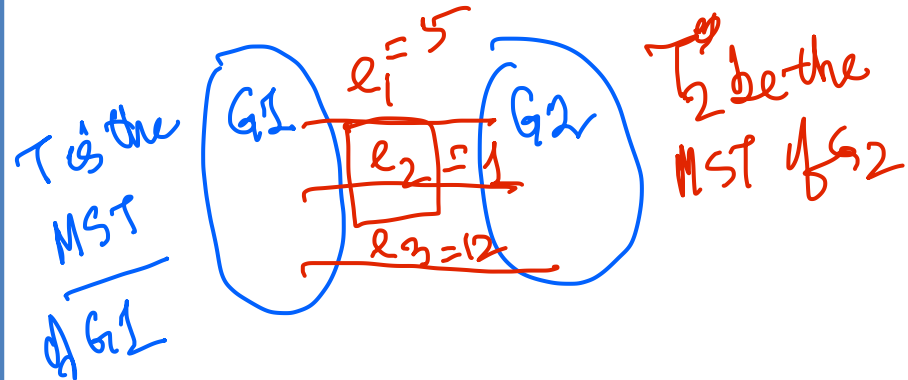
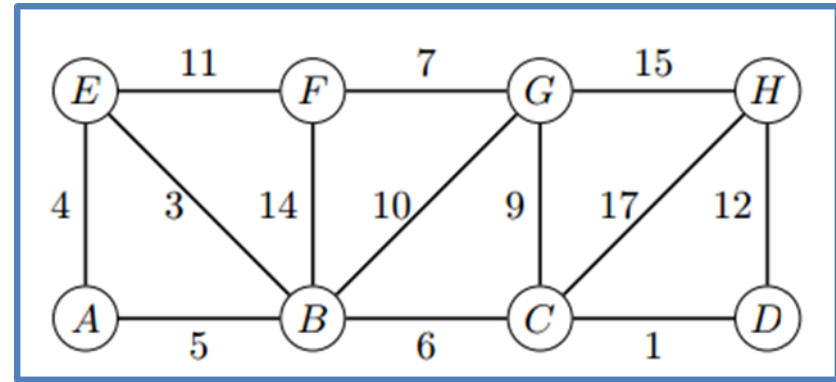


Algorithm for MST: Step 3 (Making a Greedy Choice)

```

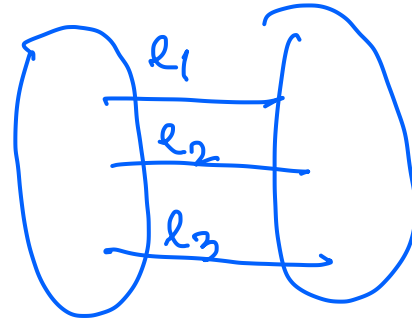
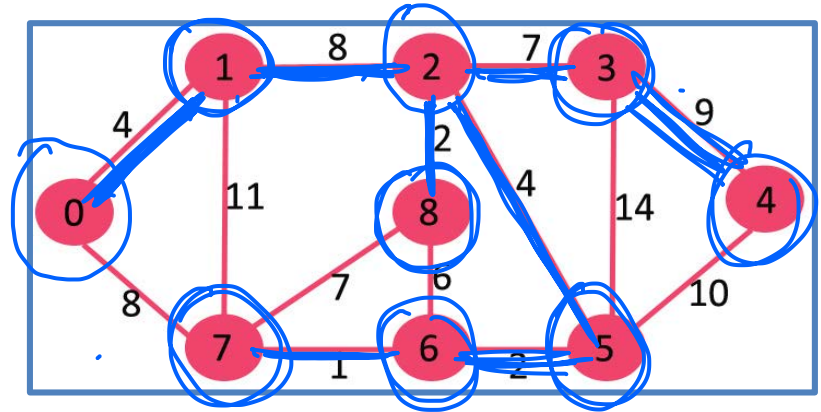
MST_Greedy (G1, G2, T) {
  If (G2 == {}) return <T, 0> ✓
  From all edges  $e = (n, m)$  from G1 to G2 do and
  find the minimum cost edge  $e' = (n', m')$  from
  G1 to G2 and do the following:
  {
    G1a = G1 + {m'}; ✓
    G2a = G2 - {m'} ✓
    Ta = T + {e'} ✓
    <T', cost'> = MST_Greedy (G1a, G2a, Ta)
    cost_a = C[n, m] + cost'
  }
  Return <T', cost_a> ✓
}

```



Algorithm for MST: Step 3 (Example)

```
MST_Greedy (G1, G2, T) {  
  If (G2 == {}) return <T, 0>  
  From all edges  $e = (n, m)$  from G1 to G2 do and  
  find the minimum cost edge  $e' = (n', m')$  from  
  G1 to G2 and do the following:  
  {  
    G1a = G1 + {m'};  
    G2a = G2 - {m'}  
    Ta = T + {e'}  
    <T', cost'> = MST_Greedy (G1a, G2a, Ta)  
    cost_a = C[n, m] + cost'  
  }  
  Return <T', cost_a>  
}
```



Choose the one with min cost

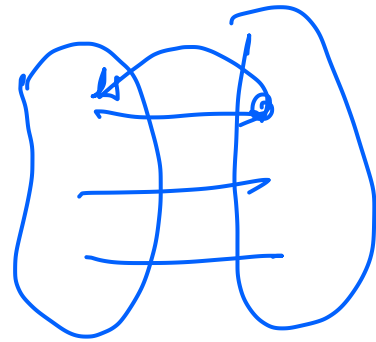
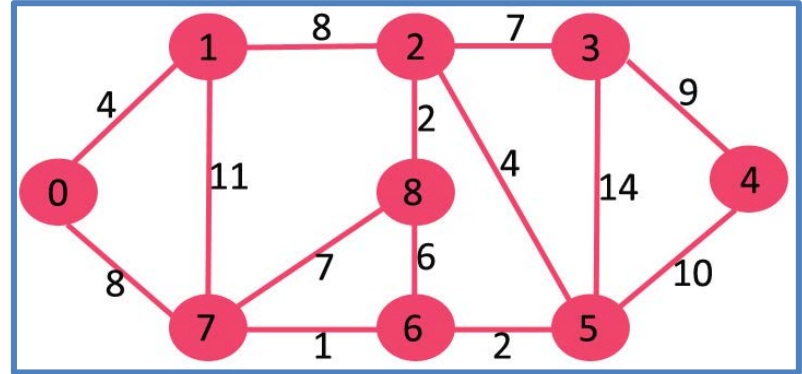
Algorithm for MST: Step 3 (Analysis)

```

MST_Greedy (G1, G2, T) {
  If (G2 == {}) return <T, 0>
  From all edges e = (n, m) from G1 to G2 do and
  find the minimum cost edge e' = (n', m') from
  G1 to G2 and do the following:
  {
    G1a = G1 + {m'};
    G2a = G2 - {m'}
    Ta = T + {e'}
    <T', cost'> = MST_Greedy (G1a, G2a, Ta)
    cost_a = C[n, m] + cost'
  }
  Return <T', cost_a>
}
  
```

*PRIM'S
Algorithm*

$O(|E| \log |V|)$



min edge

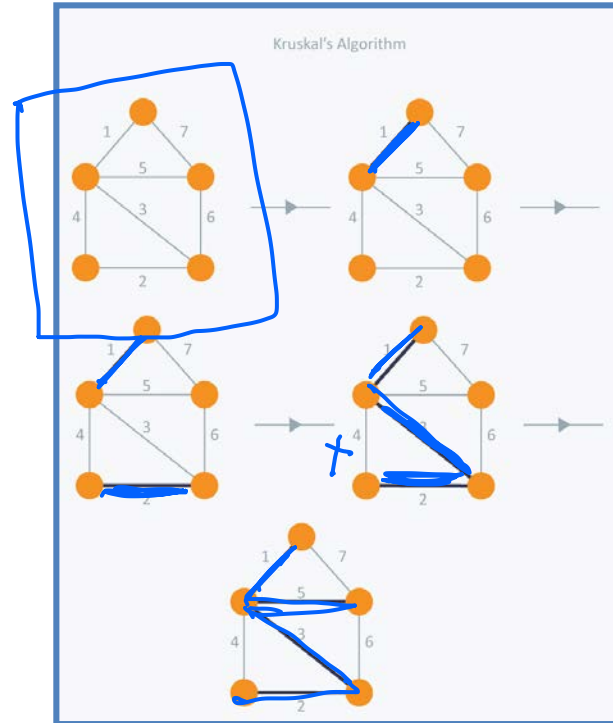
Add edges

$O(|E| \log |E|)$

*MIN-HEAP of
edges*

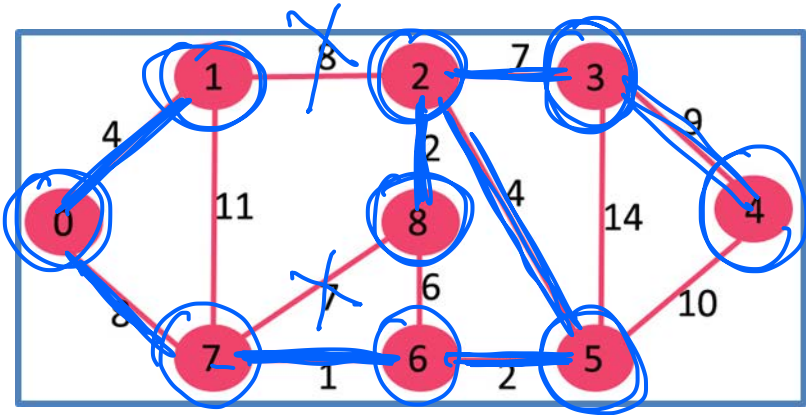
Kruskal's Algorithm for MST

```
Kruskal (V,E) {  
  Sort the edges in E in increasing cost; ✓  
  VT = {}; ET = {}; cost = 0;  
  While E is not empty or VT = V do {  
    Choose the next minimum cost edge e =  
    (n,m) in E;  
    E = E - {e};  
    If adding n, m in VT and e in ET makes a  
    cycle, discard e, else {  
      VT = VT ∪ {n} + {m} ✓  
      ET = ET + { e } ✓  
      cost = cost + C[n,m] } ✓  
  }  
  Return <GT = (VT, ET), cost>  
}
```



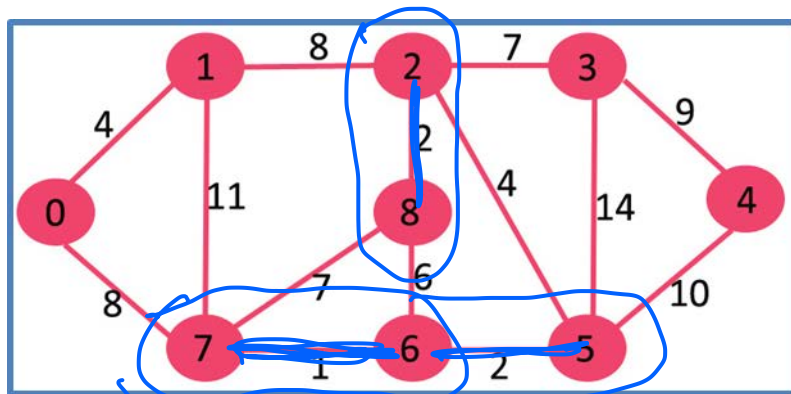
Kruskal's Algorithm for MST (Example)

```
Kruskal (V,E) {  
  Sort the edges in E in increasing cost; ✓  
  VT = {}; ET = {}; cost = 0;  
  While E is not empty or VT = V do {  
    Choose the next minimum cost edge e =  
    (n,m) in E;  
    E = E - {e} ✓  
    If adding n, m in VT and e in ET makes a  
    cycle, discard e, else {  
      VT = VT + {n} + {m} ✓  
      ET = ET + {e} ✓  
      cost = cost + C[n,m] } ✓  
  }  
  Return <GT = (VT, ET), cost>  
}
```



Kruskal's Algorithm for MST (Analysis)

```
Kruskal (V,E) {  
  Sort the edges in E in increasing cost;  
  VT = {}; ET = {}; cost = 0;  
  While E is not empty or VT = V do {  
    Choose the next minimum cost edge e =  
    (n,m) in E;  
    E = E - {e}  
    If adding n, m in VT and e in ET makes a  
    cycle, discard e, else {  
      VT = VT + {n} + {m}  
      ET = ET + { e}  
      cost = cost + C[n,m] }  
  }  
  Return <GT = (VT, ET), cost>  
}
```



Sorting $O(|E| \log |E|)$
 $= O(|E| \log |V|)$

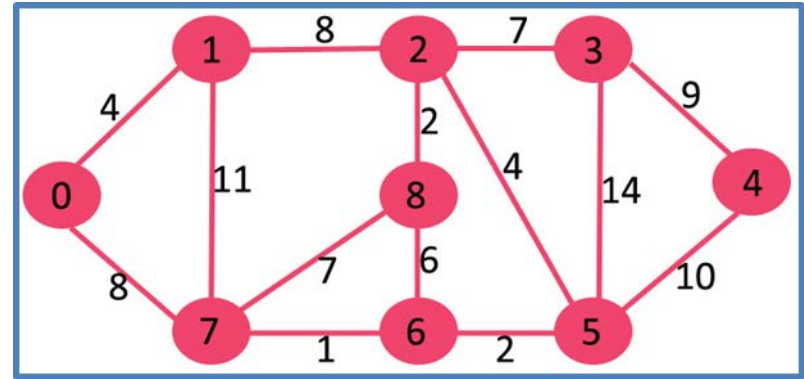
cycle detection

Kruskal's Algorithm (using Disjoint UNION-FIND)

```
algorithm Kruskal_UF (G = (V,E)) {  
  A = {};  
  for each v in V do MAKE-SET(v);  
  for each edge e = (u, v) in E ordered by  
  increasing weight(u, v) do  
  {  
    if FIND-SET(u) ≠ FIND-SET(v) then  
    {  
      A = A + {(u, v)}  
      UNION(FIND-SET(u), FIND-SET(v))  
    }  
  }  
  return A  
}
```

Handwritten annotations:

- ✓* next to $A = \{\}$
- ✓* next to $A = A + \{(u, v)\}$
- CYCLE DETECTION* written above the \neq comparison.
- DISJOINT UNION* written below the UNION function call, with an arrow pointing to it.



$$O(|E| \log |V|)$$

Thank you