

# CS60007 Algorithm Design and Analysis 2018

## Solution of Assignment 4

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Please submit the solutions of problem 1, 5, and 12. The deadline is November 12, 2018 in the class.

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### General Instructions

- ▷ Please prove correctness of every algorithm you design.
- ▷ If not stated otherwise, please ensure that your algorithm runs in polynomial time.
- ▷ If not stated otherwise, please design a deterministic algorithm.
- ▷ If not stated otherwise, please assume that  $n$  to be the size (the number of bits) of the input instance.
- ▷ If not stated otherwise, please assume that the graphs under consideration are finite, weighted, and directed graphs which does not contain any self loop.
- ▷ We have not defined some standard problems. You are expected to find the problem statements (from Google say) by yourself.

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1. Let  $n$  denotes the number of vertices in the graph. Prove that if there exists an  $k^n$  factor approximation algorithm for the Travelling Salesman problem for any positive integer  $k$ , then  $P = NP$ .

*Proof.* Let  $k$  be any positive integer. We will show that if there exists a  $k^n$  factor approximation algorithm for the Travelling Salesman problem, then there exists a polynomial time algorithm for the Hamiltonian Cycle problem on undirected graphs. Let us assume that there exists a  $k^n$  factor approximation algorithm  $\mathcal{A}$  for the Travelling Salesman problem.

Let  $\mathcal{G}$  be an instance of the Hamiltonian Cycle problem. We construct another graph  $\mathcal{H}$  from  $\mathcal{G}$  as follows. We have  $\mathcal{V}[\mathcal{H}] = \mathcal{V}[\mathcal{G}]$ ; that is, the set of vertices in  $\mathcal{H}$  is the same as in  $\mathcal{G}$ . The graph  $\mathcal{H}$  is a complete graph. For any two vertices  $u, v \in \mathcal{V}[\mathcal{H}]$  with  $u \neq v$ , we define the weight  $w(\{u, v\})$  of the edge  $\{u, v\}$  in  $\mathcal{H}$  to be 1 if  $\{u, v\} \in \mathcal{E}[\mathcal{G}]$ ; otherwise we define the weight  $w(\{u, v\})$  of the edge  $\{u, v\}$  in  $\mathcal{H}$  to be  $n^2k^n$ . We now claim that there exists a Hamiltonian cycle in  $\mathcal{G}$  if and only if there exists a Travelling Salesman tour in  $\mathcal{H}$  of weight  $n$ . To prove this, in one direction, let us assume that there exists a Hamiltonian cycle  $\mathcal{C}$  in  $\mathcal{G}$ . Then the weight of the tour  $\mathcal{C}$  in  $\mathcal{H}$  is  $n$ . For the other direction, let us assume that there exists a Travelling Salesman tour  $\mathcal{T}$  in  $\mathcal{H}$  of weight  $n$ . Then  $\mathcal{T}$  forms a Hamiltonian cycle in  $\mathcal{G}$  since if any edge in  $\mathcal{T}$  is not present in  $\mathcal{G}$ , then the weight of the tour  $\mathcal{T}$  in  $\mathcal{H}$  would be at least  $n^2k^n$  which is strictly greater than  $n$  for any positive integer  $k$ . This proves the claim. Moreover, from the proof of the claim it also follows that if there is no Hamiltonian cycle in  $\mathcal{G}$  then the weight of any Travelling Salesman tour in  $\mathcal{H}$  is at least  $n^2k^n$ .

Since  $n^2k^n$  can be computed in polynomial in  $n$  time, the graph  $\mathcal{H}$  can be constructed in polynomial in  $n$  time from  $\mathcal{G}$ . We run the algorithm  $\mathcal{A}$  on  $\mathcal{H}$  and output that  $\mathcal{G}$  has a Hamiltonian Cycle if and only if  $\mathcal{A}$  outputs a tour of weight at most  $nk^n$ . We now prove correctness of our algorithm.

If  $\mathcal{G}$  has a Hamiltonian cycle, then it follows from the above claim that  $\mathcal{H}$  has a Travelling Salesman tour of weight  $n$ . Hence, by the definition of an approximation factor,  $\mathcal{A}$  returns a Travelling Salesman tour in  $\mathcal{H}$  of weight at most  $nk^n$  and hence, in the case when  $\mathcal{G}$  has a Hamiltonian cycle, our algorithm outputs correctly. If  $\mathcal{G}$  does not have any Hamiltonian cycle, then it follows from the above claim that any Travelling Salesman tour in  $\mathcal{H}$  has weight at least  $n^2k^n$ . In particular, the Travelling Salesman tour returned by the algorithm  $\mathcal{A}$  in this case has weight at least  $n^2k^n$  which is strictly greater than  $nk^n$ . Thus our algorithm correctly outputs also in the case when  $\mathcal{G}$  does not have any Hamiltonian cycle. This concludes the proof of correctness of our algorithm. Our algorithm runs in polynomial time since  $\mathcal{H}$  can be constructed from  $\mathcal{G}$  in polynomial time and the algorithm  $\mathcal{A}$  runs in polynomial time. Since the Travelling Salesman problem is NP-complete, we have  $P = NP$ .  $\square$

2. Design a  $\frac{7}{8}$  factor approximation algorithm for the MAX3SAT problem. [Hint: try greedy approach.]
3. Design a  $\mathcal{O}(\log n)$  factor approximation algorithm for the Set Cover problem. [Hint: try greedy approach.]
4. Design a 2 factor approximation algorithm for the Vertex Cover problem. [Hint: try to find polynomial time computable lower bound on the size of a minimum vertex cover.]
5. Design a 2 factor approximation algorithm for the Bin Packing problem. [Hint: This is Problem 35-1 in the CLRS book. Follow the guideline provided in CLRS.]

*Proof.* Let  $s_i, i \in [n]$  with  $s_i \in (0, 1)$  be the set of objects. Let  $\mathcal{S} = \sum_{i=1}^n s_i$ . Since the capacity of every bin is 1, we have  $\text{OPT} = \lceil \mathcal{S} \rceil$ . We use the first fit algorithm. Concretely, we iteratively pick objects  $s_i$  for  $i \in [n]$  (in any order) and if there exists a bin which can hold  $s_i$  (that is the sum of weights of the objects the bin is currently holding is at most  $1 - s_i$ ), then we put  $s_i$  to that bin; otherwise we put  $s_i$  in a new empty bin. We claim that there is at most one bin which holds a total weight of at most  $\frac{1}{2}$  during the run of the algorithm. The claim is clearly true after assigning the first item (since the number of bins is 1 then). Suppose not, then let us assume that the first time when the claim does not hold is after putting the object  $s_\ell$ . Since the claim was true before putting the object  $s_\ell$ , the object must have been put in a new bin and the new bin must contain objects of weight at most  $\frac{1}{2}$ ; that is  $s_\ell \leq \frac{1}{2}$  since  $s_\ell$  is the only object in the new bin. Since  $s_\ell$  has been assigned in a new bin and  $s_\ell \leq \frac{1}{2}$ , every bin except the new bin must contain objects of total weight strictly more than  $\frac{1}{2}$  (otherwise, if there was a bin with total weight at most  $\frac{1}{2}$ , then our algorithm would have assigned  $s_\ell$  to that bin and never acquired a new bin). We now claim that the number of bin used by the first fit algorithm, denoted by  $\text{ALG}$ , is at most  $\lceil 2\mathcal{S} \rceil$ . If  $2\mathcal{S}$  is a integer, then it follows from the claim above that  $\text{ALG} \leq 2\mathcal{S}$ . On the other hand, if  $2\mathcal{S}$  is not an integer, then we have  $\text{ALG} \leq \lfloor 2\mathcal{S} \rfloor + 1 \leq \lceil 2\mathcal{S} \rceil$  from the claim above. Since  $\lceil 2\mathcal{S} \rceil \leq 2\lceil \mathcal{S} \rceil \leq 2\text{OPT}$ , the first fit algorithm is a 2 factor approximation algorithm.  $\square$

6. Design a FPTAS for the 0/1-Knapsack problem.
7. Prove that if there exists an  $\alpha$  factor approximation algorithm for the Maximum Clique problem, then there exists a  $\sqrt{\alpha}$  factor approximation algorithm for the Maximum Clique problem for any constant  $\alpha$ . Deduce from this that if there exists an  $\alpha$  factor approximation algorithm for the Maximum Clique problem, then there exists a PTAS for the Maximum Clique problem. [Hint: Use hint from Problem 35-2 in the CLRS book.]
8. Prove that if there exists a FPTAS for the Vertex Cover problem, then  $P = NP$ .
9. Design a randomized  $\frac{1}{2}$  factor approximation algorithm for the Maximum Cut problem. Deduce from this that for every graph, there exists a cut consisting of at least half the edges in the graph.
10. Design a deterministic  $\frac{1}{2}$  factor approximation algorithm for the Maximum Cut problem. [Hint: try greedy approach.]
11. We know that CNF-SAT is NP-complete due to the famous Cook-Levin Theorem. Design a polynomial time algorithm for DNF-SAT.
12. We know that 3SAT is NP-complete. Design a polynomial time algorithm for 2SAT. Refer to <https://www.iitg.ac.in/deepkesh/CS301/assignment-2/2sat.pdf>